

## Lecture 15: § 3.3 More examples of subspaces of $\mathbb{R}^n$ § 3.4 Bases for Subspaces

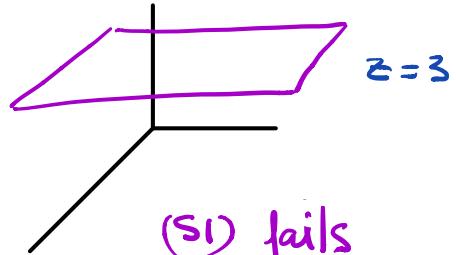
Recall: A subset  $\mathbb{W}$  of  $\mathbb{R}^n$  is a (vector) subspace if it satisfies:

(S1)  $\vec{0}$  in  $\mathbb{W}$

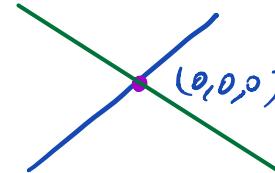
(S2) If  $\vec{v}, \vec{w}$  in  $\mathbb{W}$ , then  $\vec{v} + \vec{w}$  is also in  $\mathbb{W}$

(S3) If  $\vec{v}$  in  $\mathbb{W}$  &  $\alpha$  in  $\mathbb{R}$ , then  $\alpha\vec{v}$  is also in  $\mathbb{W}$ .

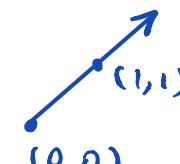
### • Non examples



(S1) fails



(S2) fails



(S3) fails

### • Main examples

①  $\mathcal{N}(A) = \left\{ \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \text{ in } \mathbb{R}^n : A \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = \vec{0} \text{ in } \mathbb{R}^m \right\}$  Null Space of  $A$   
 $(A \text{ } m \times n \text{ fixed})$  [Solutions to homogeneous systems]

②  $\text{Sp}(\vec{v}_1, \dots, \vec{v}_p) = \text{linear combinations of vectors } \vec{v}_1, \dots, \vec{v}_p$   
 $= \left\{ \alpha_1 \vec{v}_1 + \dots + \alpha_p \vec{v}_p : \alpha_1, \dots, \alpha_p \text{ in } \mathbb{R} \right\}$   
 $(\vec{v}_1, \dots, \vec{v}_p \text{ fixed vectors})$  [Spans of vectors]

## The Range of an $m \times n$ matrix A

Column Space

Def.: The range of A is

$$R(A) = \left\{ \begin{bmatrix} y_1 \\ \vdots \\ y_m \end{bmatrix} \in \mathbb{R}^m : \begin{bmatrix} y_1 \\ \vdots \\ y_m \end{bmatrix} = A \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \text{ for some } \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} \in \mathbb{R}^n \right\}$$

Recall  $A \begin{bmatrix} x_1 \\ \vdots \\ x_n \end{bmatrix} = x_1 \text{col}_1(A) + \cdots + x_n \text{col}_n(A)$ .

Theorem:  $R(A) = \text{Sp}(\text{n columns of } A)$  is a subspace of  $\mathbb{R}^m$

Example:  $A = \begin{bmatrix} 1 & -1 & 1 & 1 \\ 2 & -1 & 4 & 0 \\ 1 & 1 & 5 & -3 \end{bmatrix}_{(3 \times 4)}$   $\Rightarrow R(A) = \text{Sp}\left(\begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}, \begin{bmatrix} -1 \\ -1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 4 \\ 5 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix}\right)$  in  $\mathbb{R}^3$

Q: What is this subspace? Given  $\underline{y}$  in  $R(A)$ , write  $A \underline{x} = \underline{y}$  & solve for  $\underline{x}$ .

$$\left[ \begin{array}{cccc|c} A & | & \underline{y} \end{array} \right] = \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 2 & -1 & 4 & 0 & y_2 \\ 1 & 1 & 5 & -3 & y_3 \end{array} \right] \xrightarrow{\substack{R_2 \rightarrow R_2 - 2R_1 \\ R_3 \rightarrow R_3 - R_1}} \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 2 & 4 & -4 & y_3 - y_1 \end{array} \right] \xrightarrow{R_3 \rightarrow R_3 - 2R_2} \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 0 & 0 & 0 & 3y_1 - 2y_2 + y_3 \end{array} \right] \quad EF$$

Compatible if and only if  $3y_1 - 2y_2 + y_3 = 0$  plane in  $\mathbb{R}^3 \Rightarrow y_3 = -3y_1 + 2y_2$

$$\Rightarrow \begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ -3y_1 + 2y_2 \end{bmatrix} = y_1 \begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix} + y_2 \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix} \Rightarrow R(A) = \text{Sp}\left(\begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix}\right) = \mathcal{W}\left(\begin{bmatrix} 3 & -2 & 1 \end{bmatrix}\right)$$

Example 2:  $A = \begin{bmatrix} 1 & -1 & 1 & 1 \\ 2 & -1 & 4 & 0 \\ 1 & -1 & 5 & -1 \end{bmatrix} \rightsquigarrow R(A) = \text{Sp} \left( \left[ \begin{smallmatrix} 1 \\ 2 \\ 1 \end{smallmatrix} \right] \left[ \begin{smallmatrix} -1 \\ -1 \\ 1 \end{smallmatrix} \right], \left[ \begin{smallmatrix} 1 \\ 4 \\ 5 \end{smallmatrix} \right] \left[ \begin{smallmatrix} 1 \\ 0 \\ -1 \end{smallmatrix} \right] \right)$

$$\left[ A \mid \underline{y} \right] = \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 2 & -1 & 4 & 0 & y_2 \\ 1 & -1 & 5 & -1 & y_3 \end{array} \right] \xrightarrow{\substack{R_2 \rightarrow R_2 - 2R_1 \\ R_3 \rightarrow R_3 - R_1}} \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 2 & 4 & -2 & y_3 - y_1 \end{array} \right] \xrightarrow{R_3 \rightarrow R_3 - 2R_2} \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 0 & 0 & 2 & 3y_1 - 2y_2 + y_3 \end{array} \right]$$

$\xrightarrow{R_3 \rightarrow \frac{1}{2}R_3}$   $\left[ \begin{array}{cccc|c} 1 & -1 & 1 & 1 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 0 & 0 & 1 & \frac{3y_1 - 2y_2 + y_3}{2} \end{array} \right]$  EF

Always consistent, for all  $\begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix}$

Conclude:  $R(A) = \mathbb{R}^3 = \text{Sp} \left( \left[ \begin{smallmatrix} 1 \\ 0 \\ 0 \end{smallmatrix} \right], \left[ \begin{smallmatrix} 0 \\ 1 \\ 0 \end{smallmatrix} \right], \left[ \begin{smallmatrix} 0 \\ 0 \\ 1 \end{smallmatrix} \right] \right)$

In general: Range are either  $\mathbb{R}^n$  or it is determined by a linear system of equations.

Method  $\left[ A \mid \underline{y} \right] \sim \left[ \begin{array}{c|c} A' & \text{linear eqns} \\ \hline 0 & \dots \\ 0 & \dots \end{array} \right]$  where

$A'$  is REF with no zero rows.

equations for  $R(A)$

## The Row Space of an $m \times n$ matrix A

Def: The Row Space of A is  $\text{Rows}(A) = \text{Sp}(\text{m rows of } A)$  in  $\mathbb{R}^n$ .

Obs:  $\text{Rows}(A) = \text{Sp}(\text{Columns of } A^t) = R(A^t)$

Example:  $A = \begin{bmatrix} 1 & 2 & 4 \\ 5 & 7 & 8 \end{bmatrix}$   $R(A) = \text{Sp}(\begin{bmatrix} 1 \\ 5 \end{bmatrix}, \begin{bmatrix} 2 \\ 7 \end{bmatrix}, \begin{bmatrix} 4 \\ 8 \end{bmatrix})$  in  $\mathbb{R}^2$   
 $\text{Rows}(A) = \text{Sp}(\begin{bmatrix} 1 \\ 2 \\ 4 \end{bmatrix}, \begin{bmatrix} 5 \\ 7 \\ 8 \end{bmatrix})$  in  $\mathbb{R}^3$

Q1 What happens under elementary row operations?

A: Same row space!

Advantage: We can use row operations to find a better set of generators

for  $\text{Row}(A)$  & in general, for  $\text{Sp}(\vec{v}_1, \dots, \vec{v}_m)$  in  $\mathbb{R}^n$ .

$$A = \begin{bmatrix} v_1^t \\ \vdots \\ v_m^t \end{bmatrix} \xrightarrow{\text{G-J}} B = \begin{bmatrix} w_1^t \\ \vdots \\ w_r^t \\ \hline 0 \\ \vdots \\ 0 \end{bmatrix} \Rightarrow W = \text{Row}(A) = \text{Sp}(\vec{w}_1, \dots, \vec{w}_r)$$

Example  $\mathbb{W} = \text{Sp}\left(\left[\begin{matrix} 1 \\ 2 \\ 1 \end{matrix}\right], \left[\begin{matrix} 2 \\ 3 \\ 5 \end{matrix}\right], \left[\begin{matrix} 3 \\ 5 \\ 6 \end{matrix}\right], \left[\begin{matrix} -1 \\ -1 \\ -4 \end{matrix}\right]\right)$  (4 generators)

Step ①: Write vectors as the ROWS of a matrix A

$$A = \begin{bmatrix} 1 & 2 & 1 \\ 2 & 3 & 5 \\ 3 & 5 & 6 \\ -1 & -1 & -4 \end{bmatrix}$$

Step ②: Find  $A \sim B$  with B in REF

$$\begin{array}{c} A = \begin{bmatrix} 1 & 2 & 1 \\ 2 & 3 & 5 \\ 3 & 5 & 6 \\ -1 & -1 & -4 \end{bmatrix} \xrightarrow{\substack{R_2 \rightarrow R_2 - 2R_1 \\ R_3 \rightarrow R_3 - 3R_1 \\ R_4 \rightarrow R_4 + R_1}} \begin{bmatrix} 1 & 2 & 1 \\ 0 & -1 & 3 \\ 0 & -1 & 3 \\ 0 & 1 & -3 \end{bmatrix} \xrightarrow{\substack{R_3 \rightarrow R_3 - R_2 \\ R_4 \rightarrow R_4 + R_2}} \begin{bmatrix} 1 & 2 & 1 \\ 0 & -1 & 3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \xrightarrow{\substack{R_2 \rightarrow -R_2 \\ R_1 \rightarrow R_1 - 2R_2}} \begin{bmatrix} 1 & 0 & 7 \\ 0 & 1 & -3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \end{array}$$

$\parallel B$

REF

Step ③: Pick non-zero rows of B, & transpose them.

$$\mathbb{W} = \text{Sp}\left(\left[\begin{matrix} 1 \\ 0 \\ 7 \end{matrix}\right], \left[\begin{matrix} 0 \\ 1 \\ -3 \end{matrix}\right]\right)$$

2 generators instead of 4

Q: Can we do better?

A: NO! 2 is the minimal number we need ( $\vec{w}_1$  &  $\vec{w}_2$  are l.i.)

Advantage

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = a \begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix} = \begin{bmatrix} a \\ b \\ 7a - 3b \end{bmatrix} \Rightarrow \begin{cases} a = x \\ b = y \\ 7a - 3b = z \end{cases}$$

$\Rightarrow$  Eqn:  $7x - 3y - z = 0$   $\Rightarrow \mathbb{W} = W([7 \ -3 \ -1])$

Theorem 2: If  $A$  &  $B$  are row equivalent, then  $\text{Row}(A) = \text{Row}(B)$

Why? It is enough to check each elementary row operation preserves row spaces.

$$A = A_1 \xrightarrow{\text{Elem}} A_2 \xrightarrow{\text{Elem}} A_3 \xrightarrow{\text{Elem}} \dots \xrightarrow{\text{Elem}} A_k = B$$

$$\text{Then: } \text{Row}(A) = \text{Row}(A_2) = \dots = \text{Row}(A_k) = \text{Row}(B)$$

(E1) Swapping 2 rows clearly preserves the row space.

(E2) Multiplying a row (e.g.  $R_1$ ) by a nonzero scalar  $\alpha \neq 0$ :

$$x \in \text{Sp}(R_1^t, R_2^t, \dots, R_n^t) \stackrel{?}{=} \text{Sp}(\alpha R_1^t, R_2^t, \dots, R_n^t)$$

$$x = \beta_1 R_1^t + \beta_2 R_2^t + \dots + \beta_n R_n^t = \frac{\beta_1}{\alpha} (\alpha R_1^t) + \beta_2 R_2^t + \dots + \beta_n R_n^t$$

OK because  $\alpha \neq 0$ .

(E3) Adding to a row (say,  $R_1$ ) a scalar multiple of a different row ( $R_2$ )

$$\text{Sp}(R_1^t, R_2^t, \dots, R_n^t) \stackrel{?}{=} \text{Sp}(R_1^t + \alpha R_2^t, R_2^t, \dots, R_n^t)$$

$$\beta_1 R_1^t + \beta_2 R_2^t + \dots + \beta_n R_n^t = \beta_1(R_1^t + \alpha R_2^t) + (\beta_2 - \alpha \beta_1) R_2^t + \dots + \beta_n R_n^t$$

## Spanning Sets & Bases

Def: Fix a subspace  $\mathbb{V}$  of  $\mathbb{R}^n$  & a subset  $S = \{\vec{v}_1, \dots, \vec{v}_p\}$  of  $\mathbb{R}^n$ .

① We say  $S$  is a spanning set for  $\mathbb{V}$  ( $\Rightarrow S$  spans  $\mathbb{V}$ ) if

$$\mathbb{V} = \text{Sp}(\vec{v}_1, \dots, \vec{v}_p)$$

②  $S$  is a minimal spanning set for  $\mathbb{V}$  if:

(i)  $S$  spans  $\mathbb{V}$

(ii) Any proper subset of  $S$  does not span  $\mathbb{V}$ .

for all  $i=1, \dots, p$ :  $\text{Sp}(\{\vec{v}_1, \dots, \vec{v}_{i-1}, \vec{v}_{i+1}, \dots, \vec{v}_p\}) \neq \mathbb{V}$

we removed  $\vec{v}_i$ .

Def: A basis for  $\mathbb{V}$  is a finite minimal spanning set for  $\mathbb{V}$

Next Time: Basis  $B =$  (1)  $B$  spans  $\mathbb{V}$

& (2)  $B$  is linearly independent

## Examples

$$\textcircled{1} \quad W = \mathbb{R}^3 = \text{Sp} \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right)$$

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = x \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + y \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} + z \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}$$

•  $\{e_1, e_2, e_3\}$  spans  $\mathbb{R}^3$

• \_\_\_\_\_ minimal spanning set

$$\text{. } \text{Sp} \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix} \right) = \left\{ \begin{bmatrix} a \\ b \\ 0 \end{bmatrix} : a, b \in \mathbb{R} \right\} = (\text{plane with equation } z=0) \neq \mathbb{R}^3$$

$$\text{. } \text{Sp} \left( \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right) = (\text{plane with equation } y=0) \neq \mathbb{R}^3$$

$XY$ -plane

$$\text{. } \text{Sp} \left( \begin{bmatrix} 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right) = (\text{plane with equation } x=0) \neq \mathbb{R}^3$$

$XZ$ -plane

Conclude  $\{e_1, e_2, e_3\}$  is a basis for  $\mathbb{R}^3$  (Name: standard basis)

$$\text{Another basis: } \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix} \right\}$$

$$\begin{bmatrix} x \\ y \\ z \end{bmatrix} = (x-y) \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} + (y-z) \begin{bmatrix} 1 \\ 0 \\ 1 \end{bmatrix} + z \begin{bmatrix} 1 \\ 1 \\ 1 \end{bmatrix}$$

• Any 2 vectors are coplanar, so they cannot generate  $\mathbb{R}^3$ .