Ref: [Humphreys, LAG]  $2021, 3.12$ LECTURE 22: LIE THEORY II (Semisimple groups) A Linear algebrair group ("LAG") is a Zariski-closed  $subgroup$   $G \equiv GL(V)$ , for some  $V$ . Equivalently (!) G is an affine algebraic group, A torus is  $T\simeq (\mathbb{C}^*)^n = \text{Spec} \mathbb{C}[\times_1^{\frac{1}{2}}, \dots, \times_n^{\frac{1}{2}}]$ . A maximal tarus  $T \subseteq G$  is what it seems. Every LAG G has a Lie algebra og= Lie(G). This can be defined informatically, but a quick  $T_{e}G = T_{e}GL(V) = End(V)$ <br>  $\vdots$ <br>  $T_{e}G = \frac{1}{2}U(V)$ <br>  $\vdots$ <br>  $T_{e}G = \frac{1}{2}U(V)$ with bracket [', '] on og induced by commutator on of (V).

G acts on og by the adjoint representation . Again, this may be defined intrinsically , but  $\mu$ sing  $\sigma_j \subseteq \mathcal{G}(N)$  = End (V), its  $Ad(g) \cdot X = g X g^{-1}$  for ge G and  $X \in \mathcal{O}_1$ . In particular, a *(maximal)* torus  $T \subseteq G$ acts on og by the adjoint action. So one has a weight decomposition  $\sigma$  =  $\bigoplus$   $\sigma$   $\chi$   $\leftarrow$   $\sigma$   $\chi$   $\in$   $\sigma$   $\chi$  is where  $\tau$  acts  $x \in M$   $\{x \in \mathcal{X} \mid x \in \mathcal{X} \}$  by character  $x$ Defini The roots of G with respect to T are the nonzero weights for the adjoint action  $s<sup>4</sup>$  T and  $\int$  :  $R(G, T) := \left\{ x \in M \mid x \neq 0 \text{ and } \gamma_{x} \neq 0 \right\}.$ 



 $Ex: G = GL_n$ ,  $T = diagonal$  torus  $\cong (C^*)^n$ . Basis for off =  $(nx \text{ natus})$  is  $E_{ij}$  o elsewhere  $M \simeq \mathbb{Z}^n$ , basis  $t_{1},...,t_{n}$   $t_{i}$   $\left(\begin{bmatrix} a_{1},0 \\ 0 & a_{n} \end{bmatrix}\right) = \epsilon_{i}$  $z \cdot \overline{E_{ij}} = z \overline{E_{ij}} z^{-1} = \frac{z_i}{z_j} \overline{E_{ij}} \implies \text{weight } t_i - t_j$  $\Rightarrow R(GL_{n}, T) = \left\{ t_{i} - t_{j} \mid i \neq j \right\} (A_{n-1})$ The roots don't span<br>MOR = R<sup>^</sup> !

 $Ex: G = B = upper-triangular \subseteq GL_{n}.$ <br>  $U' = chiagonal \approx (C*)^{n}.$  $S_{s}$   $G = \frac{1}{s}$   $G_{s}$   $G_{s}$ Then  $R(B,T) = \left\{ t_i - t_j \mid i < j \right\}$  $N$  of a root system! But = R<sup>+</sup>  $\subseteq$  R(A<sub>1-1</sub>) !

 $Ex: G = Sp_{2n} \subseteq GL_{2n}$ , preserving our std form  $\omega$ . Need some basic facts about  $\begin{bmatrix} 1 \\ 1 \end{bmatrix}$ A representation of a LAG is a homomorphism<sup>(of alg.</sup> gps)<br>G -> GL (V), some v.s. V.<br>A representation of Og is a homomorphism<sup>(of Lie</sup> algs)  $\sigma$   $\rightarrow$   $\sigma$ f ( $V$ ). OIF GRV, fixing a vector vEV, "Think:<br>than the corresponding rep's of og kills v. derivative of  $9eG$  $q \cdot (v \cdot w) = (q \cdot v) \cdot (q \cdot w)$  $(2)$  V, W repins of G  $\Rightarrow$  $X=y$ <br> $X=y$   $Y-(y\omega x) = (X \cdot v) \omega x + v \omega (X \cdot w)$ <br> $Y \cdot y = (X \cdot v) \omega x + v \omega (X \cdot w)$ 3  $V^*$  = dual nep =>  $(g \cdot \overline{\phi})(v) = \phi(\overline{g}^v v)$   $(X \cdot \overline{\phi})(v) = -\phi(X \cdot v)$ . Ref: [Fulton-Harris, §8]

 $V^* \otimes V^*$ Now take  $V = \mathbb{C}^{2n}$   $\omega \in \Lambda^2 V^*$ . So<br> $S_{p_{2n}} \subseteq GL_{2n}$  is the stabilizer of  $\omega$ => 1pm = ogl m is subalgebra that kills w:  $\mathcal{L} = \left\{ \begin{array}{c} \chi \left( \begin{array}{c} \omega \left( \chi \cdot \mathbf{v} \right) & \omega \end{array} \right) + \omega \left( \mathbf{v} \right) \chi \cdot \mathbf{w} \right) \equiv \mathbf{0} \end{array} \right\}$  $= \left\{ X \mid X^{\mathsf{t}} \left[ \downarrow \downarrow^{\mathsf{t}} \right] + \left[ \downarrow \downarrow^{\mathsf{t}} \right] X = 0 \right\}$ Eureve Work out the egns in block motries.  $\frac{c_{x}}{x}$   $\frac{d}{dx} = \begin{bmatrix} a & b & e & f \\ c & d & g & e \\ h & i & -d & -b \\ j & h & -c & -a \end{bmatrix}$ 



$$
N_{G}(\top) = \{ g \in G \mid g=g^{-1} \in T \quad V \neq f \} \quad (normalize)
$$
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$$
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$$
\nabla_{G}(\top) = \{ g \in G \mid g=g^{-1} = g \quad V \neq f \} \quad (centralize)
$$

$$
W=W(G,T):=\sqrt{N_{G}(T)}{C_{G}(T)}
$$

For most of our examples, 
$$
C_{G}(T) = T
$$
, so  $W = \frac{N_{G}(T)}{T}$ .

 $\overline{\phantom{a}}$ 

| $Ex:$ | $G = \begin{bmatrix} \frac{1}{x} & \frac{1}{x} & 0 \\ 0 & 1 & \frac{1}{x} \end{bmatrix}$  | $T = \begin{bmatrix} \frac{1}{x} & \frac{1}{x} & 0 \\ 0 & 1 & 1 \end{bmatrix}$ |
|-------|---|--|
| loss  | $C_G(T) = \begin{bmatrix} \frac{1}{x} & \frac{1}{x$ |  |

The Weyl group cuts on M=M(t):=Hom(T, C^\*).  
From we W, downe a lift n<sub>w</sub>in(N<sub>c</sub>(T).  
For 
$$
\lambda \in M
$$
,  $z \in T$ .  
(w.\lambda)(z) =  $\lambda$  (n<sub>w</sub><sup>1</sup> z n<sub>w</sub>).

Check indepit of close of nu! (And that this a gp action.)

| Person:   | This  | N  | actions | preseves | The | roots |
|---|---|--|---------|----------|-----|-------|
| $R = R(G, T) \subseteq M$ .   |   |  |         |          |     |       |
| $\frac{Pf}{T}$  | Take $\alpha \in R$ , $X \in \mathcal{O}_{ X}$                              |  |         |          |     |       |
| $Ad(\alpha) \cdot X = \alpha(\alpha)X$  |   |  |         |          |     |       |
| $Let$   | $n = n_{L}$   | $be$   | $hft$   | $He$     | $W$ |       |
| $Let$   | $n = n_{L}$   | $be$   | $hft$   | $He$     | $W$ |       |
| $The$   | $char$  | $Ad(n) \cdot X \in \mathcal{O}_{ W(\alpha)}$ |         |          |     |       |
| $Compute:$  | $Ad(\alpha) Ad(n) \cdot X = \alpha \left( n \times n^2 \right) \alpha^{-1}$ |  |         |          |     |       |
| $= n \left( n^{-1} \alpha n \times n^{-1} \alpha^{-1} n \right) n^{-1}$         |   |  |         |          |     |       |
| $= n \left( \alpha \left( n^{-1} \alpha \right) \times \right) n^{-1}$          |   |  |         |          |     |       |
| $= w \left( k \right) \left( \alpha \right) \cdot \left( Ad(n) \cdot X \right)$ | $\boxtimes$   |  |         |          |     |       |

22.10

Solveble + Unipotent groups Dete: An element x = 6 of a LAG is: servisimple if I a faithful rep's p : G W GL n<br>so that  $\rho(x)$  is diagonal  $\begin{bmatrix} * & 0 \\ 0 & * \end{bmatrix}$ . unipotent if ..... Thum (Jondan decomposition):<br>(1) For any  $x \in G$ , Il, semisimple  $x_5 = x_4 x_5$ <br>unipotent  $x_1$  = x,  $x = x_5 x_1$ 12) For any homon.  $G \xrightarrow{\phi} H$ , have<br> $\varphi(x)_{s} = \varphi(x_{s})$  and  $\varphi(x)_{u} = \varphi(x_{u}).$ [H, §15.3] (Use Familier Jordan normal form for GL<sub>n</sub>) G is unipotent if all its elements are, Defri  $\overline{r}$ .,  $x = x_{\alpha}$  for all  $x \in G$ .

Defy : G is solvable if the series Ga ( <sup>G</sup>, G) = ( ( GG) , CGG) ) = . - terminates in { <sup>e</sup> } , where ( G, G ) is the commutator subgroup . Ngtc. Any subgp of <sup>a</sup> solvable ( resp. . unipoteut ) group is again solvable ( resp, unipotent ), Maines : { <sup>f</sup> ¥ )} - -B s Gln solvable (B,B) <sup>=</sup> U turn If { ( o :\*, ] } <sup>=</sup> Usain unieotent Thin : G is unipotent iff any representation <sup>p</sup> : G → GLCV ) can be " strictly up triangulated ire , there's a basis of V so that PCG) s a =L 'o¥ ] .

Th<u>m ("Lie-Kolchin"):</u> A (connected) LAG G is solvable iff any representation p: G-SGL (V) can be upper-triangularized: there's a basis of V so that  $\rho$  ( G)  $\subseteq$   $\left\{ \begin{bmatrix} * & * & * \\ 0 & * & * & * \end{bmatrix} \right\}$  . [H,  $\frac{1}{2}$  17. 6]  $\geq$  $unipotent$  groups  $\iff$  closed subgroups of  $U = \begin{bmatrix} 1, 4 \\ 0, 1 \end{bmatrix}$ solvable groups < > "Closed subgps of B = [\*,\*]. DEI : <sup>A</sup> Bored subgroup BC <sup>G</sup> is maximal (closed ) connected solvable subgroup - · A torus is connected+solvable, so contained in some Borel · Likewise, any (connected) unipotent gp is contained in a Borel. Thin: All Borel subgroups are conjugate : if B. <sup>B</sup>'s <sup>G</sup> are Barels, then  $B' = xBx^{-1}$  for some xe G .  $[$  Humphreys  $\S 21.3$   $[$  For G=GLn: FlCC") is homogeneous! Cor: All naximal tori are conjugate (as are max'l unipotents).

 $C_{\Omega}$ , Let  $T, T' \subset G$  be maximal tori. There  $\begin{array}{lll} \text{max} & \text{let } \top, \top' \subset \text{C} & \text{ble maximal to} \ \text{are} & \text{isomorphisms} & M(T) & \xrightarrow{\sim} M(T) \end{array}$ ar isomarphisms M(T) ~> M(T') and  $W(G,T) \stackrel{\sim}{\longrightarrow} W(G,T')$  $T' \subset G$  be<br>  $L_{s}$ <br>  $T$ )  $\cong$   $L(G)$ <br>  $T$ )  $\cong$   $L(G)$ inducing an isomorphism  $R(G,T) \xrightarrow{\sim} R(G,T')$ compatible with W-actions.

( All induced by T '  $\mapsto$  gz'g where  $T = gT'g^{-1}$ .

Senisimple + Reductive Groups

Now: G is connected + nontribut

Defy the radical of G is R(G) = max'l connected normal solvable subgp unique! [H, §19.5]

The unipotent radical is  $R_{\mu}(\epsilon)$  = max'l connected normal unipotent subge Calso unique/

$$
\underline{Ex: R(GL_n) = scalar matrices = C^*
$$
  

$$
R_{\kappa}(GL_n) = \{e\} \quad \text{firiial}
$$

$$
F_{\sigma}F_{\sigma}B=R_{n}^{+}=[\begin{matrix}1\\ 0\end{matrix},\frac{1}{2}R(B)=B,\\ \begin{matrix}1\\ 0\end{matrix},\frac{1}{2}R(B)=B,\\ \begin{matrix}1\\ 0\end{matrix},\frac{1}{2}R(B)=B,\\ \begin{matrix}1\\ 0\end{matrix},\frac{1}{2}R(B)=B=F\cdot U,\\ \begin{matrix}1\\ 0\end{matrix},\frac{1}{2}R(B)=F\cdot U,\\ \begin{matrix}1\\0\end{matrix},\frac{1}{2}R(B)=F\cdot U,\\ \begin{matrix}
$$

 $E_{x}: P = \left[\begin{array}{c|c} x & x & x & x \\ x & x & x & x \\ \hline 0 & x & x & x \end{array}\right] \subset GL_{4}.$  $\Rightarrow R_{\mu}(P) = \left[ \begin{array}{c|c} 1 & 0 & k & * \\ 0 & 1 & k & * \\ \hline 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{array} \right]$  $P = \left[ \begin{array}{c|c} x & 0 \\ \hline 0 & x \end{array} \right] \cdot R_{\mu}(P)$  $T(f(x_n)) = \frac{1}{4} \pi r \int_{s}^{x} f(x) dx$  $Defns:$  G is semisimple if  $R(G) = \{e\}$   $(ex: SL_n)$  $G$  is reductive if  $R_u(G) = \{e\}$  (exigel<sub>n</sub>) Any semisimple group is reductive (since Ry ER abdays). If G is semisimple, its center Z(G) is finite. rotherwise the component  $Z(G)^{0}$  would be comm. norm. solv.) If G is reductive, then  $\overline{Z(G)}^s$  is a tarus,  $Z(G)^{\circ} = R(G)$ , and  $(G, G) \subset G$  is semisimple.  $\overline{SL_n} : (GL_nGL_n) \subset GL_n$ 

For any connected G, GR(G) is semisimple,  $7R_1(6)$  is reductive.

 $Ex$ :  $GL_n$  = reductive.  $SL_n$  = (GL<sub>n,</sub> GL<sub>n</sub>) semisimple  $PGL_n = GL_n/2(L_n) = R(GL_n)$  is semisimple.

Pug : Let <sup>G</sup> be semisimple with max 't torus T.  $R = R(G, T)$  is a root system  $\lambda_{\alpha}$ ه می $\lambda$ اھ  $P_{r}p_{2}: Let$ <br>
Then  $R = \frac{p_{r}}{p}$  $\alpha$  in  $V = \begin{cases} 1 & \text{if } k = M \otimes R \\ \frac{1}{2} & \text{if } k = M \otimes R \end{cases}$ with Weyl group  $W = W(G, T)$ .  $[H, \, 827, 1]$ 

Ryuk: main difference between semisimple <sup>+</sup> reductive is the requirement that V be spanned by R. For reductive G, replacing  $V$  by  $V'$  = span  $(R(G,T))$ produces a root system. (Corresp to ss quotient  $\frac{1}{2}(6)^{\circ}$ .)  $\left($  Think of  $GL_{n,j}$  with  $M \cong \mathbb{Z}^n$ ,  $V \cong \mathbb{R}^n$ , but  $R$  span an  $(n-1)-dim'$  subsp.

Defy : G is simple if it has no nontrivial closed connected normal subgroup, and is non-commutative · non-comm. rules out trivial cases  $G_m = C^4$ ,  $G_m = C$ .  $\cdot$  SL<sub>n</sub> is simple as an LAG, though not as an abstract group. Preys : Suppose G is semisimple . Then G is simple iff RCG, T) is an irreducible root system . rank of semisimple group : = dim (max't torus). Mare on rests For semisimple G with maximal torus T,<br>a = R(G, T) is a character x: T- $\alpha \in \mathcal{R}(\mathbb{G},T)$  is a character  $\alpha:\mathcal{T} \longrightarrow \mathbb{C}^k$ . sub - torus of T  $\frac{1}{2}$ <br>b  $\frac{1}{2}$ <br> $\frac{1}{2}$ <br> $\frac{1}{2}$ <br> $\frac{1}{2}$ Than:  $G_{\alpha} := G_{\alpha}(\ker(x)^{\circ})$  is a connected reductive gp, and (Gg, Gg) is semisimple of rank 1  $[56A3]$  or  $[5p$ ringer,  $66.4.7]$ Ex:  $\alpha = t_2 - t_3$  ,  $(C^*)^4 = T \longrightarrow C^*$ ,  $|\alpha - \alpha| =$ \* a  $\begin{matrix} 6 & 1 \\ 1 & 1 \end{matrix}$  $\begin{array}{c|c}\n\chi & \chi \downarrow & \chi & \chi \downarrow & \chi & \chi & \chi \end{array}$ 

22 . <sup>18</sup> semisimple rank 1 groups < s root sys  $\Rightarrow G = SL_2 \text{ or } PGL_2$  of type There's a corresponding map  $\begin{array}{ccc} S\ell_{\text{\tiny Z}} & \longrightarrow & \left( f_{\text{\tiny X}} \text{ , } \ell_{\text{\tiny X}} \right) & \hookrightarrow & \mathcal{G}_{\text{\tiny Z}} \end{array} \longrightarrow \begin{array}{ccc} \mathcal{G}_{\text{\tiny Z}} & \hookrightarrow & \mathcal{G}_{\text{\tiny }} \end{array}$ We 'll sometimes write the composition as Sincernes write the composition as  $\overline{U}$   $\overline{U}$   $\overline{U}$   $\overline{U}$   $\overline{U}$  $\begin{array}{ccc} C^* & \stackrel{\circ}{\sim} \end{array}$  $\frac{1}{2}$  =  $\begin{bmatrix} 2 & 6 \\ 0 & 2^{-1} \end{bmatrix}$ The corcot x<sup>2</sup> is this one-parametor subgroup, corcot<br>a<sup>v</sup>: G<sup>2</sup> =  $\alpha^v : C^{\lambda} = T_{\alpha} \longrightarrow T$ [Springer, § 7. <sup>I</sup> ] These play an important role. Later we'll see

how they determine T-invariant curves in 413 .

classification  $\frac{2 \text{Lassificat}}{1}$ 

In addition to root data, some topological information is needed to classify simple LAG's .

Progri: For semisimple G and max' torus T, let R <sup>=</sup> RIG, <sup>T</sup>) be the root system , with weight and root lettices  $M_{\omega f} \geq M_{rf}$ , and Ms MLT ) .

Then  $M_{\omega t}$  =  $M > M_{\text{rt}}$ , and  $\overline{a}$  $M_{\text{M}_{\text{wt}}^{\text{v}}}^{\text{max}} \longrightarrow \pi_1(G, e)$  1  $-$  psg  $\varphi:\overbrace{\mathbb{C}^{*}\longrightarrow}\overline{1}\subset G$  $M_{\text{wt}}$  /  $M_{\text{t}}$  /  $M_{\text{t}}$  for G/C generates a based loop ) W [Fulton-Harris & 23.1] → ( other ref? ] (Helgason ? )

Thin (1) (isom.) G, G' = simple LAG's, with max't tori T, T  $^{\prime}$  . max'l TOM 1, 1'.<br>If R(G,T)  $\cong$  R(G',T') and  $\pi_1(G) \cong \pi_1(G)$ ' ), then<sup>t</sup> there's an isom  $G \stackrel{\sim}{\longrightarrow} G'$ taking T to T' \* One exception: R (G, T) of type  $(D_n)$ , n36 even,  $\begin{array}{|l|} \hline \text{[why ??]} & \text{and} & \pi_1(G) = \frac{7}{2}L & \text{.} \hline \end{array}$  Thus are

2 (existence) For R = Arred. root system with fundamental group Mwtlmrt , and any<br>0  $M_{\omega t}$  >  $M > M_{rt}$ , there's a simple LAG G with max'l tarus T such that  $R(G,T) = R$  and  $\pi(G) = M_{\omega}t_{\text{int}}$ 

| Exc       | most of them!      |                    |                                 |                                 |                      |         |
|-----------|--------------------|--------------------|---------------------------------|---------------------------------|----------------------|---------|
| (A_{n-1}) | SL_n               | ( $\pi_1 = ie\{$ ) | PU_n                            | ( $\pi_1 = \frac{2}{n\alpha}$ ) |                      |         |
| n32       | ( $\mathbb{F}_n$ ) | SO_{2n+1}          | ( $\pi_1 = \frac{2}{n\alpha}$ ) | Spin <sub>2n+1</sub>            | ( $\pi_1 = ie\{$ )   |         |
| n31       | ( $\mathbb{F}_n$ ) | SO_{2n}            | ( $\pi_1 = ie\{\\$ )            | PSin                            | ( $\pi_1 = ie\{\\$ ) |         |
| n32       | ( $\mathbb{F}_n$ ) | SO_{2n}            | ( $\pi_1 = \frac{2}{n\alpha}$ ) | Spin <sub>2n</sub>              | ( $\pi_1 = ie\{\\$ ) |         |
| ...       | and                | SO <sub>2n</sub>   | ( $\pi_1 = \frac{2}{n\alpha}$ ) | Spin <sub>2n</sub>              | ( $\pi_1 = ie\{\\$ ) |         |
| ...       | and                | SO <sub>2n</sub>   | ( $\pi_1 = \frac{2}{n\alpha}$ ) | Spin <sub>2n</sub>              | Spin <sub>2n</sub>   |         |
| ...       | and                | Concidunus.        |                                 |                                 |                      |         |
| Exr-wise  | Show               | Al <sub>2n</sub>   | Syn <sup>2</sup>                | As                              | Symmetric            | onalus. |
| From      | and                | conclude           | PGL <sub>2</sub>                | SO <sub>3</sub>                 |                      |         |
| and       | the                | com                |                                 |                                 |                      |         |