

# Lecture III: Polyhedral geometry

- GOALS:
- Basic definitions / examples of polyhedra & polyhedral complexes
  - Show what can we say about algebraic geometry via discretization (on the polyhedral side)

2 polyhedral objects  $\leftrightarrow$  tropical varieties

toric varieties = equivariant compactifications  $X$  of algebraic tori  $T = (k^*)^n$  over field  $k$

action of  $T \subset T$  extends to  $T \subset X$  in a natural & compatible way

Given  $T \mapsto$  character lattice  $M := \text{Hom}(T, k^*) \cong \mathbb{Z}^n \rightarrow$  *polynomials side*

cocharacter "  $N = M^\vee := \text{Hom}(M, \mathbb{Z}) \cong \mathbb{Z}^n \rightarrow$  *fans side*

Dictionary:  $\left\{ \begin{array}{l} \text{algebraic geometry} \\ \text{of toric varieties} \end{array} \right\} \longleftrightarrow \left\{ \begin{array}{l} \text{combinatorial properties of} \\ \cdot \text{polytopes in } M \\ \text{or} \\ \cdot \text{fans in } N = M^\vee \end{array} \right\}$

## §1 Basics on convex geometry:

- $V$  vector space /  $\mathbb{R}$ .  $C \subseteq V$  is called convex if  $\forall v_1, v_2 \in C$ , we have  $\lambda v_1 + (1-\lambda)v_2 \in C \quad \forall 0 \leq \lambda \leq 1$

$C$  is called a cone if  $v \in C \Rightarrow \lambda v \in C \quad \forall \lambda \geq 0$

Our favorite objects: convex cones



$\text{BAD} \sim$  = not union of 2 convex cones

- The convex hull  $\text{conv}(U)$  of a set  $U \subseteq V$  is the smallest set containing  $U$

Why?  $V$  is convex,  $V_1, V_2$  convex  $\Rightarrow V_1 \cap V_2$  is convex. Minimal pts needed to "span" vertices  $\rightsquigarrow$  [V-rep-n].

- A convex polyhedral cone is a cone generated by finitely many vectors, i.e.

$$C_{(v_1, \dots, v_n)} = C = \{ \lambda_1 v_1 + \dots + \lambda_n v_n \mid \lambda_i \geq 0 \forall i \} \quad \text{to } v_1, \dots, v_n \in V.$$

Notation:  $C = \mathbb{R}_{\geq 0} \langle v_1, \dots, v_n \rangle$  [V-representation]

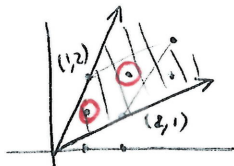
Nice properties:  $V = N_{\mathbb{R}} := N \otimes_{\mathbb{Z}} \mathbb{R}$  ( $N \cong \mathbb{Z}^n$  natural lattice,  $V \cong \mathbb{R}^n$ )

- $C$  is simplicial if  $v_1, \dots, v_n$  are linearly independent

- $C$  is smooth if its minimal generators  $\{w_i \in \mathbb{R}_{\geq 0} \langle v_i \rangle \cap \mathbb{Z}^n = \mathbb{Z}_{\geq 0} \langle w_i \rangle\}$

form part of a  $\mathbb{Z}$ -basis of  $N$ .

Eg in  $\mathbb{R}^2$ :



$$C = \mathbb{R}_{\geq 0} \langle (1,2), (2,1) \rangle$$

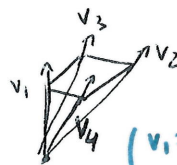
simplicial, not smooth

$$|\det \begin{pmatrix} 1 & 2 \\ 2 & 1 \end{pmatrix}| = |1-4| = |-3| = 3 \neq 1$$

$\exists 2-3-1$  lattice pts in fund.



Eg in  $\mathbb{R}^3$



not simplicial

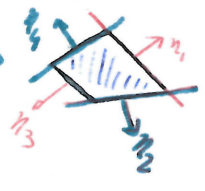
one spec

$$\begin{cases} v_1 = (1,0,1) \\ v_2 = (0,1,1) \\ v_3 = (0,0,1) \\ v_4 = (1,1,1) \end{cases}$$

Alternative description = H-representation:  $C = \{x \in V \cong \mathbb{R}^n : A \cdot x \leq b\}$   $A \in \mathbb{R}^{m \times n}$   
(of convex set)

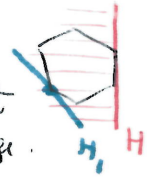
Def: Let  $K$  be a convex set in  $V$ . A supporting hyperplane is an affine hyperplane  $H$  s.t.  $H \cap K \neq \emptyset$  &  $K$  is contained in one of the 2 halfspaces determined by  $H$

$\Rightarrow$  Rows of  $A$  = supporting hyperplanes



$\bar{n}_i \cdot x \leq b_i$

$H_1$  supports a pt  
 $H_2$  " an edge



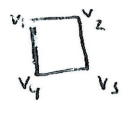
When  $C$  is cone:  $b = 0$ .

Algorithm for polytopes (= convex hulls of finitely many pts) = Fourier-Motzkin (see Ziegler's "Polytopes")  
 • Polyhedron = describe by H-representations

Def: A face of  $K$  is  $K \cap H$  for  $H$  a supporting hyperplane.

Exercise: If  $C = \mathbb{R}_{\geq 0} \langle v_1, \dots, v_n \rangle$ , then a face of  $C$  is generated by a subset of  $\{v_1, \dots, v_n\}$

(NOT any!)



$\{v_1, v_3\}$  does not generate a face...

• A facet is a face of codim 1.

NOTATION: If  $\sigma$  is a convex polyhedral cone,  $\tau$  a face of  $\sigma$ , write  $\tau \leq \sigma$

$\Rightarrow$  face poset of  $\sigma$

Note: If  $H = \{w \cdot x = b\} \Rightarrow$  face  $K \cap H = \text{face}_w K = \{x \in K : w \cdot x \leq w \cdot y \forall y \in K\}$

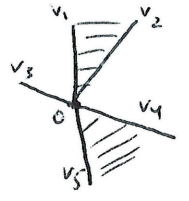
§2 Collections of cones/polyhedra:

Object of interest: collections w/ nice intersections among constituent objects.

Def 1: A polyhedral fan  $\Sigma$  is a collection  $\Sigma = \{\sigma \mid \sigma \text{ polyhedral cone}\}$  s.t.

- ① If  $\tau \leq \sigma \Rightarrow \tau \in \Sigma$  (closed under "faces")
- ②  $\sigma_1, \sigma_2 \in \Sigma$ , then  $\sigma_1 \cap \sigma_2$  is a face of  $\sigma_i$  for  $i=1,2$ . (Note  $\sigma_1 \cap \sigma_2 \geq 0$ )

Ex:



$\Sigma = \{0, \langle v_1 \rangle, \langle v_2 \rangle, \langle v_3 \rangle, \langle v_4 \rangle, \langle v_5 \rangle, \langle v_1, v_2 \rangle, \langle v_4, v_5 \rangle\}$

Non-Ex



$\sigma_1 \cap \sigma_2 \not\leq \sigma_3$

Fundamental for T.V. / tropicalizations over trivially valued fields

Def 2 A polyhedral complex is a collection  $\Sigma = \{P \mid P \text{ polyhedron}\}$  s.t.

- ① If  $Q \leq P \Rightarrow Q \in \Sigma$
- ②  $P, Q \in \Sigma \Rightarrow P \cap Q = \emptyset$  or  $(P \cap Q \leq P \text{ and } P \cap Q \leq Q)$

Elements of  $\Sigma$  = cells



non face, dim = 2,  $f = (4, 9, 3)$

Def 3:  $\text{supp}(\Sigma) = |\Sigma| = \{x \in V : x \in P \text{ for some } P \in \Sigma\}$  Support of the complex

Combinatorial properties of  $\Sigma$ :

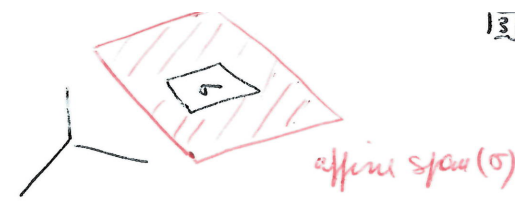
dimension of a cell = dim affine span of the cell

$\dim \Sigma = \max_{\sigma \in \Sigma} \{ \dim(\sigma) \}$

$\Sigma$  is pure if all cells of  $\Sigma$  have the same dimension  
maximal

(order in cells = inclusion aspect)

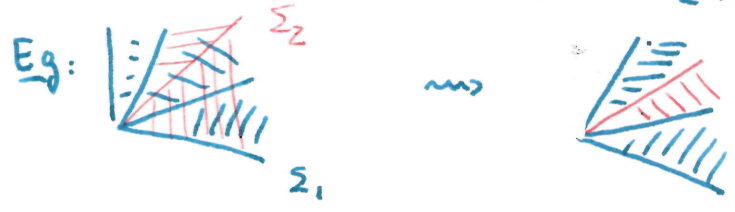
f-vector =  $(f_0, \dots, f_N)$   $N = \dim \Sigma$   $f_i := \# \{ \text{cells of dimension } i \text{ in } \Sigma \}$



Useful Tools: refinements & Minkowski sums:

Def 1:  $\Sigma_1, \Sigma_2 \subseteq V$  polyhedral complexes. The common refinement of  $\Sigma_1, \Sigma_2$  is the polyhedral complex  $\Sigma_1 \wedge \Sigma_2 = \{ P \cap Q : P \in \Sigma_1, Q \in \Sigma_2 \}$

Note:  $|\Sigma_1 \wedge \Sigma_2| = |\Sigma_1| \cap |\Sigma_2|$



Def 2:  $A, B \subseteq V$  polyhedra. The Minkowski sum is

$A+B = \{ a+b \mid a \in A, b \in B \}$

FACT:  $A+B$  is also a polyhedron

