

Lecture XVI: More on formal power series & their structure

Recall: $f, g \in \mathbb{C}[[x]]$ ($\pi \mathbb{R}[[x]]$), then:

$\cdot f + g = \sum a_k x^k + \sum b_k x^k = \sum (a_k + b_k) x^k$ (term-by-term)

$\cdot f \cdot g = \sum_{n=0}^{\infty} \left(\sum_{k=0}^n a_k b_{n-k} \right) x^n$ [convolution product]

- $\cdot \mathbb{C}[[x]]$ commutative domain (no-zero divisors)
- $\cdot f$ is a unit in $\mathbb{C}[[x]]$ ($\mathbb{R}[[x]]$) $\Leftrightarrow a_0 \neq 0$ (unit in \mathbb{R})

Example: $(1+x+\dots)^{-1}$ exists ($a_0 = 1 \neq 0$) & $(1+x+\dots)^{-1} = 1-x$

Method to prove \nexists non-zero divisors: define $\deg: \mathbb{C}[[x]] \rightarrow \mathbb{Z}_{\geq 0} \cup \{\infty\}$ with
(1) $\deg(fg) = \deg f + \deg g$, (2) $\deg(f) = \infty \Leftrightarrow f = 0$. [low degree]

§1. Convergence of sequences:

\cdot Use degree function to define convergence of series ("in completion with (x) -adic topology")

Prop 1: A sequence $(F_n(x))_{n \in \mathbb{N}} \in \mathbb{C}[[x]]$ converges $\Leftrightarrow \lim_{n \rightarrow \infty} \deg(F_{n+1}(x) - F_n(x)) = \infty$
["Cauchy condition"]

$\cdot F(x) = \lim_{n \rightarrow \infty} F_n(x) \Leftrightarrow \lim_{n \rightarrow \infty} \deg(F(x) - F_n(x)) = \infty$

Prop 2: Fix sequence $(F_j(x))_j \in \mathbb{C}[[x]]$, write partial sum sequence $\left(\sum_{j=0}^n F_j(x) \right)_n$

This sequence converges to $\sum_{j=0}^{\infty} F_j(x) \Leftrightarrow \lim_{j \rightarrow \infty} \deg F_j(x) = \infty$

Proof: $\deg F_j(x) \rightarrow \infty$ means $\sum_{j=0}^{\infty} \underbrace{\sum_{k=0}^{\infty} a_k^{(j)} x^k}_{=: F_j(x)} = \sum_{k=0}^{\infty} \left(\sum_{j=0}^{\infty} a_k^{(j)} \right) x^k$ \square
[Fix k : $\sum_{j=0}^{\infty} a_k^{(j)} = 0$ for all $j \geq j_0$]
has finitely many non-zero terms since k is fixed. \Rightarrow can sum these terms in \mathbb{C} .

§2. Infinite products & Compositions:

Fix $F_j(x)$ series & assume $F_j(0) := a_0^{(j)} = 1 \forall j$ (to avoid technical issues)

Def: $\prod_{j=0}^{\infty} F_j(x) = \lim_{N \rightarrow \infty} \prod_{j=0}^N F_j(x) = \lim_{N \rightarrow \infty} \prod_{j=0}^N (1 + G_j)$. \Rightarrow write $F_j := (1 + G_j)$ $\deg G_j \geq 1$
 $\in \mathbb{C}[[x]]$

Prop 2 $\prod_{j=0}^{\infty} (1+G_j)$ with $G_j(0)=0$ converges if and only if $\deg G_j(x) \xrightarrow{j \rightarrow \infty} \infty$.

Pf: Again, the coefficients a_n for the products $\prod_{j=0}^N (1+G_j)$ stabilize as N grows.
(we are reduced to finite sums from distributive law in $\mathbb{C}[[x]]$)

Def $F(x) = \sum_{n \geq 0} a_n x^n$, $G(x) \in \mathbb{C}[[x]]$ with $G(0)=0$, we define the

composition $F(G(x)) = \sum_{n \geq 0} a_n (G(x))^n$ (If $F(x)$ is a polynomial, we can compose even if $G(0) \neq 0$)

Since $\deg G(x)^n = n \deg G(x) \geq n$, by Prop 1, the (RHS) is well defined &

gives a formal series

Obs: Sum & products are compatible with composition (+ & \circ for F !)

Note: $\sum_{n \geq 0} \frac{(1+x)^n}{n!}$ does not give a formal series $\left(\begin{matrix} \text{infinite} \\ F(x) = \sum_{n \geq 0} \frac{x^n}{n!} (= e^x), \\ G(x) = 1+x \quad G(0)=1 \neq 0 \end{matrix} \right)$

$e^{e^x-1} = \sum_{n \geq 0} \frac{(e^x-1)^n}{n!}$ & $e^x-1 = \sum_{k \geq 1} \frac{x^k}{k!} = G(x) \Rightarrow$ makes sense to write e^{e^x-1} as a formal power series

Example: **The Binomial Series**

Def For any $F(x) \in \mathbb{C}[[x]]$ st $F(0)=0$, pick any $\lambda \in \mathbb{C}$ & define

$$(1+F(x))^\lambda := \sum_{n \geq 0} \binom{\lambda}{n} (F(x))^n = \sum_{n \geq 0} \frac{\lambda(\lambda-1)\dots(\lambda-n+1)}{n!} (F(x))^n \quad \left[\begin{matrix} \text{motivated} \\ \text{by } \lambda \in \mathbb{Z}_{\geq 0} \end{matrix} \right]$$

Proof: This is a series because $\deg \binom{\lambda}{n} (F(x))^n \geq n \cdot \deg F(x) \geq n \Rightarrow$ use Prop 1.

Example (1): $(1+x)^n = \sum_{k \geq 0} \binom{n}{k} x^k$ is Binomial Thm.

$$(2) \frac{1}{(1-x)^{k+1}} = (1+(-x))^{-k-1} = \sum_{n \geq 0} \frac{(-k-1)(-k-2)\dots(-k-n)}{n!} (-x)^n$$

absorb $(-1)^n$ in each of the n factors

$$= \sum_{n \geq 0} \frac{(n+k)(n+k-1)\dots(n+k-n+1)}{n!} x^n = \sum_{n \geq 0} \frac{(n+k)!}{n! k!} x^n = \sum_{n \geq 0} \binom{k+n}{k} x^n$$

Can check: $\left(\sum_{n \geq 0} \binom{k+n}{k} x^n \right) (1-x)^{k+1} = 1$.

Obs: • Usual properties of exponentiation hold: $(1+F(x))^{\lambda+\mu} = (1+F(x))^\lambda (1+F(x))^\mu$
• Coeff of x^n in $(1+F(x))^\lambda$ is a polynomial in $\mathbb{C}[\lambda]$ in $\mathbb{C}[\lambda, \mu][[x]]$.