

6. ANALYTIC AND APPROXIMATION PROPERTIES

We discuss various analytic and approximation properties for countable discrete groups. In this section,  $\Gamma$  always denotes a countable discrete group.

**6.1. Positive definite functions and cp multipliers.** This section follows a mini-course I took from Narutaka Ozawa at IMSc in February 2009. Let  $\Gamma$  be a discrete countable group.

**Definition 6.1.1.** A function  $f : \Gamma \rightarrow \mathbb{C}$  is called *positive definite* if for every  $g_1, \dots, g_n \in \Gamma$ ,  $[f(g_i^{-1}g_j)]$  is positive in  $M_n(\mathbb{C})$ .

**Lemma 6.1.2.** *Suppose  $a \in M_n(\mathbb{C})$  is positive and constant along the diagonal. Then  $|a_{ij}| \leq a_{kk}$  for all  $1 \leq i, j, k \leq n$ .*

*Proof.* Let  $b \in M_n(\mathbb{C})$  such that  $a = b^*b$ . Then for all  $i, j$ ,

$$|a_{ij}|^2 = |\langle e_i | a e_j \rangle|^2 = |\langle b e_i | b e_j \rangle|^2 \stackrel{(CS)}{\leq} \|b e_i\|^2 \|b e_j\|^2 = \langle e_i | a e_i \rangle \cdot \langle e_j | a e_j \rangle = a_{ii} a_{jj}.$$

Since  $a_{ii} = a_{jj}$ , we have  $|a_{ij}| \leq a_{ii}$ . □

**Proposition 6.1.3.** *If  $f : \Gamma \rightarrow \mathbb{C}$  is positive definite, then  $f \in \ell^\infty \Gamma$  with  $\|f\|_\infty = f(e)$ .*

*Proof.* For  $g \in \Gamma$ ,  $|f(g)| = |a_{12}| \leq a_{11} = f(e)$  for  $a = \begin{pmatrix} f(e) & f(g) \\ f(g^{-1}) & f(e) \end{pmatrix} \geq 0$ . □

**Definition 6.1.4.** Given  $f : \Gamma \rightarrow \mathbb{C}$ , we get a *multiplier*  $M_f : \mathbb{C}\Gamma \rightarrow \mathbb{C}\Gamma$  by

$$M_f \sum x_g g := \sum f(g) x_g g.$$

**Theorem 6.1.5.** *For  $f : \Gamma \rightarrow \mathbb{C}$ , the following are equivalent:*

- (1)  $f$  is positive definite.
- (2) The sesquilinear form  $\langle \sum x_g g, \sum y_h h \rangle_f := \sum f(h^{-1}g) x_g \overline{y_h}$  on  $\mathbb{C}\Gamma$  is positive.
- (3)  $f$  is a coefficient of a unitary representation, i.e., there is a Hilbert space  $H$  and group homomorphism  $\pi : \Gamma \rightarrow U(H)$  and  $\eta \in H$  such that  $f(g) = \langle \pi_g \eta, \eta \rangle$ .
- (4)  $M_f$  extends to a normal cp map  $L\Gamma \rightarrow L\Gamma$ .

*Proof.*

(1)  $\Leftrightarrow$  (2): Observe that  $[f(g_i^{-1}g_j)] \in M_n(\mathbb{C})$  is positive if and only if for all  $x \in \mathbb{C}^n$ ,  $x^*[f(g_i^{-1}g_j)]x \geq 0$ . This condition is equivalent to  $\langle \cdot, \cdot \rangle_f \geq 0$ .

(2)  $\Rightarrow$  (3): Let  $\ell_f^2 \Gamma$  denote the completion of the quotient of  $\mathbb{C}\Gamma$  under the length zero vectors under  $\langle \cdot, \cdot \rangle_f$ . We get a  $\Gamma$ -action  $\pi : \Gamma \rightarrow U(\ell_f^2 \Gamma)$  as usual by  $(\pi_g \xi)(h) := \xi(g^{-1}h)$ . Indeed,  $\pi_g^{-1} = \pi_{g^{-1}}$ , and  $\pi_g$  is isometric:

$$\|\pi_g \xi\|_f^2 = \sum_{h,k} f(k^{-1}h) \xi(g^{-1}h) \overline{\xi(g^{-1}k)} = \sum_{h,k} f((g^{-1}k)^{-1}(g^{-1}h)) \xi(g^{-1}h) \overline{\xi(g^{-1}k)} = \|\xi\|_f^2.$$

Finally, note  $f(g) = \langle \pi_g \delta_e, \delta_e \rangle$  for all  $g \in \Gamma$ .

(3)  $\Rightarrow$  (4): We will use Fell's Absorption Principle, which you proved on homework, which states that if  $(H, \pi)$  is any unitary representation of  $\Gamma$  and  $\lambda : \Gamma \rightarrow U(\ell^2\Gamma)$  is the left regular representation, then  $(\ell^2\Gamma \otimes H, \lambda \otimes \pi)$  is unitarily equivalent to  $(\ell^2\Gamma \otimes H, \lambda \otimes 1)$ .

The  $L\Gamma$ -representation  $\tilde{\pi} : L\Gamma \rightarrow B(\ell^2\Gamma \otimes H)$  given by

$$g \mapsto \lambda_g \otimes 1 \mapsto \lambda_g \otimes \pi_g$$

is normal as it is a composite of normal unitary  $*$ -homomorphisms. Define  $v : \ell^2\Gamma \rightarrow \ell^2\Gamma \otimes H$  by  $\xi \mapsto \xi \otimes \frac{\eta}{\|\eta\|}$ , which is an isometry. Observe that for all  $g, h \in \Gamma$ ,

$$v^* \tilde{\pi}(\lambda_g) v \delta_h = v^* \tilde{\pi}(\lambda_g) \delta_h \otimes \frac{\eta}{\|\eta\|} = v^* \delta_{gh} \otimes \pi_g \frac{\eta}{\|\eta\|} = \frac{1}{\|\eta\|^2} \langle \pi_g \eta, \eta \rangle \delta_{gh} = \frac{1}{\|\eta\|^2} f(g) \lambda_g \delta_h.$$

Thus by linearity, for all  $x \in \mathbb{C}\Gamma$ ,  $M_f x = \|\eta\|^2 v^* \tilde{\pi}(x) v$ , which is manifestly normal and cp.

(4)  $\Rightarrow$  (1): Let  $g_1, \dots, g_n \in \Gamma$ . Then

$$[\lambda_{g_i^{-1} g_j}] = \begin{bmatrix} \lambda_{g_1} \\ \vdots \\ \lambda_{g_n} \end{bmatrix}^* [\lambda_{g_1} \quad \cdots \quad \lambda_{g_n}] \geq 0$$

in  $M_n(L\Gamma)$ . Now since  $M_f$  is cp,  $[M_f \lambda_{g_i^{-1} g_j}] \geq 0$  in  $M_n(L\Gamma)$ , so

$$[f(g_i^{-1} g_j)] = \begin{bmatrix} \lambda_{g_1} & & \\ & \ddots & \\ & & \lambda_{g_n} \end{bmatrix} [M_f \lambda_{g_i^{-1} g_j}] \begin{bmatrix} \lambda_{g_1} & & \\ & \ddots & \\ & & \lambda_{g_n} \end{bmatrix}^* \geq 0$$

in  $M_n(L\Gamma)$ , and thus also in  $M_n(\mathbb{C})$ . □

**Example 6.1.6.** Suppose  $\varphi : L\Gamma \rightarrow L\Gamma$  is cp. Define  $f(g) := \text{tr}(\varphi(\lambda_g) \lambda_g^*)$ . We claim that  $M_f$  is cp as it is the composite of the following cp maps:

$$\begin{array}{ccccc} L\Gamma & \xrightarrow{\Delta} & L\Gamma \otimes L\Gamma & \xrightarrow{\text{id} \otimes \varphi} & L\Gamma \otimes L\Gamma & \xrightarrow{\text{Ad}(v)} & L\Gamma \\ \lambda_g & \longmapsto & \lambda_g \otimes \lambda_g & & \lambda_g \otimes \lambda_h & \longmapsto & \delta_{g=h} \lambda_g \\ & & x \otimes y & \longmapsto & x \otimes \varphi(y) & & \end{array}$$

where  $v \delta_g := \delta_g \otimes \delta_g$ . The above composite applied to  $\lambda_g$  is

$$\lambda_g \mapsto \lambda_g \otimes \lambda_g \mapsto v^*(\lambda_g \otimes \varphi(\lambda_g))v.$$

If  $\varphi(\lambda_g) \delta_e = \sum y_h \delta_h$ , then applying the above operator to the separating vector  $\delta_e$ , we obtain

$$v^*(\lambda_g \otimes \varphi(\lambda_g))v \delta_e = v^*(\lambda_g \otimes \varphi(\lambda_g))(\delta_e \otimes \delta_e) = v^* \sum_h y_h \delta_g \otimes \delta_h = y_g \delta_g = y_g \lambda_g \delta_e.$$

Finally we know that  $y_g = \text{tr}(\lambda_g^* \varphi(\lambda_g))$ , verifying the claim.

**Example 6.1.7.** If  $\Lambda \leq \Gamma$  is a subgroup, then the characteristic function  $\chi_\Lambda(g) := \langle \pi_g \delta_\Lambda, \delta_\Lambda \rangle$  is positive definite, where  $\pi : \Gamma \rightarrow U(\ell^2 \Gamma / \Lambda)$ . In this case,  $M_{\chi_\Lambda} = E_{L\Lambda}$ , the canonical trace-preserving conditional expectation.

Recall that the reduced group C\*-algebra  $C_r^* \Gamma$  is the norm closure of  $\text{span } \lambda \Gamma \subset B(\ell^2 \Gamma)$ .

**Definition 6.1.8.** The *universal* group C\*-algebra  $C^* \Gamma$  is the closure of the group algebra  $\mathbb{C} \Gamma$  under the uniform norm

$$\|x\|_u := \sup \{ \|\pi(x)\| \mid (H, \pi) \text{ a unitary representation of } \Gamma \}.$$

Observe  $\|\cdot\|_u$  is well-defined as  $\|\pi(g)\|_u = 1$  for all  $g \in \Gamma$ .

**Remark 6.1.9.** The proof of (3)  $\Rightarrow$  (4) in Theorem 6.1.5 also shows that if  $f : \Gamma \rightarrow \mathbb{C}$  is positive definite, we also get a cp multiplier on  $C_r^* \Gamma$  and  $C^* \Gamma$ . Moreover, we have  $\|M_f\| \leq \|f\|_\infty$  as a cp multiplier on either of  $C_r^* \Gamma, C^* \Gamma$ .

**6.2. Amenability for discrete groups.** The following is the main result of this section.

**Theorem 6.2.1.** *The following are equivalent for a countable discrete group  $\Gamma$ . If any/all are satisfied, we call  $\Gamma$  amenable.*

- (A1) *There is a state  $m \in (\ell^\infty \Gamma)^*$  such that  $m(g \cdot f) = m(f)$  for all  $g \in \Gamma$ , where  $(g \cdot f)(h) := f(g^{-1}h)$ .*
- (A2)  *$\Gamma$  has a left invariant mean, i.e., there is a finitely additive (left)  $\Gamma$ -invariant probability measure on  $2^\Gamma$ , the power set of  $\Gamma$ .*
- (A3)  *$\Gamma$  has an approximate invariant mean, i.e., for every finite  $F \subset \Gamma$  and  $\varepsilon > 0$ , there is a*

$$\mu \in \text{Prob}(\Gamma) := \left\{ \mu \in \ell^1 \Gamma \mid \mu \geq 0 \text{ and } \sum_g \mu(g) = 1 \right\}$$

*such that  $\max_{g \in F} \|g \cdot \mu - \mu\| < \varepsilon$ , where  $(g \cdot \mu)(A) := \mu(g^{-1}A)$ .*

- (A4) *(Følner sequence) there is a sequence of finite subsets  $\emptyset \neq F_n \subset \Gamma$  with  $\Gamma = \bigcup F_n$  such that*

$$\frac{|gF_n \Delta F_n|}{|F_n|} \rightarrow 0 \quad \forall g \in \Gamma.$$

*Here,  $\Delta$  denotes the symmetric difference of sets.*

- (A5) *The left regular representation  $\lambda : \Gamma \rightarrow U(\ell^2 \Gamma)$  has almost invariant vectors, i.e., for every finite  $F \subset \Gamma$  and  $\varepsilon > 0$ , there is a  $\xi \in \ell^2 \Gamma$  such that  $\|\lambda_g \xi - \xi\| < \varepsilon \|\xi\|$  for all  $g \in F$ .*
- (A6) *The trivial representation is weakly contained in the left regular representation, i.e., there is sequence of unit vectors  $(\xi_n) \subset \ell^2 \Gamma$  such that  $\|\lambda_g \xi_n - \xi_n\| \rightarrow 0$  for all  $g \in \Gamma$ .*
- (A7) *There is a sequence  $(f_n)$  of finitely supported positive definite functions on  $\Gamma$  such that  $f_n \rightarrow 1$  pointwise.*
- (A8)  $C_r^* \Gamma \cong C^* \Gamma$
- (A9) *There is a 1-dimensional representation of  $C_r^* \Gamma$ .*
- (A10) *(Kesten Criterion) For all finite  $F \subset \Gamma$ ,*

$$\left\| \frac{1}{|F|} \sum_{g \in F} \lambda_g \right\|_{B(\ell^2 \Gamma)} = 1.$$

(A11) (*LΓ amenable*) There is a conditional expectation  $E : B(\ell^2\Gamma) \rightarrow L\Gamma$ .

(A12) (*Hypertrace*) There is a state  $\varphi \in B(\ell^2\Gamma)^*$  such that

- $\varphi(x\lambda_g) = \varphi(\lambda_g x)$  for all  $g \in \Gamma$  and  $x \in B(\ell^2\Gamma)$ , and
- $\varphi|_{L\Gamma} = \text{tr}_{L\Gamma}$  (recall that  $\text{tr}_{L\Gamma} = \omega_{\delta_e} = \langle \cdot, \delta_e \rangle$ ).

**Non-example 6.2.2.** The free group  $\mathbb{F}_n$  for  $n \geq 2$  is not amenable. For  $n = 2$ , suppose  $\mathbb{F}_2 = \langle a, b \rangle$ . For  $x \in \{a, b, a^{-1}, b^{-1}\}$ , let  $W_x$  be the set of reduced words starting with  $x$ , so that  $\mathbb{F}_2$  can be written as a disjoint union

$$\mathbb{F}_2 = \{e\} \sqcup W_a \sqcup W_b \sqcup W_{a^{-1}} \sqcup W_{b^{-1}}.$$

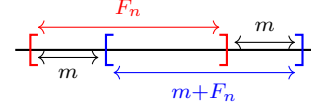
But since  $W_b \sqcup W_{a^{-1}} \sqcup W_{b^{-1}} \subset aW_{a^{-1}}$  and  $W_a \sqcup W_{a^{-1}} \sqcup W_{b^{-1}} \subset bW_{b^{-1}}$ , we also have

$$W_a \sqcup aW_{a^{-1}} = \mathbb{F}_2 = W_b \sqcup bW_{b^{-1}}$$

so that  $\mathbb{F}_2$  has no invariant mean.

**Example 6.2.3.** Finite groups are amenable.

**Example 6.2.4.** The sets  $F_n := [-n, n]$  give a Følner sequence for  $\mathbb{Z}$ . Indeed, for all  $m \in \mathbb{Z}$ , eventually  $n \geq m$ , for which

$$\frac{|(m + F_n) \Delta F_n|}{|F_n|} = \frac{2m}{2n + 1} \xrightarrow{n \rightarrow \infty} 0.$$


**Example 6.2.5.** A discrete countable group  $\Gamma$  is called *locally finite* if  $\Gamma = \varinjlim \Gamma_n$  where each  $\Gamma_n$  is finite, i.e., every finite subset  $F \subset \Gamma$  is contained in a finite subgroup. Let  $m_n$  be the uniform measure on  $\Gamma_n$  and let  $\omega$  be a non-principal/free ultrafilter on  $\mathbb{N}$ , i.e.,  $\omega \in \beta\mathbb{N} \setminus \mathbb{N}$ . For  $f \in \ell^\infty\Gamma$ , we define

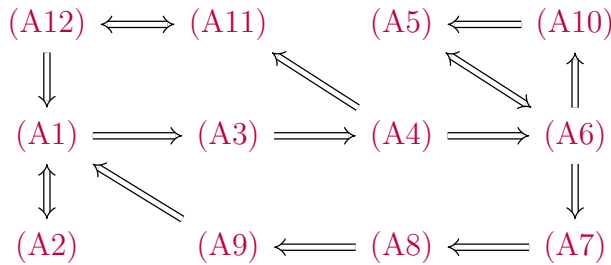
$$m(f) := \lim_{\omega} m_n(f|_{\Gamma_n}),$$

and one checks  $m(g \cdot f) = m(f)$  for all  $g \in \Gamma$ .

**Example 6.2.6.** The class of amenable groups is closed under products, extensions, subgroups, quotients, and direct limits.

**Example 6.2.7.** Combining Examples 6.2.3, 6.2.4, and 6.2.6, all abelian groups are amenable. Indeed, every group is the direct limit of its finitely generated subgroups.

We now prove the following implications:



(A1)  $\implies$  (A2). If  $m \in (\ell^\infty\Gamma)^*$  is a left  $\Gamma$ -invariant state, define  $\mu : 2^\Gamma \rightarrow [0, 1]$  by  $\mu(A) := m(\chi_A)$ . □

(A2)⇒(A1). If  $\mu : 2^\Gamma \rightarrow [0, 1]$  is a left  $\Gamma$ -invariant mean, define  $m(f) := \int f d\mu$ , which is a left  $\Gamma$ -invariant state on  $\ell^\infty\Gamma$ . Here,  $\int f d\mu$  is defined in the usual way, first for positive functions as a sup over simple  $0 \leq \phi \leq f$ , and then extending to all bounded functions.  $\square$

**Exercise 6.2.8.** Prove (A1)⇒(A3) (originally due to Day) and (A3)⇒(A4) (originally due to Namioka).

**Exercise 6.2.9.** Show (A5)⇔(A6).

(A4)⇒(A6). Suppose  $(F_n)$  is a Følner sequence for  $\Gamma$ . Consider the unit vectors  $\xi_n := |F_n|^{-1/2}\chi_{F_n} \in \ell^2\Gamma$ . For all  $g \in \Gamma$ ,

$$\begin{aligned} \|\lambda_g\xi_n - \xi_n\|_2^2 &= \sum_h |\xi_n(g^{-1}h) - \xi_n(h)|^2 \\ &= \frac{1}{|F_n|} \sum_h |\chi_{F_n}(g^{-1}h) - \chi_{F_n}(h)|^2 \\ &= \frac{gF_n \Delta F_n}{|F_n|} \xrightarrow{n \rightarrow \infty} 0. \end{aligned} \quad \square$$

(A6)⇒(A7). Let  $(\xi_n) \subset \ell^2\Gamma$  be a sequence of unit vectors such that  $\|\lambda_g\xi_n - \xi_n\| \rightarrow 0$  for all  $g \in \Gamma$ . For  $n \in \mathbb{N}$ , define  $\varphi_n(g) := \langle \lambda_g\xi_n, \xi_n \rangle$ , which is positive definite by Theorem 6.1.5. Moreover, for all  $g \in \Gamma$ ,

$$|\varphi_n(g) - 1| = |\langle \lambda_g\xi_n, \xi_n \rangle - \langle \xi_n, \xi_n \rangle| = |\langle \lambda_g\xi_n - \xi_n, \xi_n \rangle| \leq \|\lambda_g\xi_n - \xi_n\| \xrightarrow{n \rightarrow \infty} 0.$$

We can inductively construct finite subsets  $E_n \subset \Gamma$  with  $E_n \subseteq E_{n+1}$  and  $\bigcup E_n = \Gamma$  such that  $\|\eta_n - \xi_n\| < 2^{-n}$ , where  $\eta_n := \xi_n|_{E_n}$ . Setting  $f_n(g) := \langle \lambda_g\eta_n, \eta_n \rangle$ , we have  $f_n$  is positive definite, finitely supported, and for all  $g \in \Gamma$ ,

$$\begin{aligned} |\varphi_n(g) - f_n(g)| &= |\langle \lambda_g\xi_n, \xi_n \rangle - \langle \lambda_g\eta_n, \eta_n \rangle| = |\langle \lambda_g\xi_n, \xi_n - \eta_n \rangle - \langle \lambda_g(\eta_n - \xi_n), \eta_n \rangle| \\ &\leq 2\|\xi_n - \eta_n\| = 2^{1-n} \xrightarrow{n \rightarrow \infty} 0. \end{aligned} \quad \square$$

**Definition 6.2.10** (Banach limits in  $B(H)$ ). Let  $\text{Lim}$  denote any positive extension of  $\lim_{n \rightarrow \infty}$  from  $c$  to  $\ell^\infty$  obtained from Hahn-Banach. If  $(x_n) \subset B(H)$  is a norm-bounded sequence, define  $\text{Lim } x_n$  by  $\langle \text{Lim } x_n \eta, \xi \rangle := \text{Lim} \langle x_n \eta, \xi \rangle$ . Observe  $\text{Lim } x_n$  lies in the WOT-closure of  $\text{Conv}\{x_n\}$ , so if  $(x_n) \subset M \subseteq B(H)$  for some von Neumann algebra, then  $\text{Lim } x_n \in M$ . Moreover, if  $x_n \geq 0$  for all  $n$ , then  $\text{Lim } x_n \geq 0$  also.

Now suppose  $\Phi_n : M \rightarrow M$  is a sequence of ucp maps. Then map  $(\text{Lim } \Phi_n)(x) := \text{Lim } \Phi_n(x)$  is manifestly ucp. Indeed, if  $(x_{ij}) \in M_n(M)_+$ , then for all  $\xi_1, \dots, \xi_n \in H$ ,

$$\left\langle [(\text{Lim } \Phi_n)(x_{ij})] \begin{bmatrix} \xi_1 \\ \vdots \\ \xi_n \end{bmatrix}, \begin{bmatrix} \xi_1 \\ \vdots \\ \xi_n \end{bmatrix} \right\rangle = \text{Lim} \left\langle [\Phi_n(x_{ij})] \begin{bmatrix} \xi_1 \\ \vdots \\ \xi_n \end{bmatrix}, \begin{bmatrix} \xi_1 \\ \vdots \\ \xi_n \end{bmatrix} \right\rangle \geq 0.$$

(A4) $\Rightarrow$ (A11). Given a Følner sequence  $(F_n)$ , define  $\Phi_n := \frac{1}{|F_n|} \sum_{g \in F_n} \rho_g x \rho_g^*$  where  $\rho : \Gamma \rightarrow B(\ell^2 \Gamma)$  is the right regular representation. Setting  $E := \text{Lim } \Phi_n$ , we see  $E(x) \in R\Gamma' = L\Gamma$  as

$$\left\| \rho_h \left( \frac{1}{|F_n|} \sum_{g \in F_n} \rho_g x \rho_g^* \right) \rho_h^* - \frac{1}{|F_n|} \sum_{g \in F_n} \rho_g x \rho_g^* \right\| \leq \frac{|hF_n| \Delta |F_n|}{|F_n|} \cdot \|x\| \xrightarrow{n \rightarrow \infty} 0.$$

Since each  $\Phi_n$  is cp and preserves  $L\Gamma$ ,  $E$  is cp and preserves  $L\Gamma$ .  $\square$

(A11) $\Rightarrow$ (A12). Immediate from the more general Theorem 6.2.11 below.  $\square$

**Theorem 6.2.11.** *Suppose  $M \subset B(H)$  is a von Neumann algebra with normal faithful tracial state  $\text{tr}$ . The following are equivalent:*

- *There is a conditional expectation  $E : B(H) \rightarrow M$ , i.e., a unital completely positive map  $B(H) \rightarrow M$  which is  $M$ -bimodular.*
- *There is a hypertrace for  $M$ , i.e., there is a state  $\varphi \in B(H)^*$  such that  $\varphi(xm) = \varphi(mx)$  for all  $x \in B(H)$  and  $m \in M$  and  $\varphi|_M = \text{tr}_M$ .*

*Proof.*

$\Rightarrow$ : Set  $\varphi := \text{tr}_M \circ E$ . Then for all  $x \in B(H)$  and  $m \in M$ ,

$$\varphi(xm) = \text{tr}_M(E(xm)) = \text{tr}_M(E(x)m) = \text{tr}_M(mE(x)) = \text{tr}_M(E(mx)) = \varphi(mx).$$

Since  $E(1) = 1$ , it also follows that  $\varphi(m) = \text{tr}_M(m)$ .

$\Leftarrow$ : For  $x \in B(H)$ , define  $\psi_x$  on  $M$  by  $\psi_x(m) := \varphi(mx)$ .

**Claim.** *When  $x \geq 0$ ,  $\psi_x$  is a state on  $M$  such that  $0 \leq \psi_x \leq \|x\| \cdot \text{tr}_M = \|x\| \cdot \omega_{\Omega_M}$ .*

*Proof of claim.* For  $m \in M_+$ , observe that

$$\begin{aligned} \psi_x(m) &= \varphi(m^{1/2} x m^{1/2}) \\ &= |\langle x m^{1/2} \Omega, m^{1/2} \Omega \rangle_\varphi| \\ &\stackrel{\text{(CS)}}{\leq} |\langle x m^{1/2} \Omega, x m^{1/2} \Omega \rangle_\varphi|^{1/2} \cdot |\langle m^{1/2} \Omega, m^{1/2} \Omega \rangle_\varphi|^{1/2} \\ &= \varphi(m^{1/2} x^2 m^{1/2})^{1/2} \varphi(m)^{1/2} \\ &\leq \|x\| \cdot \varphi(m). \end{aligned}$$

Since  $\varphi|_M = \text{tr}_M$ ,  $\psi_x(m) \leq \|x\| \text{tr}(m)$  for all  $x \in B(H)_+$  and  $m \in M_+$ .  $\square$

**Claim.** *When  $x \geq 0$ ,  $\psi_x$  is normal.*

*Proof of claim.* If  $(m_i) \subset M_+$  such that  $m_i \nearrow m$ , then

$$\psi_x(m - m_i) \leq \|x\| \cdot \text{tr}(m - m_i) \searrow 0. \quad \square$$

**Claim.** *For each  $x \in B(H)_+$ , there is a unique  $E(x) \in M_+$  such that  $\psi_x(m) = \text{tr}_M(mE(x))$  for all  $m \in M$ .*

*Proof of claim.*

Uniqueness: If  $y, z \in M$  such that  $\text{tr}_M(my) = \text{tr}_M(mz)$  for all  $m \in M$ , then

$$\langle y\Omega, m^*\Omega \rangle_{L^2M} = \langle z\Omega, m^*\Omega \rangle_{L^2M} \quad \forall m \in M.$$

It follows that  $y\Omega = z\Omega$ , which implies  $y = z$  as  $\Omega$  is separating.

Existence: First, suppose  $x \geq 0$ . Since  $0 \leq \psi_x \leq \|x\| \text{tr}_M = \|x\| \omega_{\Omega_M}$ , there is a unique  $x' \in M'$  with  $0 \leq x' \leq \|x\|$  such that

$$\psi_x(m) = \langle mx'\Omega_M, \Omega_M \rangle_{L^2M} \quad \forall m \in M.$$

Since  $M' = JMJ$ , there is a unique  $E(x) \in M_+$  such that  $x' = JE(x)J$ , and thus

$$\psi_x(m) = \langle mJE(x)J\Omega_M, \Omega_M \rangle_{L^2M} = \langle mE(x)\Omega_M, \Omega_M \rangle_{L^2M} = \text{tr}_M(mE(x)) \quad \forall m \in M. \quad \square$$

**Claim.** *The right action of  $M$  on  $L^2(B(H), \varphi)$  given by  $x\Omega_\varphi \mapsto xm\Omega_\varphi$  is bounded.*

*Proof.* For all  $x \in B(H)$ ,

$$\begin{aligned} \|xm\Omega_\varphi\|_\varphi^2 &= \varphi(m^*x^*xm) = \varphi(mm^*x^*x) = \text{tr}_M(mm^*E(x^*x)) \\ &= \text{tr}_M(E(x^*x)^{1/2}mm^*E(x^*x)^{1/2}) \leq \|mm^*\| \cdot \text{tr}_M(E(x^*x)) \\ &= \|mm^*\| \cdot \varphi(x^*x) = \|m\|^2 \cdot \|x\Omega_\varphi\|_\varphi^2. \end{aligned} \quad \square$$

We now mimic the proof of Stinespring's Theorem. Observe that the map  $v : L^2M \rightarrow L^2(B(H), \varphi)$  given by  $m\Omega_M \mapsto m\Omega_\varphi$  is an  $M - M$  bilinear isometry. It follows immediately that  $E(x) := v^*xv \in B(L^2M)$  commutes with the right  $M$ -action and thus lies in  $M$ , thus giving our  $M - M$  bimodular ucp map. It remains to prove that our new definition of  $E(x)$  agrees with our old definition, i.e.,  $\text{tr}_M(mv^*xv) = \varphi(mx)$  for all  $m \in M$ :

$$\text{tr}_M(mv^*xv) = \langle v^*mxv\Omega_M, \Omega_M \rangle_{L^2M} = \langle mx\Omega_\varphi, \Omega_\varphi \rangle_\varphi = \varphi(mx). \quad \square$$

(A12)  $\Rightarrow$  (A1). Recall  $\ell^\infty\Gamma \hookrightarrow B(\ell^2\Gamma)$  by  $(f\xi)(g) := f(g)\xi(g)$ . Observe that if  $f \in \ell^\infty\Gamma$  and  $g \in \Gamma$ , then

$$(\lambda_g f \lambda_g^* \xi)(h) = (f \lambda_{g^{-1}} \xi)(g^{-1}h) = f(g^{-1}h) (\lambda_{g^{-1}} \xi)(g^{-1}h) = f(g^{-1}h) \xi(h) = ((g \cdot f) \xi)(h).$$

Restricting the  $L\Gamma$ -hypertrace  $\varphi$  to  $\ell^\infty\Gamma \subset L\Gamma$ , we have

$$\varphi(g \cdot f) = \varphi(\lambda_g f \lambda_g^*) = \varphi(f),$$

so  $\varphi$  yields a  $\Gamma$ -invariant state on  $\ell^\infty\Gamma$ .  $\square$

(A7)  $\Rightarrow$  (A8). First, note that  $\|\lambda_x\| \leq \|x\|_u$  for all  $x \in C_r^*\Gamma$ , and thus  $\lambda : C\Gamma \rightarrow B(\ell^2\Gamma)$  extends to a surjective unital  $*$ -homomorphism  $\tilde{\lambda} : C^*\Gamma \rightarrow C_r^*\Gamma \subset B(\ell^2\Gamma)$ . We must show  $\tilde{\lambda}$  is injective.

Suppose  $(f_n)$  is a sequence of finitely supported positive definite functions on  $\Gamma$  which converges to 1 pointwise. By Remark 6.1.9, we get cp multipliers  $M_n, M_{n,r}$  on  $C^*\Gamma, C_r^*\Gamma$  respectively. To prove  $\tilde{\lambda}$  is injective, we will use the following two facts.

- (1)  $\tilde{\lambda} \circ M_n = M_{n,r}\tilde{\lambda}$  on  $C^*\Gamma$ , since both are continuous with respect to  $\|\cdot\|_u$  and they agree on the dense subspace  $\mathbb{C}\Gamma$ .
- (2) Since  $f_n \rightarrow 1$  pointwise,  $M_n x \rightarrow x$  for  $x \in \mathbb{C}\Gamma$ . Since  $\|f_n\|_\infty$  are uniformly bounded by  $\sup f_n(e)$  as  $f_n(e) \rightarrow 1$ ,  $M_n x \rightarrow x$  for all  $x \in C^*\Gamma$  by density of  $\mathbb{C}\Gamma$  in  $C^*\Gamma$  by a standard  $\varepsilon/3$  argument.

Suppose  $x \in C^*\Gamma$  such that  $\tilde{\lambda}(x) = 0$ . Then by (1) above,

$$\tilde{\lambda}(M_n x) = M_{n,r}\tilde{\lambda}(x) = 0 \quad \forall n \in \mathbb{N}.$$

But since  $f_n$  is finitely supported,  $M_n x \in \mathbb{C}\Gamma$  for all  $n$ , and thus  $\tilde{\lambda}(M_n x) = 0$  implies  $M_n x = 0$ . Thus  $x = \lim M_n x = 0$  by (2).  $\square$

**(A8)  $\Rightarrow$  (A9)**. Note that  $C^*\Gamma$  has a 1-dimensional representation as the trivial representation  $\mathbb{C}\Gamma \rightarrow \mathbb{C}$  by  $\sum x_g g \mapsto \sum x_g$  on  $\mathbb{C}$  is subordinate to  $\|\cdot\|_u$ .  $\square$

**Lemma 6.2.12.** *Let  $A$  be a unital  $C^*$ -algebra. Suppose  $\varphi \in A^*$  is a state and  $a \in A$  such that  $\varphi(a^*a) = |\varphi(a)|^2$ . Then for all  $b \in A$ ,  $\varphi(a)\varphi(b) = \varphi(ba)$ .*

*Proof.* Let  $(H_\varphi, \pi_\varphi, \Omega_\varphi)$  be the cyclic GNS representation of  $A$  with respect to  $\varphi$ . Note that

$$\|\pi_\varphi(a)\Omega_\varphi\|^2 = \varphi(a^*a) = |\varphi(a)|^2 = |\langle \pi_\varphi(a)\Omega_\varphi, \Omega_\varphi \rangle|^2 \underset{\text{(CS)}}{\leq} \|\pi_\varphi(a)\Omega_\varphi\|^2,$$

and thus the Cauchy-Schwarz inequality above is an equality. Thus there is an  $\alpha \in \mathbb{C}$  such that

$$\pi_\varphi(a)\Omega_\varphi = \alpha\Omega_\varphi.$$

It follows immediately that

$$\varphi(ba) = \langle \pi_\varphi(b)\pi_\varphi(a)\Omega_\varphi, \Omega_\varphi \rangle = \alpha \langle \pi_\varphi(b)\Omega_\varphi, \Omega_\varphi \rangle = \varphi(a)\varphi(b). \quad \square$$

**(A9)  $\Rightarrow$  (A1)**. Let  $\phi : C_r^*\Gamma \rightarrow \mathbb{C}$  be a 1-dimensional representation. Then  $\phi$  is a state, and we can extend  $\phi$  to a state  $\varphi \in B(\ell^2\Gamma)^*$  by Hahn-Banach. Note that for every  $g \in \Gamma$ ,

$$\varphi(\lambda_g \lambda_g^*) = \varphi(\lambda_g^* \lambda_g) = \varphi(1) = 1 = |\varphi(\lambda_g)|^2.$$

Then for all  $f \in \ell^\infty\Gamma$ ,  $g \cdot f = \lambda_g f \lambda_g^*$ , and thus by Lemma 6.2.12,

$$\varphi(g \cdot f) = \varphi(\lambda_g f \lambda_g^*) = \varphi(\lambda_g)\varphi(f)\varphi(\lambda_g^*) = \varphi(f)$$

and thus  $\varphi$  restricts to a  $\Gamma$ -invariant state on  $\ell^\infty\Gamma$ .  $\square$



(A6)  $\Rightarrow$  (A10). Let  $(\xi_n) \subset \ell^2\Gamma$  be a sequence of almost invariant vectors. Then for every finite  $F \subset \Gamma$ ,

$$1 = \lim_n \left\| \frac{1}{|F|} \sum_{g \in F} \xi_n \right\|_2 = \lim_n \left\| \frac{1}{|F|} \sum_{g \in F} \lambda_g \xi_n \right\|_2 \leq \left\| \frac{1}{|F|} \sum_{g \in F} \lambda_g \right\| \leq 1. \quad \square$$

(A10)  $\Rightarrow$  (A5). Let  $F \subset \Gamma$  be finite such that  $F = F^{-1}$ . Then  $x = \frac{1}{|F|} \sum_{g \in F} \lambda_g$  is self-adjoint and has operator norm equal to 1. Let  $\varepsilon > 0$ . There is a  $\xi \in \ell^2\Gamma$  such that  $|\langle x\xi, \xi \rangle| > 1 - \varepsilon'$ , where  $\varepsilon' > 0$  is to be determined in terms of  $\varepsilon$  and  $|F|$ . Let  $|\xi| \in \ell^2\Gamma$  be the pointwise absolute value of  $\xi$ :  $|\xi|(g) := |\xi(g)|$ . We calculate

$$\begin{aligned} 1 - \varepsilon' &< |\langle x\xi, \xi \rangle| = \left| \sum_{g \in \Gamma} (x\xi)(g) \overline{\xi(g)} \right| \\ &\leq \sum_{g \in \Gamma} |(x\xi)(g)| \cdot |\xi(g)| \leq \sum_{g \in \Gamma} (x|\xi|)(g) \cdot |\xi|(g) \\ &= \langle x|\xi|, |\xi| \rangle = \frac{1}{|F|} \sum_{g \in F} \underbrace{\langle \lambda_g |\xi|, |\xi| \rangle}_{\leq 1 \forall g \in F}. \end{aligned}$$

Thus for all  $g \in F$ ,  $\langle \lambda_g |\xi|, |\xi| \rangle > 1 - |F|\varepsilon'$ , and we have

$$\begin{aligned} \|\lambda_g |\xi| - |\xi|\|^2 &= \|\lambda_g |\xi|\|^2 + \|\xi\|^2 - \langle \lambda_g |\xi|, |\xi| \rangle - \langle \lambda_{g^{-1}} |\xi|, |\xi| \rangle \\ &= 1 - \langle \lambda_g |\xi|, |\xi| \rangle + 1 - \langle \lambda_{g^{-1}} |\xi|, |\xi| \rangle \\ &< 2|F|\varepsilon' < \varepsilon^2 \end{aligned}$$

whenever  $\varepsilon' < \min\{\frac{\varepsilon^2}{2|F|}, \frac{1}{|F|}\}$ . □

### 6.3. Amenability for von Neumann algebras. **TODO:**

### 6.4. The Haagerup property for discrete groups and tracial von Neumann algebras.

For this section,  $\Gamma$  is a discrete countable group.

**Definition 6.4.1.** We say  $\Gamma$  has the *Haagerup property* if

[HP] there is a sequence  $(\varphi_n)$  of positive definite  $c_0$  functions on  $\Gamma$  such that  $\varphi_n \rightarrow 1$  pointwise.

#### Example 6.4.2.

- (1) All amenable groups have [HP], as finitely supported implies  $c_0$ .
- (2) Free groups  $\mathbb{F}_n$  with  $n \geq 2$  have [HP]. We will prove this once we have a second equivalent characterization of [HP].
- (3)  $SL(2, \mathbb{Z}) = \mathbb{Z}/4 *_{\mathbb{Z}/2} \mathbb{Z}/6 \supset \mathbb{F}_2$  as an index 12 subgroup.
- (4)  $PSL(2, \mathbb{Z}) = \mathbb{Z}/2 * \mathbb{Z}/3$
- (5) Groups which act on trees (e.g.  $\mathbb{F}_n$  acting on its Cayley graph)
- (6) Coxeter groups  $\langle g_1, \dots, g_n | (g_i g_j)^{m_{ij}} \rangle$  where  $m_{ii} = 1$ ,  $m_{ij} \geq 2$   $i \neq j$ . Here,  $m_{ij} = \infty$  is ok, which means there is no relation of this form.

- (7) The class of groups with [HP] is closed under taking subgroups, direct products, free products.
- (8) If  $H$  has [HP] and  $H \leq G$  with finite index, then  $G$  has [HP].

**Definition 6.4.3.** A *cocycle* of  $\Gamma$  is a triple  $(H, \pi, \beta)$  where  $(H, \pi)$  is a unitary representation and  $\beta : \Gamma \rightarrow H$  such that

$$\beta(hg) = \beta(h) + \pi_h \beta(g) \quad \forall g, h \in \Gamma.$$

A cocycle is called *inner* if there is a  $\xi \in H$  such that  $\beta(g) = \xi - \pi_g \xi$  for all  $g \in \Gamma$ .

**Facts 6.4.4.** We have the following facts about cocycles.

- ( $\beta 1$ )  $\beta(e) = \beta(e^2) = \beta(e) + \pi_e \beta(e) = 2\beta(e)$ , so  $\beta(e) = 0$ .
- ( $\beta 2$ )  $0 = \beta(e) = \beta(g^{-1}g) = \beta(g^{-1}) + \pi_{g^{-1}} \beta(g)$ , so  $\beta(g^{-1}) = -\pi_{g^{-1}} \beta(g)$ .
- ( $\beta 3$ )  $\|\beta(g^{-1}h)\| = \|\beta(g^{-1}) + \pi_{g^{-1}} \beta(h)\| = \|-\pi_{g^{-1}} \beta(g) + \pi_{g^{-1}} \beta(h)\| = \|\beta(g) - \beta(h)\|$ .

The motivation for these cocycles is as follows. Let

$$\begin{aligned} \text{Aff}(H) &:= \{\text{affine invertible transformations of } H\} \\ &= \{\xi \mapsto u\xi + \eta \mid \eta \in H, u \in U(H)\} \end{aligned}$$

Observe that  $\text{Aff}(H)$  is a group under composition:

$$\xi \mapsto u_2\xi + \eta_2 \mapsto u_1(u_2\xi + \eta_2) + \eta_1 = u_1u_2\xi + (u_1\eta_2 + \eta_1).$$

Thus we may identify  $\text{Aff}(H) = H \rtimes U(H)$  with multiplication  $(u_1, \eta_1) \cdot (\eta_2, u_2) := (\eta_1 + u_1\eta_2, u_1u_2)$ .

**Definition 6.4.5.** An *affine isometric action* of  $\Gamma$  on  $H$  is a group homomorphism  $\alpha : \Gamma \rightarrow \text{Aff}(H)$ .

**Example 6.4.6.** Given a cocycle  $(H, \pi, \beta)$ , we get an affine isometric action by

$$\alpha_g \xi := \pi_g \xi + \beta(g).$$

The cocycle condition implies  $\alpha_g \alpha_h = \alpha_{gh}$ :

$$\alpha_g \alpha_h \xi = \alpha_g(\pi_h \xi + \beta(h)) = \pi_g(\pi_h \xi + \beta(h)) + \beta(g) = \pi_{gh} \xi + \underbrace{\pi_g \beta(h) + \beta(g)}_{\beta(hg)} = \alpha_{gh} \xi.$$

Conversely, observe that an affine isometric action  $\alpha : \Gamma \rightarrow \text{Aff}(H)$  gives a unitary representation  $\pi : \Gamma \rightarrow U(H)$  by the quotient map:

$$\pi : \Gamma \xrightarrow{\alpha} \text{Aff}(H) = H \rtimes U(H) \twoheadrightarrow U(H)$$

Observe that there is a unique  $\beta(g) \in H$  such that  $\alpha_g = (\beta(g), \pi_g) \in \text{Aff}(H)$ , i.e.,  $\alpha_g \xi = \pi_g \xi + \beta(g)$  for all  $\xi \in H$  and  $g \in \Gamma$ . This  $\beta$  is a cocycle:

$$\beta(h) + \pi_h \beta(g) = \pi_h(\alpha_g \xi) - \alpha_h(\alpha_g \xi) + \pi_h(\pi_g \xi - \alpha_g \xi) = \pi_{hg} \xi - \alpha_{hg} \xi = \beta(hg).$$

**Exercise 6.4.7.** Let  $X$  be a uniformly convex Banach space and  $B \subset X$  a bounded set. Then

$$\inf_{x \in X} \sup_{b \in B} \|x - b\|$$

is attained at a unique  $x \in X$ .

**Lemma 6.4.8.** *A cocycle  $(H, \pi, \beta)$  is inner if and only if it is bounded.*

*Proof.*

$\Rightarrow$ : If  $(H, \pi, \beta)$  is inner with  $\beta(g) = \xi - \pi_g \xi$ , then

$$\|\beta(g)\| = \|\xi - \pi_g \xi\| \leq 2\|\xi\| \quad \forall g \in \Gamma.$$

$\Leftarrow$ : Consider the affine action of  $\Gamma$  on  $H$  associated to  $(\pi, \beta)$ . If  $\beta$  is bounded, then the orbit  $\Gamma \cdot 0_H$  is bounded as

$$\alpha_g 0_H = \pi_g 0_H + \beta(g) = \beta(g).$$

By Exercise 6.4.7, there is a unique  $\xi \in H$  minimizing  $\sup_{g \in \Gamma} \|\beta(g) - \xi\|$ . We claim that  $\beta(g) = \xi - \pi_g \xi$  for all  $g \in \Gamma$ . Indeed, for every  $\eta \in \Gamma \cdot 0_H$  and  $g \in \Gamma$ ,

$$\|\alpha_g \xi - \underbrace{\alpha_g \eta}_{\in \Gamma \cdot 0_H}\| = \|\pi_g(\xi - \eta)\| = \|\xi - \eta\|,$$

so by uniqueness in Exercise 6.4.7,  $\alpha_g \xi = \xi$  for all  $g \in \Gamma$ . Hence

$$\xi = \alpha_g \xi = \pi_g \xi + \beta(g) \quad \Longleftrightarrow \quad \beta(g) = \xi - \pi_g \xi$$

for all  $g \in \Gamma$ . □

**Definition 6.4.9.** A function  $f : X \rightarrow Y$  between topological spaces is called *proper* if whenever  $K \subset Y$  is compact,  $f^{-1}K \subset X$  is compact. An affine action  $\alpha : \Gamma \rightarrow \text{Aff}(H)$  is called *proper* if the map  $\Gamma \times H \rightarrow H \times H$  given by  $(g, \xi) \mapsto (g\xi, \xi)$  is proper.

A cocycle  $\beta : \Gamma \rightarrow H$  is called *proper* if  $g \mapsto \|\beta(g)\|$  is proper, i.e., for all  $N \in \mathbb{N}$ ,  $\{g \in \Gamma \mid \|\beta(g)\| < N\}$  is finite.

**Exercise 6.4.10.** Show that an affine action  $\alpha = (H, \pi, \beta)$  is proper if and only if  $\beta$  is proper.

**Exercise 6.4.11.** Suppose  $a, b \in M_n(\mathbb{C}) \geq 0$ . Prove that the *Schur product*  $a * b \in M_n(\mathbb{C})$  is also positive, where  $(a * b)_{ij} := a_{ij}b_{ij}$ .

Deduce that if  $a \geq 0$ , then the pointwise exponential  $[\exp(a_{ij})] \geq 0$ .

**Proposition 6.4.12** (Schoenberg). *If  $\beta : \Gamma \rightarrow H$  is a cocycle, then for all  $r > 0$ ,  $f_r(g) := \exp(-r\|\beta(g)\|^2)$  is positive definite, and  $f_r \rightarrow 1$  pointwise as  $r \searrow 0$ . Moreover,*

- $f_r \in c_0\Gamma$  if and only if  $\beta$  is proper, and
- $f_r \rightarrow 1$  uniformly as  $r \searrow 0$  if and only if  $\beta$  is bounded.

*Proof.* By scaling  $\beta$  linearly, we may assume  $r = 1$ , and we write  $f = f_1$ . Note that

$$\begin{aligned} f(g^{-1}h) &\stackrel{(\beta 3)}{=} \exp(-\|\beta(g) - \beta(h)\|^2) \\ &= \exp(-\|\beta(g)\|^2) \cdot \exp(-\|\beta(h)\|^2) \cdot \exp(2 \operatorname{Re}\langle \beta(g), \beta(h) \rangle). \end{aligned}$$

Fix  $g_1, \dots, g_n \in \Gamma$ . First, note that  $[\exp(-\|\beta(g_i)\|^2) \cdot \exp(-\|\beta(g_j)\|^2)] \geq 0$  as it equals

$$\begin{bmatrix} \exp(-\|\beta(g_1)\|^2) \\ \vdots \\ \exp(-\|\beta(g_n)\|^2) \end{bmatrix} \cdot [\exp(-\|\beta(g_1)\|^2) \quad \cdots \quad \exp(-\|\beta(g_n)\|^2)].$$

Second, we show that  $[\exp(2 \operatorname{Re}\langle \beta(g_i), \beta(g_j) \rangle)] \geq 0$  by the following steps.

Step 1:  $[\langle \beta(g_i), \beta(g_j) \rangle] \geq 0$ . Indeed, each  $\xi \in H$  can be viewed as a bounded linear map  $\overline{|\xi\rangle} : \mathbb{C} \rightarrow H$  by  $1 \mapsto \xi$ , and for all  $x = (x_i)_{i=1}^n \in \mathbb{C}^n$ ,

$$\sum_{i,j} x_i \langle \beta(g_i), \beta(g_j) \rangle \overline{x_j} = \left( \sum_{j=1}^n x_j |\beta(g_j)\rangle \right)^* \left( \sum_{i=1}^n x_i |\beta(g_i)\rangle \right) \geq 0.$$

Step 2: If  $a \in M_n(\mathbb{C})_+$ , then

$$\langle \overline{a}\xi, \xi \rangle_{\mathbb{C}^n} = \sum_{i,j=1}^n \overline{a_{ij}} \xi_j \overline{\xi_i} = \sum_{i,j=1}^n a_{ij} \overline{\xi_j} \xi_i = \overline{\langle a\xi, \xi \rangle_{\mathbb{C}^n}} \geq 0,$$

and thus  $\operatorname{Re}(a) = \frac{a + \overline{a}}{2} \geq 0$ .

Step 3: Since  $\exp(2 \operatorname{Re}\langle \beta(g), \beta(h) \rangle) = \sum_{n \geq 0} \frac{(2 \operatorname{Re}\langle \beta(g), \beta(h) \rangle)^n}{n!}$ , by Exercise 6.4.11,

$$[2 \operatorname{Re}\langle \beta(g_i), \beta(g_j) \rangle] \geq 0 \quad \implies \quad [\exp(2 \operatorname{Re}\langle \beta(g_i), \beta(g_j) \rangle)] \geq 0.$$

Finally, we see that the matrix in question is exactly the Schur product of two positive matrices, which is again positive by Exercise 6.4.11.

The final claims about the  $f_r$  are immediate.  $\square$

**Theorem 6.4.13.** *For a countable discrete group  $\Gamma$ , the following are equivalent:*

- (1)  $\Gamma$  has [HP].
- (2)  $\Gamma$  admits a proper cocycle.
- (3)  $\Gamma$  admits a proper affine isometric action on a Hilbert space.

*Proof.*

(1)  $\implies$  (2): Omitted.

(2)  $\Leftrightarrow$  (3): Immediate from Exercise 6.4.10 above.

(2)  $\implies$  (1): Suppose  $\beta : \Gamma \rightarrow H$  is a proper cocycle. Schoenberg's result 6.4.12 gives  $c_0$  positive definite functions  $f_{1/n}(g) := \exp(-\|\beta(g)\|^2/n)$  such that  $f_r \rightarrow 1$  pointwise as  $n \rightarrow \infty$ .  $\square$

**Theorem 6.4.14.** *If  $\Gamma$  acts faithfully on a tree  $T$  preserving the distance of vertices, then  $\Gamma$  has [HP].*

*Proof.* Let  $H$  denote  $\ell^2(\text{oriented edges of } T)$ , so that each edge appears twice with opposite orientations. For vertices  $u, v \in T$ , define:

- $d(u, v) :=$  the length of the geodesic  $[u, v]$  from  $u$  to  $v$  in  $T$ , and

- the *signed* characteristic function  $\chi_{[u,v]} \in H$  by

$$\chi_{[u,v]}(\varepsilon) := \begin{cases} 0 & \text{if } \varepsilon \notin [u, v] \\ 1 & \text{if } \varepsilon \in [u, v] \\ -1 & \text{if } \varepsilon \in [v, u] \end{cases}$$

We observe the following two important relations:

$$\chi_{[u,v]} + \chi_{[v,w]} = \chi_{[u,w]} \quad \forall \text{ vertices } u, v, w \in T \quad (6.4.15)$$

$$\|\chi_{[u,v]}\|^2 = 2d(u, v) \quad \forall \text{ vertices } u, v \in T. \quad (6.4.16)$$

The  $\Gamma$  action on  $T$  gives a unitary representation  $\pi : \Gamma \rightarrow B(H)$  by left translation such that

$$\pi_g \chi_{[u,v]} = \chi_{[gu,gv]} \quad \forall \text{ vertices } u, v \in T. \quad (6.4.17)$$

Now fix a vertex  $t_0 \in T$ , and define  $\beta : \Gamma \rightarrow H$  by  $\beta(g) = \chi_{[gt_0,t_0]}$ . For all  $g, h \in \Gamma$ ,

$$\begin{aligned} \beta(hg) &= \chi_{[hgt_0,t_0]} \\ &= \chi_{[hgt_0,gt_0]} + \chi_{[ht_0,t_0]} \end{aligned} \quad (6.4.15)$$

$$= \pi_h \chi_{[gt_0,t_0]} + \chi_{[ht_0,t_0]} \quad (6.4.17)$$

$$= \beta_h \beta(g) + \beta(h),$$

so  $\beta$  is a cocycle. By (6.4.16),  $\|\beta(g)\|^2 = 2d(gt_0, t_0) \rightarrow \infty$  as  $g \rightarrow \infty$ , so  $\beta$  is proper. Hence  $\Gamma$  has [HP] by Theorem 6.4.13.  $\square$

**Example 6.4.18.** The free group  $\mathbb{F}_n$  acts on its Cayley graph, which is a tree.

**Definition 6.4.19.** Let  $(M, \text{tr})$  be a tracial von Neumann algebra. We say  $(M, \text{tr})$  has the *Haagerup property* if there is a sequence  $(\varphi_n : M \rightarrow M)$  of normal trace-preserving cp maps such that:

- $\varphi_n \rightarrow \text{id}$  pointwise- $\|\cdot\|_2$ , and
- on  $L^2M$ ,  $\widehat{\varphi}_n(m\Omega) := \varphi_n(m)\Omega$  is compact as an operator in  $B(L^2M)$ .

This second condition is analogous to the  $c_0$  condition for  $\Gamma$ .

**Remark 6.4.20.** Suppose  $(\varphi_n)$  is a sequence of trace-preserving ucp maps on  $L\Gamma$ . If  $\varphi_n \rightarrow \text{id}_{L\Gamma}$  pointwise- $\|\cdot\|_2$ , then the positive definite functions  $f_n(g) := \text{tr}(\varphi_n(\lambda_g)\lambda_g^*)$  from Example 6.1.6 converge to 1 pointwise. Indeed,

$$\begin{aligned} |f_n(g) - 1| &= |\langle \varphi_n(\lambda_g), \lambda_g \rangle_{L^2(L\Gamma)} - \langle \lambda_g, \lambda_g \rangle_{L^2(L\Gamma)}| \\ &= |\langle (\widehat{\varphi}_n - 1)\delta_g, \delta_g \rangle_{\ell^2\Gamma}| \leq \|(\widehat{\varphi}_n - 1)\delta_g\|_{\ell^2\Gamma} \xrightarrow{n \rightarrow \infty} 0. \end{aligned}$$

**Lemma 6.4.21.** *If  $x \in K(H)$  and  $(e_i)$  is an ONB for  $H$ , then  $|\omega_{e_i}(x)| = |\langle xe_i, e_i \rangle| \rightarrow 0$  as  $i \rightarrow \infty$ .*

*Proof.* Since every  $x \in K(H)$  is a linear combination of 4 positive compact operators, we may assume  $x \geq 0$ . Let  $x = \sum s_n |f_n\rangle\langle f_n|$  be a Schmidt decomposition of  $x$  with  $s_n \searrow 0$  as  $n \rightarrow \infty$ . Let  $\varepsilon > 0$  and pick  $N > 0$  such that  $n \geq N$  implies  $s_n < \varepsilon/2$ .

Since  $|\langle e_i, f_n \rangle|^2 \rightarrow 0$  as  $i \rightarrow \infty$ , there is an  $i_0$  such that  $i > i_0$  implies

$$\sum_{n=0}^{N-1} s_n |\langle e_i, f_n \rangle|^2 < \frac{\varepsilon}{2}.$$

We now calculate that whenever  $i > i_0$ ,

$$\langle x e_i, e_i \rangle = \sum_{n=0}^{\infty} s_n |\langle e_i, f_n \rangle|^2 < \sum_{n=0}^{N-1} s_n |\langle e_i, f_n \rangle|^2 + s_N \sum_{n \geq N} |\langle e_i, f_n \rangle|^2 < \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \varepsilon. \quad \square$$

**Theorem 6.4.22.** *A countable discrete group  $\Gamma$  has [HP] if and only if  $L\Gamma$  has [HP].*

*Proof.*

$\Rightarrow$ : Let  $(f_n)$  be a sequence of  $c_0$  positive definite functions  $\Gamma \rightarrow \mathbb{C}$  which converges to 1 pointwise. Without loss of generality, we may assume  $f_n(e) = 1$  for all  $n$ ; otherwise replace  $f_n$  with  $f_n/f_n(e)$ . The cp multipliers  $M_{f_n} : L\Gamma \rightarrow L\Gamma$  witness that  $L\Gamma$  has [HP]. Indeed,  $\widehat{M}_{f_n} \in B(\ell^2\Gamma)$  is clearly compact as it is diagonal with eigenvalues going to 0, and

$$\|(M_{f_n}(x) - x)\delta_e\|_2^2 = \|(\widehat{M}_{f_n} - 1)x\delta_e\|_2^2 = \sum_g |f_n(g) - 1|^2 |x_g|^2 \xrightarrow{n \rightarrow \infty} 0$$

as each  $f_n \in c_0\Gamma$  with  $\|f_n\| = f_n(e) = 1$  for all  $n$ . Explicitly,  $|f_n(g) - 1|^2 \leq 4$  for all  $n$ , so we may choose  $h \in \Gamma$  large in some ordering so that  $\sum_{g>h} |x_g|^2 < \varepsilon^2/8$ , and we may then choose  $N$  so that  $n > N$  implies

$$\sum_{g \leq h} |f_n(g) - 1|^2 |x_g|^2 < \varepsilon^2/2.$$

$\Leftarrow$ : Suppose  $(\varphi_n)$  witness that  $L\Gamma$  has [HP]. Then  $f_n(g) := \text{tr}_{L\Gamma}(\varphi_n(\lambda_g)\lambda_g^*)$  is positive definite by Example 6.1.6. To see that  $f_n \in c_0\Gamma$ , we have that

$$|f_n(g)| = |\text{tr}_{L\Gamma}(\varphi_n(\lambda_g)\lambda_g^*)| = |\langle \varphi_n(\lambda_g), \lambda_g \rangle_{L^2(L\Gamma)}| = |\omega_{\lambda_g}(\widehat{\varphi}_n)| \xrightarrow{g \rightarrow \infty} 0$$

by Lemma 6.4.21. Since  $\varphi_n \rightarrow \text{id}_{L\Gamma}$  pointwise- $\|\cdot\|_2$ ,  $f_n \rightarrow 1$  pointwise by Remark 6.4.20  $\square$

**6.5. Kazhdan's Property (T) for discrete groups.** For this section,  $\Gamma$  is a countable discrete group, and  $\Lambda \leq \Gamma$  is a subgroup.

**Definition 6.5.1.** We say  $\Gamma$  has *property (T) relative to  $\Lambda$*  whenever  $(f_n)$  is a sequence of positive definite functions  $\Gamma \rightarrow \mathbb{C}$  such that  $f_n \rightarrow 1$  pointwise, then  $f_n|_{\Lambda} \rightarrow 1$  uniformly on  $\Lambda$ . We say  $\Gamma$  has *property (T)* if  $\Gamma$  has property (T) relative to  $\Gamma$ . In other words:

(T) whenever  $(f_n)$  is a sequence of positive definite functions  $\Gamma \rightarrow \mathbb{C}$  such that  $f_n \rightarrow 1$  pointwise, then  $f_n \rightarrow 1$  uniformly.

**Example 6.5.2.**

- (1) All finite groups have (T).
- (2)  $SL(2, \mathbb{Z})$  has [HP] as  $\mathbb{F}_2 \leq SL(2, \mathbb{Z})$  with index 12, but  $SL(n, \mathbb{Z})$  has (T) for  $n \geq 3$ .

(3)  $\mathbb{Z}^2 \leq \mathbb{Z}^2 \rtimes SL(2, \mathbb{Z})$  has relative **(T)**.

$$\left\{ \begin{bmatrix} 1 & & * \\ & 1 & * \\ & & 1 \end{bmatrix} \right\} \leq \left\{ \begin{bmatrix} a & b & * \\ c & d & * \\ & & 1 \end{bmatrix} \mid \begin{bmatrix} a & b \\ c & d \end{bmatrix} \in SL(2, \mathbb{Z}) \right\}$$

Observe that neither of these groups has **(T)**.

**Facts 6.5.3.**

- (1) If  $\Gamma$  has **(T)** relative to  $\Lambda$  and  $\Gamma$  has **[HP]**, then  $\Lambda$  is finite. In particular,  $\Gamma$  has **[HP]** and **(T)** if and only if  $\Gamma$  is finite.
- (2) If  $\Gamma$  has relative **(T)** with respect to an infinite subgroup  $\Lambda$ , then  $\Gamma$  does not have **[HP]**. Thus **[HP]** is not preserved under extensions.

**Theorem 6.5.4.** *The following are equivalent.*

- (T1)  $\Gamma$  has **(T)**, i.e., for all sequences  $(f_n)$  of positive definite functions with  $f_n \rightarrow 1$  pointwise,  $f_n \rightarrow 1$  uniformly.
- (T2) Every cocycle  $\beta : \Gamma \rightarrow H$  is inner (equivalently bounded).
- (T3) Every affine  $\Gamma$ -action has a fixed point.
- (T4) If  $(H, \pi)$  is a unitary representation of  $\Gamma$  with a sequence of unit vectors  $(\xi_n)$  such that  $\|\pi_g \xi_n - \xi_n\| \rightarrow 0$  for all  $g \in \Gamma$ , then there is a non-zero  $\xi \in H$  such that  $\pi_g \xi = \xi$  for all  $g \in \Gamma$ .
- (T5) There is a  $\delta > 0$  and a finite  $F \subset \Gamma$  such that for every unitary representation  $(H, \pi)$  and  $\xi \in (H)_1$  with  $\|\pi_g \xi - \xi\| < \delta$  for all  $g \in F$ , there is an  $\xi_0 \in (H)_1$  with  $\pi_g \xi_0 = \xi_0$  for all  $g \in \Gamma$ .
- (T6) For all  $\varepsilon > 0$ , there is a  $\delta > 0$  and a finite  $F \subset \Gamma$  such that for every unitary representation  $(H, \pi)$  and  $\xi \in (H)_1$  with  $\|\pi_g \xi - \xi\| < \delta$  for all  $g \in F$ , there is an  $\xi_0 \in (H)_1$  with  $\|\xi - \xi_0\| < \varepsilon$  and  $\pi_g \xi_0 = \xi_0$  for all  $g \in \Gamma$ .
- (T7) For all  $\varepsilon > 0$ , there is a  $\delta > 0$  and a finite  $F \subset \Gamma$  such that for all positive definite  $f : \Gamma \rightarrow \mathbb{C}$  with  $|f(g) - 1| < \delta$  on  $F$ , we have  $|f(g) - 1| < \varepsilon$  for all  $g \in \Gamma$ .

We prove the following implications:

$$\begin{array}{ccccc} \text{(T1)} & \longleftarrow & \text{(T7)} & \longleftarrow & \text{(T6)} \\ & & \Downarrow & & \Updownarrow \\ \text{(T3)} & \iff & \text{(T2)} & \implies & \text{(T4)} \implies \text{(T5)} \end{array}$$

**(T1)  $\implies$  (T2).** Let  $\beta : \Gamma \rightarrow H$  be a cocycle. By Schoenberg's result 6.4.12, for all  $r > 0$ ,  $f_r(g) := \exp(-r\|\beta(g)\|^2)$  is positive definite, and  $f_r(g) \rightarrow 1$  pointwise as  $r \rightarrow 0^+$ . By **(T1)**,  $f_{1/n} \rightarrow 1$  uniformly, which implies  $\beta$  is bounded. Thus  $\beta$  is inner by Lemma 6.4.8. □

**(T2)  $\iff$  (T3).** Observe that for all  $\alpha \in \text{Aff}(H)$ ,

$$\xi = \alpha_g \xi = \pi_g \xi + \beta(g) \iff \beta(g) = \xi - \pi_g \xi \quad \forall g \in \Gamma.$$

Hence  $\alpha$  has a fixed point if and only if  $\beta$  is inner. □

$\neg(\text{T5}) \Rightarrow \neg(\text{T4})$ . Let  $\Gamma = \{g_1, g_2, \dots\}$  be an enumeration and set  $F_n = \{g_1, \dots, g_n\} \subset \Gamma$  and  $\delta_n = 1/n$ . Then for each  $n$ , there is a unitary representation  $(H_n, \pi_n, \xi_n)$  such that  $\|\xi_n\| = 1$ ,  $\|\pi_n(g)\xi_n - \xi_n\| < 1/n$  for all  $g \in F_n$ , but the  $\Gamma$ -invariant subspace  $H_n^\pi = 0$ . Set  $(H, \pi) := \bigoplus (H_n, \pi_n)$ . Then  $(\xi_n)$  where  $\xi_n$  lives in only the  $n$ -th component is a sequence of almost invariant vectors, but there is no  $\Gamma$ -invariant vector in  $(H, \pi)$  as every projection map  $(H, \pi) \rightarrow (H_n, \pi_n)$  is  $\Gamma$ -equivariant.  $\square$

$(\text{T6}) \Rightarrow (\text{T5})$ . Trivial - just take an arbitrary  $\varepsilon > 0$ .  $\square$

$(\text{T5}) \Rightarrow (\text{T6})$ . Let  $\varepsilon > 0$ . Pick  $\delta' > 0$  and a finite set  $F' \subset \Gamma$  satisfying  $(\text{T5})$ . We set  $\delta = \varepsilon'\delta'$  for a to-be-determined  $\varepsilon' > 0$  in terms of  $\varepsilon$  and set  $F = F'$ . Suppose  $(H, \pi)$  is a unitary  $\Gamma$ -representation with  $\xi \in H$  a  $(\delta, F)$ -almost invariant vector as in  $(\text{T6})$ . Consider the  $\Gamma$ -fixed points

$$H^\pi := \{\eta \in H \mid \pi_g \eta = \eta \quad \forall g \in \Gamma\}.$$

If  $\xi \in H^\pi$ , then we are finished. If not, our strategy will be to project  $\xi$  to  $H^\pi$  and show that this vector is non-zero and close to  $\xi$  after renormalizing.

To this end, let  $p$  be the orthogonal projection onto  $H^\pi$  so that

$$\|\pi_g \eta - \eta\| = \|\pi_g(1-p)\eta + \pi_g p \eta - \eta\| = \|\pi_g(1-p)\eta + (1-p)\eta\| \quad \forall \eta \in H.$$

Note that  $(H^\pi)^\perp = (1-p)H$  does not contain any non-zero invariant vectors. Since  $\pi|_{(1-p)H}$  is a unitary  $\Gamma$ -representation, by  $(\text{T5})$ , for all unit vectors  $\eta \in (1-p)H$ ,  $\|\pi_g \eta - \eta\| \geq \delta'$  for some  $g \in F$ . This means

$$\|\pi_g(1-p)\xi - (1-p)\xi\| \geq \delta' \|(1-p)\xi\|.$$

We now calculate that

$$\varepsilon'\delta' = \delta \geq \|\pi_g \xi - \xi\| = \|\pi_g(1-p)\xi - (1-p)\xi\| \geq \delta' \|(1-p)\xi\| \quad \Longrightarrow \quad \|(1-p)\xi\| \leq \varepsilon'.$$

As  $\xi$  is a unit vector, this means that if  $\varepsilon' < 1$ , then  $p\xi \neq 0$ , and we may set  $\xi_0 := p\xi / \|p\xi\| \in H^\pi$ . It remains to show  $\xi_0$  is close to  $\xi$  when  $\varepsilon'$  is small enough. Indeed,

$$\xi_0 - p\xi = \frac{p\xi}{\|p\xi\|} - p\xi = \frac{1 - \|p\xi\|}{\|p\xi\|} p\xi$$

which implies

$$\|\xi_0 - p\xi\| \leq 1 - \|p\xi\| = \|\xi\| - \|p\xi\| \leq \|(1-p)\xi\| \leq \varepsilon'$$

by the reverse triangle inequality. Finally, we calculate

$$\|\xi_0 - \xi\| \leq \|\xi_0 - p\xi\| + \|p\xi - \xi\| = \|\xi_0 - p\xi\| + \|(1-p)\xi\| \leq 2\varepsilon' < \varepsilon$$

as long as  $\varepsilon' < \min\{\varepsilon/2, 1\}$ .  $\square$

$(\text{T6}) \Rightarrow (\text{T7})$ . Let  $\varepsilon > 0$ , and choose  $(F', \delta')$  as in  $(\text{T6})$  for  $\varepsilon' > 0$  a function of  $\varepsilon$  to be determined. Set  $F = F' \cup (F')^{-1} \cup \{e\}$  and let  $\delta$  be a function of  $\varepsilon$  and  $\delta'$  to be determined. Suppose  $f : \Gamma \rightarrow \mathbb{C}$  is positive definite such that  $|f(g) - 1| < \delta$  for all  $g \in F$ . By Theorem 6.1.5, there is a unitary  $\Gamma$ -representation  $(H, \pi, \eta)$  such that



$f(g) = \langle \pi_g \eta, \eta \rangle$  for all  $g \in \Gamma$ . Since  $e \in F$ ,

$$\| \|\eta\|^2 - 1 \| = |f(e) - 1| < \delta.$$

Set  $\xi := \eta / \|\eta\|$ , and we record the estimate

$$|1 - \langle \pi_g \xi, \xi \rangle| \leq \underbrace{|1 - f(g)|}_{< \delta} + \underbrace{|\langle \pi_g \eta, \eta \rangle - \langle \pi_g \xi, \xi \rangle|}_{\leq \| \|\eta\|^2 - 1 \| \cdot \langle \pi_g \xi, \xi \rangle < \delta \cdot 1} < 2\delta \quad \forall g \in F.$$

Then for all  $g \in F$ ,

$$\| \pi_g \xi - \xi \|^2 = 1 - \langle \pi_g \xi, \xi \rangle + 1 - \langle \pi_{g^{-1}} \xi, \xi \rangle \leq |1 - \langle \pi_g \xi, \xi \rangle| + |1 - \langle \pi_{g^{-1}} \xi, \xi \rangle| \leq 4\delta < \delta^2$$

if  $\delta < \delta^2/4$ . By (T6), there is a unit vector  $\xi_0 \in H$  such that  $\pi_g \xi_0 = \xi_0$  for all  $g \in \Gamma$  and  $\| \xi - \xi_0 \| < \varepsilon'$ . Then for all  $g \in \Gamma$ ,

$$\begin{aligned} |1 - f(g)| &= |\langle \pi_g \xi_0, \xi_0 \rangle - \langle \pi_g \eta, \eta \rangle| \\ &= |\langle \pi_g (\xi_0 - \xi), \xi_0 \rangle + \langle \pi_g \xi, (\xi_0 - \xi) \rangle + \langle \pi_g \xi, \xi \rangle - \langle \pi_g \eta, \eta \rangle| \\ &\leq |\langle \pi_g (\xi_0 - \xi), \xi_0 \rangle| + |\langle \pi_g \xi, (\xi_0 - \xi) \rangle| + |\langle \pi_g \xi, \xi \rangle - \langle \pi_g \eta, \eta \rangle| \\ &< 2\varepsilon' + \delta < \varepsilon \end{aligned}$$

provided we chose  $\varepsilon' < \varepsilon/3$  and  $\delta < \min\{\varepsilon/3, \delta^2/4\}$ .  $\square$

(T7)  $\Rightarrow$  (T1). Suppose  $(f_n)$  is a sequence of positive definite functions such that  $f_n \rightarrow 1$  pointwise on  $\Gamma$ . Let  $\varepsilon > 0$ , and choose  $(F, \delta)$  as in (T7). Since  $F$  is finite and  $f_n \rightarrow 1$  pointwise, eventually  $|f_n(g) - 1| < \delta$  for all  $g \in F$ . Then  $|f_n(g) - 1| < \varepsilon$  for all  $g \in \Gamma$  by (T7).  $\square$

**Exercise 6.5.5.** Prove (T2)  $\Rightarrow$  (T4).

**Exercise 6.5.6.** Modify all the statements in Theorem 6.5.4 for a countable discrete group  $\Gamma$  to be relative to a subgroup  $\Lambda \leq \Gamma$ . Then prove all the equivalences.

**6.6. Property (T) for tracial von Neumann algebras.** For this section,  $(M, \text{tr})$  is a tracial von Neumann algebra with separable predual.

**Definition 6.6.1.** We say  $(M, \text{tr})$  has *property (T)* if for every sequence  $(\varphi_n : M \rightarrow M)$  of normal trace-preserving ucp maps with  $\varphi_n \rightarrow \text{id}_M$  pointwise- $\|\cdot\|_2$ ,  $\varphi_n \rightarrow \text{id}_M$  uniformly in  $\|\cdot\|_2$  on  $(M)_1$ , the unit ball of  $M$ .

The main goal of this section is to prove that a countable discrete group  $\Gamma$  has (T) if and only if  $L\Gamma$  with its canonical trace has (T).

**Definition 6.6.2.** Suppose  $(A, \text{tr}_A), (B, \text{tr}_B)$  are tracial von Neumann algebras. An  $A$ - $B$  *bimodule*  ${}_A H_B$  is a Hilbert space  $H$  equipped with commuting normal unital  $*$ -homomorphisms  $\lambda : A \rightarrow B(H)$  and  $\rho : B^{\text{op}} \rightarrow B(H)$  (with  $[\lambda_a, \rho_b] = 0$  for all  $a \in A, b \in B^{\text{op}}$ ). We typically suppress  $\lambda, \rho$  and simply write  $a\eta b = \lambda_a \rho_b \eta$ .

A *pointing* on a bimodule  ${}_A H_B$  is a distinguished vector  $\xi \in H$  such that  $A\xi B$  is dense in  $H$ . A pointing is called *tracial* if in addition

$$\langle a\xi, \xi \rangle = \text{tr}_A(a) \quad \forall a \in A \quad \text{and} \quad \langle \xi b, \xi \rangle = \text{tr}_B(b) \quad \forall b \in B.$$

**Construction 6.6.3.** Suppose  $(A, \text{tr}_A), (B, \text{tr}_B)$  are tracial von Neumann algebras and  $({}_A H_B, \eta)$  is a tracially pointed bimodule. We can construct a trace-preserving normal ucp map  $\phi : A \rightarrow B$  as follows.

First, since  $\eta$  is a tracial pointing, the map  $L_\eta : L^2 B \rightarrow H$  given by  $b\Omega \mapsto \eta b$  extends to a unique isometry. Define  $\phi : A \rightarrow B$  by  $\phi(a) := L_\eta^* \lambda_a L_\eta \in B(L^2 B)$ . Since  $L_\eta$  and  $\lambda_a$  are right  $B$ -linear, so is  $\phi(a)$ , i.e.,  $\phi(a) \in JBJ' = B$ . Finally, we verify

$$\text{tr}_B(\phi(a)) = \langle \phi(a)\Omega, \Omega \rangle = \langle L_\eta^* \lambda_a L_\eta \Omega, \Omega \rangle = \langle b\eta, \eta \rangle = \text{tr}_B(a).$$

**Remark 6.6.4.** Given two tracially pointed bimodules  $({}_A H_B, \eta)$  and  $({}_A K_B, \xi)$ , there is at most one  $A - B$  bimodular map  $T : H \rightarrow K$  mapping  $\eta$  to  $\xi$ . This map will be unitary if and only if  $T^* : K \rightarrow H$  also preserves the pointing. Indeed,  $T^* \xi = \eta$  if and only if  $T^* = T^{-1}$ . This shows that the 2-category of tracial von Neumann algebras, tracially pointed bimodules, and  $A - B$  bimodular unitaries preserving the pointing is 1-truncated, i.e., equivalent to a 1-category.

**Construction 6.6.5.** Suppose  $(A, \text{tr}_A), (B, \text{tr}_B)$  are tracial von Neumann algebras and  $\phi : A \rightarrow B$  is a trace-preserving normal ucp map. We can build a tracially pointed bimodule as follows.

Let  $H_\phi$  be the Hilbert space obtained from taking the algebraic tensor product  $A \otimes B$  with sesquilinear form  $\langle a_1 \otimes b_1, a_2 \otimes b_2 \rangle_\phi := \text{tr}_B(b_2^* \phi(a_2^* a_1) b_1)$ , quotienting out the length zero vectors, and completing in  $\|\cdot\|_2$ ; this is the Hilbert space from the proof of the Stinespring Dilation Theorem. We calculate the left  $A$ -action descends to a bounded action:

$$\left\| a \cdot \sum_i x_i \otimes y_i \right\|_\phi^2 = \sum_{i,j} \langle \phi(x_j^* a^* a x_i) y_i \Omega, y_j \Omega \rangle_{L^2 B} \leq \|a^* a\| \cdot \left\| \sum_i x_i \otimes y_i \right\|_\phi^2.$$

where the inequality comes from the fact  $[\phi(x_j^* a^* a x_i)] \leq \|a^* a\| \cdot [\phi(x_j^* x_i)]$  in  $M_n(B)$ . Boundedness of the right  $B$ -action is easier and omitted. These actions are normal since  $\phi$  is normal (exercise).

**Remark 6.6.6.** Consider the case of  $N \subset M$  an inclusion of finite von Neumann algebras where  $M$  is equipped with a faithful normal tracial state  $\text{tr}$ . Let  $E : M \rightarrow N$  be the unique trace-preserving conditional expectation. We claim that the map  $m \otimes n \mapsto mn$  descends to an  $M - N$  bimodular unitary isomorphism  $H_E \cong {}_M L^2 M_N$ ; this is the unique map from Remark 6.6.4. Indeed,  $M - N$  bimodularity is obvious, and we calculate

$$\begin{aligned} \langle m_1 \otimes n_1, m_2 \otimes n_2 \rangle_E &= \text{tr}(n_2^* E(m_2^* m_1) n_1) = (\text{tr} \circ E)(n_2^* m_2^* m_1 n_1) \\ &= \text{tr}(n_2^* m_2^* m_1 n_1) = \langle m_1 n_1 \Omega, m_2 n_2 \Omega \rangle_{L^2 M}. \end{aligned}$$

Hence this map descends to a well-defined isometry with dense range, and thus uniquely extends to a unitary.

**Exercise 6.6.7.** Prove that Constructions 6.6.5 and 6.6.3 are mutually inverse. In more detail:

- (1) Starting with a trace-preserving normal ucp map  $\phi : A \rightarrow B$ , show that applying Construction 6.6.5 and then Construction 6.6.3 produces exactly  $\phi$  again.
- (2) Starting with a tracially pointed bimodule  $({}_A H_B, \eta)$ , show that applying Construction 6.6.3 and then Construction 6.6.5 gives another tracially pointed bimodule  $({}_A K_B, \xi)$  which is canonically unitarily equivalent to  $({}_A H_B, \eta)$  via Remark 6.6.4.

**Remark 6.6.8.** Exercise 6.6.7 above shows that the 1-truncated 2-category from Remark 6.6.4 is equivalent to the 1-category of tracial von Neumann algebras with trace-preserving normal ucp maps.

**Lemma 6.6.9.** *Suppose  $\varphi : M \rightarrow M$  is trace-preserving ucp map, and let  $(H, \xi)$  be the associated tracially pointed  $M - M$  bimodule. Then for all  $x \in M$ ,  $\langle x\xi, \xi x \rangle = \text{tr}_M(\varphi(x)x^*)$  and*

$$\|\varphi(x)\Omega - x\Omega\|_{L^2M} \leq \|x\xi - \xi x\|_H \leq \|\varphi(x)\Omega - x\Omega\|_{L^2M} \cdot \|x\|_2.$$

*Proof.* First, note that

$$\langle x\xi, \xi x \rangle = \langle x \otimes 1, 1 \otimes x \rangle_\varphi = \langle \varphi(x)\Omega, x\Omega \rangle_{L^2M} = \text{tr}_M(\varphi(x)x^*).$$

We then calculate

$$\begin{aligned} \|\varphi_n(x)\Omega - x\Omega\|_{L^2M}^2 &= \|\varphi_n(x)\Omega\|_{L^2M}^2 + \|x\Omega\|_{L^2M}^2 - 2 \text{Re} \text{tr}_M(\varphi_n(x)x^*) \\ &= \text{tr}_M(\varphi_n(x)^*\varphi_n(x)) + \text{tr}_M(x^*x) - 2 \text{Re} \text{tr}_M(\varphi_n(x)x^*) \\ &\leq \text{tr}_M(\varphi_n(x^*x)) + \text{tr}_M(x^*x) - 2 \text{Re} \text{tr}_M(\varphi_n(x)x^*) \\ &= 2 \text{tr}_M(x^*x) - 2 \text{Re} \langle x\xi_n, \xi_n x \rangle. \end{aligned}$$

We now see that

$$2 \text{tr}_M(x^*x) - 2 \text{Re} \langle x\xi_n, \xi_n x \rangle = \|x\xi_n\|_2^2 + \|\xi_n x\|_2^2 - 2 \text{Re} \langle x\xi_n, \xi_n x \rangle = \|x\xi_n - \xi_n x\|_{L^2M}^2$$

and

$$\begin{aligned} 2 \text{tr}_M(x^*x) - 2 \text{Re} \langle x\xi_n, \xi_n x \rangle &= 2 \text{Re} \text{tr}_M((\varphi_n(x) - x)x^*) \\ &\leq 2 |\langle (\varphi_n(x) - x)\Omega, x\Omega \rangle| \\ &\stackrel{\text{(CS)}}{\leq} 2 \|\varphi_n(x)\Omega - x\Omega\|_2 \cdot \|x\Omega\|_2. \end{aligned} \quad \square$$

**Theorem 6.6.10.** *For a tracial von Neumann algebra  $(M, \text{tr})$ , the following are equivalent.*

- (1)  $(M, \text{tr})$  has (T).
- (2) For all  $\varepsilon > 0$ , there is a  $\delta > 0$  and a finite  $F \subset M$  such that for every tracially pointed  $M - M$  bimodule  $({}_M H_M, \xi)$  satisfying

$$\max_{x \in F} \|x\xi - \xi x\| < \delta,$$

there is an  $M$ -central vector  $\xi_0 \in H$  such that  $\|\xi - \xi_0\| < \varepsilon$ .

*Proof.*

(1)  $\Rightarrow$  (2): Omitted. **TODO: Check this!**

(2)  $\Rightarrow$  (1): Suppose  $(\varphi_n)$  is sequence of normal trace-preserving ucp maps such that  $\varphi_n \rightarrow \text{id}_M$  pointwise  $\|\cdot\|_2$ . Let  $\varepsilon > 0$  and pick  $(F', \delta')$  for a to-be-determined  $\varepsilon' > 0$  as a function of  $\varepsilon$ . Let  $(H_n, \xi_n)$  be the tracially pointed  $M - M$  bimodule associated to  $\varphi_n$ . Since  $\varphi_n \rightarrow \text{id}_M$  pointwise  $\|\cdot\|_2$ , there is an  $N > 0$  such that  $n > N$  implies

$$\|\varphi_n(x)\Omega - x\Omega\|_2 < \delta \quad \forall x \in F',$$

where  $\delta > 0$  is to be determined in terms of  $\varepsilon', \delta', F'$ . Then by Lemma 6.6.9, for all  $n > N$  and  $x \in F$ ,

$$\|x\xi_n - \xi_n x\|_2^2 \leq 2\|\varphi_m(x)\Omega - x\Omega\|_2 \cdot \|x\Omega\|_2 < 2\delta K$$

where  $K := \max_{x \in F} \|x\Omega\|_2$ . Now if  $\delta < \delta'^2/2K$ , then for every  $n > N$ , there is an  $M$ -central vector  $\xi_{n,0} \in H_n$  such that  $\|\xi_{n,0} - \xi_n\| < \varepsilon'$ . Then again by Lemma 6.6.9, for all  $n > N$  and  $x \in (M)_1$ ,

$$\|\varphi_n(x)\Omega - x\Omega\|_2 \leq \|x\xi_n - \xi_n x\| \leq \|x\xi_n - x\xi_{n,0}\| + \|\xi_{n,0}x - \xi_n x\| \leq 2\varepsilon' < \varepsilon$$

whenever  $\varepsilon' < \varepsilon/2$ .  $\square$

**Corollary 6.6.11.** *A countable discrete group  $\Gamma$  has (T) if and only if  $L\Gamma$  with its canonical trace has (T).*

*Proof.* Suppose  $\Gamma$  has (T). Let  $\varepsilon > 0$ , and choose  $(F, \delta)$  as in (T6). Let  $(H, \xi)$  be a tracially pointed  $L\Gamma - L\Gamma$  bimodule such that  $\max_{g \in F} \|\lambda_g \xi - \xi \lambda_g\|_2 < \delta$ . We have a unitary representation  $\pi : \Gamma \rightarrow B(H)$  by  $\pi_g \eta := \lambda_g \eta \lambda_g^*$ . Since  $\xi \in (H)_1$  and

$$\|\pi_g \xi - \xi\| = \|\lambda_g \xi \lambda_g^* - \xi\| = \|\lambda_g \xi - \xi \lambda_g\| < \delta \quad \forall g \in \Gamma,$$

by (T6) there is a  $\Gamma$ -invariant vector  $\xi_0 \in (H)_1$  with  $\|\xi - \xi_0\| < \varepsilon$  such that  $\pi_g \xi_0 = \xi_0$  for all  $g \in \Gamma$ . But then  $\lambda_g \xi_0 = \xi_0 \lambda_g$  for all  $g \in \Gamma$ , and thus  $\xi_0$  is  $L\Gamma$ -central as desired. We conclude  $L\Gamma$  has (T).

Conversely, suppose  $L\Gamma$  has (T). Let  $(f_n)$  be a sequence of positive definite functions on  $\Gamma$  which converge to 1 pointwise. Without loss of generality, we may assume  $f_n(e) = 1$  for all  $n$ . Then  $(M_{f_n})$  is a sequence of trace-preserving ucp maps such that  $M_{f_n} \rightarrow \text{id}_M$  pointwise  $\|\cdot\|_2$ . Since  $L\Gamma$  has (T),  $M_{f_n} \rightarrow \text{id}_M$  uniformly in  $\|\cdot\|_2$  on  $(L\Gamma)_1$ . In particular, for every  $\varepsilon > 0$ , there is an  $N > 0$  such that for all  $n > N$  and  $g \in \Gamma$ ,

$$|f_n(g) - 1| = \|f_n(g)\delta_g - \delta_g\|_{\ell^2\Gamma} = \|M_{f_n}(\lambda_g)\Omega - \lambda_g\Omega\|_{L^2L\Gamma} < \varepsilon.$$

Hence  $f_n \rightarrow 1$  uniformly, and  $\Gamma$  has (T).  $\square$