

# Lecture XII: Counting number partitions

Last time: Use LGV to compute det(M) from non-intersecting paths.

Next, we want to use det(M) to find the exact number of paths.

Main example = Plaque partitions. (next time) Before: study partitions (of integers)

## #1 (Number) partitions:

Def: A partition of  $n \in \mathbb{Z}_{\geq 1}$  is a sequence  $(\lambda_1, \lambda_2, \dots)$  s.t.  $\sum_{i=1}^{\infty} \lambda_i = n$  and  $\lambda_1 \geq \lambda_2 \geq \dots \geq 0$ .

Write  $(\lambda_1, \dots, \lambda_k)$  for the non-zero parts & denote this by  $\lambda \vdash n$  with  $|\lambda| = n$  (Here  $|\lambda| = \sum_{i=1}^k |\lambda_i|$ ) To emphasis  $k$ , we can refer to  $\lambda$  as a k-partition.

Notes: (1) Write  $\text{Par}(n) = \{ \text{partitions of } n \} \supseteq \text{Par}(n; k) = \{ \text{k-partitions of } n \}$   
Set  $P(n) := |\text{Par}(n)|$  &  $P(n; k) := |\text{Par}(n; k)|$ .

Example:  $P(0) = 1$ ,  $P(0, k) = 0$  for  $k > 1$ ,  $P(0, 1) = 1$ .

- (2) k-Partitions are k-compositions without an order (ie rearrange so that  $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k \geq 0$ )
- (3) Hard to count because they aren't ordered

Example: For  $n=5$  we have  $P(5) = 7$ : 5, 41, 32, 311, 221, 2111, 11111  
(If ordered: 311  $\neq$  131  $\neq$  113.)

Obs: The number of ordered k-partitions of  $n$  is  $\binom{n-1}{k-1} = \# \text{ k-comp of } n$ .  
There are  $2^{n-1}$  ordered partitions of  $n$  ( $= \# \text{ comp of } n$ )

Q1: Recurrences? Write  $\text{Par}(n, \leq k) = \{ \text{partitions of } n \text{ with at most } k \text{ parts} \}$   
& set  $P(n, \leq k) := |\text{Par}(n, \leq k)| = \sum_{s=0}^k \text{Par}(n, s)$ .

We get the recurrence pretty easily:

Lemma:  $P(n, k) = P(n-k, \leq k) = P(n-k; 1) + P(n-k; 2) + \dots + P(n-k, k)$ .

Proof Define a bijection  $\phi: \text{Par}(n; k) \rightarrow \text{Par}(n-k; \leq k)$   
 $(\lambda_1, \dots, \lambda_k) \mapsto (\lambda_1-1, \lambda_2-1, \dots, \lambda_k-1)$

We have  $\leq k$  parts on the right since we may have a tail of 0's.  $\square$

Corollary:  $P(n, k) = P(n-1, k-1) + P(n-k, k)$

$P(n-k, \leq k-1)$

• Table for small values of  $P(n, k)$  &  $P(n)$  using recurrence:

n \ k	0	1	2	3	4	5	6	7
0	1							
1	0	1						
2	0	1	1					
3	0	1	1	1				
4	0	1	2	1	1			
5	0	1	2	2	1	1		
6	0	1	3	3	2	1	1	
7	0	1	3	4	3	2	1	1

Eg  $P(7, 3) = P(6, 2) + P(4, 3)$   
 $P(6, 2) = P(5, 1) + P(4, 2)$

n	0	1	2	3	4	5	6	7
P(n)	1	1	2	3	5	7	11	15

n parts for n = (1, 1, 1, ..., 1) n times  
 (n-1) parts for n = (2, 1, ..., 1) n-2

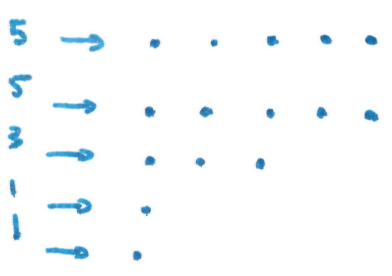
1 part for n = (n)

Q2: How to represent partitions?

- For compositions, dots & bars were a helpful schematic representation.
- For partitions, we use Ferrers diagrams or Young diagrams

Ex:  $1+1+3+5+5=15 \rightsquigarrow (5, 5, 3, 1, 1) \vdash 15$ .

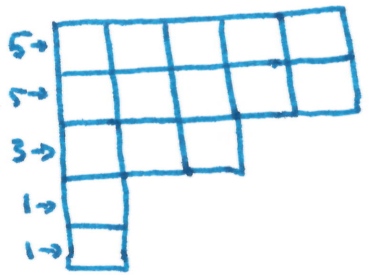
Ferrers diagram



draw aligned dots in rows

vs.

Young diagram



stack boxes in rows

Note: In advanced combinatorics, often fill Young diagrams with numbers in boxes (SYT, SSYT)

Q3: How many partitions? Nobody knows.

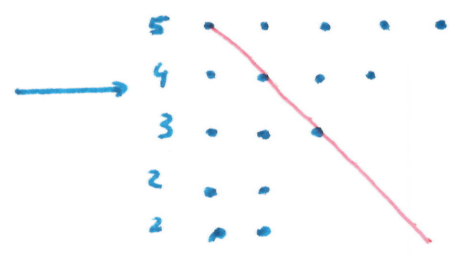
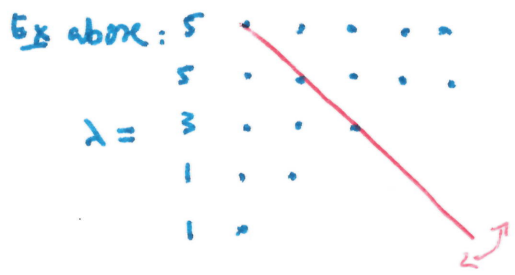
Thm (Hardy - Ramanujan, 1918)

$P(n) \sim \frac{1}{4n\sqrt{3}} e^{\left[\pi \sqrt{\frac{2n}{3}}\right]}$  as  $n \rightarrow \infty$

Q2: How to count partitions

Approach to counting: define an involution map:

Def: Given a partition  $\lambda \vdash n$ , the conjugate partition  $\lambda^*$  of  $n$  is defined by reflecting the Ferrers or Young diagram of  $\lambda$  along the main diagonal  $y = -x$ .



$= \lambda^* = (5, 4, 3, 2, 2)$

Easy exercise:  $\lambda^*$  defines a partition (of  $n$ ). [ flip rows & columns of Feynman diagram ]  
 Follows from the observation: a row #  $\geq$  col #  $\geq \dots \geq \lambda$ .

Obs:  $\lambda_i^* = \{ j : \lambda_j \geq i \}$

• # parts ( $\lambda^*$ ) = highest summand  $\lambda_i$  of  $\lambda$ .  $\rightarrow$  can incorporate this into our counting approach.

Def:  $P(n; k; m) = \{ \text{partitions of } n \text{ with } k \text{ parts \& } \lambda_1 = m \}$   $\rightarrow$  size =  $P(n; k; m)$

$P(n; k; \leq m) = \{ \text{partitions of } n \text{ with } k \text{ parts \& } \lambda_1 \leq m \}$   $\rightarrow$  size =  $P(n; k; \leq m)$

$P(n, \leq k, m) = \{ \text{partitions of } n \text{ with } \leq k \text{ parts \& } \lambda_1 = m \}$   $\rightarrow$  size =  $P(n, \leq k; m)$

$P(n; \leq k; \leq m) = \{ \text{partitions of } n \text{ with } \leq k \text{ parts \& } \lambda_1 \leq m \}$   $\rightarrow$  size =  $P(n; \leq k; \leq m)$

Example:  $k=3, m=2$ :  $P(\cdot; \leq 3; \leq 2) = \{ \text{partitions with } \leq 3 \text{ parts \& } \lambda_1 \leq 2 \}$   $\rightarrow$  size  $P(\cdot; \leq 3; \leq 2)$

- 222
- 22
- 2
- ∅
- 221
- 21
- 1
- 211
- 11
- 111

so  $P(\cdot; \leq 3; \leq 2) = 10$ .

Involution exchanges the role of  $k$  &  $m$ . Thus:

Prop:  $P(n; k; m) = P(n; m; k)$

$P(n, k; \leq m) = P(n; \leq m; k)$

$P(\cdot; \leq k; \leq m) = P(\leq m; \leq k)$

Special partition:  $\lambda_1 > \lambda_2 > \dots$ . Write the sets as  $P_{\leq d}(n); P_{\leq d}(n; k; m)$  etc, with cardinalities  $P_d(n), P_d(n; k; m)$ , etc. [for "distinct elements"]

Lemma:  $P_d(n; k; \leq m) = P(n - \binom{k+1}{2}; \leq k; \leq m-k)$

$P_d(\cdot; k; \leq m) = P(\cdot; \leq k; \leq m-k)$

Proof: Define 2 bijections  $\phi: P_{\leq d}(n; k; \leq m) \rightarrow P_{\leq d}(n - \binom{k+1}{2}; \leq k; \leq m-k)$   
 $\bar{\phi}: P_{\leq d}(\cdot; k; \leq m) \rightarrow P_{\leq d}(\cdot; \leq k; \leq m-k)$

via  $\phi(\lambda_1, \dots, \lambda_k) = (\lambda_1 - k, \lambda_2 - (k-1), \dots, \lambda_k - 1)$ , omitting the tail of 0's <sup>potential</sup>

$|\phi(\lambda_1, \dots, \lambda_k)| = |\lambda| - \sum_{i=1}^k i = |\lambda| - \frac{k(k+1)}{2} = |\lambda| - \binom{k+1}{2} = n - \binom{k+1}{2}$

- # parts of  $\phi(\lambda_1, \dots, \lambda_k) \leq k$ .
- $\phi(\lambda_1, \dots, \lambda_k)_1 = \lambda_1 - k \leq m - k$ .
- The map is a bijection by construction & restriction of  $\phi$  from  $\text{Par}_d(\cdot; k; \leq m)$  has image  $\text{Par}(m - \binom{k+1}{2}; \leq k; \leq m - k)$ .  $\square$

§3 Lattice Paths:

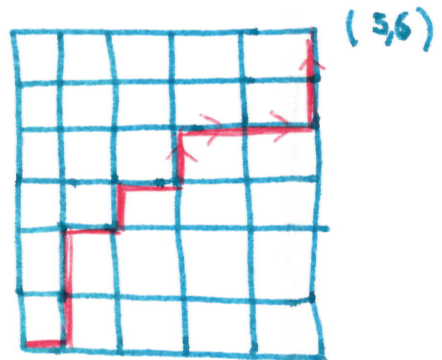
Recall:  $L(m; n) =$  lattice paths using  $E=(1,0)$  &  $N=(0,1)$  from  $(0,0)$  to  $(m,n)$   
 $|L(m,n)| = \binom{m+n}{m}$ .

Prop:  $\exists$  bijection  $L(m,n) \rightarrow \text{Par}(\cdot; \leq n; \leq m)$  so  $p(\cdot; \leq k; \leq m) = \binom{m+k}{m}$

Proof: Interpret "upper half of the grid  $\begin{matrix} \square \\ \text{---} \\ \square \end{matrix}^{(m,n)}$  defined by a path in  $L(m,n)$  as a Ferris diagram.  $\square$

Example:

$m=5, n=6$

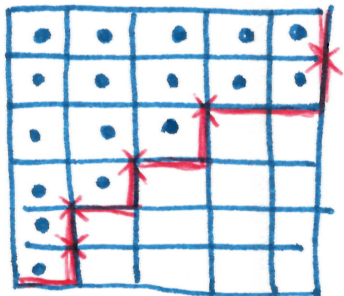


$\rightarrow \lambda = (5, 5, 3, 2, 1, 1) \vdash 11$

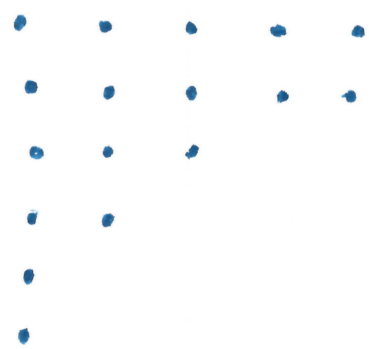
$p(\cdot; \leq 6; \leq 5) = \binom{11}{6} = 462$

(Next time: Use LGV to count partitions.)

For Ferris diagram representation: draw a  $\bullet$  within each box.



$\mapsto$



size of each strip = ind-prints of N step (marked with X)