

Cleveland State University

# **Graphs on surfaces and knot theory**

*Sergei Chmutov*

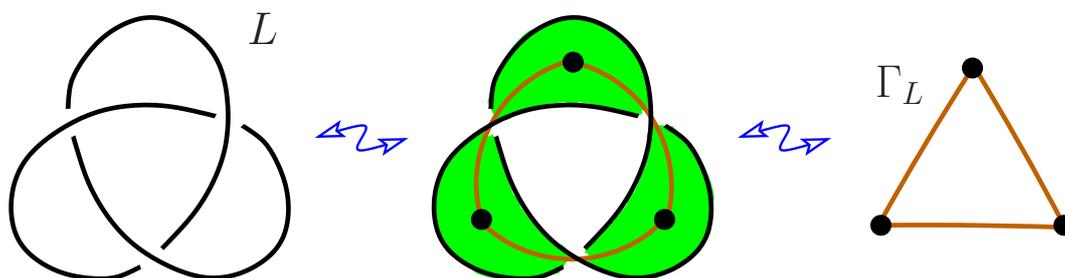
The Ohio State University, Mansfield

Friday, April 11, 2008

3:00 — 4:00 p.m.

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Up to a sign and a power of  $t$  the Jones polynomial  $V_L(t)$  of an alternating link  $L$  is equal to the Tutte polynomial  $T_{\Gamma_L}(-t, -t^{-1})$ .



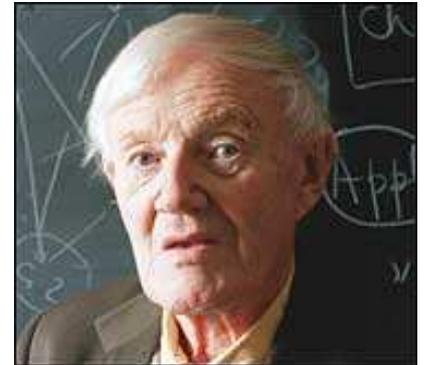
$$\begin{aligned} V_L(t) &= t + t^3 - t^4 \\ &= -t^2(-t^{-1} - t + t^2) \end{aligned}$$

$$\begin{aligned} T_{\Gamma_L}(x, y) &= y + x + x^2 \\ T_{\Gamma_L}(-t, -t^{-1}) &= -t^{-1} - t + t^2 \end{aligned}$$

# The Tutte polynomial

Let  $\bullet F$  be a graph;

- $v(F)$  be the number of its vertices;
- $e(F)$  be the number of its edges;
- $k(F)$  be the number of components of  $F$ ;
- $r(F) := v(F) - k(F)$  be the *rank* of  $F$ ;
- $n(F) := e(F) - r(F)$  be the *nullity* of  $F$ ;



$$T_{\Gamma}(x, y) := \sum_{F \subseteq E(\Gamma)} (x - 1)^{r(\Gamma) - r(F)} (y - 1)^{n(F)}$$

## Properties.

$T_{\Gamma} = T_{\Gamma - e} + T_{\Gamma/e}$  if  $e$  is neither a bridge nor a loop ;

$T_{\Gamma} = xT_{\Gamma/e}$  if  $e$  is a bridge ;

$T_{\Gamma} = yT_{\Gamma - e}$  if  $e$  is a loop ;

$T_{\Gamma_1 \sqcup \Gamma_2} = T_{\Gamma_1 \cdot \Gamma_2} = T_{\Gamma_1} \cdot T_{\Gamma_2}$  for a disjoint union,  $G_1 \sqcup G_2$   
and a one-point join,  $G_1 \cdot G_2$  ;

$T_{\bullet} = 1$  .

$T_{\Gamma}(1, 1)$  is the number of spanning trees of  $\Gamma$  ;

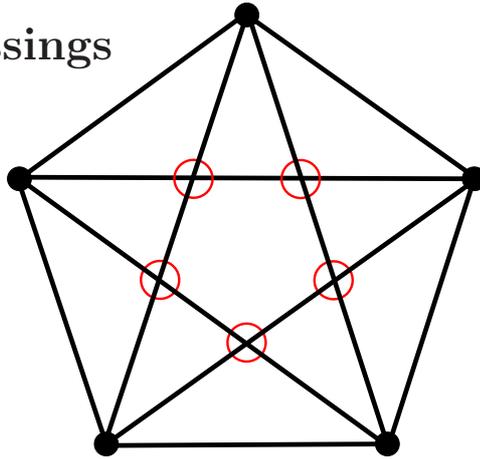
$T_{\Gamma}(2, 1)$  is the number of spanning forests of  $\Gamma$  ;

$T_{\Gamma}(1, 2)$  is the number of spanning connected subgraphs of  $\Gamma$  ;

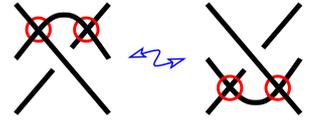
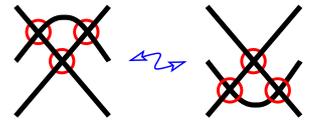
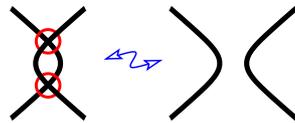
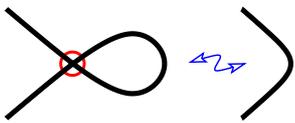
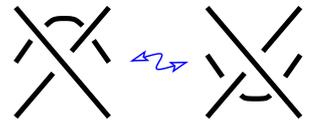
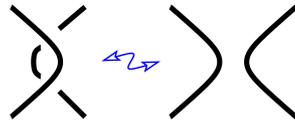
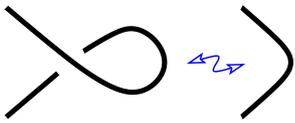
$T_{\Gamma}(2, 2) = 2^{|E(\Gamma)|}$  is the number of spanning subgraphs of  $\Gamma$  .

# Virtual links

Virtual crossings

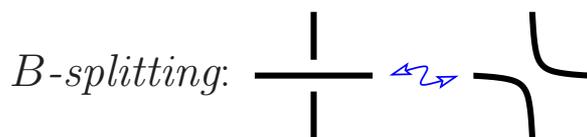
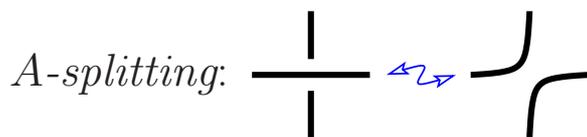


Reidemeister moves



# The Kauffman bracket

Let  $L$  be a virtual link diagram.



A *state*  $S$  is a choice of either  $A$ - or  $B$ -splitting at every classical crossing.

$$\alpha(S) = \#(\text{of } A\text{-splittings in } S)$$

$$\beta(S) = \#(\text{of } B\text{-splittings in } S)$$

$$\delta(S) = \#(\text{of circles in } S)$$

$$[L](A, B, d) := \sum_S A^{\alpha(S)} B^{\beta(S)} d^{\delta(S)-1}$$

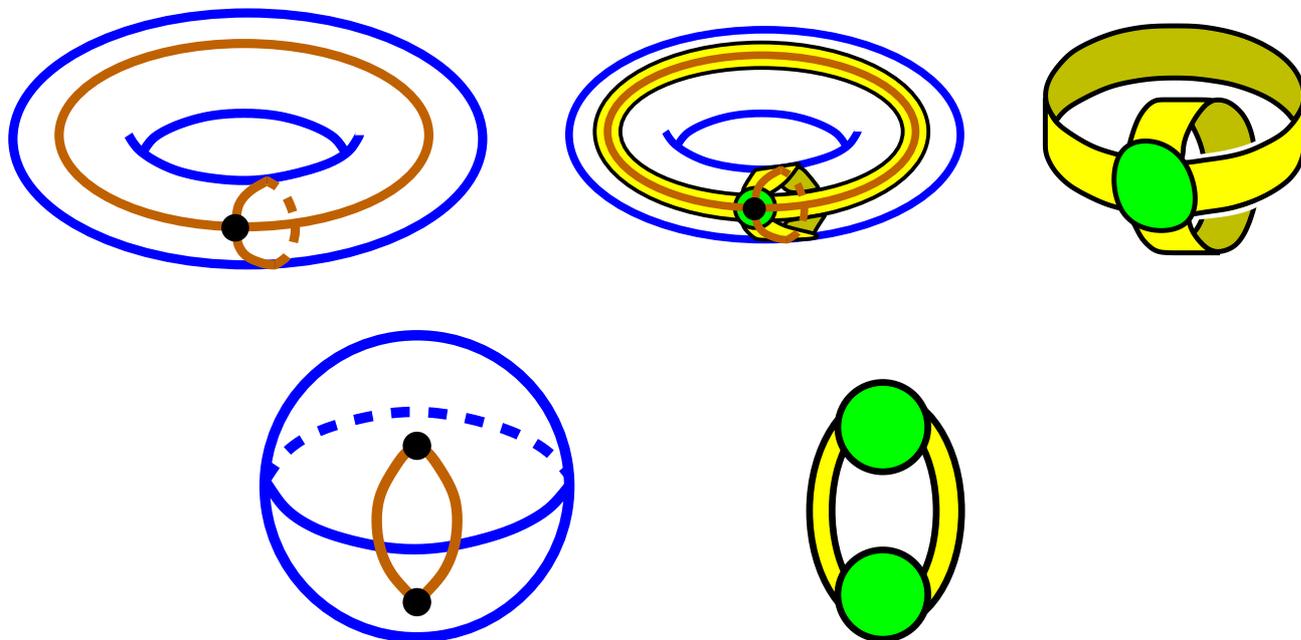
$$J_L(t) := (-1)^{w(L)} t^{3w(L)/4} [L](t^{-1/4}, t^{1/4}, -t^{1/2} - t^{-1/2})$$

## Example

$(\alpha, \beta, \delta)$	$(3, 0, 1)$	$(2, 1, 2)$	$(2, 1, 2)$	$(1, 2, 1)$
	$(2, 1, 2)$	$(1, 2, 1)$	$(1, 2, 3)$	$(0, 3, 2)$

$$[L] = A^3 + 3A^2Bd + 2AB^2 + AB^2d^2 + B^3d; \quad J_L(t) = 1$$

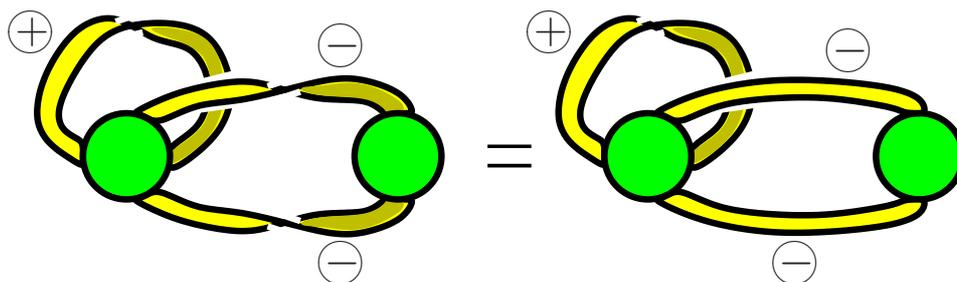
# Graphs on surfaces



# Ribbon graphs

A ribbon graph  $G$  is a surface represented as a union of vertices-discs  and edges-ribbons 

- discs and ribbons intersect by disjoint line segments,
- each such line segment lies on the boundary of precisely one vertex and precisely one edge;
- every edge contains exactly two such line segments.



# The Bollobás-Riordan polynomial

Let  $\bullet$   $F$  be a ribbon graph;

- $v(F)$  be the number of its vertices;
- $e(F)$  be the number of its edges;
- $k(F)$  be the number of components of  $F$ ;
- $r(F) := v(F) - k(F)$  be the *rank* of  $F$ ;
- $n(F) := e(F) - r(F)$  be the *nullity* of  $F$ ;
- $\text{bc}(F)$  be the number of boundary components of  $F$ ;
- $s(F) := \frac{e_-(F) - e_-(\bar{F})}{2}$ .

$$R_G(x, y, z) :=$$

$$\sum_F x^{r(G) - r(F) + s(F)} y^{n(F) - s(F)} z^{k(F) - \text{bc}(F) + n(F)}$$

Relations to the Tutte polynomial.

$$R_G(x - 1, y - 1, 1) = T_G(x, y)$$

If  $G$  is planar (genus zero):

$$R_G(x - 1, y - 1, z) = T_G(x, y)$$

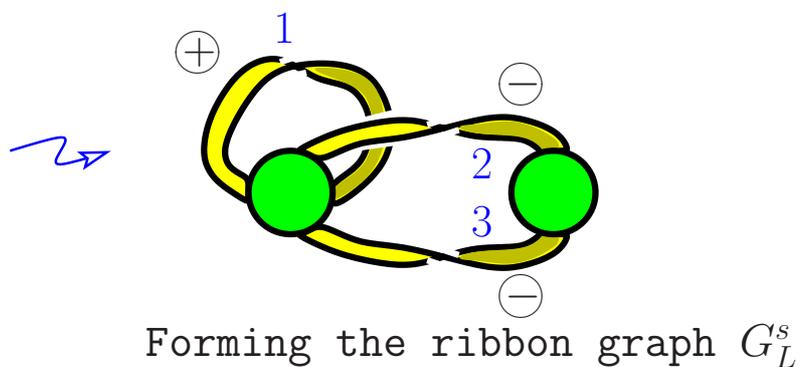
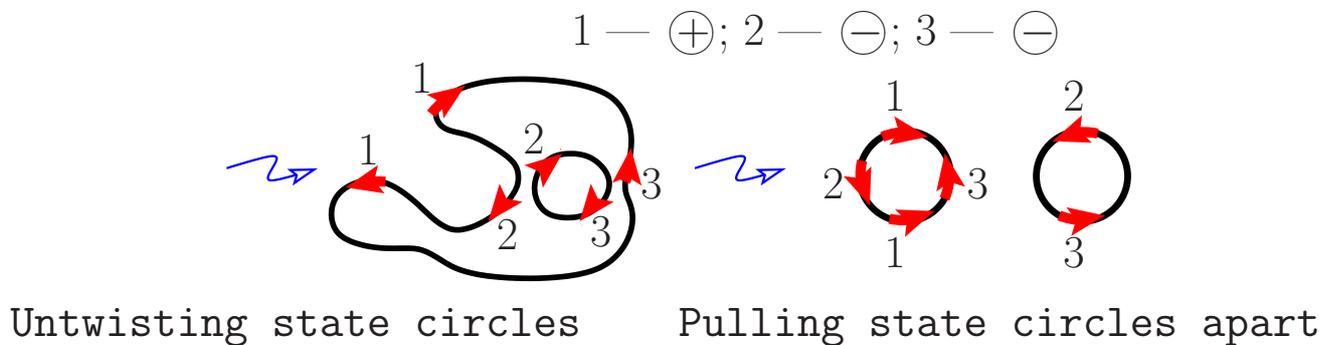
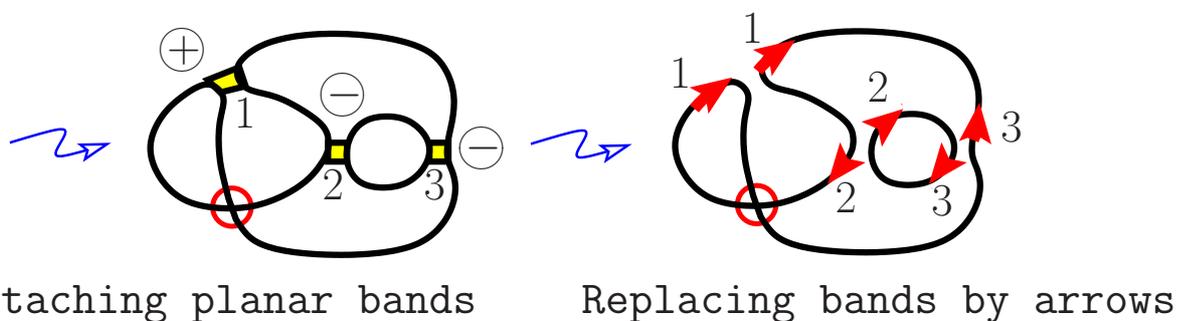
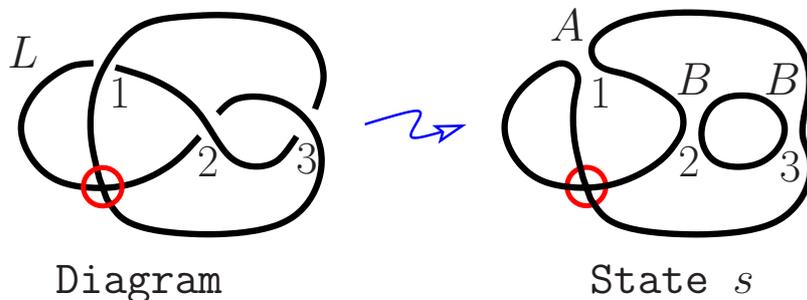
**Example.**

$(k, r, n, bc, s)$	$(1, 1, 1, 2, 1)$	$(1, 1, 0, 1, 0)$	$(1, 1, 0, 1, 0)$	$(2, 0, 0, 2, -1)$
	$(1, 1, 2, 1, 1)$	$(1, 1, 1, 1, 0)$	$(1, 1, 1, 1, 0)$	$(2, 0, 1, 2, -1)$

- $r(F) := v(F) - k(F)$ ;
- $n(F) := e(G) - r(F)$ ;
- $bc(F)$  is the number of boundary components;
- $s(F) := \frac{e_-(F) - e_-(\bar{F})}{2}$ .

$$R_G(x, y, z) = x + 2 + y + xyz^2 + 2yz + y^2z .$$

# Construction of a ribbon graph from a virtual link diagram



# Theorem [Ch]

*Let  $L$  be a virtual link diagram with  $e$  classical crossings,  $G_L^s$  be the signed ribbon graph corresponding to a state  $s$ , and  $v := v(G_L^s)$ ,  $k := k(G_L^s)$ . Then  $e = e(G_L^s)$  and*

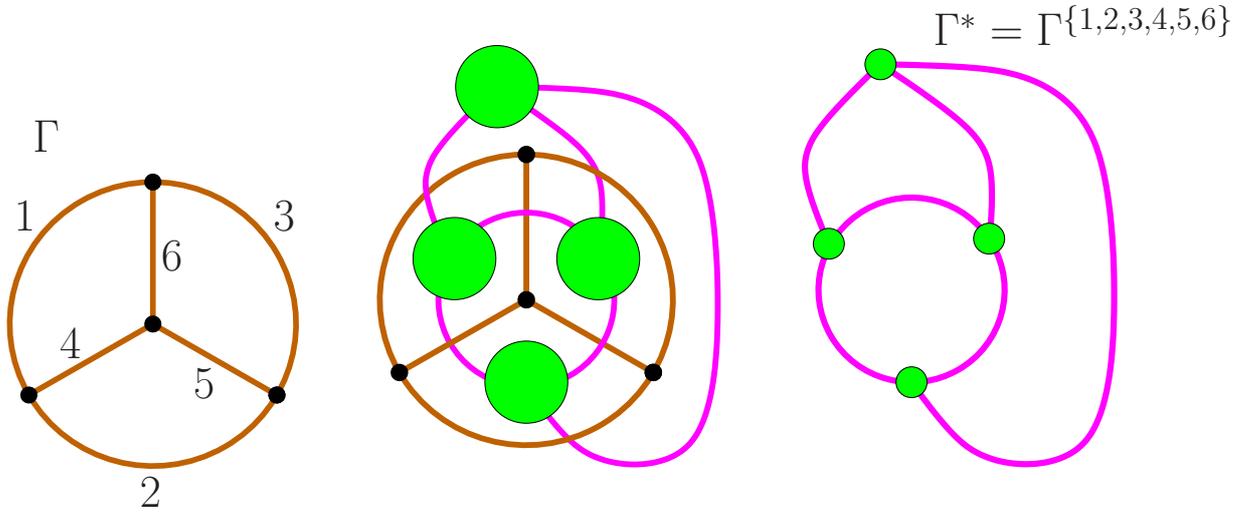
$$[L](A, B, d) = A^e \left( x^k y^v z^{v+1} R_{G_L^s}(x, y, z) \Big|_{x=\frac{Ad}{B}, y=\frac{Bd}{A}, z=\frac{1}{d}} \right) .$$

## **Idea of the proof.**

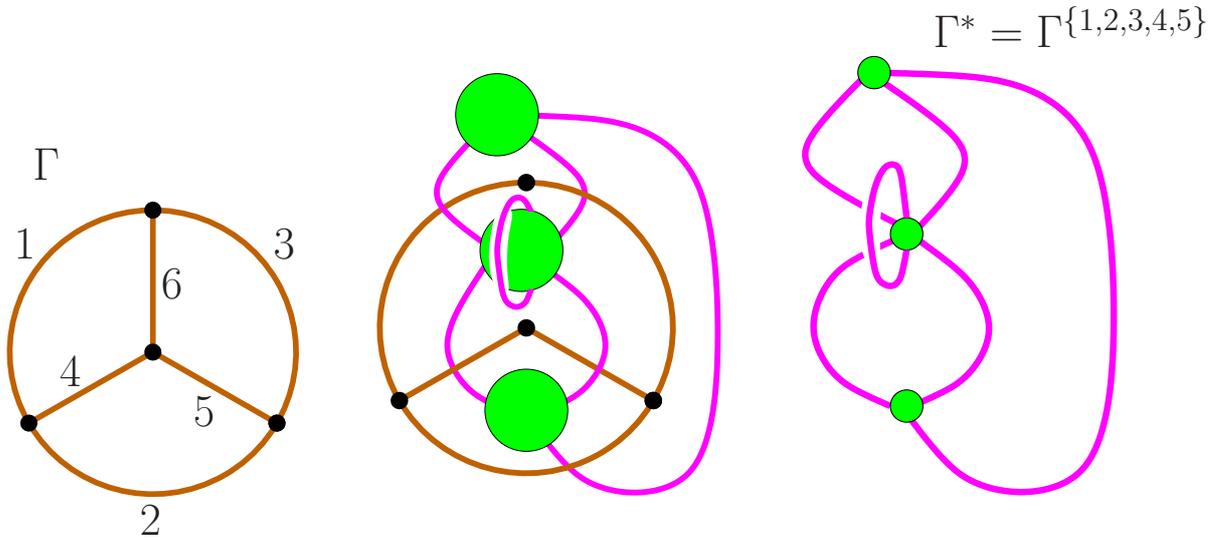
One-to-one correspondence between states  $s'$  of  $L$  and spanning subgraphs  $F'$  of  $G_L^s$ :

*An edge  $e$  of  $G_L^s$  belongs to the spanning subgraph  $F'$  if and only if the corresponding crossing was split in  $s'$  differently comparably with  $s$ .*

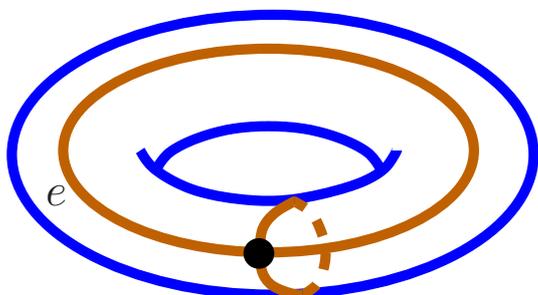
# Duality



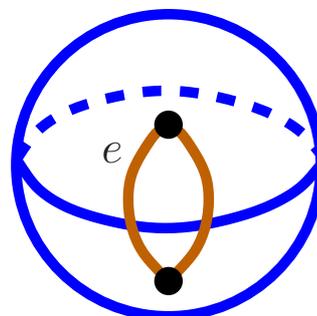
# Generalized duality



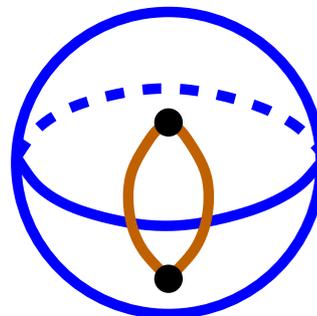
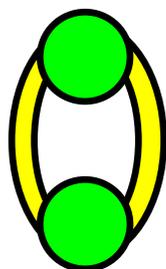
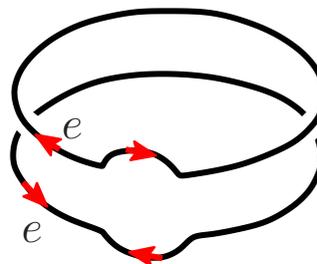
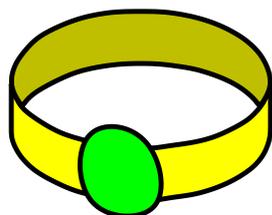
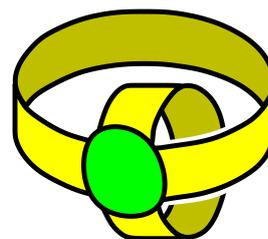
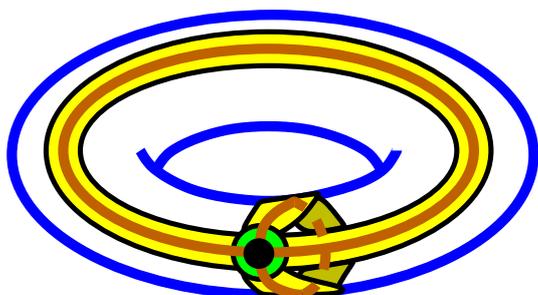
# Examples



Graph  $\Gamma$  on a torus



Dual graph  $\Gamma^{\{e\}}$  with respect to the edge  $e$  is embedded into a sphere





# Duality theorem [Ch]

*For any choice of the subset of edges  $E'$ . the restriction of the polynomial  $x^{k(G)}y^{v(G)}z^{v(G)+1}R_G(x, y, z)$  to the surface  $xyz^2 = 1$  is invariant under the generalized duality:*

$$x^{k(G)}y^{v(G)}z^{v(G)+1}R_G(x, y, z) \Big|_{xyz^2=1} = x^{k(G')}y^{v(G')}z^{v(G')+1}R_{G'}(x, y, z) \Big|_{xyz^2=1}$$

*where  $G' := G^{E'}$ .*

**Idea of the proof.**

$$x^{k(G)}y^{v(G)}z^{v(G)+1}R_G(x, y, z) = \sum_F M_G(F)$$

One-to-one correspondence  $E(G) \supseteq F \leftrightarrow F' \subseteq E(G')$ :

*An edge  $e$  of  $G'$  belongs to the spanning subgraph  $F'$  if and only if either  $e \in E'$  and  $e \notin F$ , or  $e \notin E'$  and  $e \in F$ .*

$$M_G(F) \Big|_{xyz^2=1} = M_{G'}(F') \Big|_{xyz^2=1},$$

**Corollary**

*Let  $G$  be a connected plane ribbon graph, i.e. its underlying graph  $\Gamma$  is embedded into the plane. Then*

$$T_\Gamma(x, y) = T_{\Gamma^*}(y, x)$$

**Theorem of [CP]:** The state  $s$  comes from a checkerboard coloring of the diagram  $L$ .

**Theorem of [CV]:** The state  $s$  is the Seifert state, i.e. all splittings preserve the orientation of  $L$ .

**Theorem of [DFKLS]:** The state  $s = s_A$ , i.e. all splittings are  $A$ -splittings.

## References

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