# Lecture 3

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July 5, 2006





## 2 Amenable groups

- The Følner Condition
- The Cheeger–Gromov Theorem

## $\bigcirc$ $L^2$ de Rham Theory

- Hyperbolic manifolds
- Kähler hyperbolic manifolds

## Last time

$$L^2C_i(X) := \{ \varphi : \{i \text{-cells} \} \to \mathbf{R} \mid \sum \varphi(e)^2 < \infty \}$$

$$L^2\mathcal{H}^i(X) := Z^i(X)/\overline{B^i}(X).$$

The *i*<sup>th</sup>  $L^2$ -Betti number of X is:

$$L^2 b_i(X; \Gamma) := \dim_{\Gamma} L^2 \mathcal{H}^i(X).$$

If X is contractible (and the  $\Gamma$ -action is proper and cocompact), then

$$L^2b_i(\Gamma):=L^2b_i(X;\Gamma).$$

# Bundles over $S^1$

Suppose  $F \to M \to S^1$  is a fiber bundle with fiber F.  $\widetilde{M}$  is the universal cover,  $\Gamma = \pi_1(M)$ .

#### Question

(Gromov). Is it true that 
$$L^2b_i(\widetilde{M};\Gamma) = 0, \forall i$$
?

It turns out it's easier to answer a more general question about mapping tori. Suppose F a CW complex and  $f : F \to F$  a self map.

#### Definition

 $T_f := (F \times [0,1]) / \sim$  is called the *mapping torus of f*, where  $\sim$  is defined by  $(x,0) \sim (f(x),1)$ .

# Lück's Theorem

- There is a canonical map  $T_f \to S^1$ .
- If f is a homeomorphism,  $T_f \rightarrow S^1$  is a fiber bundle.
- If f is a homotopy equivalence,  $T_f \rightarrow S^1$  is a fibration with fiber F.

Suppose canonical epimorphism  $\pi_1(T_f) \to \mathbb{Z}$  factors as  $\varphi \circ \psi$ where  $\psi : \pi_1(T_f) \to \Gamma$  and  $\varphi : \Gamma \to \mathbb{Z}$  are both onto (e.g.  $\Gamma$  could  $= \pi_1(T_f)$ ). Let  $\widetilde{T}_f \to T_f$  be the covering space corresponding to  $\psi$ .

## Theorem

$$L^2 b_i(\widetilde{T_f}; \Gamma) = 0, \forall i.$$

#### Observations

- By Cellular Approx Theorem, f is homotopic to a cellular map (i.e., f(F<sup>i</sup>) ⊂ F<sup>i</sup>). So, let us assume this.
- Denote number of *i*-cells in *F* by  $c_i(F)$ . Then  $T_f$  has a CW structure with

$$c_i(T_f)=c_{i-1}(F)+c_i(F).$$

- Let Γ<sub>n</sub> := φ<sup>-1</sup>(nZ) ⊂ Γ. So, T̃<sub>f</sub>/Γ<sub>n</sub> → T<sub>f</sub> is an n-fold covering.
- Exercise: There is a homotopy equivalence  $T_{f^n} \rightarrow \widetilde{T}_f / \Gamma_n$ .

# Proof of Lück's Theorem

## Desired formula

$$L^2 b_i(\widetilde{T}_f;\Gamma)=0$$

#### Proof.

$$L^{2}b_{i}(\widetilde{T}_{f};\Gamma_{n}) \leq \dim_{\Gamma_{n}}(L^{2}C_{i}(\widetilde{T}_{f})) = c_{i}(T_{f_{n}}) = c_{i-1}(F) + c_{i}(F).$$
  
By multiplicativity of the  $L^{2}b_{i}, \quad L^{2}b_{i}(\widetilde{T}_{f};\Gamma) = \frac{1}{n}L^{2}b_{i}(\widetilde{T}_{f};\Gamma_{n}).$  So,  
$$L^{2}b_{i}(\widetilde{T}_{f};\Gamma) \leq \frac{c_{i-1}(F) + c_{i}(F)}{n}$$

Taking the limit as  $n \to \infty$ , we get  $L^2 b_i(\widetilde{T}_f; \Gamma) = 0$ .

## Amenable groups

A mean on a gp G is a linear map,  $M: L^{\infty}(G) \rightarrow \mathbf{R}$ , s.t.

- M(1) = 1 (where  $1: G \rightarrow \mathbf{R}$  is the constant function 1).
- *M* is *G*-invariant (i.e.,  $M(g\varphi) = M(\varphi)$ ,  $\forall g \in G$ ).

• 
$$\varphi \ge 0 \implies M(\varphi) \ge 0.$$

#### Definition

G is amenable if it admits a mean.

There is a more workable condition.

The Følner Condition The Cheeger–Gromov Theorem

## The Følner Condition

Let  $\Gamma$  be a finitely generated gp.  $\Lambda$  its Cayley graph w.r.t. some finite set of generators. Suppose  $F \subset \Gamma$ .

 $\partial F := \{g \in F \mid \exists \text{ an edge of } \Lambda \text{ connecting } g \text{ to an element } \notin F\}$ 

# The Følner Condition $\forall \varepsilon > 0, \exists$ a finite subset $F \subset \Gamma$ s.t. $\frac{|\partial F|}{|F|} < \varepsilon.$

The Følner Condition The Cheeger–Gromov Theorem

#### Theorem

A fin gen  $\Gamma$  is amenable  $\iff$  Følner Condition.

## Example

- $\mathbb{Z}$  is amenable.
- Finite gps, abelian gps and solvable gps are all amenable.

The Følner Condition The Cheeger–Gromov Theorem

# The Cheeger–Gromov Theorem

Since  $L^2C^i(X) \subset C^i(X; \mathbf{R})$ , there are canonical maps, can :  $L^2H^i(X) \to H^i(X; \mathbf{R})$  and can :  $L^2\mathcal{H}^i(X) \to H^i(X; \mathbf{R})$ . (The second takes a harmonic cocycle to an ordinary one.)

#### Theorem

Suppose  $\Gamma$  is an infinite amenable gp. Then can :  $L^2\mathcal{H}^i(X) \to H^i(X; \mathbf{R})$  is injective.

The Følner Condition The Cheeger–Gromov Theorem

## Corollary

- If X is contractible, then  $L^2\mathcal{H}^i(X) = 0$ ,  $\forall i$ .
- $L^2b_i(\Gamma) = 0, \forall i. \ (\Gamma \text{ infinite amenable.})$

#### Corollary

 $\Gamma$  infinite amenable acting (not necessarily cocompactly) on a contractible X (with uniform geoemetry). Then  $L^2b_i(\Gamma) = 0$ ,  $\forall i$ .

### Corollary

 $\Gamma$  contains an infinite normal amenable subgp A. Then  $\chi(\Gamma) = 0$  (i.e.,  $\chi^{orb}(X/\Gamma) = 0$ ).

The Følner Condition The Cheeger–Gromov Theorem

#### Example

Assume  $S^1 \to Y \to B$  an  $S^1$ -bundle, B aspherical,  $\Gamma = \pi_1(Y)$ . Then

$$1 \to \mathbb{Z} \to \Gamma \to \pi_1(B) \to 1.$$

So,  $L^2 b_i(\Gamma) = 0$ ,  $\forall i$ .

# Sketch of Eckmann's proof of Cheeger-Gromov Thm

- Put  $K := \text{Ker}(L^2 \mathcal{H}^i(X) \to H^i(X; \mathbf{R})).$ Idea: Use Følner Condition to show dimr K = 0.
- $\Gamma$  is countable. Følner Condition  $\implies \exists$  an exhaustion  $F_1 \subset F_2 \subset \cdots$  s.t

$$\bigcup_{j=1}^{\infty} F_j = \Gamma \quad \text{and} \quad \lim_{j \to \infty} \frac{|\partial F_j|}{|F_j|} = 0.$$

• D =fund domain =  $\bigcup$  closed cells, 1 cell in each  $\Gamma$ -orbit. Put

$$X_j := \bigcup F_j D$$
 and  $\partial X_j :=$  its bdry in  $X$ 

Let P: L<sup>2</sup>C<sup>i</sup>(X) → L<sup>2</sup>H<sup>i</sup>(X) be orthogonal proj and π<sub>j</sub> composition of P with inclusion L<sup>2</sup>C<sup>i</sup>(X<sub>j</sub>) → L<sup>2</sup>C<sup>i</sup>(X).

## Proof

• dim<sub>R</sub> 
$$\pi_j(K) = \sum_{gc \in F_jD} \pi_j(gc) \cdot gc = |F_j| \sum_{c \in D} P(c) \cdot c = |F_j| \dim_{\Gamma} K$$
. So,

$$\dim_{\Gamma} K = \frac{\dim_{\mathbf{R}} \pi_j(K)}{|F_j|}$$

• Estimate:  $\dim_{\mathbf{R}} \pi_j(K) \leq \dim_{\mathbf{R}} (C^i(\partial X_j; \mathbf{R}) \leq |\partial F_j| \alpha_i$ , where  $\alpha_i = \#(i$ -cells in D). So,

$$\dim_{\Gamma} K \leq \frac{|\partial F_j|}{|F_j|} \alpha_j \to 0.$$

Hyperbolic manifolds Kähler hyperbolic manifolds

# Review of classical theory

- *M* a smooth closed mfld.
- $\Omega^p(M)$  the vector space of smooth *p*-forms.
- $d: \Omega^p 
  ightarrow \Omega^{p+1}$ , the exterior differential
- The de Rham cochain cx:

$$\cdots \rightarrow \Omega^{p}(M) \stackrel{d}{\longrightarrow} \Omega^{p+1}(M) \rightarrow \cdots$$

- The corresponding cohomology gps:  $H^*_{dR}(M)$ .
- If *M* has a smooth triangulation, integration of *p* -forms over *p*-simplices gives an iso:

$$H^p_{d\mathbf{R}}(M) \to H^p(M; \mathbf{R}) \text{ (or } H^p_{sing}(M; \mathbf{R})).$$

## Inner product

#### Suppose dim M = n.

- $\exists$  iso,  $\Lambda^{p}(\mathbf{R}^{n}) \xrightarrow{\cong} \Lambda^{n-p}(\mathbf{R}^{n})$ .
- inducing Hodge star operator \* : Ω<sup>p</sup>(M) → Ω<sup>n-p</sup>(M), (ignoring the ± signs).
- Define inner product on  $\Omega^p(M)$  by

$$\omega\cdot\eta:=\int_M\omega\wedge*\eta.$$

- $d^*: \Omega^p(M) \to \Omega^{p-1}(M)$ , the adjoint of d.
- The Laplacian,  $\Delta := dd^* + d^*d : \Omega^p \to \Omega^p$ .

# $L^2$ version

- Suppose  $\widetilde{M}$  is smooth mfld with proper, cocompact, smooth  $\Gamma$ -action (e.g.  $\widetilde{M} \to M$  is regular covering with deck transformations =  $\Gamma$ ).
- $L^2\Omega^p(\widetilde{M})$  the Hilbert space completion of  $\Omega^p_c(\widetilde{M})$ .
- As before, we get reduced cohomology gps:

$$L^2\mathcal{H}^p_{\mathsf{dR}}(\widetilde{M}) := \mathsf{Ker}(d)/\overline{\mathsf{Im}(d)}$$

It is a Hilbert space with orthogonal Γ-action.

L<sup>2</sup>H<sup>p</sup><sub>dR</sub>(M̃) is ≅ the space of square integrable harmonic p-forms.

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## Dodziuk's Theorem

## Theorem (Dodziuk)

# $L^2\mathcal{H}^p_{dR}(\widetilde{M})\cong L^2\mathcal{H}^p(\widetilde{M}).$

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# Singer Conj for $\mathbb{H}^n$

#### Theorem

 $L^2\mathcal{H}^q(\mathbb{H}^n)=0, \quad \forall q\neq \frac{n}{2}.$ 

- We will sketch Dodziuk's proof of this.
- All that it uses is that we have a "rotationally symmetric" metric on a mfld M<sup>n</sup> diffeomorphic to R<sup>n</sup>.
- This means that in polar coordinates metric has the form

$$ds^2 = dr^2 + f(r)^2 d\theta^2$$

where  $d\theta$  is the standard round metric on  $S^{n-1}$ , r is the Euclidean distance to origin and f(r) satisfies:

$$f(0)=0, \quad f'(0)=1, \quad f(r)>0, \quad \lim_{r\to\infty}f(r)=\infty.$$

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## Sketch of proof.

- Start with harmonic *q*-form. Write it in terms of functions of (*r*, θ). Do some work to conclude:
- $L^2\mathcal{H}^q(M) \neq 0 \implies \int_1^\infty f^{n-2q-1}(r)dr < \infty.$
- By Poincaré duality,  $L^2 \mathcal{H}^q(M) \cong L^2 \mathcal{H}^{n-q}(M)$ . So,  $L^2 \mathcal{H}^{n-q}(M) \neq 0 \implies \int_1^\infty f^{-n+2q-1}(r) dr < \infty$ .
- So, both exponents must give convergent integrals. If n = 2q, both exponents = −1 and we get the condition
   ∫<sub>1</sub><sup>∞</sup> 1/(f(r)) dr < ∞.
   </li>
- (n-2q-1)(-n+2q-1) = 1 (n-2q)<sup>2</sup>. So, if n-2q = ±1, one exponent is 0 and integral diverges.
   Otherwise, exponents have different signs, so one integral diverges.

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# Kähler manifolds

- *M* a complex *n*-mfld  $(\dim_{\mathbf{R}}(M) = 2n)$  with Hermitian metric.
- The imaginary part of Hermitian metric is a nondegenerate 2-form  $\omega.$
- *M* is a *Kähler mfld* if  $\omega$  is closed.

#### Example

 $\mathbb{C}P^N$  is a Kähler mfld. A smooth projective variety  $M \subset \mathbb{C}P^N$  is a closed Kähler mfld.

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## The Hard Lefschetz Theorem

## M a Kähler mfld with Kähler 2-form $\omega$ . Put

$$L = \land \omega : \Omega^p(M) \to \Omega^{p+2}(M).$$

#### Theorem

Suppose *M* is a closed Kähler n-mfld with Kähler class  $\alpha := [\omega] \in H^2(M)$ . Put  $\ell := \wedge \alpha : H^*(M) \to H^{*+2}(M)$ . Then

$$\ell^{n-i} := \ell \circ \cdots \circ \ell : H^p(M) \to H^{2n-p}(M)$$

is an isomorphism.

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#### Sketch of proof

 $L^{n-p}: \Omega^p \to \Omega^{2n-p}$  is an iso (because this holds pointwise, i.e.,  $\bigwedge^p(T_x M) \to \bigwedge^{2n-p}(T_x M)$  is iso.)

Key Fact: L takes harmonic forms to harmonic forms:

Suppose  $\mathcal{H}^{p}(M) \subset \Omega^{p}(M)$  denotes the harmonic *p*-forms, i.e.,  $\mathcal{H}^{p}(M) := \text{Ker } \Delta$ , then *L* takes  $\mathcal{H}^{p}(M)$  to  $\mathcal{H}^{p+2}(M)$ .

Hodge theory  $\implies \mathcal{H}^p(M) = H^p(M)$ .

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# The $L^2$ Lefschetz Theorem

#### Theorem

*M* a Kähler n-mfld, then  $L^{n-p} : L^2\Omega^p(M) \to L^2\Omega^{2n-p}(M)$  is iso and takes  $L^2\mathcal{H}^p(M)$  to  $L^2\mathcal{H}^{2n-p}(M)$ .

#### Definition

Suppose  $(M, \omega)$  is a closed Kahler mfld,  $(\tilde{M}, \tilde{\omega})$  its univ cover. M is Kähler hyperbolic if  $\tilde{\omega} = d$ (bounded), i.e.,  $\exists$  a bounded 1-form  $\eta$  s.t.  $\tilde{\omega} = d(\eta)$ .

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# Gromov's Theorem

## Theorem (Gromov)

Suppose *M* is Kähler hyperbolic;  $\pi = \pi_1(M)$ . Then •  $\forall p \neq n, L^2 \mathcal{H}^p(\widetilde{M}) = 0$  and  $L^2 b_p(\widetilde{M}; \pi) = 0$ . •  $L^2 \mathcal{H}^n(\widetilde{M}) \neq 0$  and  $L^2 b_n(\widetilde{M}; \pi) \neq 0$ 

• 
$$(-1)^n \chi(M) > 0.$$

## Proof (of first part).

Suppose  $\lambda \in L^2\Omega^p(\widetilde{M})$  is closed. We show  $L^{n-p}(\lambda)$  represents 0 in cohomology. Note  $\lambda \wedge (\text{bounded})$  is also  $L^2$ . We have:

$$d(\lambda \wedge \eta) = (\lambda \wedge d\eta) \pm (d\lambda \wedge \eta) = \lambda \wedge ilde{\omega} = L(\lambda)$$

So,  $L(\lambda)$  represents 0 in cohomology.

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## Theorem (Gromov)

M a closed Kähler mfld which is negatively curved as Riem mfld  $\implies M$  is Kähler hyperbolic.

#### Example

Here are some other examples of Kähler mflds which are Kähler hyperbolic:

- $\pi_1(M)$  is word hyperbolic and  $\pi_2(M) = 0$ .
- *M* is a submfld of a Kähler hyperbolic mfld.
- $\widetilde{M}$  is a Hermitian symmetric space of noncompact type with no Euclidean factor.