

## ALGEBRA I (MATH 6111 AUTUMN 2020) - HOMEWORK 11

In all problems below, we assume  $R$  is a commutative ring and  $\mathbb{K}$  is a field. Two  $n \times n$  matrices  $A$  and  $C$  over  $\mathbb{K}$  are *similar* if  $A = G^{-1}CG$  for some  $G \in \text{GL}_n(\mathbb{K})$

**Problem 1.** Consider the submodule  $N$  of  $\mathbb{Z}^3$  generated by  $v_1 = (1, 0, 1)$ ,  $v_2 = (2, 3, 1)$ ,  $v_3 = (0, 3, 1)$  and  $v_4 = (3, 1, 5)$ .

- (i) Find a basis for  $N$ .
- (ii) Find a basis for  $\mathbb{Z}^3$  that is compatible with a basis for  $N$  (ie., a basis  $\{w_1, w_2, w_3\}$  of  $\mathbb{Z}^3$  and  $a_1, \dots, a_r \in \mathbb{Z}$  where  $r = \text{rank}(N)$ , such that  $\{a_1w_1, \dots, a_rw_r\}$  is a basis for  $N$ ).

**Problem 2.** Let  $R = \mathbb{Q}[X]$  and let  $N$  be the submodule of  $R^3$  generated by  $v_1 = (2X - 1, X, X^2 + 3)$ ,  $v_2 = (X, X, X^2)$ ,  $v_3 = (X + 1, 2X, 2X^2 - 3)$ .

- (i) Find a basis for  $N$ .
- (ii) Find bases for  $R^3$  and  $N$  that are compatible (in the sense of Problem 1)

**Problem 3.** Let  $R$  be a PID. Prove that a vector  $v = (a_1, a_2, \dots, a_n)$  in  $R^n$  can be completed to a basis of  $R^n$  if and only if  $\{a_1, a_2, \dots, a_n\}$  generates the unit ideal.

**Problem 4.** Find the Smith normal form of the following integral matrices:

$$\begin{pmatrix} 4 & 7 & 2 \\ 2 & 4 & 6 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 3 & 1 & -4 \\ 2 & -3 & 1 \\ -4 & 6 & -2 \end{pmatrix}.$$

**Problem 5.** Find the Smith normal forms of the following matrices over  $\mathbb{K}[X]$ :

$$\begin{pmatrix} X+1 & 2 & -6 \\ 1 & X & -3 \\ 1 & 1 & X-4 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} X-17 & 8 & 12 & -14 \\ -46 & X+22 & 35 & -41 \\ 2 & -1 & X-4 & 4 \\ -4 & 2 & 2 & X-3 \end{pmatrix}.$$

**Problem 6.** Let  $R$  be an integral domain and let  $\mathbb{K}$  be the field of fractions of  $R$ . Let  $M$  be a finitely generated  $R$ -module. Let  $V$  be the vector space over  $\mathbb{K}$  obtained from  $M$ , by extension of scalars. Prove that the rank of  $M$  is equal to the dimension of  $V$  over  $\mathbb{K}$ .

**Problem 7.** If  $R$  is a PID, and  $M, N$  are finitely generated  $R$ -modules of rank  $m$  and  $n$  respectively, prove that  $M \oplus N$  is a finitely generated  $R$ -module of rank  $m + n$ . Describe the torsion component of  $M \oplus N$ .

**Problem 8.** Let  $R$  be a PID,  $a \in R \setminus \{0\}$  and  $M = R/(a)$ . Let  $p$  be a prime of  $R$  dividing  $a$ , and let  $n$  be the highest power of  $p$  dividing  $a$ . Prove that

$$p^{k-1}M/p^kM \simeq \begin{cases} R/(p) & \text{for } k = 1, \dots, n \\ 0 & \text{otherwise.} \end{cases}$$

**Problem 9.** Let  $T$  be the linear operator on  $V = \mathbb{C}^2$  whose matrix is  $\begin{pmatrix} 2 & 1 \\ 0 & 1 \end{pmatrix}$ . Is the corresponding  $\mathbb{C}[X]$ -module cyclic?

**Problem 10.** Let  $R = \mathbb{K}[X, Y]$  be a polynomial ring in two variables over  $\mathbb{K}$ . Give an example of a module over  $R$ , which is finitely generated and torsion free, but not free. Do the same for  $R = \mathbb{Z}[X]$ .

**Problem 11. (Diagonalization of matrices)** Prove that  $A \in \text{Mat}_{n \times n}(\mathbb{K})$  is diagonalizable over  $\mathbb{K}$  (i.e., similar to a diagonal matrix), if and only if the minimal polynomial of  $A$  has no repeated roots.

**Problem 12.** Find all possible rational normal forms and Jordan forms of a matrix  $A$  whose characteristic polynomial is  $(X + 2)^2(X - 5)^3$ .

**Problem 13.** Find all possible rational normal forms and Jordan forms of  $8 \times 8$  matrices over  $\mathbb{C}$  whose minimal polynomial is  $X^2(X - 1)^3$ .

**Problem 14.** If  $N$  is a  $k \times k$  nilpotent matrix such that  $N^k = 0$  but  $N^{k-1} \neq 0$ , prove that  $N$  is similar to its transpose. (*Hint:* Prove it for a Jordan block matrix  $N$  of size  $k$  by finding a permutation matrix  $P$  with  $N^T = PNP^{-1}$ )

**Problem 15.** Prove that two  $2 \times 2$  matrices over  $\mathbb{K}$  are similar if and only if they have the same minimal polynomial.

**Problem 16.** Prove that two  $3 \times 3$  matrices over  $\mathbb{K}$  are similar if and only if they have the same minimal and characteristic polynomials.

**Problem 17.** Let  $\varphi: \mathbb{Z}^n \rightarrow \mathbb{Z}^n$  be the  $\mathbb{Z}$ -linear map given by left multiplication by  $A \in \text{Mat}_{n \times n}(\mathbb{Z})$ . Prove that the image of  $\varphi$  is a subgroup of  $\mathbb{Z}^n$  of finite index if and only if  $\det(A) \neq 0$ . Furthermore, in this case, show that the index equals  $|\det(A)|$ .

**Problem 18.** Prove the Cayley-Hamilton Theorem over any commutative ring  $R$ : Let  $A \in \text{Mat}_{n \times n}(R)$ . If  $f(X) = \det(X I_n - A)$ , then  $f(A) = 0$ . (*Hint:* Use the identity  $\text{Cof}(B)B = B \text{Cof}(B) = \det(B)I_n$  for any  $n \times n$  matrix  $B$ , where  $\text{Cof}(B)$  is the cofactor matrix of  $B$ .)

**Problem 19.** Let  $A, B$  be two  $n \times n$  matrices over a field  $\mathbb{K}$ . Assume that  $AB = BA$  and both  $A$  and  $B$  are diagonalizable (i.e. has a basis of eigenvectors). Show that  $A$  and  $B$  can be simultaneously diagonalized. (*Hint:* By induction on  $n$ . For the inductive step you will need to show that any eigenspace  $E_\lambda(A) = \{v \in \mathbb{K}^n : Av = \lambda v\}$  is invariant under  $B$ .)

**Problem 20. (Jordan-Chevalley decomposition over algebraically closed fields of characteristic 0)**

For any  $A \in \text{Mat}_{n \times n}(\mathbb{K})$  we can find  $D, N \in \text{Mat}_{n \times n}(\mathbb{K})$  with  $A = D + N$  where:

- $D$  is diagonalizable (semi-simple),

- $N$  is nilpotent,
- $D$  and  $N$  are polynomials in  $A$ .

The following steps can be used to prove this result. Consider the characteristic polynomial  $\chi_A(x) \in \mathbb{K}[x]$  of  $A$ . Factor  $\chi_A(x) = \prod_{i=1}^r (x - \alpha_i)^{m_i}$  where  $\alpha_i \neq \alpha_j$  for  $i \neq j$ .

(i) If  $r = 1$ , show that  $N = (A - \alpha_1 I_n)$  and  $D = A - N$  satisfy the requirements.

(ii) If  $r \geq 2$ , for each  $i = 1, \dots, r$  we can find  $P_i(x), Q_i(x) \in \mathbb{K}[x]$  with

$$P_i(x)(x - \alpha_i)^{m_i} + Q_i(x) \prod_{j \neq i} (x - \alpha_j)^{m_j} = 1.$$

Write  $B_i(x) := Q_i(x) \prod_{j \neq i} (x - \alpha_j)^{m_j}$ .

Show that for any  $v \in \mathbb{K}^n$  with  $(A - \alpha_i I_n)^{m_i} v = 0$  we get  $B_i(A)v = v$ . Similarly, show that if  $v \in \mathbb{K}^n$  satisfies  $(A - \alpha_j I_n)^{m_j} v = 0$  for  $j \neq i$ , then  $B_i(A)v = 0$ .

(iii) Conclude that if  $r \neq 2$  then  $D = \sum_{i=1}^r \alpha_i B_i(A)$  is semi-simple and  $N = A - D$  is nilpotent.