

Part III - Model Theory - Review

6) a) $M \models$ any universal consequence of T

Show M embeds in a model of T .

WTS: $T \cup \text{Diag}(M)$ has a model.

Suppose not. Then \exists \mathcal{L} -formula $\phi(x_1, \dots, x_n)$ and $a_1, \dots, a_n \in M$
 \uparrow quantifier-free

st $T \cup \{\phi(a_1, \dots, a_n)\}$ is inconsistent.

So $T \models \neg \exists \bar{x} \phi(\bar{x})$

$T \models \forall \bar{x} \neg \phi(\bar{x})$ (Generalization)

So $M \models \forall \bar{x} \neg \phi(\bar{x})$, \checkmark .

b) M is a model of any existential consequence of T .

WTS: $\exists \mathcal{N} \models T$ that embeds in an elementary extension of M .

Goal: Find $\mathcal{N} \models T$ st $\text{Th}_M(M) \cup \text{Diag}(\mathcal{N})$
 \uparrow new constants

Step 1: Get right \mathcal{N} .

Let $\Sigma = \{\neg \exists \bar{x} \psi(\bar{x}) : M \models \neg \exists \bar{x} \psi(\bar{x}), \psi(\bar{x}) \text{ g.f. } \mathcal{L}\text{-formula}\}$.

WTS: $T \cup \Sigma$ has a model.

Fix $\psi_1(\bar{x}), \dots, \psi_n(\bar{x})$ g.f. st $\neg \exists \bar{x} \psi_i(\bar{x}) \in \Sigma \forall i$.

WTS $T \cup \{\neg \exists \bar{x} \psi_1(\bar{x}), \dots, \neg \exists \bar{x} \psi_n(\bar{x})\}$ is satisfiable.

Suppose not. Then $T \models \exists \bar{x} \psi_1(\bar{x}) \vee \dots \vee \exists \bar{x} \psi_n(\bar{x})$

i.e. $T \models \exists \bar{x} (\psi_1(\bar{x}) \vee \dots \vee \psi_n(\bar{x}))$.

So $M \models \exists \bar{x} (\psi_1(\bar{x}) \vee \dots \vee \psi_n(\bar{x}))$.

$$\text{So } \mathcal{M} \models \exists \bar{x} \psi_1(\bar{x}) \vee \dots \vee \psi_n(\bar{x})$$

$$\text{So } \mathcal{M} \models \exists \bar{x} \psi_i(\bar{x}) \text{ for some } 1 \leq i \leq n.$$

$$\text{But } \mathcal{M} \models \neg \exists \bar{x} \psi_i(\bar{x}) \text{ by def. of } \Sigma. \quad \downarrow$$

$$\text{Let } \mathcal{N} \models T \cup \Sigma.$$

Step 2 Show $\text{Th}_{\mathcal{M}}(\mathcal{M}) \cup \text{Diag}(\mathcal{N})$ is satisfiable/consistent

Fix a q.f. \mathcal{L} -formula $\psi(x_1, \dots, x_m)$ and $c_1, \dots, c_m \in \mathcal{N}$ st $\psi(c_1, \dots, c_m) \in \text{Diag}(\mathcal{N})$

WTS: $\text{Th}_{\mathcal{M}}(\mathcal{M}) \cup \{\psi(c_1, \dots, c_m)\}$ is consistent.

If not, then $\text{Th}_{\mathcal{M}}(\mathcal{M}) \models \neg \exists \bar{x} \psi(\bar{x})$.

$$\text{ie } \mathcal{M} \models \neg \exists \bar{x} \psi(\bar{x})$$

$$\text{So } \neg \exists \bar{x} \psi(\bar{x}) \in \Sigma \quad \star$$

$$\text{So } \mathcal{N} \models \neg \exists \bar{x} \psi(\bar{x})$$

$$\text{But } \mathcal{N} \models \psi(\bar{c}). \quad \downarrow$$

like part (a)

$$10) \mathcal{M}, \mathcal{N} \models T, \quad \mathcal{M} \leq \mathcal{N}$$

Suppose $p \in \Sigma_n(\mathcal{M})$ is definable

$$\left[\begin{array}{l} \text{ie. } \forall \mathcal{L}\text{-formula } \phi(x_1, \dots, x_n, \bar{y}) \exists \mathcal{L}_{\mathcal{M}}\text{-formula } \underline{\psi(\bar{y})} \\ \text{st } \forall \bar{b} \in \mathcal{M}^{\bar{0}}, \phi(\bar{x}, \bar{b}) \in p \text{ iff } \mathcal{M} \models \psi(\bar{b}). \end{array} \right]$$

WTS: \exists a unique $q \in \Sigma_n(\mathcal{N})$ st q extends p and q is definable over \mathcal{M}

Proof: Given $\phi(\bar{x}, \bar{y})$ let $d\phi(\bar{y})$ be the $\mathcal{L}_{\mathcal{M}}$ -formula that gives a ϕ -definition for p .

Define q (set of $\mathcal{L}_{\mathcal{N}}$ -formulas in \bar{x}) st given $\phi(\bar{x}, \bar{y})$ and $\bar{b} \in \mathcal{N}^{\bar{0}}$

$$\phi(\bar{x}, \bar{b}) \in \mathcal{q} \text{ iff } \mathcal{N} \models \mathcal{d}\phi(\bar{b}).$$

* \mathcal{q} is complete since $\mathcal{N} \models \mathcal{d}\phi(\bar{b}) \text{ or } \mathcal{N} \models \mathcal{d}\neg\phi(\bar{b})$

* \mathcal{q} is consistent. ETS: every formula in \mathcal{q} is consistent. (check:

$$\mathcal{d}(\phi \wedge \psi)(\bar{y}) \equiv \mathcal{d}\phi(\bar{y}) \wedge \mathcal{d}\psi(\bar{y}))$$

Fix $\phi(\bar{x}, \bar{b}) \in \mathcal{q}$. Note: $\mathcal{M} \models \forall \bar{y} (\mathcal{d}\phi(\bar{y}) \rightarrow \exists \bar{x} \phi(\bar{x}, \bar{y}))$.

So \mathcal{N} satisfies this. Since $\mathcal{N} \models \mathcal{d}\phi(\bar{b})$, we know $\phi(\bar{x}, \bar{b})$ is consistent.

We have $\mathcal{q} \in \Sigma_n(\mathcal{N})$.

* \mathcal{q} is definable over \mathcal{M} by construction.

* \mathcal{q} extends p : Fix $\phi(\bar{x}, \bar{b})$ with $\bar{b} \in \mathcal{M}$

$$\text{Then } \phi(\bar{x}, \bar{b}) \in p \text{ iff } \mathcal{M} \models \mathcal{d}\phi(\bar{b})$$

$$\text{iff } \mathcal{N} \models \mathcal{d}\phi(\bar{b})$$

$$\text{iff } \phi(\bar{x}, \bar{b}) \in \mathcal{q}.$$

* \mathcal{q} is unique: Suppose $\mathcal{q}' \in \Sigma_n(\mathcal{N})$ is another extension of p that is definable

over \mathcal{M} . WTS $\mathcal{q} = \mathcal{q}'$. Fix $\phi(\bar{x}, \bar{y})$. We show $\mathcal{d}\phi(\bar{y})$ is a

ϕ -definition for \mathcal{q}' (and so $\mathcal{q} = \mathcal{q}'$). Let $\psi(\bar{y})$ be an $L_{\mathcal{M}}$ -formula which

defines \mathcal{q}' wrt $\phi(\bar{x}, \bar{y})$. Given $\bar{b} \in \mathcal{M}^{\bar{y}}$ we have

$$\mathcal{M} \models \psi(\bar{b}) \text{ iff } \phi(\bar{x}, \bar{b}) \in \mathcal{q}' \text{ iff } \phi(\bar{x}, \bar{b}) \in \mathcal{q} \text{ iff } \mathcal{M} \models \mathcal{d}\phi(\bar{b}).$$

$$\text{So } \mathcal{M} \models \forall \bar{y} (\mathcal{d}\phi(\bar{y}) \leftrightarrow \psi(\bar{y}))$$

So \mathcal{N} satisfies this.

8) Prove T is \aleph_0 -cat. & $S_n(T)$ is finite $\forall n \geq 1$.

(\Rightarrow): Suppose $\exists n$ st $S_n(T)$ is infinite.

Since $S_n(T)$ is compact Hausdorff, \exists non-isolated $p \in S_n(T)$.

By OTT \exists ctble model $M \models T$ omitting p .

Also \exists ctble $N \models T$ realizing p . So $M \not\equiv N$.

(\Leftarrow): Assume $S_n(T)$ is finite $\forall n \geq 1$. Then all types over \emptyset are isolated.

Fix ctble $M, N \models T$. Say $M = \{a_n : n \geq 0\}$ and $N = \{b_n : n \geq 0\}$

Build partial elementary $(f_n)_{n \geq 0}$ st $\text{dom}(f_n) \subseteq M$ is finite $\forall n$

and $a_n \in \text{dom}(f_{n+1})$, $b_n \in \text{Im}(f_{n+1}) \forall n$.

Then $f = \bigcup f_n$ will be isomorphism from M to N .

Let $f_0 = \emptyset$ (elementary since $M, N \models T$)

Given f_n . Enumerate $\text{dom}(f_n) = \{c_1, \dots, c_m\}$. Let $\Theta(y_1, \dots, y_m, x)$

isolate $t_p(c_1, \dots, c_m, a_n / \emptyset) \in S_{m+1}(T)$.

$M \models \exists x \Theta(\bar{c}, x)$. So $N \models \exists x \Theta(f_n(\bar{c}), x)$

So pick $d \in N$ st $N \models \Theta(f_n(\bar{c}), d)$.

So $\Theta(\bar{c}, x) \in t_p(f_n(\bar{c}), d / \emptyset)$

So $t_p(f_n(\bar{c}), d / \emptyset) = t_p(\bar{c}, a_n / \emptyset)$

So $f_n \cup \{(a_n, d)\}$ is partial elementary.

Similarly find $e \in M$ st $f_n \cup \{(a_n, d), (e, b_n)\}$ is partial

elementary. (Consider formula isolating $t_p(f_n(\bar{c}), d, b_n / \emptyset)$)

f_{n+1} .

$$\left([\Theta(\bar{c}, x)] = \{ \uparrow \} \right)$$

2) ^{Notation} $\mathcal{M} \models T$, $\phi(\bar{x}, \bar{b})$, $\phi(\mathcal{N}, \bar{b}) := \{ \bar{a} \in \mathcal{N}^{\bar{x}} : \mathcal{N} \models \phi(\bar{a}, \bar{b}) \}$.

a) $\mathcal{M} \leq \mathcal{N}$. Fix $\phi(\bar{x}, \bar{y})$ ^{length n} \bar{y} ^{length m} Fix $\bar{b} \in \mathcal{N}^{\bar{y}}$. Suppose $\phi(\mathcal{N}, \bar{b})$ is defined by an $\mathcal{L}_{\mathcal{M}}$ -formula $\psi(\bar{x})$

WTS $\exists \bar{c} \in \mathcal{M}^{\bar{y}}$ st $\phi(\mathcal{N}, \bar{c}) = \phi(\mathcal{N}, \bar{b})$

$\mathcal{N} \models \exists \bar{y} (\forall \bar{x} (\phi(\bar{x}, \bar{y}) \leftrightarrow \psi(\bar{x})))$

$\mathcal{M} \models$ " "

Choose $\bar{c} \in \mathcal{M}^{\bar{y}}$ st $\mathcal{M} \models \forall \bar{x} (\phi(\bar{x}, \bar{c}) \leftrightarrow \psi(\bar{x}))$

so $\mathcal{N} \models$ " "

so $\mathcal{N} \models \forall \bar{x} (\phi(\bar{x}, \bar{b}) \leftrightarrow \phi(\bar{x}, \bar{c}))$.

b) Fix $\phi(\bar{x}, \bar{y})$. Assume only finitely many sets of the form $\phi(\mathcal{N}, \bar{b})$ for $\bar{b} \in \mathcal{N}^{\bar{y}}$. Fix $\mathcal{M} \leq \mathcal{N}$.

WTS. $\forall \bar{b} \in \mathcal{N}^{\bar{y}}$, $\phi(\mathcal{N}, \bar{b})$ is definable using an $\mathcal{L}_{\mathcal{M}}$ -formula.

There is $k \geq 1$ st

$\mathcal{N} \models \exists \bar{y}_1, \dots, \bar{y}_k \forall \bar{y} \bigvee_{i=1}^k \forall \bar{x} (\phi(\bar{x}, \bar{y}) \leftrightarrow \phi(\bar{x}, \bar{y}_i))$

so $\mathcal{M} \models$ " " " "

so $\exists \bar{b}_1, \dots, \bar{b}_k \in \mathcal{M}^{\bar{y}}$ st

$\mathcal{M} \models \forall \bar{y} \bigvee_{i=1}^k \forall \bar{x} (\phi(\bar{x}, \bar{y}) \leftrightarrow \phi(\bar{x}, \bar{b}_i))$

so $\mathcal{N} \models$ " " "

so $\forall \bar{b} \in \mathcal{N}^{\bar{y}} \exists 1 \leq i \leq k$ st $\phi(\mathcal{N}, \bar{b}) = \phi(\mathcal{N}, \bar{b}_i)$

c) Suppose $M \leq N$ and N is $|M|^+$ -saturated.

Suppose any $\phi(N, \bar{b})$ definable using an L_M -formula. \star

WTS: Only finitely many sets of the form $\phi(N, \bar{b})$.

Proof: Suppose \exists infinitely many such sets. $\star\star$ Consider a partial type in \bar{y}

$$\Gamma(\bar{y}) = \{ \exists \bar{x} (\phi(\bar{x}, \bar{y}) \leftrightarrow \neg \phi(\bar{x}, \bar{b})) : \bar{b} \in M^{\bar{0}} \}$$

WTS $\Gamma(\bar{y})$ is realized in N

If $\bar{c} \models \Gamma$ then $\phi(N, \bar{c}) \neq \phi(N, \bar{b}) \forall \bar{b} \in M^{\bar{0}}$ $\neq \emptyset$ \star

By saturation, ETS $\Gamma(\bar{y})$ is finitely satisfiable in N .

But this follows from $\star\star$.

\square

ADDED AFTER CLASS

1) (\Rightarrow) : Immediate from definition of $M \leq N$.

(\Leftarrow) : WTS: For any L -formula $\phi(x_1, \dots, x_n)$ and $\bar{a} \in M^n$, $M \models \phi(\bar{a})$ iff $N \models \phi(\bar{a})$.

Induction on formulas: If $\phi(\bar{x})$ is atomic then result follows since $M \leq N$.

Conjunctions + negations are easy.

Assume the result for $\phi(x_1, \dots, x_n, y)$ and consider $\exists y \phi(\bar{x}, y)$. Fix $\bar{a} \in M^n$.

If $N \models \exists y \phi(\bar{a}, y)$ then $M \models \exists y \phi(\bar{a}, y)$ by our main assumption.

Conversely, $M \models \exists y \phi(\bar{a}, y) \Rightarrow M \models \phi(\bar{a}, b)$ for some $b \in M$,

$\Rightarrow N \models \phi(\bar{a}, b)$ for some $b \in M$ (by induction)

$\Rightarrow N \models \phi(\bar{a}, b)$ for some $b \in N$

$\Rightarrow N \models \exists y \phi(\bar{a}, y)$.

3) First note that each M_i is a graph.
 WTS: $\exists i$ st M_i is a Rado graph. Suppose not. Then for all i , \exists finite disjoint $A_i, B_i \subseteq M_i$ st $\nexists c \in M_i$ with $R^{M_i}(a, c) \forall a \in A_i$ and $\neg R^{M_i}(b, c) \forall b \in B_i$. Let $A = A_1 \cup \dots \cup A_n$, $B = B_1 \cup \dots \cup B_n$. So A, B are finite and $A \cap B = \emptyset$ since $A_i \cap B_i = \emptyset \forall i$ and $M_i \cap M_j = \emptyset \forall i \neq j$. Since $M \models RG$, $\exists c \in M$ st $R^M(a, c) \forall a \in A$ and $\neg R^M(b, c) \forall b \in B$. Fix $i \in n$ st $c \in M_i$. Since $M_i \in \mathcal{M}$, we have $R^{M_i}(a, c) \forall a \in A_i$ and $\neg R^{M_i}(b, c) \forall b \in B_i$. \checkmark

4) Assume T has QE and fix $M, N \models T$ with $M \in N$. WTS $M \leq N$. Fix an \mathcal{L} -formula $\phi(x_1, \dots, x_n)$ and $\bar{a} \in M^n$. WTS $M \models \phi(\bar{a}) \iff N \models \phi(\bar{a})$. Choose quantifier-free $\psi(\bar{x})$ st $T \models \forall \bar{x} (\phi(\bar{x}) \leftrightarrow \psi(\bar{x}))$.

Then $M \models \phi(\bar{a}) \iff M \models \psi(\bar{a}) \iff N \models \psi(\bar{a}) \iff N \models \phi(\bar{a})$.

5) a) If $p \in S_n(T)$ is non-isolated then \exists ctble $M \models T$ omitting p .

b) Fix $q = \{\psi_1(\bar{x}), \dots, \psi_k(\bar{x})\} \subseteq p$ (each ψ_i is an \mathcal{L}_A -formula). Fix $N \models M$ and $\bar{a} \in N^n$ st $\bar{a} \models p$. Then $N \models \psi_i(\bar{a}) \forall i$. So $N \models \exists \bar{x} \bigwedge_{i=1}^k \psi_i(\bar{x})$. So $M \models \exists \bar{x} \bigwedge_{i=1}^k \psi_i(\bar{x})$, as desired.

c) Suppose $p \in S_n^M(A)$ is isolated. Fix an \mathcal{L}_A -formula $\phi(\bar{x})$ isolating p . Then $\exists \bar{a} \in M^n$ st $M \models \phi(\bar{a})$ (by part (b)). So $\text{tp}^M(\bar{a}/A) \in S_n^M(A)$ contains $\phi(\bar{x})$, which implies $\text{tp}^M(\bar{a}/A) = p$ since ϕ isolates p . So $\bar{a} \models p$.

d) Suppose $M \models T$ is prime. WTS M realizes no non-isolated types in $S_n^M(\emptyset) = S_n(T)$. So suppose $p \in S_n(T)$ is non-isolated. By OTT, $\exists N \models T$ omitting p . Since M is prime, we may assume $M \leq N$. So p is also omitted in M .

7) [Note: I will omit details. This would be a long problem for an exam.]

Claim: T is a complete theory with QE. ^(needed later)

Proof: It suffices to prove this for any reduct of T to a finite sublanguage. I.e., we may assume that for some fixed $n \geq 1$, T is the theory of DLO + $C_0 < C_1 < \dots < C_{n-1}$.

Now fix a finite \mathcal{L} -structure A , which embeds in some model of T , and show $\text{TuDag}(A)$ is complete.

(This gives QE by our characterization from lecture, and completeness of T when $A = \emptyset$)

To show $\text{TuDag}(A)$ is complete, we use Vaught's Test. In particular, we show $\text{TuDag}(A)$ is \aleph_0 -categorical

(note this theory has no finite models). Fix ctble $M, N \models \text{TuDag}(A)$. WLOG $A \in M$ and $A \in N$. Let f_0 be the identity on A . Then f_0 is a partial embedding from M to N with finite domains. Now do usual back and

forth between M and N starting with f_0 (note that $c_i^M = c_i^N = c_i^A \in A \forall i$ so we don't need to worry about the constants. //

Claim: T has 3 countable models.

Proof: See lecture notes. The models are M_1 st (c_n) unbounded, M_2 st (c_n) bounded but w/o supremum, and M_3 st (c_n) has supremum. //

Claim: M_1 is the prime model.

Proof: Fix $N \models T$. WTS: \exists an elementary embedding from M_1 to N . By QE, it suffices to show \exists an embedding from M_1 to N . Let $M_1 = \{c_n^M : n \geq 0\} \cup \{a_n : n \geq 0\}$. We build $(f_n)_{n \geq 0}$ partial embeddings from M_1 to N st $\text{dom}(f_n) = \{c_k^M : k \geq 0\} \cup \{a_k : k < n\}$. Then $f = \bigcup f_n$ is desired embedding.

Start with $f_0 : c_0^M \mapsto c_0^N \forall n$. Given f_n , let $\text{Im}(f_n) = \{c_k^N : k \geq 0\} \cup \{b_k : k < n\}$ where $f_n(a_k) = b_k$.

Choose l st $c_l^M > a_k \forall k < n$. Then $b_k < c_l^N \forall k < n$. Choose $b_n \in N$ satisfying the same order-type among $c_0^N, \dots, c_l^N, b_0, \dots, b_{n-1}$ as a_n does among $c_0^M, \dots, c_l^M, a_0, \dots, a_{n-1}$ (just like in back + forth for DLO). Then set $f_{n+1} = f_n \cup \{(a_n, b_n)\}$.

Claim: M_2 is saturated.

Proof: Fix finite $A \in M_2$ and $p \in S_1^{M_2}(A)$. WTS p is realized in M_2 . By QE, p is completely determined by the induced cut in $A \cup \{c_n^{M_2} : n \geq 0\}$.

Case 1: p contains $x < c_n$ for some $n \geq 0$. Then p is determined by a cut in the finite set $A \cup \{c_0^{M_2}, \dots, c_n^{M_2}\}$. But all such cuts are realized in M_2 as in the case of DLO.

Case 2: p contains $x > c_n$ for all $n \geq 0$. Let $A_0 = \{a \in A : a > c_n^{M_2} \forall n\}$.

Case 2a: p contains $x \geq a$ for some $a \in A_0$. Then p determined by cut in the finite set A_0 , hence is realized.

Case 2b: p contains $x < a$ for all $a \in A_0$. So p is determined by: $x > c_n \forall n$ and $x < a \forall a \in A_0$.

Since $(c_n^{M_2})$ has no sup, there is some $b \in M_2$ realizing this cut.

9) Fix $p \in S_1(M)$. WTS: p is definable wrt $\varphi(x, y)$.

Case 1: $\forall a \in M, \varphi(x, a) \notin p$. Then $y \neq y$ is a φ -definition for p .

Case 2: $\exists a \in M, \varphi(x, a) \in p$. We show $\varphi(a, y)$ is a φ -definition for p .

Fix $b \in M$. If $\varphi(x, b) \in p$ then $\{\varphi(x, a), \varphi(x, b)\}$ is consistent and so $M \models \varphi(a, b)$ since φ is an equivalence relation. Conversely, if $\varphi(a, b)$ holds then $\varphi(x, b) \in p$ since $\{\varphi(x, b), \neg \varphi(x, a)\}$ is inconsistent.

Remark: One can show that $\varphi(x, y)$ is stable. So this exercise also follows from FTS (overkill).