

# MATH 6702, SPRING 2024

## Projective Spaces and Grassmannians

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[DG] stands for *Differential Geometry* at

<https://people.math.osu.edu/derdzinski.1/courses/851-852-notes.pdf>

**Projective Spaces.** Let  $V$  be a vector space of positive dimension  $n < \infty$  over the scalar field  $\mathbb{K}$ , where  $\mathbb{K}$  is  $\mathbb{R}, \mathbb{C}$  or  $\mathbb{H}$  and, in the last (quaternionic) case, we mean a *left* vector space. By the *projective space* of  $V$  one means the set

$$PV = \{L : L \text{ is a 1-dimensional vector subspace of } V\},$$

and a surjective *projection mapping*  $\pi : V \setminus \{0\} \rightarrow PV$  is defined by

$$\pi(x) = \mathbb{K}x.$$

The set  $PV$  carries a natural manifold structure provided by the atlas

$$(1) \quad \{(U_f, \varphi_f) : f \in V^* \setminus \{0\}\}$$

indexed by all nonzero linear functionals on  $V$ , where

$$U_f = \{L \in P(V) : L \text{ is not contained in } \text{Ker } f\}$$

(instead of ‘is not contained in  $\text{Ker } f$ ’ one could also write ‘ $f(L) = \mathbb{K}$ ’ or, equivalently, ‘ $f$  maps  $L$  isomorphically onto  $\mathbb{K}$ ’), and  $\varphi_f : U_f \rightarrow f^{-1}(1)$  sends each  $L \in U_f$  onto its unique intersection point with  $f^{-1}(1)$ . Also,  $f^{-1}(1)$  is a coset of  $\text{Ker } f$ , which makes it an affine space with the translation vector space  $\text{Ker } f$ , and

$$(2) \quad \begin{aligned} \varphi_f : U_f \rightarrow f^{-1}(1) & \text{ is a bijection with the inverse } \pi : f^{-1}(1) \rightarrow U_f \text{ and} \\ \varphi_f(\mathbb{K}x) = x/f(x) & \text{ whenever } L = \mathbb{K}x \in U_f \text{ (that is, } x \in V \setminus \text{Ker } f). \end{aligned}$$

Compatibility of any two charts in (1) now follows since, for  $f, h \in V^* \setminus \{0\}$ , the set  $\varphi_f U_f \cap \varphi_h U_h = A_f \setminus \text{Ker } h$  is open in  $f^{-1}(1)$  (due to closedness of  $\text{Ker } h$  in the ambient space  $V$ ), while  $(\varphi_f \circ \varphi_h^{-1})(x) = x/f(x)$  as a consequence of (2). (For the meaning of compatibility, see [DG, Section 1].)

**Lemma 1.** *The atlas (1) satisfies the Hausdorff and countability axioms, cf. [DG, Sections 1 and 14], and so it actually turns  $PV$  into a smooth manifold which, in addition, is compact.*

*Proof.* See Exercise 1. □

**Lemma 2.** *Every linear automorphism of  $V$ , acting in an obvious manner on  $PV$ , constitutes a smooth diffeomorphism. The projection  $\pi : V \setminus \{0\} \rightarrow PV$  is a smooth mapping as well.*

*Proof.* Let  $A : V \rightarrow V$  be a linear automorphism. Using the same symbol for  $A : PV \rightarrow PV$ , we obtain, from (2), the rational (and hence smooth) chart representations  $(\varphi_f \circ A \circ \varphi_h^{-1})(x) = Ax/f(Ax)$ . On the other hand, the chart representations of  $\pi$  are identity mappings, cf. the first line of (2). □

**Lemma 3.** *If  $\mathbb{K} = \mathbb{C}$ , the projective space  $PV$  carries a unique structure of a complex manifold such that all chart mappings  $\varphi_f$  are biholomorphisms. In addition, the projection  $\pi : V \setminus \{0\} \rightarrow PV$  is then also holomorphic.*

*Proof.* This is immediate since the transition mappings  $\varphi_f \circ \varphi_h^{-1}$ , being rational, are holomorphic. For the claim about  $\pi$ , see the proof of Lemma 2.  $\square$

When  $V = \mathbb{K}^n$ , rather than  $PV$  one writes  $\mathbb{K}P^{n-1}$  and speaks of the *real, complex or quaternionic projective space* of dimension  $n - 1$  over the respective field, where the latter the real/complex dimension  $n - 1$  or (for  $\mathbb{K} = \mathbb{H}$ ) the real dimension  $4(n - 1)$ . The 1-dimensional subspace  $L \in P(V)$  spanned by a nonzero vector  $(x^1, \dots, x^n)$  in  $\mathbb{K}^n$  is then denoted by  $[x^1, \dots, x^n] \in P(V)$ , and one refers to  $x^1, \dots, x^n$  as *homogeneous coordinates* of  $L = [x^1, \dots, x^n]$ .

**Generalization to Grassmannians.** In addition to  $V, n, \mathbb{K}$  as above, let us also fix an integer  $q$  with  $0 \leq q \leq n$ , set

$$\text{Gr}_q V = \{W : W \text{ is a } q\text{-dimensional vector subspace of } V\},$$

and define a surjective *projection mapping*  $\pi : \text{St}_q V \rightarrow \text{Gr}_q V$  by

$$\pi(\mathbf{x}) = \text{span } \mathbf{x} \quad \text{for } \mathbf{x} = (x_1, \dots, x_q) \in \text{St}_q V,$$

where  $\text{St}_q V$  denotes the *Stiefel manifold* formed by all  $q$ -frames (that is, linearly independent ordered  $q$ -tuples of vectors) in  $V$ . (Thus,  $\text{St}_q V$  is an open subset of the  $q$ th Cartesian power  $V^q$ .) One calls  $\text{Gr}_q V$  the *Grassmannian of  $q$ -planes* in  $V$ . The set  $\text{Gr}_q V$  carries a natural manifold structure provided by the atlas

$$(3) \quad \{(U_f, \varphi_f) : f \in V^* \setminus \{0\}\}, \quad \text{with } U_f = \{W \in \text{Gr}_q V : f(W) = \mathbb{K}^q\},$$

indexed by all surjective linear operators  $f : V \rightarrow \mathbb{K}^q$ . (Instead of ' $f(W) = \mathbb{K}^q$ ', one may equivalently write ' $f$  maps  $W$  isomorphically onto  $\mathbb{K}^q$ '). The chart mappings

$$(4) \quad \varphi_f : U_f \rightarrow f^{-1}(e_1) \times \dots \times f^{-1}(e_q)$$

with  $e_1, \dots, e_q$  denoting the standard basis of  $\mathbb{K}^q$ , are slightly more complicated:  $\varphi_f$  sends each  $W \in U_f$  onto the unique ordered  $q$ -tuple  $\mathbf{x} = (x_1, \dots, x_q)$  of vectors in  $W$  such that  $fx_a = e_a$  for  $a = 1, \dots, q$ . In other words, using the inverse  $f_W^{-1}$  of the restriction isomorphism  $f_W : W \rightarrow \mathbb{K}^q$ , we have  $\varphi_f(W) = (f_W^{-1}(e_1), \dots, f_W^{-1}(e_q))$ . Note that  $f^{-1}(e_1) \times \dots \times f^{-1}(e_q)$  a coset, in  $V^q$ , of the  $q$ th Cartesian power of  $\text{Ker } f$ , and hence an affine subspace of  $V^q$ . If  $\mathbf{x} = (x_1, \dots, x_q)$  equals  $\varphi_f(W)$ , then, obviously,  $W = \pi(\mathbf{x})$ , the span of  $x_1, \dots, x_q$ , which easily proves bijectivity of (4). Let there be now given two surjective linear operators  $f, h : V \rightarrow \mathbb{K}^q$ . The  $\varphi_h$ -image of  $U_f \cap U_h$  is open in the affine space  $f^{-1}(e_1) \times \dots \times f^{-1}(e_q)$ , being its intersection with the set of all  $\mathbf{x} = (x_1, \dots, x_q)$  in the Cartesian power  $V^q$  such that  $hx_1, \dots, hx_q$  are linearly independent or, equivalently, form a basis of  $\mathbb{K}^q$ . (The latter condition means that  $h$  restricted to  $\text{span } \mathbf{x}$  is a linear isomorphism onto  $\mathbb{K}^q$ .) For  $\mathbf{x} = (x_1, \dots, x_q) \in \varphi_h(U_f \cap U_h)$  we see that  $(\varphi_f \circ \varphi_h^{-1})(\mathbf{x}) = \varphi_f(\text{span } \mathbf{x})$  is the basis  $y_a$ ,  $a = 1, \dots, q$ , of  $\text{span } \mathbf{x}$  which  $f$  sends onto the standard basis  $e_a$  of  $\mathbb{K}^q$ ,  $a = 1, \dots, q$ , and so, with

$$(5) \quad y_a = S_a^c x_c,$$

the unknown coefficients  $S_a^c$  are characterized by  $e_a = S_a^c f x_c$ . The entries  $S_a^c$  thus form the transition matrix between the bases  $fx_1, \dots, fx_q$  and  $e_1, \dots, e_q$  of  $\mathbb{K}^q$  and, if one writes the former basis as a  $q \times q$  matrix (having the  $a$ th column  $fx_a$

for  $a = 1, \dots, q$ ), the matrix  $\mathbf{S}$  with the entries  $S_a^c$  is its inverse. Thus, the chart transition mapping  $\varphi_f \circ \varphi_h^{-1}$  must be smooth, being equal to the composite

$$(x_1, \dots, x_q) \mapsto (fx_1, \dots, fx_q) \mapsto \mathbf{S}$$

in which the constituents are smooth: one is in fact linear, the other (the matrix inverse) rational. The atlas (3) thus turns  $\text{Gr}_q V$  into a manifold, cf. Exercise 4.

More precisely, the atlas (3) satisfies the Hausdorff and countability axioms [DG, Sections 1 and 14]: the former, since

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the latter, as  $\text{Gr}_q V$  is covered by a finite subatlas of (3). Namely, for any basis  $v_1, \dots, v_n$  of  $V$ , with the dual basis  $\xi^1, \dots, \xi^n$  of  $V^*$ , the restrictions of the functionals  $\xi^a$  to any given  $W \in \text{Gr}_q V$  span  $W^*$  (or else they would span a subspace of  $W^*$  of some dimension  $p < q$ , and rearranging the  $v_a$  we might assume the restrictions of  $\xi^1, \dots, \xi^p$  to form a basis of this subspace; extending these  $p$  functionals to a basis  $\xi^1, \dots, \xi^p, \eta^{p+1}, \dots, \eta^n$  of  $V^*$  such that the restrictions of  $\xi^1, \dots, \xi^p, \eta^{p+1}, \dots, \eta^q$  form a basis of  $W^*$ ,

all  $\xi^a$  would vanish on a nontrivial subspace of  $W$ , and a vector  $w \neq 0$  from such a subspace would have all components  $w^a = \xi^a w$  equal to 0).

**Tautological Bundles.** Given a real or complex vector space  $V$  of real/complex dimension  $n < \infty$  and an integer  $q$  with  $0 \leq q \leq n$ , one defines the *tautological vector bundle*  $\mathcal{T}$  over the Grassmannian  $\text{Gr}_q V$  by

$$\text{Gr}_q V \ni W \mapsto \mathcal{T}_W = W.$$

The chart mappings (4) for  $\text{Gr}_q V$ , when regarded as local trivializations of  $\mathcal{T}$ , form a smooth atlas, parametrized by the set of all surjective linear mappings  $f: V \rightarrow \mathbb{K}^q$  (where  $\mathbb{K}$  is the scalar field). This is clear from smoothness of the functions  $S_a^c$  in (5), and turns  $\mathcal{T}$  into a smooth real/complex vector bundle of fibre dimension  $q$ .

### Exercises.

**Exercise 1.** Prove Lemma 1.

**Exercise 2.** Generalize Lemma 2 to Grassmannians.

**Exercise 3.** Generalize Lemma 3 to Grassmannians.

**Exercise 4.** Generalize Lemma 1 to Grassmannians.

**Exercise 5.** Verify that, if  $n = \dim V$ , the dimension of  $\text{Gr}_q V$  equals  $(n - q)q$ , for  $\mathbb{K} = \mathbb{R}$ , or  $2(n - q)q$ , for  $\mathbb{K} = \mathbb{C}$ .

**Exercise 6.** Show that every linear functional  $\xi \in V^*$  may be viewed as a smooth section of the dual  $\mathcal{T}^*$  of the tautological vector bundle  $\mathcal{T}$  over the Grassmannian  $\text{Gr}_q V$  with  $\xi_W$  equal to the restriction of  $\xi$  to  $W$  whenever  $W \in \text{Gr}_q V$ .