

Lecture XXXIII: More on graded posets; lattices

§1 More on graded posets

Recall. For  $\mathcal{P}$  finite poset, we say  $\mathcal{P}$  is graded if every maximal chain has the same length ( $= \text{rank}(\mathcal{P}) = n$ )

We define a rank function on  $\mathcal{P}$ :  $\rho = \text{rk} : \mathcal{P} \rightarrow \{0, \dots, n\}$   
 $t \mapsto l(C)$  for  $C$  nat. chain from  $\hat{0}$  min'l in  $\mathcal{P}$  to  $t$ .

$\Rightarrow$  generating function  $F(\mathcal{P}, x) = \sum_{t \in \mathcal{P}} x^{\text{rk}(t)} = \sum_{i=0}^n P_i(\mathcal{P}) x^i$

Note:  $\mathcal{P} \cong \mathcal{Q}$  (graded & finite) posets  $\Rightarrow F(\mathcal{P}, x) = F(\mathcal{Q}, x)$  *What's the # of the 2<sup>nd</sup> kind?*

But  $\not\Leftarrow$ :  $\mathcal{P} = \text{diamond} \neq \text{diamond} = \mathcal{Q}$   $F(\mathcal{P}, x) = F(\mathcal{Q}, x) = 2 + 3x^2 + x^3$

Obs: Can extend definitions to certain infinite posets

$\mathcal{P} = \bigsqcup_{i \in \mathbb{I}} \mathcal{P}_i$  graded if every mxl chain in  $\mathcal{P}$  has the form:  
 $t_0 < t_1 < t_2 < \dots$  where  $t_i \in \mathcal{P}_i$

$\Rightarrow \exists \rho : \text{rk} : \mathcal{P} \rightarrow \mathbb{N}_0$  &  $F(\mathcal{P}, x) \in \mathbb{C}[[x]]$

Prop:  $\mathcal{P}, \mathcal{Q}$  graded posets of rank  $n$  &  $m$ . Then:

- (1)  $\mathcal{P} \times \mathcal{Q}$  is graded of rank  $n+m$  &  $F(\mathcal{P} \times \mathcal{Q}, x) = F(\mathcal{P}, x) F(\mathcal{Q}, x)$   
 $\hookrightarrow$  (comp by comp) (mxl chain = prod of mxl chains)
- (2)  $\mathcal{P} + \mathcal{Q} = \mathcal{P} \sqcup \mathcal{Q}$  iff  $n=m$  & so  $\text{rk} = n$  &  $F(\mathcal{P} \sqcup \mathcal{Q}, x) = F(\mathcal{P}, x) + F(\mathcal{Q}, x)$   
 $\hookrightarrow$  (no comparison b/t  $\mathcal{P}$  &  $\mathcal{Q}$ )
- (3)  $\mathcal{P} \otimes \mathcal{Q}$  is graded of rank  $m+n(m+1)$  &  $F(\mathcal{P} \otimes \mathcal{Q}, x) = F(\mathcal{P}, x^{m+1}) F(\mathcal{Q}, x)$   
 $\hookrightarrow (p, q) = (p', q') \Leftrightarrow (p \leq p') \wedge (q \leq q')$

Q: Is  $\mathcal{P}^*$  also graded?  $(F(\mathcal{P}^*, x)?)$  *Is it hard to compute?*

Examples: ①  $F(\text{diamond}, x) = F(\text{chain}_2, x) + F(\text{chain}_3, x)$

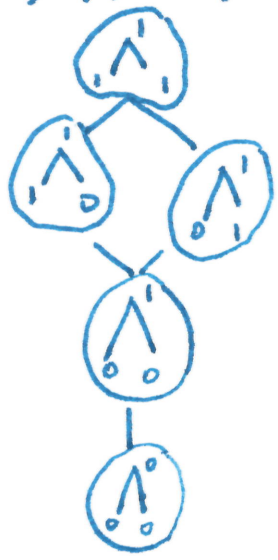
$3 + 2x = (2+x) + (1+x)$

②  $F(D_{12}, x) = F(\text{chain}_3, x) F(\text{chain}_2, x)$   
 $1 + 2x + 2x^2 + x^3 = (1+x+x^2)(1+x)$

③  $F(1 \otimes \wedge, x) = F(\text{diamond}, x) = z + x + 2x^2 + x^3$

$F(1, x^2) F(\wedge, x) = (1+x^2)(z+x) \checkmark$

④  $P = \begin{bmatrix} 1 \\ 1 \end{bmatrix}, Q = \begin{bmatrix} 1 \\ 1 \end{bmatrix} \Rightarrow PQ = \begin{bmatrix} 1 & 1 \\ 1 & 1 \end{bmatrix}$   
 Ex: (yes) rk 1 rk 1 rk 3 graded



$F(PQ, x) = 1 + x + 2x^2 + x^3$

Counter-examples? Proof?

④  $P = \wedge, P^* = \vee$

$F(P, x) = z + x + x^2, F(P^*, x) = 1 + x + 2x^2 = x^2(z + \frac{1}{x} + \frac{1}{x^2}) \checkmark$

Ex Examples

Poset	$n$	$B_n$	$\Pi_n$	$B_n(q)$	$D_n \quad n = p_1^{a_1} \dots p_k^{a_k}$
$rk(t) \quad t \in \mathcal{P}$	$t-1$	$ t $	$n-k$ $(t = B_1 \dots B_k)$	$\dim(t)$	$rk(p_1^{a_1} \dots p_k^{a_k}) = l_1 + \dots + l_k$
$\text{rank}(\mathcal{P})$	$n-1$	$n$	$n-1$	$n$	$\sum_{1 \leq i \leq k} a_i$
$P_i(\mathcal{P})$	1	$\binom{n}{i}$	$S(n, n-i)$	$\begin{bmatrix} n \\ i \end{bmatrix}_q$	minors
$F(\mathcal{P}, y)$	$\sum_{i=0}^{n-1} y^i = [n]_y$	$\sum_{i=0}^n \binom{n}{i} y^i = (1+y)^n = [z]_y^n$	$\sum_{i=0}^n S(n, i) y^i$	$\sum_{i=0}^n \begin{bmatrix} n \\ i \end{bmatrix}_q y^i$	$\prod_{i=1}^k [a_i + 1]_y$ <small><math>D_n \approx (a_1+1) \dots (a_k+1)</math>                      (primes are independent!)</small>

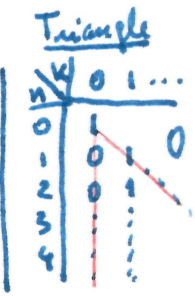
Def:  $S(n, k) = \left\{ \begin{matrix} n \\ k \end{matrix} \right\}$  = Stirling number of the 2<sup>nd</sup> kind (#part of  $[n]$  into  $k$  blocks)

Ex:  $S(4, 1) = S(4, 4) = 1, S(4, 2) = 7, S(4, 3) = 6$

Note:  $[n] = B_1 \sqcup \dots \sqcup B_k \Rightarrow |B_1|, \dots, |B_k|$  gives (after reordering) a partition of  $n$  of length  $k$ .

Recurrence Relations:

- $S(n+1, k) = k S(n, k) + S(n, k-1) \quad \forall 0 < k < n$   
 $\hookrightarrow$  place  $(n+1)$  in one of the  $k$  blocks  $\rightarrow (n+1)$  is a single block (ISOLATED)
- $S(0, 0) = 1, S(n, 0) = S(0, n) = 0 \quad \forall n > 0$



### §3. Lattices:

Motivation: In many posets, we can take "intersections" & "sum/unions" of pairs of elements.

Ex ①  $B_n$ :  $T, S \subseteq [n] \mapsto T \cap S$  &  $T \cup S$

②  $B_n(\mathbb{F}_q)$ :  $V, W \subseteq \mathbb{F}_q^n \mapsto V \cap W$  &  $V + W$

③  $D_n$ :  $a, b \mid n \mapsto \gcd(a, b)$  &  $\text{lcm}(a, b)$  both divide  $n$ .

In posets, we view these operations as greatest lower bound & least upper bound:

Def: A poset  $L$  is a lattice if the following two conditions hold:

(i) For any  $x, y \in L$ ,  $x$  &  $y$  have a greatest lower bound or meet  $= x \wedge y$

(ii) least upper bound or join  $= x \vee y$

Def: Least upper bound of  $x, y \in \mathcal{P} = u \in \mathcal{P}$  st.  $u \geq x, u \geq y$   
•  $\forall v \in \mathcal{P}$  with  $v \geq x$  &  $v \geq y \Rightarrow v \geq u$

• Greatest lower bound of  $x, y \in \mathcal{P} = u \in \mathcal{P}$  st.  $u \leq x, u \leq y$   
•  $\forall v \in \mathcal{P}$  with  $v \leq x$  &  $v \leq y \Rightarrow v \leq u$ .

In particular: (1)  $x \wedge y \leq x, x \wedge y \leq y$   
 $\forall v \text{ s.t. } v \leq x, y \Rightarrow v \leq x \wedge y$  } ( $x \wedge y$  is unique!)

(2)  $x \vee y \geq x, x \vee y \geq y$   
 $\forall v \text{ s.t. } x \leq v, y \leq v \Rightarrow x \vee y \leq v$  } ( $x \vee y$  is unique!)

Def: A sublattice is a subset of  $L$  closed under  $\vee$  &  $\wedge$ .

Ex ④  $\pi_n$ : meets = "common refinements"  
joins = set partitions with "least required common mergings"

$\pi = 125 | 38 | 4 | 67 | 9 \mapsto \pi \wedge \mu = 12 | 3 | 4 | 5 | 67 | 8 | 9$

$\sigma = 124 | 3 | 679 | 5 | 8 \mapsto \pi \vee \mu = 1245 | 38 | 679$