

## Definitions of matroids

References: J. Oxley [Ox], D. J. A. Welsh [Wel], H. Whitney [Wh].

### Independent sets.

A *matroid* is a pair  $M = (E, \mathcal{I})$  consisting of a finite set  $E$  and a nonempty collection  $\mathcal{I}$  of its subsets, called *independent sets*, satisfying the axioms:

- (I1) Any subset of an independent set is independent.
- (I2) If  $X$  and  $Y$  are independent and  $|X| = |Y| + 1$ , then there is an element  $x \in X - Y$  such that  $Y \cup x$  is independent.

### Circuits.

A *matroid* is a pair  $M = (E, \mathcal{C})$  consisting of a finite set  $E$  and a nonempty collection  $\mathcal{C}$  of its subsets, called *circuits*, satisfying the axioms:

- (C1) No proper subset of a circuit is a circuit.
- (C2) If  $C_1$  and  $C_2$  are distinct circuits and  $c \in C_1 \cap C_2$ , then  $(C_1 \cup C_2) - c$  contains a circuit.

### Bases.

A *matroid* is a pair  $M = (E, \mathcal{B})$  consisting of a finite set  $E$  and a nonempty collection  $\mathcal{B}$  of its subsets, called *bases*, satisfying the axioms:

- (B1) No proper subset of a base is a base.
- (B2) If  $B_1$  and  $B_2$  are bases and  $b_1 \in B_1 - B_2$ , then there is an element  $b_2 \in B_2 - B_1$  such that  $(B_1 - b_1) \cup b_2$  is a base.

### Rank function.

A *matroid* is a pair  $M = (E, r)$  consisting of a finite set  $E$  and a function  $r$ , *rank*, assigning a number to a subset of  $E$  and satisfying the axioms:

- (R1) The rank of an empty subset is zero.
- (R2) For any subset  $X$  and any element  $y \notin X$ ,

$$r(X \cup \{y\}) = \begin{cases} r(X), & \text{or} \\ r(X) + 1. \end{cases}$$

- (R3) For any subset  $X$  and two elements  $y, z$  not in  $X$ , if  $r(X \cup y) = r(X \cup z) = r(X)$ , then  $r(X \cup \{y, z\}) = r(X)$ .

### Properties.

- For an independent set  $X$ , the rank is equal to its cardinality,  $r(X) = |X|$ .
- Circuits are minimal dependent subsets.
- Circuits are the subsets  $X$  with  $r(X) = |X| - 1$ .
- A base is a maximal independent set.
- Rank of a subset  $X$  is equal to the cardinality of the maximal independent subset of  $X$ .
- All bases have the same cardinality which is called the *rank of matroid*,  $r(M)$ .
- Rank of a subset  $X$  is equal to the cardinality of the maximal independent subset of  $X$ .

### Examples.

1. The *cycle matroid*  $\mathcal{C}(G)$  of a graph  $G$ . The underlying set  $E$  is the set of edges  $E(G)$ . A subset  $X \subset E$  is independent if and only if it does not contain any cycle of  $G$ . A base consists of edges of a spanning forest of  $G$ . The rank function is given by  $r(X) := v(G) - k(X)$ , where  $v(G)$  is the number of vertices of  $G$  and  $k(X)$  is the number of connected components of the spanning subgraph of  $G$  consisting of all the vertices of  $G$  and edges of  $X$ .

2. The *bond matroid*  $\mathcal{B}(G)$  of a graph  $G$ . The circuits of  $\mathcal{B}(G)$  are the minimal edge cuts, also known as the *bonds* of  $G$ . These are minimal collections of the edges of  $G$  which, when removed

from  $G$ , increase the number of connected components. The rank  $r(X)$  is equal to the maximal number of edges deletion of which do not increase the number of connected components of the spanning subgraph with edges from  $X$ .

**3.** The *uniform matroid*  $U_{k,n}$  is a matroid on an  $n$ -element set  $E$  where all subsets of cardinality  $\leq k$  are independent. For the complete graph  $K_3$  with three vertices,  $\mathcal{C}(K_3) = U_{2,3}$ . The matroid  $U_{2,4}$  is not *graphical*. That is there is no any graph  $G$  such that  $\mathcal{C}(G) = U_{2,4}$ . It is also not *cographical*. That is there is no any graph  $G$  such that  $\mathcal{B}(G) = U_{2,4}$ .

**4.** A finite set of vectors in a vector space over a field  $\mathbb{F}$  has a natural matroid structure which is called *representable* (over  $\mathbb{F}$ ). We may think about the vectors as column vectors of a matrix. The rank function is the dimension of the subspace spanned by the subset of vectors, or the rank of the corresponding submatrix. The cycle matroid  $\mathcal{C}(G)$  is representable (over  $\mathbb{F}_2$ ). The correspondent matrix is the incidence matrix of  $G$ , i.e. the matrix whose  $(i, j)$ -th entry is 1 if and only if the  $i$ -th vertex is incident to the  $j$ -th edge. The uniform matroid  $U_{2,4}$  is not representable over  $\mathbb{F}_2$ , but it is representable over  $\mathbb{F}_3$ .

### Dual matroids.

Given any matroid  $M$ , there is a dual matroid  $M^*$  with the same underlying set and with the rank function given by  $r_{M^*}(H) := |H| + r_M(M \setminus H) - r(M)$ . In particular  $r(M) + r(M^*) = |M|$ . Any base of  $M^*$  is a complement to a base of  $M$ . The bond matroid of a graph  $G$  is dual to the cycle matroid of  $G$ :  $\mathcal{B}(G) := (\mathcal{C}(G))^*$ .

The Whitney planarity criteria [Wh] says that a graph  $G$  is planar if and only if its bond matroid  $\mathcal{B}(G)$  is graphical. In this case, it will be the cycle matroid of the dual graph,  $\mathcal{B}(G) = (\mathcal{C}(G))^* = \mathcal{C}(G^*)$ .

### Tutte polynomial.

$$T_M(x, y) := \sum_{X \subseteq E} (x-1)^{r(E)-r(X)} (y-1)^{n(X)}$$

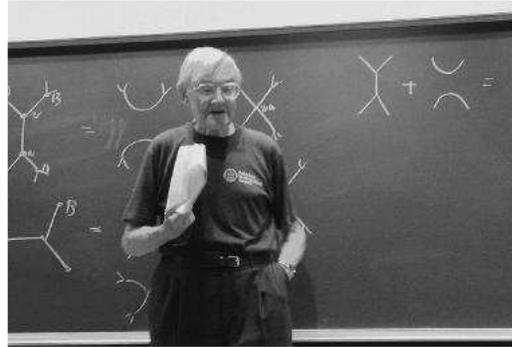


Fig. 1. W.T. Tutte Photograph taken by Michel Las Vergnas at the CRM workshop, Barcelona, September 2001. *Advances in Applied Math.*, **32** (2004) 1–2.

### REFERENCES

- [Ox] J. Oxley, *What is a matroid?*, preprint <http://www.math.lsu.edu/oxley/survey4.pdf>.
- [Wel] D. J. A. Welsh, *Matroid Theory*, Academic Press, London, New York, 1976.
- [Wh] H. Whitney, *On the abstract properties of linear dependence*, *Amer. J. Math.* **57**(3) (1935) 509–533.