

# In memory of Sergei Duzhin (1956—2015)

Sergei Chmutov

Ohio State University, Mansfield

## **Knots in Washington XL**

Tuesday, March 10, 2015  
10:00–11:00am

Sergei Duzhin (1956—2015).



June 17, 1956 — February 1, 2015

Born: June 17, 1956. Davyd Haradok, Belarus.



1957

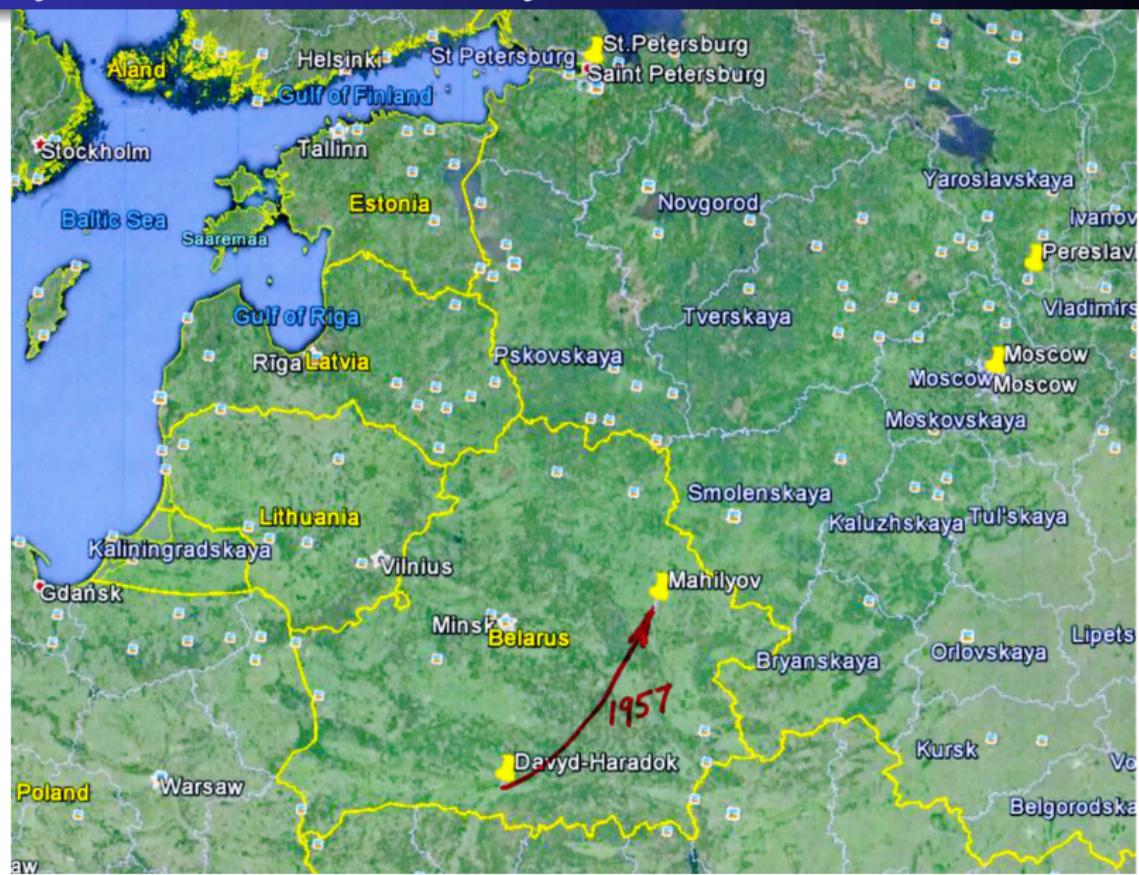


1959

Vasilii Nikanorovich Duzhin, 1921-1998

Nadezhda Vasilievna Silivestrova, 1923-1985

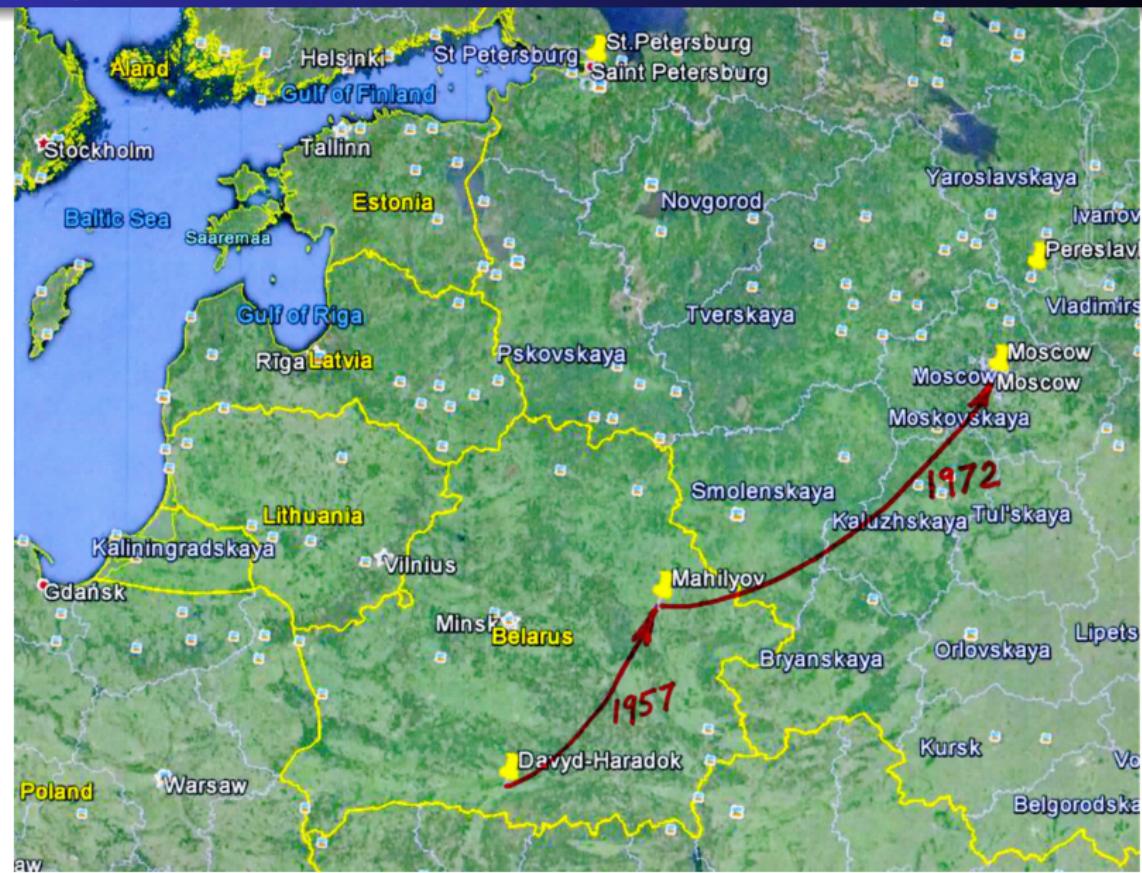
# Davyd Haradok → Mahilyov, 1957.



Mahilyov, Belarus, 1960.



# Mahilyov → Moscow, 1972.



Moscow, 1972-1981.

## Moscow State University.

Undergraduate: 1972 —1978.

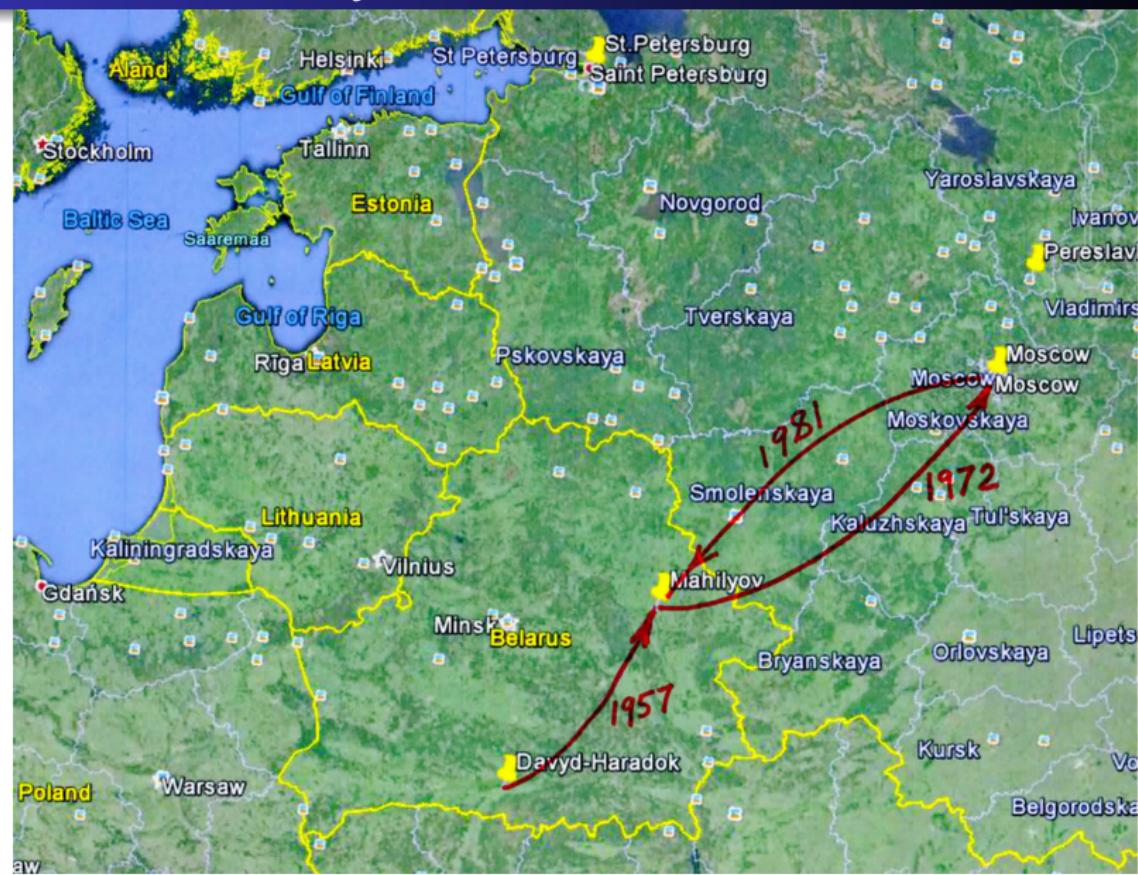
Ph.D. program: 1978 — 1981.

Ph.D. thesis: “On some versions of  
the C-spectral sequence”.



A.M.Vinogradov  
University of Salerno (Italy)

# Moscow → Mahilyov, 1981.

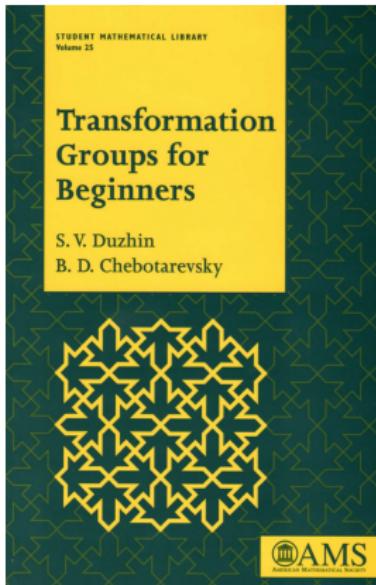


# Mahilyov, 1981-1985.

## Mahilyov Pedagogical Institute.

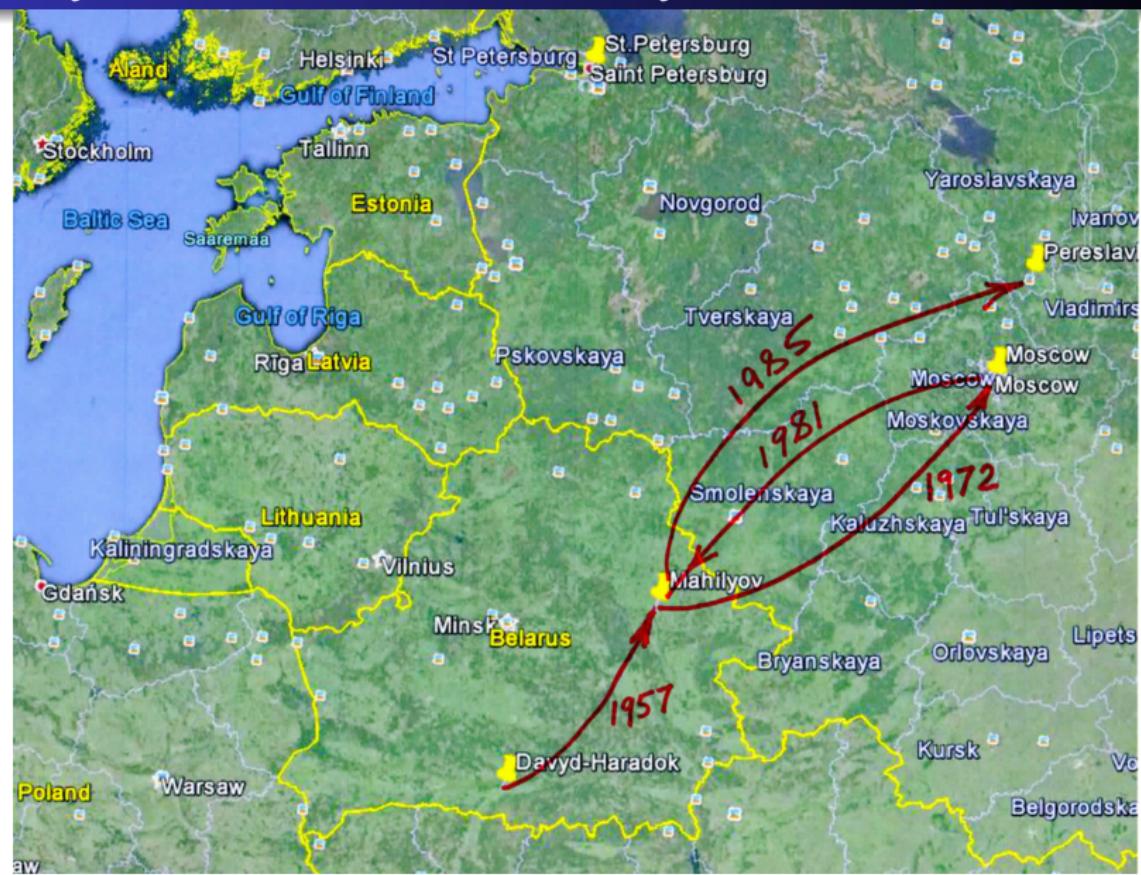


1988



2004

# Mahilyov → Pereslavl-Zalessky, 1985.



## Program Systems Institute of the Russian Academy of Sciences.

A.M.Vinogradov: Laboratory of Problems of Big Dimension.  
S.V.Duzhin: Laboratory of Problems of Small Dimension.



# Pereslavl-Zalessky, 1985-1998.



# Sergei Duzhin



Sergei Chmutov

In memory of Sergei Duzhin (1956—2015)

# Vassiliev knot invariants.

Vassiliev skein relation:  $v(\text{---}) := v(\text{---}) - v(\text{---})$ .

**Definition.** A knot invariant  $v$  is a *Vassiliev invariant* of order (or degree)  $\leq n$  if its extension vanishes on all singular knots with more than  $n$  double points:

$$v\left(\underbrace{\text{---} \quad \text{---} \quad \dots \quad \text{---}}_{>n}\right) = 0.$$

$$\mathcal{V}_0 \subseteq \mathcal{V}_1 \subseteq \mathcal{V}_2 \subseteq \dots \subseteq \mathcal{V}_n \subseteq \mathcal{V}_{n+1} \subseteq \dots \subseteq \mathcal{V} := \bigcup_{n=0}^{\infty} \mathcal{V}_n.$$

# Vassiliev invariants. Example: Conway polynomial.

$$\nabla(\text{Diagram with crossing}) - \nabla(\text{Diagram with crossing}) = z \nabla(\text{Diagram with local move}) ; \quad \nabla(\text{Diagram with local move}) = 1 .$$

$$\nabla(K) = 1 + c_2(K)z^2 + c_4(K)z^4 + \dots .$$

$$\nabla(\text{Diagram with crossing}) = z \nabla(\text{Diagram with local move}) .$$

$$\text{ord}(c_{2k}) \leq 2k$$

# Vassiliev invariants. Chord diagrams.



**Lemma.** *The value of  $v(K)$  of a Vassiliev invariant  $v$  of order  $\text{ord}(v) \leq n$  on a knot  $K$  with  $n$  double points depends only on the chord diagram of  $K$ .*

**Example.**  $n=2$



$$v(K_1) = v(K_2)$$

**Definition.** The symbol  $w_v$  of  $v$  is a restriction of  $v$  to the set of knots with precisely  $n$  double points, considered as a function on the set of chord diagrams.

# Vassiliev invariants. One- and four-term relations.

$$w_v(\text{Diagram}) = v(\text{Diagram}) = v(\text{Diagram}) - v(\text{Diagram}) = 0,$$

$$w_v(\text{Diagram}) - w_v(\text{Diagram}) + w_v(\text{Diagram}) - w_v(\text{Diagram}) = 0.$$

$$v(\text{Diagram}) - v(\text{Diagram}) + v(\text{Diagram}) - v(\text{Diagram})$$

# Vassiliev invariants. Proof of (4T).

$$v\left(\begin{array}{c} \text{Diagram 1} \\ \text{with two blue circles} \end{array}\right) - v\left(\begin{array}{c} \text{Diagram 2} \\ \text{with two blue circles} \end{array}\right) + v\left(\begin{array}{c} \text{Diagram 3} \\ \text{with two blue circles} \end{array}\right) - v\left(\begin{array}{c} \text{Diagram 4} \\ \text{with two blue circles} \end{array}\right)$$

$$v\left(\begin{array}{c} \text{Diagram 1} \\ \text{with two blue circles} \end{array}\right) - v\left(\begin{array}{c} \text{Diagram 2} \\ \text{with two blue circles} \end{array}\right) - v\left(\begin{array}{c} \text{Diagram 3} \\ \text{with two blue circles} \end{array}\right) + v\left(\begin{array}{c} \text{Diagram 4} \\ \text{with two blue circles} \end{array}\right)$$

$$v\left(\begin{array}{c} \text{Diagram 1} \\ \text{with two blue circles} \end{array}\right) - v\left(\begin{array}{c} \text{Diagram 2} \\ \text{with two blue circles} \end{array}\right) - v\left(\begin{array}{c} \text{Diagram 3} \\ \text{with two blue circles} \end{array}\right) + v\left(\begin{array}{c} \text{Diagram 4} \\ \text{with two blue circles} \end{array}\right)$$

$$= 0$$

# Vassiliev invariants. Weight systems.

**Definition.** A *weight system*  $w$  of order  $n$  is a function on the set of chord diagrams with  $n$  chords which satisfies (1T) and (4T) relations.

$$w(\text{Diagram}) = 0$$
$$w(\text{Diagram}) - w(\text{Diagram}) + w(\text{Diagram}) - w(\text{Diagram}) = 0 .$$

**Theorem.** [M. Kontsevich'93] Any weight system is a symbol of an appropriate Vassiliev invariant of order  $\leq n$ .

# Vassiliev invariants. Algebra of chord diagrams.

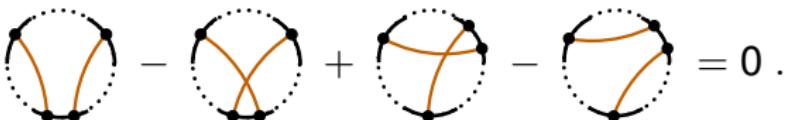
**Definition.**  $\mathcal{A}_n$  is  $\mathbb{C}$ -vector space spanned by chord diagrams modulo (1T) and (4T) relations:

(1T)



$$= 0$$

(4T)



$$\mathcal{V}_n / \mathcal{V}_{n-1} \cong (\mathcal{A}_n)^*$$

Journal of Knot Theory and Its Ramifications, Vol. 3 No. 2 (1994) 141–151  
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## AN UPPER BOUND FOR THE NUMBER OF VASSILIEV KNOT INVARIANTS

S. V. CHMUTOV,\* S. V. DUZHIN†

**Theorem.**  $\dim \mathcal{A}_n \leqslant (n - 1)!$

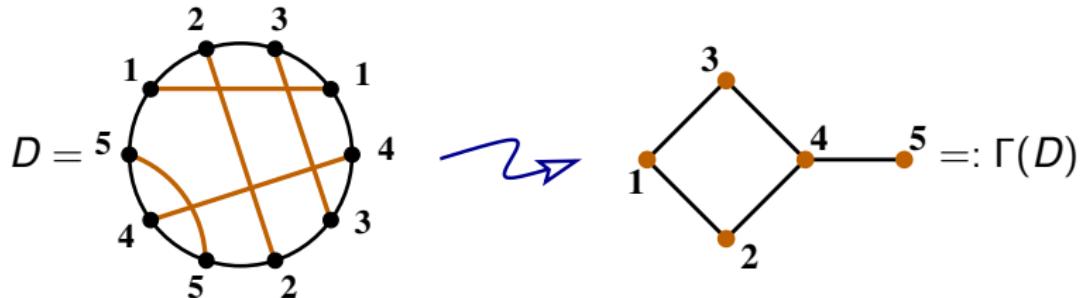
**Proof.**  $D \sim$



# Vassiliev invariants. Upper bound.

- S. Chmutov and S. Duzhin'94:  
$$\dim \mathcal{A}_n < (n-1)! \approx \sqrt{2\pi n} \left(\frac{n}{e}\right)^n.$$
- K. Ng'98:  $\dim \mathcal{A}_n < (n-2)!/2.$
- A. Stoimenow'98:  $\dim \mathcal{A}_n < n!/a^n$ , where  $a = 1.1$ .
- B. Bollobás and O. Riordan'00:  
$$\dim \mathcal{A}_n < n!/(2 \ln(2) + o(1))^n \approx n!/1.38^n.$$
- D. Zagier'01;  $\dim \mathcal{A}_n < \frac{6^n \sqrt{n} \cdot n!}{\pi^{2n}} \lesssim n!/a^n$  for  
 $a < \pi^2/6 = 1.644....$

# Vassiliev invariants. Intersection graph.



**Intersection Graph Conjecture.** If  $D_1$  and  $D_2$  are two chord diagrams with  $\Gamma(D_1) = \Gamma(D_2)$ , then  $D_1 = D_2$  as elements of  $\mathcal{A}$  (that is, modulo  $4T$ ).

**Observation.** Chromatic polynomial of  $\Gamma(D)$  is a weight system.

# Vassiliev invariants. CDL.

ADVANCES IN SOVIET MATHEMATICS  
Volume 21, 1994

## **Vassiliev Knot Invariants** **I. Introduction**

S. V. CHMUTOV, S. V. DUZHIN, AND S. K. LANDO

## **Vassiliev Knot Invariants** **II. Intersection Graph Conjecture for Trees**

S. V. CHMUTOV, S. V. DUZHIN, AND S. K. LANDO

## **Vassiliev Knot Invariants** **III. Forest Algebra and Weighted Graphs**

S. V. CHMUTOV, S. V. DUZHIN, AND S. K. LANDO



Sergei Lando

**Theorem.** *The intersection Graph Conjecture holds when  $\Gamma(D)$  is a tree (forest).*

B. Mellor, *The intersection graph conjecture for loop diagrams*,  
J. Knot Theory Ramifications **9** (2000) 187–211.

**Counterexample** to the IGC in order 11: T. Le and H. Morton,  
P. Cromwell'96.

S. Chmutov, S. Lando, *Mutant knots and intersection graphs*,  
Algebr. Geom. Topol. **7** (2007) 1579–1598.

# CDL3. Chromatic polynomial $\chi_{\Gamma}(x)$ .

**Definition 1.**  $\chi_{\Gamma}(x) = \#$  of proper colorings of  $\Gamma$  in  $x$  colors.

**Definition 2.**

$$\chi_{\Gamma} = \chi_{\Gamma - e} - \chi_{\Gamma/e} ;$$

$$\chi_{\Gamma_1 \sqcup \Gamma_2} = \chi_{\Gamma_1} \cdot \chi_{\Gamma_2}, \quad \text{for a disjoint union } \Gamma_1 \sqcup \Gamma_2 ;$$

$$\chi_{\bullet} = x .$$

**Example.**

$$\chi_{\triangle} = x(x-1)(x-2).$$

# CDL3. Weighted Chromatic Polynomial $\tilde{\chi}_{\tilde{\Gamma}}$ .

*Weighted graph*  $\tilde{\Gamma}$  is a vertex-weighted by natural numbers graph without loops and multiple edges.

**Definition.**  $\tilde{\chi}_{\tilde{\Gamma}} = \tilde{\chi}_{\tilde{\Gamma}-e} + \tilde{\chi}_{\tilde{\Gamma}/e}$  ;

$\tilde{\chi}_{\tilde{\Gamma}_1 \sqcup \tilde{\Gamma}_2} = \tilde{\chi}_{\tilde{\Gamma}_1} \cdot \tilde{\chi}_{\tilde{\Gamma}_2}$ , for a disjoint union  $\tilde{\Gamma}_1 \sqcup \tilde{\Gamma}_2$  ;

$\tilde{\chi}_{\bullet_w} = s_w$  .

**Example.**

$$\begin{aligned}\chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 1 & 1\end{array} &= \chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 1 & 2\end{array} + \chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 2 & 1\end{array} = \chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 2 & 1\end{array} + 2\chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 1 & 2\end{array} = \chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 1 & 2\end{array} + 3\chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 1 & 2 \\ & & 3\end{array} + 2\chi \begin{array}{c} \bullet^1 \\ \diagup \quad \diagdown \\ \bullet^1 & \bullet^1 \\ \diagdown & \diagup \\ \bullet^1 & \bullet^1 & \bullet^1 \\ | & | & | \\ 1 & 2 & 3\end{array} \\ &= s_1^3 + 3s_1s_2 + 2s_3 \left| \begin{array}{l} s_1 = x \\ s_2 = -x \\ s_3 = x \end{array} \right. = x(x-1)(x-2) .\end{aligned}$$

Richard Stanley, *A symmetric function generalization of the chromatic polynomial of a graph*, Advances in Math. **111**(1) (1995) 166–194.

**Definition.**  $X_\Gamma(x_1, x_2, \dots) = \sum_{\varkappa: V(\Gamma) \rightarrow \mathbb{N} \text{ proper}} \prod_{v \in V(\Gamma)} x_{\varkappa(v)}$ .

$$p_n := \sum_{i=1}^{\infty} x_i^n.$$

**Example.**  $X_{\triangle} = \sum_{i \neq j \neq k \neq i} x_i x_j x_k = \sum_{i \neq j} x_i x_j (p_1 - x_i - x_j)$

$$= p_1 \sum_{i \neq j} x_i x_j - \sum_{i \neq j} x_i^2 x_j - \sum_{i \neq j} x_i x_j^2$$

$$= p_1 \sum_i x_i (p_1 - x_i) - \sum_i x_i^2 (p_1 - x_i) - \sum_i x_i (p_2 - x_i^2)$$

$$= p_1^3 - p_1 p_2 - p_1 p_2 + p_3 - p_2 p_1 + p_3 = \boxed{p_1^3 - 3p_1 p_2 + 2p_3}.$$

# Stanley's conjecture.

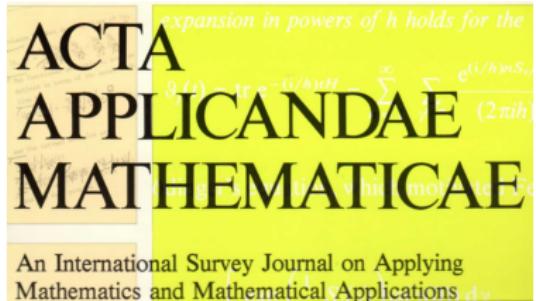
**Conjecture.** *Symmetric Chromatic Polynomial  $X_\Gamma$  distinguishes trees.*

S. Noble, D. Welsh, *A weighted graph polynomial from chromatic invariants of knots*, Annales de l'institut Fourier **49**(3) (1999) 1057–1087.

**Theorem.**  $X_\Gamma(p_1, p_2, \dots) = (-1)^{|V(\Gamma)|} \tilde{\chi}_{\tilde{\Gamma}}|_{s_i=-p_i}.$

Generalization of the weighted chromatic polynomial to graphs with loops in a sense of Tutte adding another variable responsible for loops.

# Kontsevich integral



Acta Applicandae Mathematicae 66: 155–190, 2001.  
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## The Kontsevich Integral

S. CHMUTOV\* and S. DUZHIN\*\*  
Program Systems Institute, Pereslavl-Zalesky, 152140, Russia. e-mail: {chmutov;duzhin}@boris.ru

2001

## ENCYCLOPEDIA OF MATHEMATICAL PHYSICS

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### Kontsevich Integral

S. CHMUTOV and S. DUZHIN, Petersburg Department of Steklov Institute of Mathematics, St. Petersburg, Russia

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#### Introduction

The Kontsevich integral was invented by Kontsevich (1993) as a tool to prove the fundamental theorem of the theory of finite-type (Vassiliev) invariants (see Bar-Natan [1994]). It provides an invariant exactly as strong as the regularity of all Vassiliev knot invariants.

The Kontsevich integral is defined for oriented tangles (either framed or unframed) in  $\mathbb{R}^3$ ; therefore, it is also defined in the particular cases of knots, links, and braids (see Figure 1).

As a starter, we give two examples where simple versions of the Kontsevich integral have a

straightforward geometrical meaning. In these examples, as well as in the general construction of the Kontsevich integral, we represent 3-space  $\mathbb{R}^3$  as the product of a real line  $\mathbb{R}$  with coordinate  $t$  and a complex plane  $\mathbb{C}$  with complex coordinate  $z$ .

**Example 1** The number of twists in a braid with two strings  $z_1(t)$  and  $z_2(t)$  placed in the slice  $0 \leq t \leq 1$  (see Figure 2) is equal to

$$\frac{1}{2\pi i} \int_0^1 \frac{dz_1 - dz_2}{z_1 - z_2}$$



Figure 1 A tangle, a braid, a link, and a knot.

2006

Sergei Chmutov

In memory of Sergei Duzhin (1956–2015)

## TOPOLOGY AND ITS APPLICATIONS

Topology and its Applications 92 (1999) 201–223

A lower bound for the number of Vassiliev knot invariants

S. Chmutov<sup>1</sup>, S. Duzhin<sup>\*,1,2</sup>

Program Systems Institute, 152140 Pereslavl-Zalesky, Russia

Received 27 February 1997; received in revised form 8 September 1997

1999

M. Kontsevich [Ko]: "... using [BN] (Exercise 6.14) one can obtain the estimate

$$\dim(\mathcal{P}_n) > e^{c\sqrt{n}}, \quad n \rightarrow +\infty.$$

D. Bar-Natan [BN]: "Exercise 6.14. (Kontsevich, [Ko]). Using the correspondence like



$$10+1=4+3+2+2$$

show that  $\dim(\mathcal{P}_n)$  is greater or equal to the number of partitions of  $n + 1$ ."

# Vassiliev invariants. Lower bound.

- S. Chmutov, S. Duzhin, S Lando'94:  $\dim \mathcal{P}_n \geq 1$ .
- S.Chmutov, A. Varchenko'95:  $\dim \mathcal{P}_n \geq [n/2]$ .
- S. Duzhin'96:  $\dim \mathcal{P}_n \gtrsim n^2/96$ .
- S. Chmutov and S. Duzhin'99:  $\dim \mathcal{P}_n \gtrsim n^{\log n}$ .
- O. Dasbach'00:  $\dim \mathcal{P}_n \gtrsim e^{c\sqrt{n}}$  for any constant  $c < \pi\sqrt{2/3}$ .  
 $\dim \mathcal{A}_n \gtrsim e^{n/\log_b n}$  for any constant  $b < \pi^2/6$

S. Duzhin, A. Kaishev, S. Chmutov, 1998

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**Local and Global  
Problems of Singularity  
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Collected Papers in  
Honor of Sixtieth Birthday of  
Academician  
**Vladimir Igorevich Arnold**

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Sergei Chmutov

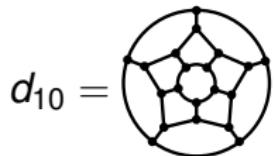
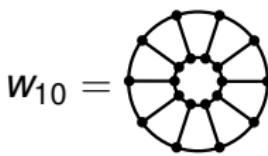
*Proceedings of the Steklov Institute of Mathematics, Vol. 221, 1998, pp. 157–196.  
From Trudy Matematicheskogo Instituta imeni V.A. Steklova, Vol. 221, 1998, pp. 168–196.  
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**The Algebra of 3-Graphs**

S.V. Duzhin, A.I. Kaishev, and S.V. Chmutov

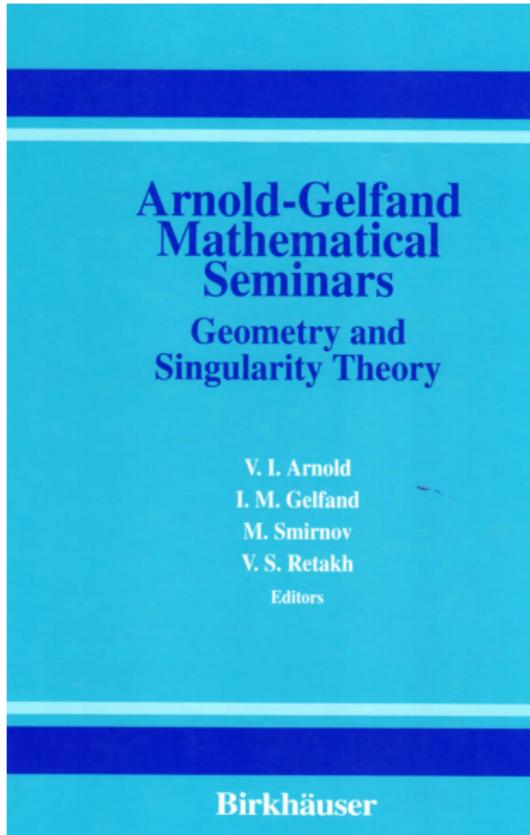
Received October, 1997

1999



In memory of Sergei Duzhin (1956—2015)

# Arnold's invariants of curves 1997



## Explicit formulas for Arnold's generic curve invariants

S. Chmutov and S. Duzhin<sup>1</sup>

### Abstract

We review the explicit formulas for Arnold's generic curve invariants due to Viro, Shumakovitch and Polyak and add some remarks concerning the invariants of spherical curves and curves immersed in arbitrary orientable surfaces.

### 1. Statement of the problem

A generic curve is a smooth immersion of the circle into the plane whose only singularities are transversal double points. Up to a diffeomorphism of the plane, how many generic closed curves are there?

One can immediately invent a host of invariants for generic plane curves, for example, the total number of double points, the Whitney index or the (unordered) sequence of edge numbers of the regions into which the curve divides the plane.

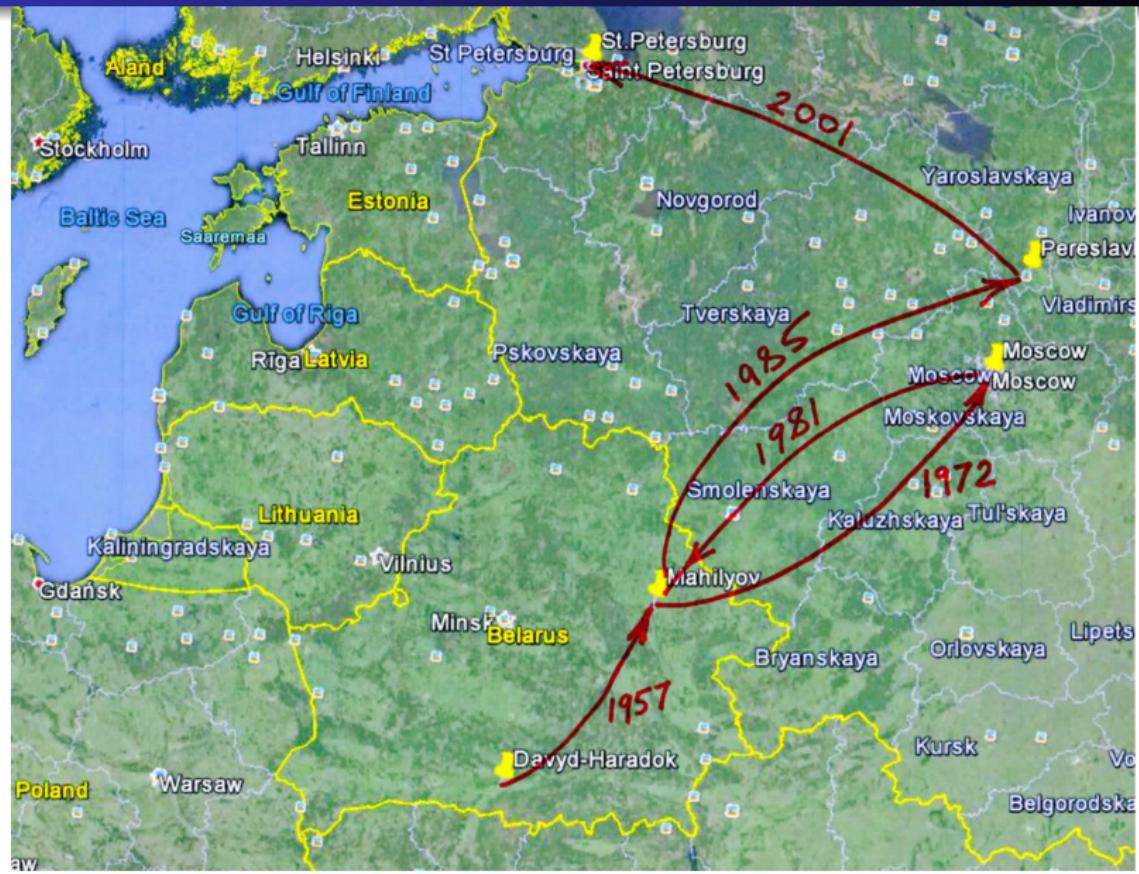


Figure 1: Two different curves with an equal number of double points ( $= 4$ ), equal Whitney numbers ( $= -3$ ) and equal sequences of edge numbers  $(6, 6, 1, 1, 1)$ .

The problem we will discuss is the study of invariants and the classification of plane curves and also curves immersed in orientable surfaces. Although this problem has a venerable history (it was first studied by Gauss), the most basic invariants of plane curves,  $J^+$ ,  $J^-$  and  $St$ , were only discovered in 1993 by V. I. Arnold who used V. Vassiliev's approach to topological invariants through discriminants and singularity theory.

<sup>1</sup>Both authors acknowledge financial support from the International Science Foundation and the Russian Foundation for Fundamental Research.

# Pereslavl-Zalessky → St.Petersburg, 2001.



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Sergei Chmutov

MOSCOW MATHEMATICAL JOURNAL  
Volume 3, Number 3, July–September 2003, Pages 881–888

## DECOMPOSABLE SKEW-SYMMETRIC FUNCTIONS

S. DUZHIN

To Professor Arnold with best wishes for his birthday

**ABSTRACT.** A skew-symmetric function  $F$  in several variables is said to be decomposable if it can be represented as a determinant  $\det(f_i(x_j))$  where  $f_i$  are univariate functions. We give a criterion of the decomposability in terms of a Plücker-type identity imposed on the function  $F$ .

2000 MATH. SUBJ. CLASS. 05E05, 13A07, 14M15, 15A15.

**KEY WORDS AND PHRASES.** Skew-symmetric function, determinant, decomposition, Plücker relation.

### 1. INTRODUCTION

Vladimir Igorevich Arnold to whom I dedicate this paper, is famous for his ability to discover new properties of everyday mathematical objects, like plane curves, continuous fractions or binary forms, the properties that Euler or Gauss might have well discovered in days bygone, but which for some reason were concealed from mathematicians until now. In the present note, I am trying to mimic the style of the great teacher, presenting to the reader a simple problem, complete with solution, which sounds fairly natural and old-fashioned, but, to the best of my knowledge, was not treated in due time by the classics like Lagrange [Lag], Cayley [Cay] or Sylvester [Syl].

It is true that the 3-term relation (3) introduced in this paper is quite similar in form to the classical Plücker relation

$$a_{kl}a_{mn} - a_{km}a_{ln} + a_{kn}a_{lm} = 0 \quad (1)$$

that gives a criterion for a bivector  $w = \sum_{ijkl} a_{ijkl} e_i \wedge e_j \in \Lambda^2 V$  to be decomposable as  $w = v_1 \wedge v_2$ . (Th. Muir in [Mu] traces the history of Plücker's relation as far as to a paper by Fontaine of 1748, where it appeared in the process of eliminating variables in the systems of linear equations.)

Likewise, one can observe that our  $k$ -term relations (5) are the analogue of higher Plücker relations (or quadratic  $p$ -relations, as they are called in [HP, vol. 1, Ch. VII,

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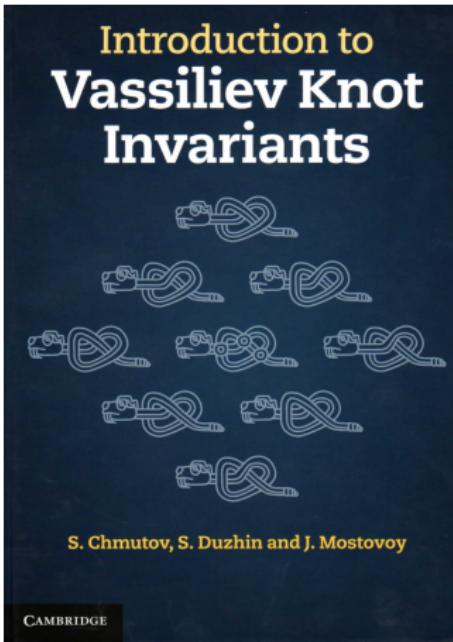
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## Sergei Duzhin. Later papers.

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