

Lecture 34: §4.6 Complex Numbers & complex eigenvalues

TODAY'S GOAL: Define complex numbers & its use in the EV Problem

2 Motivations for defining complex numbers

① Algebraic: Find a new set of numbers enlarging $\mathbb{R}$, so that every polynomial in one variable over $\mathbb{R}$ has a root (Example: x^2+1 has no real roots, but 2 complex roots)

② Geometric: Define a multiplication on $\mathbb{R}^2$: $\mathbb{R}^2 \times \mathbb{R}^2 \longrightarrow \mathbb{R}^2$
 $(\vec{u}, \vec{v}) \longmapsto \vec{u} \odot \vec{v}$

satisfying same nice properties as mult. in $\mathbb{R}$:

(A) Commutative:

(B) Associative:

(C) Distributive

(D) Neutral Element:

(E) Inverses:

(F) View $\mathbb{R}$ in $\mathbb{R}^2$

(A) Commutative : $\vec{u} \odot \vec{v} = \vec{v} \odot \vec{u}$

(B) Associative : $\vec{u} \odot (\vec{v} \odot \vec{w}) = (\vec{u} \odot \vec{v}) \odot \vec{w}$

(C) Distributive : $\vec{u} \odot (\vec{v} + \vec{w}) = \vec{u} \odot \vec{v} + \vec{u} \odot \vec{w}$

(D) Neutral Element: a vector $\vec{e}$ in $\mathbb{R}^2$ with $\vec{u} \odot \vec{e} = \vec{e} \odot \vec{u} = \vec{u}$ for all $\vec{u}$

(E) Inverses : given $\vec{u}$ in $\mathbb{R}^2$ we can find $\vec{v}$ in $\mathbb{R}^2$ with $\vec{u} \odot \vec{v} = \vec{v} \odot \vec{u} = \vec{e}$

(F) View $\mathbb{R}$ in $\mathbb{R}^2$ via $a \longleftrightarrow (a, 0)$ & $\odot$ extends usual mult. in $\mathbb{R}$

Obs: These properties uniquely determine $\odot = \text{mult. on } \mathbb{R}^2$.

Need to define. $\begin{bmatrix} x \\ 0 \end{bmatrix} \odot \begin{bmatrix} 0 \\ y \end{bmatrix}$ & $\begin{bmatrix} 0 \\ y_1 \end{bmatrix} \odot \begin{bmatrix} 0 \\ y_2 \end{bmatrix}$

Remember: want to solve $x^2 + 1 = 0$

Proposal: $\begin{bmatrix} x \\ 0 \end{bmatrix} \odot \begin{bmatrix} 0 \\ y \end{bmatrix} =$ & $\begin{bmatrix} 0 \\ y_1 \end{bmatrix} \odot \begin{bmatrix} 0 \\ y_2 \end{bmatrix} =$

Complex Numbers

Def: A complex number z is given by a vector $\begin{bmatrix} a \\ b \end{bmatrix}$ in $\mathbb{R}^2$, written as

$$z = a + ib \quad (i \text{ is a placeholder})$$

Names: $a = \operatorname{Re}(z) = \text{"Real part of } z\text{"}$

$b = \operatorname{Im}(z) = \text{"Imaginary part of } z\text{"}$

• $a + ib = c + id$ means $a = c$ & $b = d$.

Properties:

① $(a + ib)(c + id) =$

② $i^2 =$

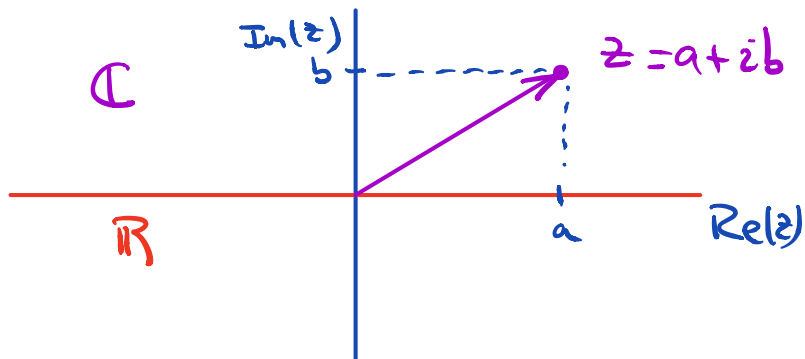
③ real numbers are complex numbers via $a = a + i0$ ($\operatorname{Im}(a) = 0$)

④ $(a + ib) + (c + id) =$

Q How to remember mult rule? $(a+ib)(c+id) = (ac-bd) + i(ad+bc)$

A Use distributive law & $i^2 = -1$

Obs:



New operation: Complex Conjugation

Def: Given $z = a + ib$, its complex conjugate is $\bar{z} = a - ib$ ($= a + i(-b)$)

Properties: ① $\overline{z+w} = \bar{z} + \bar{w}$

$$\textcircled{2} \quad \overline{z \cdot w} = \bar{z} \cdot \bar{w}$$

$$\textcircled{3} \quad z \cdot \bar{z} = |z|^2$$

Consequence:

Application: Use this to write any $\frac{a+ib}{c+id}$ with $c+id \neq 0$.

Roots of Polynomials in $\mathbb{R}[x]$ & $\mathbb{C}[x]$

Fundamental Theorem of Algebra:

Every non-constant polynomial in 1 variable over $\mathbb{C}$ has a root in $\mathbb{C}$
(" $\mathbb{C}$ is algebraically closed")

Example: Quadratic Polynomials

$$P(x) = ax^2 + bx + c \quad a, b, c \in \mathbb{C}, \quad a \neq 0$$

$$\text{Roots:} \quad = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a} \quad \text{in } \mathbb{C}$$

Q: What about polynomials with real coefficients?

A: 2 types of roots : (1) real roots
(2) complex roots : they come in conjugate pairs!

Why? $f(x) = a_n x^n + a_{n-1} x^{n-1} + \dots + a_0$ $a_0, a_1, \dots, a_n \in \mathbb{R}$

• If $\alpha = a + ib$ is a root, then $\bar{\alpha} = a - ib$ is also a root