

## Lecture XXV: Dimension Theory V

Recall the following results from last time:

Theorem (Knull): Let  $X$  be a quasi-affine variety over  $\bar{\mathbb{K}} = \mathbb{K}$ , and fix  $f \in \mathcal{O}(X)$ . Then, any irreducible component  $Y$  of  $V_X(f) = \{u \in X \mid f(u) = 0\}$  has  $\text{codim}_X Y \leq 1$ . Furthermore, equality holds if  $f$  is a non-zero divisor in  $\mathcal{O}(X)$ .

Corollary: Let  $X$  be a quasi-affine variety over  $\bar{\mathbb{K}} = \mathbb{K}$ , and fix  $f_1, \dots, f_r \in \mathcal{O}(X)$ . Then, any irreducible component  $Y$  of  $V_X(f_1, \dots, f_r)$  has  $\text{codim}_X Y \leq r$ .

§1 Noem Knull's Theorem:

• We have a partial converse to the corollary:

Proposition 1: Let  $X$  be an affine variety over  $\bar{\mathbb{K}} = \mathbb{K}$ . If  $Y$  is an irreducible closed subset of  $X$  with  $\text{codim}_X Y = r \geq 1$ , then there are  $f_1, \dots, f_r \in \mathcal{O}(X)$  such that  $Y$  is an irreducible component of  $V(f_1, \dots, f_r)$ .

Proof: Let  $X_1, \dots, X_s$  be the irreducible components of  $X$ .

• Claim:  $\exists f_i \in \mathcal{I}_X(Y)$  with  $X_i \not\subseteq V(f_i) \quad \forall i$

• Proof: We argue by contradiction. If this is not the case then for all  $f \in \mathcal{I}_X(Y)$  we have  $X_i \subseteq V_X(f)$  for some  $i$ . Therefore,  $f \in \mathcal{I}(X_i)$  by the Nullstellensatz.

$$\text{Thus } \underbrace{\mathcal{I}_X(Y)}_{\text{prime}} \subseteq \bigcup_{i=1}^s \underbrace{\mathcal{I}_X(X_i)}_{\text{prime}} \quad (*)$$

Since  $\text{codim}_X Y = \max_{1 \leq i \leq s} \text{codim}_{X_i} Y : Y \subseteq X_i \text{ if } r \geq 1$  by Lemma 5 §31.2 we conclude that

$\text{codim}_{X_i} Y > 1$  for all  $i$  with  $Y \subseteq X_i$  (otherwise,  $Y = X_i$  &  $X_i \not\subseteq X_j$  if  $j \neq i$

will give  $r = 0$ ) Thus  $\mathcal{I}_X(Y) \not\subseteq \mathcal{I}_X(X_i) \quad \forall i = 1, \dots, s$

By Prime Avoidance, we cannot have  $(*)$  Contradiction!  $\square$

• Now, choose  $f_1$  as in Claim 1. Pick  $i$  with  $Y \subseteq X_i$  with  $\text{codim}_{X_i} Y = r$

(such  $i$  exists by Lemma 5 § 31.2). Then  $Y \subseteq V_X(f_1) \subseteq X \Rightarrow Y \subseteq V_{X_i}(f_1) \subseteq X_i$   
 &  $f_1$  is a non-zero divisor on  $\mathcal{O}(X_i)$ .  $\xrightarrow{\text{incl}}$   $\xrightarrow{\text{incl}}$

Claim 2:  $\text{codim}_{V_{X_i}(f_1)} Y \leq r-1$

PF/ Any irred component  $Y_{ij}$  of  $V_{X_i}(f_1)$  has  $\text{codim} \leq 1$  in  $X_i$

Since  $\text{codim}_{V_{X_i}(f_1)} Y = \max_{1 \leq j \leq r_s} \{ \text{codim}_{Y_{ij}} Y : Y \subseteq Y_{ij} \}$  (\*\*)

$r \geq \text{codim}_{X_i} Y = \text{codim}_{Y_{ij}} Y + \underbrace{\text{codim}_{X_i} Y_{ij}}_{=1 \text{ by Corollary 1}}$  whenever  $Y \subseteq Y_{ij} \subseteq X_i$  are irreducible

Picking  $Y_{ij}$  realizing the max in (\*\*), we get

$\text{codim}_{V_{X_i}(f_1)} Y = \text{codim}_{X_i} Y - 1 \leq \text{codim}_X Y - 1 = r-1$  □  
 $X_i$  is a comp of  $X$

Note:  $V_X(f_1) = \bigcup_{i=1}^s V_{X_i}(f_1)$ .

We proceed by induction on  $r$ .

Base case:  $r=1$

Then  $\text{codim}_{V_{X_i}(f_1)} Y \leq 0$  yields  $\text{codim}_{V_{X_i}(f_1)} Y = 0$  ie  $Y$  is an irred component of  $V_{X_i}(f_1)$

Inductive Step: set  $s = \text{codim}_{V_{X_i}(f_1)} Y$  &  $X' = V_{X_i}(f_1) \subseteq X$

. If  $s=0$ , then  $Y$  is an irred component of  $V_{X_i}(f_1)$ , hence one of  $V_X(f_1)$

Taking  $f_2 = \dots = f_r = f_1$  shows  $Y$  is an irred comp of  $V_X(f_1, \dots, f_r)$

. If  $s \geq 1$ , by (IH)  $\exists f_2, \dots, f_s \in I_{X'}(Y) \subseteq I_X(Y)$  with  $Y$  an irred component of  $V_{X'}(f_2, \dots, f_s) = V_{X_i}(f_1, \dots, f_s) = X_i \cap V(f_1, \dots, f_s)$

. If  $s=r-1$  this shows  $Y$  is an irred comp of  $V_X(f_1, \dots, f_s)$

. If  $s < r-1$ , take  $f_{s+1} = \dots = f_r$  to get  $V_X(f_1, \dots, f_s) = V_X(f_1, \dots, f_r)$

and, thus  $Y$  is an irreducible component of  $V_X(f_1, \dots, f_r)$ . □

⚠ We cannot expect to find  $f_1, \dots, f_r$  with  $Y = V_X(f_1, \dots, f_r)$ , unless if we are willing to restrict to affine opens on both sides around any point of  $Y$ .

EXAMPLE  $X = V(x_1 x_2 - x_3 x_4) \subseteq \mathbb{A}^4$        $Y = V(x_1, x_3)$

Both  $X$  &  $Y$  are irreducible

•  $\dim Y = 2$        $\mathbb{A}^4 \not\supseteq V(x_1) \not\supseteq V(x_1, x_3)$  is maximal &  $\dim_{\mathbb{A}^4} Y = 2$

•  $\dim X = 3$        $\dim_{\mathbb{A}^4} X = 1$  because  $x_1 x_2 - x_3 x_4$  is a non-zero divisor in  $\mathbb{K}[\mathbb{A}^4]$

$\Rightarrow \dim_x Y = 1$

Pick  $U$  open in  $X$  with  $(0,0,0,0) \in U$ .

Claim:  $\nexists f \in \mathcal{O}(U)$  with  $Y \cap U = V_U(f)$

PF/ It's enough to prove this when  $U$  is a basic open  $= D_X(g)$   $g \notin I(X)$ .

so  $Y \cap U = D_Y(g)$

If  $Y \cap U = V_U(f)$  then  $(x_1, x_3) = \sqrt{(f)}$  in  $\mathbb{K}[X]_{(g)}$

More precisely  $(x_1, x_3)_{(g)} = \sqrt{(f, x_1 x_2 - x_3 x_4)_{(g)}}$  in  $\mathbb{K}[x_1, x_2, x_3, x_4]_{(g)}$ .

CASE 1:  $g \in \mathbb{K}$

• The condition  $(f, x_1 x_2 - x_3 x_4) \subseteq (x_1, x_3)$  forces  $f$  to be of the form  $f = Ax_1 + Bx_3$ .

•  $f \in (x_1, x_3) \Rightarrow$  we have  $\exists m \geq 1$  st  $x_1^m \in (f, x_1 x_2 - x_3 x_4)$   
 $x_3^m \in (f, x_1 x_2 - x_3 x_4)$

$x_1^m = h_1 f + h'_1 (x_1 x_2 - x_3 x_4) = h_1 (Ax_1 + Bx_3) + h'_1 (x_1 x_2 - x_3 x_4)$  in  $\mathbb{K}[x_1, \dots, x_4]$   
 $x_3^m = h_2 f + h'_2 (x_1 x_2 - x_3 x_4) = h_2 (Ax_1 + Bx_3) + h'_2 (x_1 x_2 - x_3 x_4)$

Setting  $x_2 = x_4 = 0$  gives:

$x_1^m = \tilde{h}_1 (\tilde{A} x_1 + \tilde{B} x_3)$   
 $x_3^m = \tilde{h}_2 (\tilde{A} x_1 + \tilde{B} x_3)$  in  $\mathbb{K}[x_1, x_3]$

Since  $\mathbb{K}[x_1, x_3]$  is a UFD then  $\tilde{A} x_1 + \tilde{B} x_3 = a x_1^r$   
 &  $\tilde{A} x_1 + \tilde{B} x_3 = b x_3^s$  for  $r, s \leq m, a, b \in \mathbb{K}$

The only option is  $a=b=0$   $r=s=0$ . But  $\tilde{A}x_1 + \tilde{B}x_3 = 0$  cannot happen since  $x_1^m, x_3^m \neq 0$ .

CASE 2:  $g \in \mathbb{K}[x_1, \dots, x_4] \setminus \mathbb{K}$  with  $g(0,0,0) \neq 0$ .

We argue similarly to Case 1, incorporating powers of  $g$  into the (RHS) expressions.

• The condition  $(f, x_1x_2 - x_3x_4)_{(g)} \subseteq (x_1, x_3)_{(g)}$  forces  $f$  to be in  $(x_1, x_3)_{(g)}$

Thus,  $\exists k \geq 0$  with  $g^k f = Ax_1 + Bx_3$ .

• For  $\geq$  we have  $\exists m \geq 1$  &  $k \geq 0$  st.  $g^k x_1^m \in (f, x_1x_2 - x_3x_4)$   
 $g^k x_3^m \in (f, x_1x_2 - x_3x_4)$ .

In particular multiplying by  $g^k$  we get  $g^{l+k} x_1^m \in (g^k f, g^k(x_1x_2 - x_3x_4))$   
 $\subseteq (g^k f, x_1x_2 - x_3x_4)$   
 $g^{l+k} x_3^m \in (g^k f, x_1x_2 - x_3x_4)$

Write  $s = l+k \geq 0$ . We can find  $h_1, h'_1, h_3, h'_3 \in \mathbb{K}[x_1, x_2, x_3, x_4]$  such that:

$$g^s x_1^m = h_1 (Ax_1 + Bx_3) + h'_1 (x_1x_2 - x_3x_4)$$

$$g^s x_3^m = h_3 (Ax_1 + Bx_3) + h'_3 (x_1x_2 - x_3x_4)$$

Setting  $x_2 = x_4 = 0$  gives:

$$\tilde{g}^s x_1^m = \tilde{h}_1 (\tilde{A}x_1 + \tilde{B}x_3)$$

$$\tilde{g}^s x_3^m = \tilde{h}_3 (\tilde{A}x_1 + \tilde{B}x_3)$$

$\in \mathbb{K}[x_1, x_3]$

and  $\tilde{g} \in \mathbb{K}[x_1, x_3] \setminus \{0\}$ .

Since  $\tilde{g}(0,0) \neq 0$ , we know that  $\tilde{g} \notin \langle x_1, x_3 \rangle$

• If  $x_1 \nmid \tilde{A}x_1 + \tilde{B}x_3$ , then  $x_1^m \mid \tilde{h}_1$  & so  $\tilde{g}^s = \frac{h_1}{x_1^m} (Ax_1 + Bx_3) \in \langle x_1, x_3 \rangle$

Thus,  $\tilde{g} \in \sqrt{\langle x_1, x_3 \rangle} = \langle x_1, x_3 \rangle$  Contr!

• Similarly  $x_3 \nmid \tilde{A}x_1 + \tilde{B}x_3$  implies  $\tilde{g} \in \langle x_1, x_3 \rangle$  from the second equation. Contr!

In particular,  $x_1, x_3 \mid \tilde{A}x_1 + \tilde{B}x_3$  in  $\mathbb{K}[x_1, x_2, x_3, x_4]$  since  $\mathbb{K}[x_1, \dots, x_4]$  is a UFD

This in turn forces  $x_3 \mid \tilde{g}^s$  or  $x_1 \mid \tilde{g}^s$  i.e.  $x_3 \mid \tilde{g}$  or  $x_1 \mid \tilde{g}$ . This cannot happen because  $\tilde{g} \notin \langle x_1, x_3 \rangle$ . □

## §2 Dimension & regular dominant maps:

Remark: Fix  $X \xrightarrow{\psi} Y$  a regular map of quasi-affine varieties over  $\bar{\mathbb{K}} = \mathbb{K}$  &  $y \in Y$ . Then,  $f^{-1}(y)$  is a closed subset of  $X$ . Thus, it is a quasi-affine variety.

Same is true for  $f^{-1}(Z)$  when  $Z$  is a closed subset of  $Y$ .

- Our goal is to determine  $\dim(Z)$  for any closed subset  $Z$  of  $Y$ .

Lemma 1: If  $X$  is an irreducible quasi-affine variety &  $V$  is an open in  $X$ , we have

$$\dim X = \dim V$$

Proof:  $X \cap V$  is irreducible, so  $\mathbb{K}(V) = \mathbb{K}(X)$  &  $\dim V = \dim \mathbb{K}(V) = \dim \mathbb{K}(X) = \dim X$

Corollary 2 §33.2.  $\square$

- Fix a dominant map  $X \xrightarrow{f} Y$  between irreducible quasi-affine varieties over  $\bar{\mathbb{K}} = \mathbb{K}$  write  $X = U \cup X'$  with  $U, X'$  open and  $X', Y'$  irreducible affine varieties

Then  $\mathbb{K}(X') = \mathbb{K}(X)$  &  $\mathbb{K}(Y') = \mathbb{K}(Y)$  &  $f$  induces an injection

$$\text{Quot}(f_Y^\#): \mathbb{K}(Y) \hookrightarrow \mathbb{K}(X) \quad \text{Thus: } \boxed{\dim Y - \dim X = \dim \mathbb{K}(X) - \dim \mathbb{K}(Y)}$$

- We'll need the following result from §31.2

Lemma 4 §31.2: Fix  $X$  topological space,  $Y \subseteq X$  closed irreducible &  $U \subseteq X$  open with  $U \cap Y \neq \emptyset$ . Then  $\text{codim}_U(U \cap Y) = \text{codim}_X(Y)$ .

• Theorem 1 §32.1 (finite surjective morphisms of affine varieties over  $\bar{\mathbb{K}} = \mathbb{K}$  preserve dimensions) is consistent with the following results discussing how dimension interacts with fibers of regular dominant maps.

• Theorem 1: Let  $X, Y$  be irreducible quasi-affine varieties & let  $f: X \rightarrow Y$  be a dominant regular map. Let  $Z \subseteq Y$  be closed & irreducible &  $W$  be an irreducible component of  $f^{-1}(Z)$  that dominates  $Z$ . Then:

- (1)  $\text{codim}_X W \leq \text{codim}_Y Z$
- (2)  $\dim W \geq \dim Z + \dim \mathbb{K}(X) - \dim \mathbb{K}(Y)$ .

In particular, for every  $y \in \text{image}(f)$ , all irreducible components of  $f^{-1}(y)$  have dimension  $\geq \dim X - \dim Y$ .

Proof: Since  $X$  &  $W$  are irreducible in some  $A^n$  &  $X = V \cap X'$  with  $V$  open in  $A^n$  &  $W' \subseteq A^n$  closed irred, we have

- $\text{codim}_X W = \text{codim}_{X'} \bar{W} = \dim X' - \dim \bar{W} = \dim X - \dim W$
- $\text{codim}_Y Z = \dim Y - \dim Z$

Claim 1: (1)  $\Leftrightarrow$  (2)

PF/ Item (1) is equivalent  $\dim X - \dim W \leq \dim Y - \dim Z$ .

Rearranging things, we get  $\dim W \geq \dim Z + \underbrace{\dim Y - \dim X}_{\substack{\text{tr deg } \mathbb{K}(X) \\ \mathbb{K}(Y)}}$ . □

• It remains to show (1). Since  $W$  dominates  $Z$ , we have  $\overline{f(W)} = Z$ . Furthermore, given any  $U$  open in  $Y$  with  $Z \cap U \neq \emptyset$ , we have  $f^{-1}(U) \cap W \neq \emptyset$ . Thus,

$$\overline{f(f^{-1}(U) \cap W)} = f(\overline{f^{-1}(U) \cap W}) \cap U = \overline{f(W)} \cap U = Z \cap U$$

dom dense in  $W$

Claim 2: It suffices to prove the statement for  $f^{-1}(U) \xrightarrow{f} U$ .

PF/ The map  $f^{-1}(U) \rightarrow U$  is regular & dominant

- By our early discussion & Lemma 1,  $\text{tr deg } \mathbb{K}(X) = \text{tr deg } \mathbb{K}(U) \mathbb{K}(f^{-1}(U))$

- By Lemma 4 §3.2, we have  $\text{codim}_{f^{-1}(U)}(f^{-1}(U) \cap W) = \text{codim}_X(W)$

$$\text{codim}_Y Z = \text{codim}_U(Z \cap U)$$

Thus if (1) holds for  $f^{-1}(U) \xrightarrow{f} U$ , it will hold for  $X \xrightarrow{f} Y$ .

Claim 3: We may assume  $Y$  is affine.

PF/ Write  $Y = V \cap Y'$  where  $Y' \subseteq A^m$  affine irreducible &  $V$  is open in  $A^m$ .

We pick  $U = D_Y(s) = D(s) \cap Y$  in Claim 3 for some  $s \in \mathbb{K}[A^m]$ .

Then  $U \subseteq A^{m+1}$  is affine via  $U = V(I(Y') \mathbb{K}[x_1, \dots, x_{m+1}] + \langle 1 - sx_{m+1} \rangle)$  □

Write  $s = \text{codim}_Y(Z)$

- If  $s = 0$ , then  $Z = Y$  & so  $W = X$  (all 4 sets are irred.) Thus,  $\text{codim}_X W = 0$ .

- If  $s \geq 1$ , by Proposition 1  $\exists g_1, \dots, g_s \in \mathcal{O}(Y)$  such that  $Z$  is an irreducible component of  $V_Y(g_1, \dots, g_s) = V_Y(\langle g_1, \dots, g_s \rangle)$

Since  $W \subseteq f^{-1}(Z)$  we have  $W \subseteq f^{-1}(V_Y(\langle g_1, \dots, g_s \rangle)) = V(f^{\#} \langle g_1, \dots, g_s \rangle)$

Set  $W' := V(f^{\#}(g_1), \dots, f^{\#}(g_s))$   $f^{\#}(g_i) \in \mathcal{O}(X)$ .

Claim 4:  $W$  is an irreducible component of  $W'$ .

PF/ Pick  $W''$  an irred comp of  $W'$  containing  $W$ . We must show  $W = W''$ .

Since  $W \subseteq W'' \subseteq W'$  with  $W''$  closed & irreducible, we have:

$$Z = \overline{f(W)} \subseteq \overline{f(W'')} \subseteq \overline{f(W')} = V(g_1, \dots, g_s)$$

- But  $Z$  is an irred component of  $V(g_1, \dots, g_s)$  &  $\overline{f(W'')}$  is irreducible. Therefore,  $Z = \overline{f(W'')}$ . In particular  $W'' \subseteq f^{-1}(Z)$
- Since  $W \subseteq W'' \subseteq f^{-1}(Z)$  &  $W$  is an irred component of  $f^{-1}(Z)$ , we get  $W'' = W$ .

By Corollary 3 §34.1, we get  $\text{codim}_X W \leq s$ . This confirms (1).

- In the special case when  $Z = \{y\}$  we get  $\dim Z = 0$ . By (2)  $\dim W \geq \dim_{\mathbb{K}(Y)} \mathbb{K}(X)$ .  $\square$
- Our next statement establishes that  $=$  holds on a dense open set of  $Y$ .

Theorem 2: With the conditions of Theorem 1, there exists an open subset  $V$  of  $Y$  such

that  $V \subseteq f(X)$  & for every irreducible, closed subset  $Z \subseteq Y$  with  $Z \cap V \neq \emptyset$ , and every irreducible component  $W$  of  $f^{-1}(Z)$  that dominates  $Z$  (meaning  $\overline{f(W)} = Z$ ), we

have (1)  $\text{codim}_X(W) = \text{codim}_Y(Z)$

(2)  $\dim W = \dim Z + \dim_{\mathbb{K}(Y)} \mathbb{K}(X)$

In particular, for every  $y \in V$ , every irreducible component of  $f^{-1}(y)$  has dimension  $\dim X - \dim Y$ .

Proof: As in the proof of Theorem 1, we have (1)  $\Leftrightarrow$  (2), so we only need to prove (2)

By Theorem 1 we need only show  $\dim W \leq \dim Z + \dim_{\mathbb{K}(Y)} \mathbb{K}(X)$ .

Using Claims 2 & 3 from the same proof, we can assume  $Y$  is affine.

Claim 1: We can assume  $X$  is affine

PF/ Write an open cover of  $X$  by affine opens in  $X$ :  $X = U_1 \cup \dots \cup U_m$  (pick  $U_i = D_x(g_i)$ )

Since  $X$  &  $Y$  are irreducible, we can restrict to  $f_i: U_i \rightarrow Y$ , which is also regular & dominant. Since  $X$  is irreducible, then  $U_i$  is irreducible as well.

If we find  $V_i \subseteq Y$  non-empty open for each  $f_i$ , then  $V = \bigcap_{i=1}^m V_i$  is open in  $Y$

•  $V \neq \emptyset$  because  $Y$  is irreducible

•  $W = \bigcup_{i=1}^m (W \cap U_i)$  satisfies •  $W_i := W \cap U_i$  is an irreducible component of  $f_i^{-1}(z)$  & it dominates  $Z$ .

By Lemma 4 §31.2,  $\text{codim}_{U_i} W_i = \text{codim}_X W$  as we want to show.  
 choice of  $V_i \rightarrow \parallel$   
 $\text{codim}_Y Z$  □

• Since  $X \xrightarrow{f} Y$  is reg dominant with  $X, Y$  affine irreducible, we consider  $f_Y^\# : K(Y) \hookrightarrow K[X]$  the induce homomorphism of rings (injective since  $f$  is dominant)

• We consider the  $K(Y)$ -algebra :

$$S := K[X] \otimes_{K(Y)} K(Y)$$

- Properties of  $S$  :
- $S$  is a domain ( $K[X] \subseteq S \subseteq K(X)$ ) &  $K(Y) \subseteq S$ .
  - $\text{Quot}(S) = K(X)$
  - $\text{tr deg}_{K(Y)} \text{Quot}(S) = \text{tr deg}_{K(Y)} K(X) =: r$

By Noether Normalization, we can find  $y_1, \dots, y_r \in S$  algebraically independent over  $K(Y)$  such that  $\alpha : K(Y)[y_1, \dots, y_r] \hookrightarrow S$  is finite.

Replacing each  $y_i$  by  $a_i y_i$  for some  $a_i \in K(Y)$ , we may assume  $y_i \in K[X]$  for all  $i=1, \dots, r$ .

Claim 2:  $\exists s \in K(Y) \setminus \{0\}$  such that

$$\varphi : K(Y)_{(s)}[y_1, \dots, y_r] \hookrightarrow K[X] (f^\#(s))$$

is finite

BF/ Write  $K[X] = \frac{K[x_1, \dots, x_n]}{I(X)}$  & view  $\bar{x}_1, \dots, \bar{x}_n$  as generators of  $K[X]$  as

a  $K$ -algebra. By construction  $\bar{x}_i \in S \ \forall i=1, \dots, n$ . We assume  $\bar{x}_i \neq 0, \forall i$

Since  $\alpha$  is finite, we know  $\bar{x}_i$  satisfies a monic equation :

$$\bar{x}_i^{m_i} + a_{i,1} \bar{x}_i^{m_i-1} + \dots + a_{i,m_i} = 0 \quad \text{for some } m_i \geq 0$$

with  $a_{i,j} \in K(Y)[y_1, \dots, y_r]$

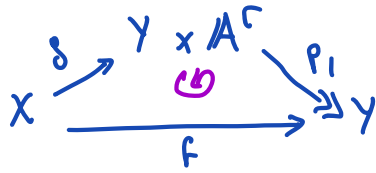


Pick  $s \in K[Y] \setminus \mathfrak{p}$  with  $s q_{i,j} \in K[Y][y_1, \dots, y_r]$ .  $\forall i, j$ .  
 Then  $\bar{x}_i$  is integral over  $K[Y]_{(s)}[y_1, \dots, y_r]$   $\forall i = 1, \dots, n$

Conclusion:  $\varphi: K[Y]_{(s)}[y_1, \dots, y_r] \hookrightarrow K[x]_{(f^*(s))}$  is finite

Recall  $\mathcal{O}(D_Y(s)) = K[Y]_{(s)}$  &  $\mathcal{O}(D_X(f^*(s))) = K[x]_{(f^*(s))}$   
 by Theorem 1 § 28.1 ( $\bar{K} = K$ )

Replacing  $f: X \rightarrow Y$  by  $D_X(f^*(s)) \xrightarrow{f} D_Y(s)$  which is also regular & dominant between affine varieties, we use the map  $\varphi$  from Claim 2 to factor  $f$  via



$g^\# = \varphi$  is finite &  $g$  is dominant so  $g$  is surjective by Theorem 1 § 29.1

Therefore,  $f = p_1 \circ g$  is surjective

• Picking  $Z$  &  $W$  as in the statement, we get  $g(W) \subseteq Z \times \mathbb{A}^r$ .

• Theorem 1 § 32.1 gives  $\dim W = \dim g(W)$

• Lemma 1 § 31.2 gives  $\dim g(W) \leq \dim(Z \times \mathbb{A}^r) = \dim Z + \underbrace{\dim \mathbb{A}^r}_{=r}$   
HW 7 by Theorem 1 (2) § 33.2

Conclusion:  $\dim W \leq \dim Z + r$ , as we wanted.

We end by discussing an important corollary:

Corollary 1: If  $f: X \rightarrow Y$  is a morphism of quasi-affine varieties such that all fibers of  $f$  have dimension  $r$  (in particular,  $f$  is surjective), then  $\dim X = \dim Y + r$ .

Proof: If  $Y_1, \dots, Y_m$  are the irreducible components of  $Y$ , each morphism  $f^{-1}(Y_i) \rightarrow Y_i$  has all fibers of dimension  $r$ . Since  $\dim X = \max_{1 \leq i \leq m} \dim f^{-1}(Y_i)$  and  $\dim Y = \max_{1 \leq i \leq m} \dim Y_i$  by Lemma 2 § 31.2, then it suffices to prove the statement when  $Y$  is irreducible.

Let  $X = X_1 \cup \dots \cup X_s$  be the irreducible decomposition of  $X$ , & write

$$d_i = \dim X_i - \dim(\overline{f(X_i)})$$

• By Theorem 2 there is an open subset  $V_i$  of  $\overline{f(X_i)}$  with  $V_i \subseteq f(X_i)$  such that every fiber of  $f_i: X_i \rightarrow \overline{f(X_i)}$  over a point in  $V_i$  has dimension  $d_i$ .

We prove the statement by checking that both inequalities hold.

Remark: Note that the set  $\bigcap_{i=1}^m V_i \neq \emptyset$  because  $Y$  is irreducible (we can prove this by induction on  $m$  combined with the fact that irreducible sets are connected)

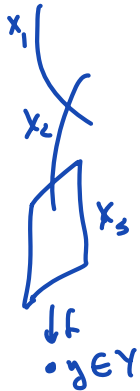
( $\Leftarrow$ ) By construction  $d_i \leq r$  for all  $i$ . In particular:

$$\dim(X_i) = d_i + \dim(\overline{f(X_i)}) \leq r + \dim(\overline{f(X_i)}) \leq r + \dim Y$$

by Lemma 1 § 31.2.

( $\Rightarrow$ ) To show  $\Rightarrow$  we must find a suitable  $i_0$  with  $\dim(X_{i_0}) \geq r + \dim Y$ .

Pictorially:



We want to pick  $i_0$  such that  $\dim f_{i_0}^{-1}(y)$  is maximal

$$\text{By construction: } \dim f^{-1}(y) = \max_{1 \leq i \leq m} \dim f_i^{-1}(y)$$

when  $y \in \bigcap_{i=1}^m V_i$ . Thus  $\exists i_0$  with  $d_{i_0} = r$ .

Furthermore, we have:

Claim:  $\overline{f(X_{i_0})} = Y$

Pf/ Since  $V_{i_0} \subseteq f(X_{i_0}) \subseteq Y$  &  $V_{i_0}$  is open in  $Y$  which is irreducible, it follows that  $Y = \overline{V_{i_0}} \subseteq \overline{f(X_{i_0})} \subseteq Y$ , i.e.  $\overline{f(X_{i_0})} = Y$ .  $\square$

Thus  $\dim(X_{i_0}) = \dim Y + d_{i_0} = \dim Y + r$ . Since  $\dim(X_{i_0}) \leq \dim X$  by Lemma 1 § 31.2, we have  $\dim X \geq \dim Y + r$ , as we wanted to show.  $\square$