

# The Virtually small spectral package of a closed Riemannian manifold with respect to a Morse function

Dan Burghelea

Department of mathematics  
Ohio State University, Columbus, OH

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# The spectral Package of a Riemannian manifold

For a closed Riemannian manifold  $(M, g)$  consider

- $\Delta_q : \Omega^q(M) \rightarrow \Omega^q(M)$ , the Laplace Beltrami operators,  $0 \leq q \leq \dim M$ .
- $\mathbb{S}_q(M, g) := \{\lambda_\alpha^q \in \mathbb{R}_{\leq 0}, \omega_\alpha^q \in \Omega^q(M)\}$ ,  $\Delta_q(\omega_\alpha^q) = \lambda_\alpha^q \omega_\alpha^q$  the "spectral package"
- $\text{Spec} \Delta_q := \{\lambda_\alpha^q \in \mathbb{R}_{\leq 0}, q = 0, \dots, n\}$  the spectrum of  $(M, g)$  = "music" of  $(M, g)$ .

For a closed Riemannian manifold  $(M, g)$  consider

- the Betti numbers  $\beta_q = \dim(H^q(M; \mathbb{C}))$   
∴ the E-P characteristic  $\chi(M) := \sum (-1)^q \beta_q$ ,
- the torsion numbers  $T_i(M) :=$   
 $\#\{\text{finite order elements of } H^i(M; \mathbb{Z})\} \quad \therefore T(M) = \prod T_i^{(-1)^i}$ ,
- the volume numbers:  $V_i := \text{Vol}(\mathcal{H}^i(M, g) / \text{img}(H^i(M; \mathbb{Z}))) \quad \therefore$   
 $\mathcal{V}(M, g) := \prod V_i^{(-1)^i}, \quad 0 \leq i \leq \dim M = n \quad \mathcal{H}^i = \ker \Delta_i,$   
 $V_n = \text{Vol}(M), \quad V_0 = 1.$
- the Reidemeister torsion  $T_{Re}(M, g) := T(M) \cdot \mathcal{V}(M, g)$

# Spectral geometry - Two basic results

The basic functions derived from the spectrum:

- Heat trace  $h_i(t) := \sum e^{-t\lambda_i^q}$  and then  $h(t) = (-1)^i h_i(t)$ ,
- Zeta function  $\zeta_i(s) := \sum (\lambda_i^q)^{(-s)}$ ,  $\therefore \zeta(s) = \sum (-1)^i \zeta_i(s)$ ,
- $\zeta_i(s)$  is the Mellin transform of  $h_i(t)$ .

Results of Herman Weyl, ... Ray-Singer.....

## Theorem

- 1  $\lim_{t \rightarrow 0} h_0(t) \cdot t^{n/2} = V_n(M)/4\pi$ ,  $n = \dim M$ ,  
 $\lim_{t \rightarrow 0} (h_0(t) - \text{Vol}(M^2)/(4\pi t)) = (1/6)\chi(M^2)$
- 2  $-\zeta'(0) = \log \text{Tr}_e(M, g)$ .

**All these results involve the knowledge of spectrum as an infinite set of numbers and can not be used directly because of that.**

**This work intends to get such invariants computable from a finite part of the spectral package + some additional data (for example a Morse function)**

I will discuss some

**NEW MATHEMATICS INJECTED IN THE FIELD OF SPECTRAL GEOMETRY**

# 1. Witten deformation

$f : M \rightarrow R$  smooth,

Witten deformation of the de Rham complex

$$(\Omega^*(M), d^*(t)), \quad t \in \mathbb{R}, \mathbb{C}$$

$$d(t)(\dots) = e^{-tf} d(e^{tf} \dots) = (d + tdf \wedge)(\dots)$$
$$\Delta_q^f(t) = d(t) \cdot d(t)^\sharp + d(t)^\sharp \cdot d(t),$$

$$\Delta_q^f(t) = \Delta_q + t(L_X + L_X^*) + t^2 \|X\|^2$$

$L_X$  the Lie derivative w. r. to the vector field  $X = -\text{grad}_g f$ .

## 2. Reilich-Kato perturbation theory

### Theorem

Let  $A(z)$  be a holomorphic "self adjoint" family (i.e. self adjoint for  $z$  real) of unbounded invertible operators with compact resolvent in the complex Hilbert space  $H$ , ( $z$  in a neighborhood of  $\mathbb{R}$  in  $\mathbb{C}$ ).

There exists the families of **real valued analytic functions**  $\lambda_i(t)$  and  $H$ -**valued analytic functions**  $\omega_i(t)$ , each having a holomorphic extension  $(\lambda_i(z), \omega_i(z))$  to an open neighborhood of  $\mathbb{R}$  inside  $\mathbb{C}$  so that

- 1 each  $\lambda_i(z)$  is an eigenvalue of  $A(z)$  and  $\lambda_i(z)$  **exhaust all eigenvalues**
- 2  $\omega_i(t)$  **are orthonormal eigenvectors** corresponding to the eigenvalues  $\lambda_i(z)$ .

Apply to  $A(z) = \Delta_q^f(z) + P(z)$ ,  $P(z)$  the projection on  $\ker \Delta_q^f(z)$ .

### 3. Morse theory

Let  $(M, g)$  be closed Riemannian manifold,  $f : M \rightarrow \mathbb{R}$   
Morse-Smale.

$Cr_q(f) = \{x \in M \mid \text{grad}_g f = 0, \text{index } x = q\}$ ,  $N_q := \#Cr_q(f)$ .  
 $X := -\text{grad}_g f$

For  $x \in Cr_q(f)$  let  $W_x^- \subset M$  be the unstable manifold of  $X$  at the  
rest point  $x$ ,  $W_x^- \approx \mathbb{R}^q$ .

#### Theorem

For any  $\omega \in \Omega^q(M)$  and any  $x \in Cr_q(f)$  **the integral**  $\int_{W_x^-} \omega$  **is convergent** and defines a continuous linear map

$$Int_x : \Omega^q(M) \rightarrow \mathbb{C}.$$

for the Frechet topology on  $\Omega^q(M)$ .



# Morse-Smale complex and integration

Let  $(C^*(M, X), \delta_X^*)$  be the the Morse-Smale complex,  
 $C^q := \text{Maps}(\text{Cr}_q(f); \mathbb{C})$ ,  $\delta_X^q = \dots$

For any  $z \in \mathbb{C}$  one has a morphism of cochain complexes

$$\text{Int}^*(z) : (\Omega^*(M), d^*(t)) \rightarrow (C^*, \delta^*)$$

$$(\text{Int}(z)(\omega))(x) := \int_{W_x^-} e^{zh}\omega.$$

This induces an isomorphism in cohomology denoted by

$$\text{Hint}^q(t) : H^q(\Omega^*(M), d^*(t)) \rightarrow H^q(C^*, \delta^*).$$

# Combine Morse Theory and Witten deformation

Witten theorem.

## Theorem

*Let  $(M^n, g)$  be a closed Riemannian manifold and  $f : M \rightarrow \mathbb{R}$  a Morse function.*

*There exists the constants  $C_1, C_2, C_3, T_0 > 0$  depending on  $g$  and  $f$  so that for  $t \geq T_0$*

*(1)  $\text{Spect}(\Delta_q^f(t) \cap (C_1 e^{-C_2 t}, C_3 t) = \emptyset$ , and*

*(2) the number of eigenvalues of  $\Delta_q^f(t)$  (counted with multiplicity) in the interval  $[0, C_1 e^{-C_2 t}]$  is equal to  $N_q$ .*

$\therefore$  for  $T \geq T_0$

$$(\Omega^*(M), d^*(t)) = (\Omega_{sm}^*(t), d^*(t)) \oplus (\Omega_{la}^*(t), d^*(t)).$$

# The Virtually small spectral package w.r. to a Morse function $f$ .

- Reilich- Kato theory put eigenvalues of  $\Delta_q^f(t)$  in families  $\lambda_\alpha^q(t)$ , real analytic in  $t$  for  $-\infty < t < \infty$
- Witten Theorem selects exactly  $N_q$  of them are convergent to 0 when  $t \rightarrow \infty$  (all others are go to  $\infty$ ). Call them the "small families"

$$\lambda_i^q(t) \quad i \leq 1 \leq N_q.$$

- The corresponding set of eigenforms define the finite dimensional subcomplex  $(\Omega_{sm}^*(t), d(t)) \subset (\Omega^*, d(t))$  depending analytically in  $t$  which coincides with the one specified before for  $t > T_0$ .

- The integration  $Int^*(t) : (\Omega^*(M), d^*(t)) \rightarrow (C^*(M, X), \delta_X^*)$  restricts to

$$Int^*(t) : (\Omega_{sm}^*(M)(t), d^*(t)) \rightarrow (C^*, \delta^*)$$

a real analytic family of quasi-isomorphisms of f.d. cochain complexes .

## Definition

The collection

$$\mathbb{S}_{q, vsm}(M, g, f) := \{\lambda_i^q(0), \omega_i^q(0) \in \Omega^q(M)\}$$

for  $1 \leq i \leq N_q$ ,  $0 \leq q \leq \dim M$  defines the **virtually small spectral package** of the Riemannian manifold  $(M, g)$  w.r. to the Morse function  $f$ .

## TO WHAT EXTENT $\mathbb{S}_{q, vsm}(M, g, f)$ CARRY RELEVANT GEOMETRIC AND TOPOLOGICAL INFORMATION

Suppose  $(M, g)$  is a closed Riemannian manifold and  $f$  a Morse-Smale function.

One says  $f$  is **good** if every  $C^2$ -close enough Morse-Smale function  $g$  has the same virtually small spectral package.

## Theorem

*The meromorphic function  $a(t)$  has an holomorphic extension to a neighborhood of the real line no poles or zeros.*

## Theorem

- 1.  $\beta_q$  of the  $N_q$  functions  $\lambda_i^q(t)$  are identically zero.*
- 2.  $T_{Re} = \prod_{q=0}^{\dim M} (\prod_{i=1}^{N_q} \lambda_i^q)^{i(-1)^i} \cdot a(0)$*
- 3. The set of good Morse-Smale functions is open and dense in the  $C^r$  topology for  $r \geq 2$ .*

## CONJECTURES:

- A. All Morse-Smale functions are good.
- B. The virtually eigenfunctions of Max Warjewski are exactly the virtually small eigenfunctions of some Morse function.
- C. There exist estimates of type Cheeger-Busser for the virtually small eigenfunctions.