

Lecture XV: §3.3 More examples of subspaces of  $\mathbb{R}^n$   
 §3.4. Bases for Subspaces

Recall: A subset  $\mathcal{V}$  of  $\mathbb{R}^n$  is a vector subspace if it satisfies:

- (S1)  $\mathbf{0}$  in  $\mathcal{V}$
- (S2) if  $\underline{x}, \underline{y}$  in  $\mathcal{V}$ , then  $\underline{x} + \underline{y}$  is also in  $\mathcal{V}$
- (S3) if  $\underline{x}$  in  $\mathcal{V}$ ,  $\alpha$  scalar, then  $\alpha \underline{x}$  is also in  $\mathcal{V}$ .

Main examples ①  $\mathcal{V} = \text{Sp}(\vec{v}_1, \dots, \vec{v}_r) = \{ \alpha_1 \vec{v}_1 + \dots + \alpha_r \vec{v}_r \mid \alpha_1, \dots, \alpha_r \text{ in } \mathbb{R} \}$   
 (span of a subset)

②  $\mathcal{V} = \{ \underline{x} : A \underline{x} = \mathbf{0} \} = \mathcal{N}(A)$   $A = m \times n$  matrix  
 [Null space of kernel of A]

Q: More examples?

§: The range of a matrix:

Def: Given an  $m \times n$  matrix  $A$ , the range of  $A$  is the set of vectors:

$$\mathcal{R}(A) := \{ \underline{y} \text{ in } \mathbb{R}^m : \underline{y} = A \underline{x} \text{ for some } \underline{x} \text{ in } \mathbb{R}^n \}$$

Obs:  $A \underline{x} = x_1 \text{col}_1(A) + x_2 \text{col}_2(A) + \dots + x_n \text{col}_n(A)$   $x_1, \dots, x_n$  in  $\mathbb{R}$

Conclude:  $\mathcal{R}(A) = \text{Sp}(\text{columns of } A) = \text{column space of } A = \text{columns}(A)$

In particular, it is a subspace of  $\mathbb{R}^m$ .

Example:  $A = \begin{bmatrix} 1 & -1 & 1 & 0 \\ 2 & -1 & 4 & 0 \\ 1 & 1 & 5 & -3 \end{bmatrix}$

$$\underline{y} = A \underline{x} \quad \rightsquigarrow [A | \underline{y}] = \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 0 & y_1 \\ 2 & -1 & 4 & 0 & y_2 \\ 1 & 1 & 5 & -3 & y_3 \end{array} \right] \xrightarrow{\substack{R_2 \rightarrow R_2 - 2R_1 \\ R_3 \rightarrow R_3 - R_1}} \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 0 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 2 & 4 & -3 & y_3 - y_1 \end{array} \right]$$

$$\xrightarrow{R_3 \rightarrow R_3 - 2R_2} \left[ \begin{array}{cccc|c} 1 & -1 & 1 & 0 & y_1 \\ 0 & 1 & 2 & -2 & y_2 - 2y_1 \\ 0 & 0 & 0 & 0 & 3y_1 - 2y_2 + y_3 \end{array} \right]$$

The system is compatible if and only if  $3y_1 - 2y_2 + y_3 = 0$  = plane in  $\mathbb{R}^3$

$$\text{So } y_3 = -3y_1 + 2y_2 \quad \underline{y} = \begin{bmatrix} y_1 \\ y_2 \\ y_3 \end{bmatrix} = \begin{bmatrix} y_1 \\ y_2 \\ -3y_1 + 2y_2 \end{bmatrix} = y_1 \begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix} + y_2 \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix}$$

Conclude:  $\mathcal{R}(A) = \text{Sp} \left( \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}, \begin{bmatrix} -1 \\ -1 \\ 1 \end{bmatrix}, \begin{bmatrix} 1 \\ 4 \\ 5 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ -3 \end{bmatrix} \right) = \text{Sp} \left( \begin{bmatrix} 1 \\ 0 \\ -3 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 2 \end{bmatrix} \right) = \mathcal{N}([3 \ -2 \ 1])$

## §2. The Row Space of a matrix:

Def: Given an  $m \times n$  matrix  $A$ , we define the Row Space of  $A$  as the span of its  $m$  rows (vectors in  $\mathbb{R}^n$  after transposing)

Obs:  $\text{Rows}(A) = \text{Columns}(A^t)$

Example:  $A = \begin{bmatrix} 1 & 2 & 4 \\ 5 & 7 & 8 \end{bmatrix}$  Columns  $(A) = \text{Sp}([1], [2], [4], [5], [7], [8])$  in  $\mathbb{R}^2$ .  
Rows  $(A) = \text{Sp}([\frac{1}{4}], [\frac{5}{8}])$  in  $\mathbb{R}^3$ .

Q: What happens under elementary row operations? A: Same row space!

Theorem 1: If  $A \underset{\text{row equiv.}}{\sim} B$ , then  $A$  &  $B$  have the same row space.

Proof (idea): Enough to check nothing changed under each of the 3 elementary row operations [  $A \xrightarrow{\text{dim}} A \xrightarrow{\text{dim}} \dots \xrightarrow{\text{dim}} A_S = B$  then  $\text{Row}(A) = \text{Row}(A_1) = \dots = \text{Row}(A_S)$  ]

(E1) Swapping rows clearly preserves the row space.

(E2) Multiplying a row (say  $R_1$ ) by a scalar  $\alpha \neq 0$ :

$$\text{Sp}(\alpha R_1, R_2, \dots, R_m) = \text{Sp}(R_1, R_2, \dots, R_m)$$

$$\frac{\beta_1}{\alpha} (\alpha R_1) + \beta_2 R_2 + \dots + \beta_m R_m = \beta_1 R_1 + \beta_2 R_2 + \dots + \beta_m R_m$$

(E3) Multiplying a row (say  $R_1$ ) & adding the result to a row (say  $R_2$ )

$$\text{Sp}(R_1, \alpha R_1 + R_2, R_3, \dots, R_m) = \text{Sp}(R_1, R_2, \dots, R_m)$$

$$\beta_1 R_1 + \beta_2 (\alpha R_1 + R_2) + \beta_3 R_3 + \dots + \beta_m R_m = (\beta_1 + \beta_2 \alpha) R_1 + \beta_2 R_2 + \dots + \beta_m R_m = \beta'_1 R_1 + \dots$$

$\beta'_1 = \beta_1 + \beta_2 \alpha$   $\beta_1 = \beta'_1 - \beta_2 \alpha$

Q: Why is this important?

A: We can use row operations to find a better set of generators for  $\text{Row}(A)$ , and in general for any  $\text{Sp}(v_1, \dots, v_m)$  in  $\mathbb{R}^n$ .

$$A = \begin{bmatrix} v_1^t \\ \vdots \\ v_m^t \end{bmatrix} \underset{\text{row-equiv.}}{\sim} B = \begin{bmatrix} w_1 \\ \vdots \\ w_r \\ 0 \\ \vdots \\ 0 \end{bmatrix} \quad \boxed{\mathbb{W} = \text{Row}(A) = \text{Sp}(w_1^t, \dots, w_r^t)}$$

Example:  $v_1 = \begin{bmatrix} 1 \\ 2 \\ 1 \end{bmatrix}$ ,  $v_2 = \begin{bmatrix} 2 \\ 3 \\ 5 \end{bmatrix}$ ,  $v_3 = \begin{bmatrix} 3 \\ 5 \\ 6 \end{bmatrix}$ ,  $v_4 = \begin{bmatrix} -1 \\ -1 \\ -4 \end{bmatrix}$  (4 generators)

• Write  $A$  with rows  $v_1^t, v_2^t, v_3^t, v_4^t$   $A = 4 \times 4$  matrix

• Find  $A \sim B =$  reduced echelon form

$$A = \begin{bmatrix} 1 & 2 & 1 \\ 2 & 3 & 5 \\ 3 & 5 & 6 \\ -1 & -1 & -4 \end{bmatrix} \xrightarrow{\substack{R_2 \rightarrow R_2 - 2R_1 \\ R_3 \rightarrow R_3 - 3R_1 \\ R_4 \rightarrow R_4 + R_1}} \begin{bmatrix} 1 & 2 & 1 \\ 0 & -1 & 3 \\ 0 & -1 & 3 \\ 0 & 1 & -3 \end{bmatrix} \xrightarrow{\substack{R_3 \rightarrow R_3 - R_2 \\ R_4 \rightarrow R_4 + R_2 \\ R_2 \rightarrow -R_2}} \begin{bmatrix} 1 & 2 & 1 \\ 0 & 1 & -3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \xrightarrow{R_1 \rightarrow R_1 - 2R_2} \begin{bmatrix} 1 & 0 & 7 \\ 0 & 1 & -3 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = B$$

• Take nonzero rows of  $B$ , transpose them. We get a better set of generators for  $\mathcal{W}$

New set  $\begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix} \rightsquigarrow \mathcal{W} = \text{Sp}(\vec{v}_1, \dots, \vec{v}_2)$  (4 generators)  
 $= \text{Sp}\left(\begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix}\right)$  (2 ———)

Q: Can we do better?

A: No! 2 is the minimal number we need! (Later on: call it dimension of  $\mathcal{W}$ )

Why?  $\begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix}$  are linearly independent (cannot have  $\mathcal{W} = \text{Sp}(\vec{v})$ , otherwise  $\begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix} = a\vec{v}, \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix} = b\vec{v}$  for  $a, b \in \mathbb{R}$   
 for  $b\begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix} - a\begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix} = \vec{0}$ )

Advantage 2:  $\begin{bmatrix} x_1 \\ x_2 \\ x_3 \end{bmatrix} = a \begin{bmatrix} 1 \\ 0 \\ 7 \end{bmatrix} + b \begin{bmatrix} 0 \\ 1 \\ -3 \end{bmatrix}$  for  $a = x_1, b = x_2$  &  
 $x_3 = 7a + b(-3) = 7x_1 - 3x_2 \rightsquigarrow \mathcal{W} = \{ 7x_1 - 3x_2 - x_3 = 0 \}$   
 $= \mathcal{N}([7 \ -3 \ -1]).$

### § 3. Spanning Sets & Bases:

Def: Fix  $\mathcal{W}$  a subspace of  $\mathbb{R}^n$  &  $S = \{\vec{v}_1, \dots, \vec{v}_r\}$  a subset of  $\mathbb{R}^n$  (finite)

•  $S$  is a spanning set for  $\mathcal{W}$  ( $\mathcal{W} = \text{Sp}(S)$ ) if  $\mathcal{W} = \text{Sp}(\vec{v}_1, \dots, \vec{v}_r)$

•  $S$  is a minimal spanning set for  $\mathcal{W}$  if:

(1)  $S$  spans  $\mathcal{W}$

(2) For all  $i=1, \dots, r$ ,  $S \setminus \{\vec{v}_i\} = \{\vec{v}_1, \dots, \vec{v}_{i-1}, \vec{v}_{i+1}, \dots, \vec{v}_r\}$  does NOT span  $\mathcal{W}$ .

Def: A basis for  $\mathcal{W}$  is a finite minimally spanning set for  $\mathcal{W}$ .

Next time: A finite set  $B$  is a basis for  $\mathcal{W}$  if

(B1)  $B$  spans  $\mathcal{W}$

(B2)  $B$  is linearly independent.