

Lecture XI: Algebraic functions

Last time: ① $p: X \rightarrow Y$ unbranched proper holo map of degree n

$f \in \mathcal{O}(X) \rightsquigarrow c_1, \dots, c_n \in \mathcal{O}(Y)$ elem symm functions of f

On V open Y covered by $\bigsqcup_{i=1}^n U_i$, set $f_i = f \circ q_i$ where $q_i := (p_i|_{U_i})^{-1} \rightsquigarrow c_i = (-1)^i s_i(f_1, \dots, f_n)$
 $s_i = \text{elem symm func on } n \text{ letters.}$

(f satisfies $f^n + p^*c_1 f^{n-1} + \dots + p^*c_n = 0$.)

② If $p: X \rightarrow Y$ is a proper holo map of degree n & $B \subseteq Y$ is closed discrete,

containing the crit values of p , set $A := p^{-1}(B)$. Pick $f \in \mathcal{O}(X \setminus A)$ & write $c_1, \dots, c_n \in \mathcal{O}(Y \setminus B)$ for the elem symm functions of f rel to $p|_{X \setminus A}: X \setminus A \rightarrow Y \setminus B$. Then:

f extends holomorphically to $p^{-1}(b) \iff c_1, \dots, c_n$ extend holomorphically to b
 (meromorphically) (meromorphically)

Key: f satisfies $f^n + p^*c_1 f^{n-1} + \dots + p^*c_n = 0 \in \mathcal{O}(U^*) \rightsquigarrow \begin{matrix} V \xrightarrow{\sim} \mathbb{D} \\ b \mapsto 0 \end{matrix} \begin{matrix} U = p^{-1}(V) \\ U^* = p^{-1}(V^*) \end{matrix}$

TODAY: Build a RS X , a proper holo map $p: X \rightarrow Y$ & $f \in \mathcal{O}(X)$ algebraic over $p^*\mathcal{O}(Y) \subseteq \mathcal{O}(X)$

§11.1 Branched covering and field extensions:

Recall: $X \xrightarrow{p} Y$ proper non-const holomorphic map of degree n .

$\rightsquigarrow p_U^*: \mathcal{O}(U) \rightarrow \mathcal{O}(p^{-1}(U))$ for $U \subseteq Y$ open (so p is monomorphic on $p^{-1}(U)$ by construction)

We get $p^*: \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ map of rings & both $\mathcal{O}(X)$ & $\mathcal{O}(Y)$ are fields (X, Y are connected)

Theorem: Given $X \xrightarrow{p} Y$ proper non-const holomorphic map of degree n , $f \in \mathcal{O}(X)$ & $c_1, \dots, c_n \in \mathcal{O}(Y)$ elementary symmetric functions of f rel to p , we have:

$$f^n + (p^*c_1)f^{n-1} + \dots + p^*c_n = 0 \quad (**)$$

The monomorphism $p^*: \mathcal{O}(Y) \rightarrow \mathcal{O}(X)$ is an algebraic field extension of $\deg \leq n$
 Moreover, if $\exists f \in \mathcal{O}(X)$ & $y \in Y$ (non-crit value) with $p^{-1}(y) = \{x_1, \dots, x_n\}$

st $f(x_i) \neq f(x_j) \forall i \neq j$, then $[\mathcal{B}(X) : \mathcal{B}(Y)] = n$.

Remark: We'll see later that the pair (f, y) always exists.

Proof. The identity $(**)$ follows from the definition of $c_1, \dots, c_n \in \mathcal{B}(X \setminus p^{-1}(y))$ (unit values)

• Write $L := \mathcal{B}(X)$, $K = p^* \mathcal{B}(Y) \subset L$. By construction, we see that $\min(f, K)$ has degree $\leq n \Rightarrow$ any $f \in L$, so L/K is algebraic.

• Pick n_0 & $f_0 \in L$ where $n_0 = [K(f_0) : K]$ maximal.

Pick any $f \in L$ & fix $L' = K(f_0, f) \subset L$. Then $[L' : K] < \infty$ &

by the Primitive Element Theorem we can find $g \in L' \subset L$ with $L' = K(g)$
($\mathbb{Q} \subseteq K$)

Then $[K(g) : K] = [K(g) : K(f_0)] [K(f_0) : K]$, so by maximality of n_0
 $[K(g) : K(f_0)] = 1$ ie $f \in K(f_0)$.

Conclude: $L = K(f_0)$ & $[L : K] = n_0 \leq n$

• Finally, if $n_0 < n$, then $(**)$ $\min(f, K) = f^{n_0} + p^*(\alpha_1) f^{n_0-1} + \dots + p^*(\alpha_{n_0}) = 0$
& when evaluated at $x \in p^{-1}(y)$ $f(x)$ can have at most n_0 values (soln to $(**)$ val at y)

This contradicts the hypothesis on the pair (f, y) .

§11.2 Algebraic Functions

Next goal: Build Riemann surfaces & branched coverings of Y from $Q \in \mathcal{B}(Y)[T]$
(monic)

Theorem 1: Fix Y a RS & $Q = T^n + c_1 T^{n-1} + \dots + c_n \in \mathcal{B}(Y)[T]$.

Assume that Q is irreducible. Then \exists a triple (X, p, f) where:

(1) X is a RS

(2) $p: X \rightarrow Y$ is a proper non-const holomorphic map of degree n ("n-sheeted branched covering")

(3) $f \in \mathcal{H}(X)$ with $(p^*Q)(f) = 0$.
 $\in p^*\mathcal{H}(Y)[T] \subseteq \mathcal{H}(X)[T]$

satisfying the following universal property: If (Z, q, g) is another such triple,

then $\exists! \sigma: Z \rightarrow X$ biholomorphic with $Z \xrightarrow{\sigma} X$ & $Z \xrightarrow{q} Y$ & $X \xrightarrow{p} Y$
 (ie fiber preserving + $\sigma^*f = g$)

Definition: (X, p, f) is called the "algebraic function" defined by Q .

Main example: $Y = \mathbb{P}^1$, so $\mathcal{H}(Y) = \mathbb{C}(y) \Rightarrow p: X \rightarrow \mathbb{P}^1$ is proper (branched holomorphic covering with finite fibers), so X is also compact.

Idea: Build $X' \xrightarrow{p'} Y'$ holomorphic covering & $f \in \mathcal{H}(X')$, for $Y' = Y - B$ B closed & discrete & then "fill the holes" in X' & Y' to get $X \xrightarrow{p} Y$ deg n proper holomorphic map & $f \in \mathcal{H}(X)$.

We'll use our classification of unbranched proper coverings of \mathbb{D}^* (see § 8.1)

Next, we write the key lemma that allows us to restrict to unbranched proper holomorphic maps. (ie "how to fill holes")

Key Lemma: Fix $Y \supseteq B$, $B \subseteq Y$ closed & discrete set & set $Y' = Y - B$

Assume we are given $p': X' \rightarrow Y'$ proper unbranched holomorphic covering. Then,

p' extends to a branched proper holomorphic covering of Y , ie we have

(1) a RS X

(2) a proper holomorphic map $p: X \rightarrow Y$

(3) a biholomorphism $X - p^{-1}(B) \xrightarrow{F} X'$ with $p' \circ F = p|_{X - p^{-1}(B)}$

Proof: Locally $Y' \simeq \mathbb{D}^*$, $p'(\mathbb{D}^*) \rightarrow \mathbb{D}^*$ proper covering ($\Rightarrow k_b$ sheeted) & we want to

extend to $p: X \rightarrow \mathbb{D}^*$ for some $X = X' \cup \{\text{finitely many pts}\}$

Issue: $p^{-1}(\mathbb{D}^*)$ will be disconnected, but it will have finitely many connected components. Adding one pt to each component will make each homeomorphic to \mathbb{D} .

• We define $X = X' \sqcup \bigsqcup_{b \in B} \{y_{b,j} : j=1, \dots, n_b\}$

• Topology on X has basis $\mathcal{B}(X') \cup \bigcup_{b \in B} \bigcup_{j=1}^{n_b} \{y_{b,j} \cup ((p')^{-1}(W) \cap V_{b,j}^*)\} : \substack{b \in B \\ W \subseteq X \text{ open}} \}$
 - nbhd of $y_{b,j}$

With this topology, X is Hausdorff. (Y is Hausdorff & X' is Hausdorff)

• X is connected & charts described above give a complex structure to X .
 ($\overline{X'} = X$ & X' is connected)

• Define $p: X \rightarrow Y$ via $p|_{X'} = p'$ & $p(y_{b,j}) = b \quad \forall b \in B \quad j=1, \dots, n_b$

Claim: p is continuous, holomorphic & proper of degree n (= deg p')

Sf/. p' is cont, holo & $p|_{V_{b,j}} \rightarrow U_b$ is continuous & holomorphic by (***)
 $\Rightarrow p$ is cont & holo.

To show: $p^{-1}(K)$ is compact $\forall K \subseteq Y$ compact we use that $K \cap B$ is finite, and
 the identity: $p^{-1}(K) = \underbrace{(p')^{-1}(K')}_{\text{compact}} \cup \underbrace{p^{-1}(K \cap B)}_{\text{finite}} \quad \text{for } K' = K \cap X' \quad (K' \subseteq X' \text{ is compact})$

• $X' = X \setminus p^{-1}(B)$, so we pick $F = \text{id}_{X'}$

§ 11.3 Proof of Existence in Theorem 1 § 11.2

We proceed in several steps

STEP 1: We use the discriminant Δ of Q (in the variable T) to find a closed discrete set $B \subseteq Y$ where $c_i \in \mathcal{O}(Y \setminus B) \quad \forall i$ & for each $y \notin B$,

$$Q_y(T) := T^n + c_1(y)T^{n-1} + \dots + c_n(y) \in \mathbb{C}[T]$$

has n distinct roots in \mathbb{C}

Definition: $\Delta \in \mathcal{O}(Y)$ is a polynomial in c_1, \dots, c_n that vanishes

$\Leftrightarrow Q$ is reducible in $\mathcal{O}(Y)[T]$ (equiv, if Q has a double root in $\overline{\mathcal{O}(Y)}$)

Obs: Δ can be computed as $\Delta = (-1)^{\frac{n(n-1)}{2}} \text{Res}_T(Q, Q') = (-1)^{\frac{n(n-1)}{2}} \prod_{1 \leq i < j \leq n} (r_i - r_j)^2$
 if $Q = \prod_{i=1}^n (T - r_i)$ is the factorization over $\overline{\mathcal{H}(Y)}$.

• Consider $\boxed{B} := \{y \in Y : \Delta_{(y)} = 0\} \cup \bigcup_{i=1}^n \{y \in Y : y \text{ is a pole of } c_i\}$

By construction, B is closed & discrete & for $Y' = Y \setminus B$ we have

- (1) $c_i|_{Y'} \in \mathcal{O}(Y') \quad \forall i=1, \dots, n$
- (2) $\Delta_{(y)} \neq 0 \quad \forall y \in Y' \quad (\Delta \in \mathcal{O}(Y') \text{ since } \Delta \in \mathbb{Z}[c_1, \dots, c_n])$

Claim 1: $Q_y(T) \in \mathbb{C}[T]$ has n distinct roots in \mathbb{C} (from the def of Δ & Y')

STEP 2: We build X' a RS & $p': X' \rightarrow Y'$ n -sheeted (unbranched) holm covering

We construct X' using $|O|$ & the n roots of each $Q_y(T)$.

$$\begin{array}{c} |O| \\ \downarrow p \\ Y' \end{array}$$

Claim 2: $X' := \{z=(y, \eta) : y \in Y', \eta \in \mathcal{O}_y \text{ & } Q_y(\eta) = 0\}$

(Here we via $Q_y(T) \in \mathcal{O}_y[T]$ & we need to find solutions to $Q_y(T) = 0$. We'll see this can be done in Lemma 1 & Corollary 1 below)

• Set $p': X' \rightarrow Y'$

$$\begin{array}{ccc} X' & \longrightarrow & Y' \\ \eta & \longmapsto & y \quad \text{if } \eta \in \mathcal{O}_y \end{array}$$

Claim 3: p' is an n -sheeted unbranched covering (see Lemma 2 below)

STEP 3: Assume X' is connected & build X RS & $p: X \rightarrow Y$ extending $p': X' \rightarrow Y'$ using Key Lemma §10.5. p is n -sheeted branched proper holm map.

STEP 4: Build $f \in \mathcal{H}(X)$ with $(p^*(Q))(f) = 0$

$$\in \mathcal{H}(X)[T]$$

First define $f: X' \rightarrow \mathbb{C}$ with $f(\eta) = \eta(p'(\eta)) = \eta(y)$

$$\eta \in \mathcal{O}_y$$

Claim 4: f is holomorphic

Pr/ Given $z \in X'$ (connected), find $U \subseteq Y'$ open & $s \in \mathcal{O}(U)$ with $z \in \mathcal{N}(U, s) \subseteq X'$
 $(z \in \mathcal{O}_y)$ $y \in U$

Then $f|_{\mathcal{N}(U, s)} = \text{so } p'|_{\mathcal{N}(U, s)}$ is holomorphic \square

• Take $(p')^*Q_{(T)} = T^n + (p')^*c_1 T^{n-1} + \dots + (p')^*c_n$
 $= T^n + c_1 \circ p'(x) T^{n-1} + \dots + c_n \circ p'(x) \in \mathcal{B}(X')[T]$

Claim 5: $(p')^*Q(f) = 0$ (clear from the def of X' and f)

Claim 6: f extends uniquely to $f \in \mathcal{B}(X)$ & $p^*Q(f) = 0$

Pr/ We know $\{c_i \circ p'\}$ extend meromorphically to $\{c_i \circ p\}$, so by Theorem 1 §10.3 f extends uniquely to a meromorphic function on X & $(p^*Q)(f) = 0$

STEP 5: Show that X' is connected

Assume the contrary and work with the finitely many connected comp of X' , say X'_1, \dots, X'_s with $s \leq n$ (because p' is n -sheeted).

We can repeat STEPS 3 & 4 for each X'_i $i=1, \dots, s$ & find

$$\begin{cases} \bullet X_i \xrightarrow{p} Y \text{ branched covering with } n_i \text{-sheets extending } p'|_{X'_i} X'_i \rightarrow Y_i \\ \bullet f_i \in \mathcal{B}(X_i) \text{ with } (p_i^*Q)(f_i) = 0 \end{cases} \quad \begin{matrix} X'_i \rightarrow Y_i \\ (n_i \text{ sheeted}) \end{matrix}$$

Here, $\sum_{i=1}^s n_i = n$ by construction.

Using the elementary symmetric functions of f_i we can build $Q_i \in \mathcal{B}(Y)[T]$ of degree n_i satisfying

$$Q(T) = Q_1(T) Q_2(T) \dots Q_s(T)$$

(because (RHS) $| Q(T)$ & is monic of degree n)

This contradicts the assumption that Q is irreducible unless $s=1$ ($\Rightarrow X'$ is conn) \square

To complete the proof of Theorem 1 we need some technical results to settle Claim 2

and 3. Lemma 1 & Corollary 1 below are used for Claim 2, whereas Lemma 2 confirm Claim 3.

The first lemma says we can find a germ solving a polynomial in $\mathcal{O}_y[T]$ if we can solve the polynomial in $\mathbb{C}[T]$ obtained by evaluating at y using a simple root.

Lemma 1: Fix $c_1, \dots, c_n \in \mathcal{O}(\mathcal{D}_R(0))$ with $\mathcal{D}_R(0) = \{z : |z| < R\}$ & assume

$$Q_0(T) = T^n + c_1(0)T^{n-1} + \dots + c_n(0) \in \mathbb{C}[T]$$

has a simple root, say w_0 . Then, $\exists r$ with $0 < r < R$ & $\exists \varphi \in \mathcal{O}(\mathcal{D}_r(0))$

with $\varphi(0) = w_0$ & $Q(\varphi) := \varphi^n + c_1\varphi^{n-1} + \dots + c_n = 0$ in $\mathcal{O}(\mathcal{D}_r(0))[T]$
 $(\Rightarrow p_0(\varphi)$ solves $p_0(t)[T] = 0$ in $\mathcal{O}_{\mathcal{D}_r(0), 0}$)

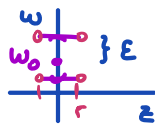
Proof: We consider $w \in \mathbb{C}$ & $z \in \mathcal{D}_R(0)$ & the polynomial

$$F(z, w) := w^n + c_1(z)w^{n-1} + \dots + c_n(z).$$

Since $F(0, w_0) = 0$ & w_0 is a simple root of $F(0, w)$ we can find $\varepsilon > 0$

such that $F(0, \underline{w})$ has only 1 zero in $\overline{\mathcal{D}_\varepsilon(w_0)} = \{w : |w - w_0| \leq \varepsilon\}$

(the zeroes of $F(0, \underline{w})$ are discrete)



By continuity of F & compactness of $\{ |w - w_0| = \varepsilon \}$, $\exists 0 < r < R$ st $F_{(z, w)}$ has no zeroes on:

$$\{ (z, w) : z \in \mathcal{D}_r(0) \text{ & } |w - w_0| = \varepsilon \}$$

We will build φ by Residue type Formula, using the circumference $C = \{ |w - w_0| = \varepsilon \}$

First, we define $\mathcal{Z} : \mathcal{D}_r(0) \longrightarrow \mathbb{C}$ as

$$\mathcal{Z}(z) := \frac{1}{2\pi i} \oint_C \frac{\partial_w F(z, w)}{F(z, w)} dw = \# \text{ of soln of } F(z, T) \text{ in } |T - w_0| < \varepsilon$$

[Reason: if $P(t) = \prod_{j=1}^{\ell} (t - \alpha_j)^{n_j} \Rightarrow \frac{P'(t)}{P(t)} = \sum_{j=1}^{\ell} \frac{n_j}{t - \alpha_j}$ & $\frac{1}{2\pi i} \oint_C \frac{P'(t)}{P(t)} dt = \sum_{j=1}^{\ell} n_j$]

By construction \mathcal{Z} is continuous and $\text{im}(\mathcal{Z}) \subseteq \mathbb{Z}$, so it's constant

Since $\mathcal{Z}(0) = \text{mult}(w_0, F_{(0, w)}) = 1$ by our choice of ε , we get $\mathcal{Z}(z) \equiv 1$.

We define $\Psi: D(0, r) \rightarrow \mathbb{C}$ via $\Psi(z) := \frac{1}{2\pi i} \oint_C w \frac{\partial_w F(z, w)}{F(z, w)} dw$

Claim. $\Psi(z) =$ the value w of the unique zero of $F(z, w)$ for $w \in D_\varepsilon(w_0)$.

PF/ If $P(t) = \prod_{j=1}^l (t - d_j)^{n_j} \Rightarrow \frac{1}{2\pi i} \oint_C \frac{t P'(t)}{P(t)} dt = \sum_{\substack{j=1 \\ d_j \in \text{Int}(C)}}^l n_j d_j$

But since $\gamma(z) = 1$, we know $F(z, w)$ only has 1 zero in $D_\varepsilon(w_0)$, so $\Psi(z)$ collects its value.

- $\Psi(0) = w_0$ by construction

- Ψ depends holomorphically on z so $\Psi \in \mathcal{O}(D_r(0))$.

The construction gives uniqueness for free! (The integral formula computes the solution by the Residue Theorem). \square

Corollary 1: Inside $Q(T) := T^n + c_1 T^{n-1} + \dots + c_n \in \mathcal{O}_y[T]$

& assume $q(T) = T^n + c_1(y) T^{n-1} + \dots + c_n(y) \in \mathbb{C}[T]$ has n distinct roots w_1, \dots, w_n . Then, there exist $[\Psi_1], \dots, [\Psi_n] \in \mathcal{O}_y$ with

$$\Psi_k(y) = w_k \quad \& \quad Q([\Psi_k]) = 0 \quad \forall k = 1, \dots, n$$

Proof: Lift $c_1, \dots, c_n \in \mathcal{O}_y$ to an open $U_0 \ni y$. Consider a word chart (U, Ψ) of y with $U \subseteq U_0$ & $\Psi: U \xrightarrow{\sim} D$.

Use Lemma 1 to build Ψ_j for each w_j . Take $r = \min\{r_1, \dots, r_n\} < 1$ & identify $D_r(0)$ with an open $U' \ni y$ inside U . Then $Q([\Psi_k]) = 0$ \square

Lemma 2: $p': X' \rightarrow Y'$ is an n -sheeted covering map. (This is Claim 3)

Proof We prove this by the definition of covering. Pick any $y \in Y'$

By construction $(p')^{-1}(y)$ has n elements, build using Corollary 1.

Call them $f_1, \dots, f_n \in \mathcal{O}_{Y', y}$. So $p_y(Q(T)) = \prod_{i=1}^n (T - f_i)$ in $\mathcal{O}_y[T]$

Lift f_1, \dots, f_n to s_1, \dots, s_n in $\mathcal{O}(V)$ for some open $V \subseteq Y'$. Then

$$Q(T) = \prod_{i=1}^n (T - s_i) \text{ in } \mathcal{O}(V)[T]$$

By construction, we have $(p')^{-1}(V) = \bigcup_{i=1}^n \mathcal{N}(V, s_i)$

Claim 1: $\mathcal{N}(V, s_i) \cap \mathcal{N}(V, s_j) = \emptyset \quad \forall i \neq j$

PF/ $f_i \neq f_j$, so $s_i \neq s_j$ in $\mathcal{O}(V)$. Furthermore, by the identity theorem

$$p_{y'}[s_i] \neq p_{y'}[s_j] \quad \forall y' \in V$$

Claim 2 $p'|_{\mathcal{N}(V, s_i)} : \mathcal{N}(V, s_i) \rightarrow V$ is a homeo.

(we saw this in the proof of Thm 1 § 8.2)

So the open V of Y' & the collection $\{ \mathcal{N}(V, s_i) \}_{i=1}^n$ satisfy the def of covering for y . \square