

# Faithful tropicalization for the Grassmannian of planes

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October 26th 2014

AMS Meeting San Francisco State University  
Special Session on Combinatorics and Algebraic Geometry

[Math. Ann. \*\*360\*\* \(1-2\), 391–437 \(2014\)](#)

# Non-Archimedean Berkovich spaces

- Fix  $(K, |\cdot|)$  **complete** non-Archimedean field,  $|\cdot|: K \rightarrow \mathbb{R}_{\geq 0}$ 
  - (1)  $|a| = 0 \iff a = 0$
  - (2)  $|ab| = |a||b|$  (multiplicative)
  - (3)  $|a + b| \leq \max\{|a|, |b|\}$  (with  $=$  if  $|a| \neq |b|$ ) (non-Arch. triangle ineq.)

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- $X = K$ -scheme of fin. type  $\rightsquigarrow$  **Berkovich space**  $X^{\text{an}}$  (top space + sheaf)  
 $(\text{Spec } A)^{\text{an}} := \{ \|\cdot\|: A \rightarrow \mathbb{R}_{\geq 0} \text{ mult seminorms extending } |\cdot|_K \}$ .
- **Topology:** coarsest s.t. all  $\text{ev}_f: \|\cdot\| \mapsto \|f\|$  ( $f \in A$ ) are continuous.
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**Example:** Skeleton (semi) norm on  $(\mathbb{A}^n)^{\text{an}}$  for each  $\rho \in \overline{\mathbb{R}}^n$ .

$$\delta(\rho): K[x_1, \dots, x_n] \rightarrow \mathbb{R}_{\geq 0} \quad \sum_{\alpha} c_{\alpha} x^{\alpha} \mapsto \max_{\alpha} \{ |c_{\alpha}| \exp(\sum_{i=1}^n \alpha_i \rho_i) \}.$$

$\delta(\rho)(x_i) = \exp(\rho_i)$  and it is **maximal** with this property.

**Note:** If  $\rho_i \neq -\infty$ , we can extend  $\delta(\rho)$  to  $K[x_1, \dots, x_i^{\pm}, \dots, x_n]$ .

# Analytification is the limit of all tropicalizations [Payne]

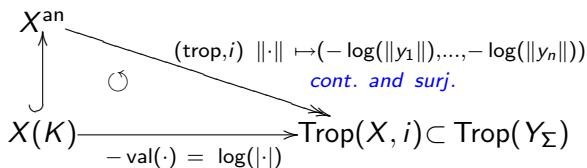
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$$\begin{array}{ccc} X^{\text{an}} & \xrightarrow{\text{(trop, } i) \|\cdot\| \mapsto (-\log(\|y_1\|), \dots, -\log(\|y_n\|))} & \text{Trop}(X, i) \subset \text{Trop}(Y_\Sigma) \\ \uparrow \text{J} & \circlearrowleft & \\ X(K) & \xrightarrow{-\text{val}(\cdot) = \log(|\cdot|)} & \end{array}$$

*cont. and surj.*

**Question** (after [Payne]): Does there exist a continuous section  $\sigma: \text{Trop}(X, i) \rightarrow X^{\text{an}}$  to  $(\text{trop}, i)$ ? If so,  $i$  induces a **faithful tropicalization**.

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• **Curves:** if all tropical multiplicities are one (initial degen. are irred. and gen. reduced), then the tropicalization is faithful [Baker-Payne-Rabinoff].



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## Theorem (C.-Häbich-Werner)

*The Grassmannian  $\text{Gr}(2, n)$  of 2-planes in  $\mathbb{A}^n$  is tropicalized faithfully by the Plücker map. The cont. section  $\sigma: \text{Trop Gr}(2, n) \rightarrow \text{Gr}(2, n)^{\text{an}}$  to trop maps a pt.  $x$  to the unique Shilov boundary point in  $\text{trop}^{-1}(x)$  and all trop. mult. are 1. The image of  $\sigma$  is a candidate canonical polyhedron.*

# Grassmannian of 2-planes in $\mathbb{A}^n$ and the space of trees

- The **Plücker map**  $\varphi$  embeds  $\text{Gr}(2, n) \hookrightarrow \mathbb{P}^{\binom{n}{2}-1}$  by the list of  $2 \times 2$ -minors:

$$\varphi(X) = [p_{ij} := \det(X^{(i,j)})]_{i < j} \quad \forall X \in \text{Gr}(2, n) := \mathbb{A}_{\text{rk} 2}^{2 \times n} / \text{GL}(2).$$

Its Plücker ideal  $I_{2,n}$  is generated by the 3-term (quadratic) **Plücker eqns**:

$$p_{ij}p_{kl} - p_{ik}p_{jl} + p_{il}p_{jk} \quad (1 \leq i < j < k < l \leq n).$$

**Note:**  $\mathbb{G}_m^n / \mathbb{G}_m$  acts on  $\text{Gr}(2, n)$  via  $t * (p_{ij}) = t_i t_j p_{ij}$ .

- Write  $\text{Gr}_0(2, n) := \varphi^{-1}(\mathbb{G}_m^{\binom{n}{2}} / \mathbb{G}_m)$  (proj. dim =  $2(n-2)$ ).

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## Theorem (Speyer-Sturmfels)

*The (open) tropical Grassmannian  $\text{Trop}(\text{Gr}_0(2, n))$  in  $\mathbb{R}^{\binom{n}{2}} / \mathbb{R} \cdot \mathbf{1}$  is the space of phylogenetic trees on  $n$  leaves:*

- all leaves are labeled 1 through  $n$  (no repetitions);
- weights on all edges (non-negative weights for internal edges).

*It is cut out by the tropical Plücker equations. The lineality space is generated by the  $n$  cut-metrics  $\ell_i = \sum_{j \neq i} e_{ij}$ , modulo  $\mathbb{R} \cdot \mathbf{1}$ .*

# The space of phylogenetic trees $\mathcal{T}_n$ on $n$ leaves

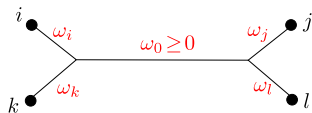
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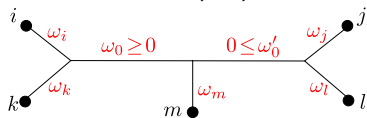
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$(ij|kl)$

$$\begin{cases} x_{ik} = \omega_i + \omega_k, \\ x_{ij} = \omega_i + \omega_0 + \omega_j, \dots \end{cases}$$

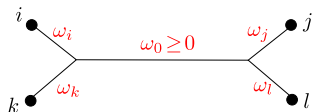


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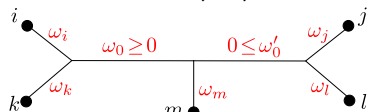
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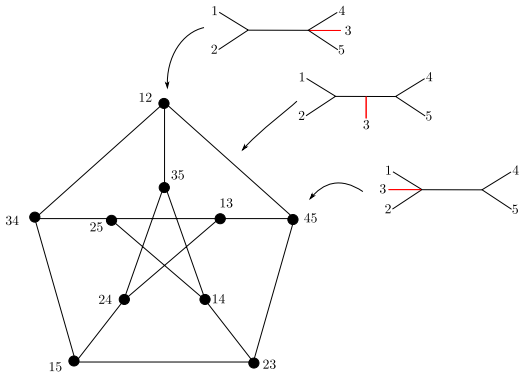
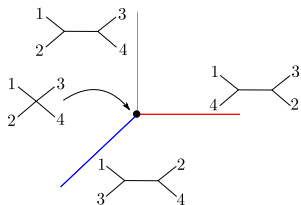


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**Claim:**  $(T, \omega) \xleftrightarrow{1\text{-to-1}} \mathbf{x}$  satisfying Tropical Plücker eqns.

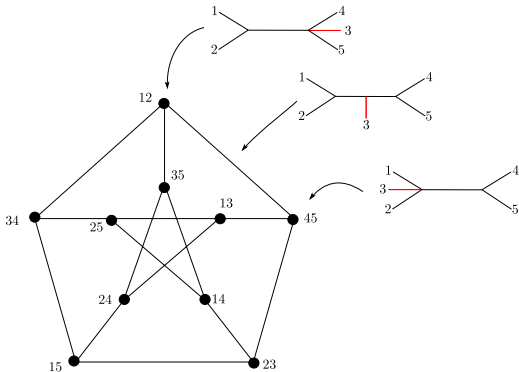
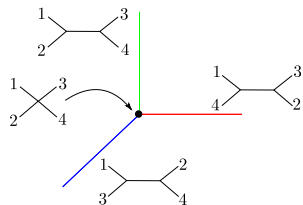
- Why?**
- (1)  $\max\{x_{ik} + x_{jl}, \underline{x_{ij} + x_{kl}}, \underline{x_{il} + x_{jk}}\} \iff$  quartet  $(ik|jl)$ .
  - (2) tree  $T$  is reconstructed from the list of quartets,
  - (3) linear algebra recovers the weight function  $\omega$  from  $T$  and  $\mathbf{x}$ .

## Examples:



$\mathcal{T}_4/\mathbb{R}^3$  has  $f$ -vector  $(1, 3)$ .  $\mathcal{T}_5/\mathbb{R}^4$  is the cone over the Petersen graph.  
 $f$ -vector =  $(1, 10, 15)$ .

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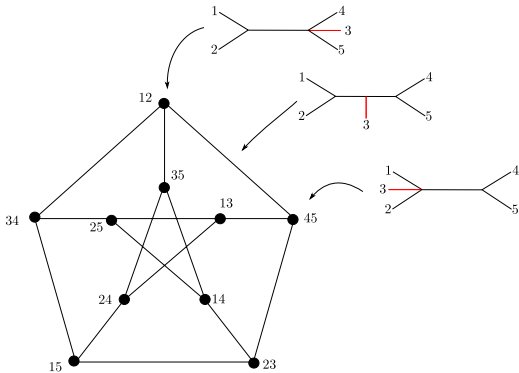
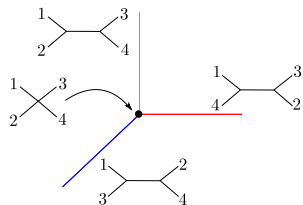


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**Answer:** Use the [matroid stratification](#) of  $\text{Gr}(2, n)$  [GGMS]:

$$\text{Gr}_J(2, n) := \varphi^{-1}(\{p_{ij} = 0 \iff ij \in J\}) \quad \text{for } J \subset \binom{[n]}{2}$$

We can view  $\text{Gr}_J(2, n) \subset \mathbb{G}_m^{\binom{n}{2} - |J|} / \mathbb{G}_m$ .

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- Build  $\sigma^{(ij)}: \text{Trop } U_{ij} \rightarrow U_{ij}^{\text{an}} \subset \text{Gr}(2, n)^{\text{an}}$  **cont. section** to  $\text{trop}$ , i.e.

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- **Why?**  $U_{ij} = \text{Spec } R_{ij}$  for  $R_{ij} := K[u_{ik}, u_{jk} : k \neq i, j] \rightsquigarrow \exists$  **skeleton norms!**

**Idea:** Adapt skeleton norm of  $U_{ij}$  to  $(T, J)$  given  $x \in \overline{\mathcal{C}_T} \cap \text{TropGr}_J(2, n)$ .  
 Concretely, for any  $x \in \text{Trop } U_{ij}$ , find  $I = I(ij, T, J) \subset \binom{[n]}{2}$  giving  $2(n-2)$  alg. indep. coords. on  $R(ij)$  and  $\forall k \neq i, j$  either  $ik$  or  $jk \in I$ . Write

$$K[u_{kl} : kl \in I] \hookrightarrow R(ij) \xrightarrow{\theta} K[u_{kl} : kl \in I][u_{kl}^{-1} : kl \in H \setminus J],$$

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**Maximality prop:**  $\sigma^{(ij)}(x)(f) \geq \rho(f) \quad \forall f \in R(ij)$  and  $\rho \in \text{trop}^{-1}(x)$ .

# Cell structure on the compact Grassmannian

Use the **matroid stratification**  $\{\text{Gr}_J(2, n) : J \subset \binom{[n]}{2}\}$ :

$\text{Gr}_J(2, n) \neq \emptyset$  iff  $J^c$  induces a rank two matroid. We identify parallel elements to get  $U(2, k)$  and thus  $\text{Trop}(\text{Gr}_J(2, n)) \sim \text{Trop}(\text{Gr}_0(2, k))$ .

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## Theorem (C.)

$\text{Trop}(\text{Gr}(2, n))$  is a *generalized space of phylogenetic trees*.



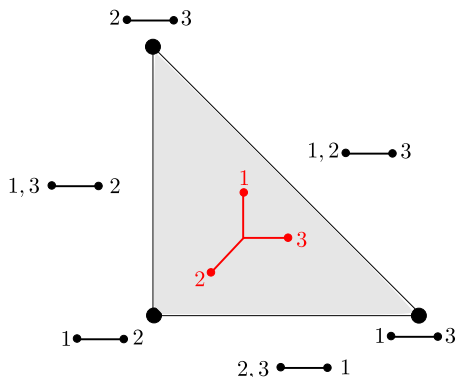
# Cell structure on the compact Grassmannian

Use the **matroid stratification**  $\{\text{Gr}_J(2, n) : J \subset \binom{[n]}{2}\}$ :

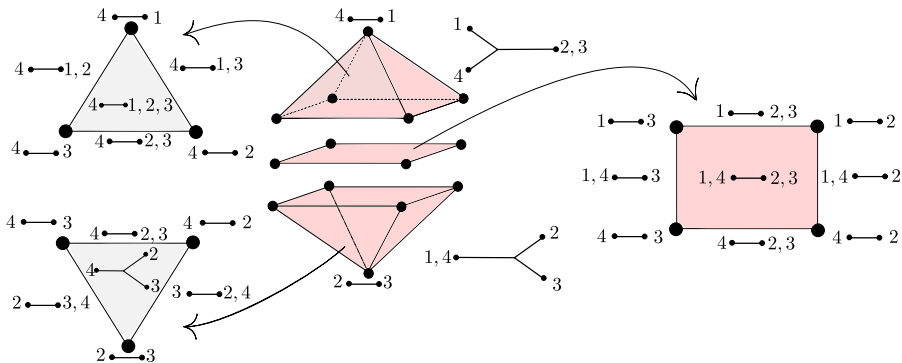
$\text{Gr}_J(2, n) \neq \emptyset$  iff  $J^c$  induces a rank two matroid. We identify parallel elements to get  $U(2, k)$  and thus  $\text{Trop}(\text{Gr}_J(2, n)) \sim \text{Trop}(\text{Gr}_0(2, k))$ .

## Theorem (C.)

$\text{Trop}(\text{Gr}(2, n))$  is a *generalized space of phylogenetic trees*.


$$\overline{\mathcal{T}}_3$$

$f$ -vector  $(3, 3, 1)$



Boundary cells in  $\overline{(14|23)} = \overline{\begin{array}{c} 1 \quad 2 \\ \diagdown \quad / \\ 4 \quad 3 \end{array}}$

$f$ -vector of  $\overline{\mathcal{T}}_4 = (6, 12, 11, 7, 3)$ .