

# Curvature spectra of simple Lie groups

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**Abstract** The Killing form  $\beta$  of a real (or complex) semisimple Lie group  $G$  is a left-invariant pseudo-Riemannian (or, respectively, holomorphic) Einstein metric. Let  $\Omega$  denote the multiple of its curvature operator, acting on symmetric 2-tensors, with the factor chosen so that  $\Omega\beta = 2\beta$ . We observe that the result of Meyberg [8], describing the spectrum of  $\Omega$  in complex simple Lie groups, easily leads to an analogous description for real simple Lie groups. In particular, 1 is not an eigenvalue of  $\Omega$  in any real or complex simple Lie group  $G$  except those locally isomorphic to  $SL(n, \mathbb{C})$  or one of its real forms. As shown in our recent paper [6], the last conclusion implies that, on such simple Lie groups  $G$ , nonzero multiples of the Killing form  $\beta$  are isolated among left-invariant Einstein metrics. Meyberg's theorem also allows us to understand the kernel of  $\Lambda$ , which is another natural operator. This in turn leads to a proof of a known, yet unpublished, fact: namely, that a semisimple real or complex Lie algebra with no simple ideals of dimension 3 is essentially determined by its Cartan three-form.

**Keywords** Simple Lie group · indefinite Einstein metric · left-invariant Einstein metric · Cartan three-form

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## 1 Introduction

Every real Lie group  $G$  carries a distinguished left-invariant torsionfree connection  $D$ , defined by  $D_x y = [x, y]/2$  for all left-invariant vector fields  $x$  and  $y$ . In view of the Jacobi

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identity, the curvature tensor of  $D$  is  $D$ -parallel, and hence so is the Ricci tensor of  $D$ , equal to a nonzero multiple of the Killing form  $\beta$ . Our convention about  $\beta$  reads

$$\beta(x, x) = \text{tr}[\text{Ad}x]^2 \quad \text{for any } x \text{ in the Lie algebra } \mathfrak{g} \text{ of } G. \quad (1.1)$$

Thus, if  $G$  is semisimple,  $\beta$  constitutes a bi-invariant, locally symmetric, non-Ricci-flat pseudo-Riemannian Einstein metric on  $G$ , with the Levi-Civita connection  $D$ . We denote by  $\Omega : [\mathfrak{g}^*]^{\odot 2} \rightarrow [\mathfrak{g}^*]^{\odot 2}$  a specific multiple of the curvature operator of the metric  $\beta$ , acting on symmetric bilinear forms  $\sigma : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{R}$ , so that, whenever  $x, y \in \mathfrak{g}$ ,

$$\begin{aligned} \text{a) } & [\Omega\sigma](x, y) = 2 \text{tr}[(\text{Ad } x)(\text{Ad } y)\Sigma], \quad \text{for } \Sigma : \mathfrak{g} \rightarrow \mathfrak{g} \text{ with} \\ \text{b) } & \sigma(x, y) = \beta(\Sigma x, y). \end{aligned} \quad (1.2)$$

See Remark 2.5. The same formula (1.2) defines the operator  $\Omega$  in a *complex* semisimple Lie group  $G$ , acting on symmetric complex-bilinear forms  $\sigma$ . We then identify  $\Omega$  with the analogous curvature operator for the ( $\mathbb{C}$ -bilinear) Killing form  $\beta$ , treating the latter as a holomorphic Einstein metric on the underlying complex manifold of  $G$ .

The structure of  $\Omega$  in complex simple Lie groups is known from the work of Meyberg [8], who showed that  $\Omega$  is diagonalizable and described its spectrum. For the reader's convenience, we reproduce Meyberg's theorem in an appendix. His result easily leads to a similar description of the spectrum of  $\Omega$  in real simple Lie algebras  $\mathfrak{g}$ , which we state as Theorem 4.1 and derive in Section 4 from the fact that, given any such  $\mathfrak{g}$ ,

$$\begin{aligned} \text{a) } & \text{either } \mathfrak{g} \text{ is a real form of a complex simple Lie algebra } \mathfrak{h}, \text{ or} \\ \text{b) } & \mathfrak{g} \text{ arises by treating a complex simple Lie algebra } \mathfrak{h} \text{ as real.} \end{aligned} \quad (1.3)$$

See [7, Lemma 4 on p. 173]. The Lie-algebra isomorphism types of real simple Lie algebras  $\mathfrak{g}$  thus form two disjoint classes, characterized by (1.3.a) and (1.3.b).

For both real and complex semisimple Lie groups  $G$ , studying  $\Omega$  can be further motivated as follows. Let 'metrics' on  $G$  be, by definition, pseudo-Riemannian or, respectively, holomorphic, and  $\mathcal{E}$  denote the set of Levi-Civita connections of left-invariant Einstein metrics on  $G$ . Then, as shown in [6, Theorem 12.3], whenever a semisimple Lie group  $G$  has the property that 1 is *not* an eigenvalue of  $\Omega$ , the Levi-Civita connection  $D$  of its Killing form  $\beta$  is an isolated point of  $\mathcal{E}$ . The converse implication holds except when  $G$  is locally isomorphic to  $\text{SU}(n)$ , with  $n \geq 3$ . See [6, Theorems 22.2 and 22.3].

In a real/complex Lie algebra  $\mathfrak{g}$ , we define  $\Lambda : [\mathfrak{g}^*]^{\odot 2} \rightarrow [\mathfrak{g}^*]^{\wedge 4}$  by

$$(\Lambda\sigma)(x, y, z, z') = \sigma([x, y], [z, z']) + \sigma([y, z], [x, z']) + \sigma([z, x], [y, z']). \quad (1.4)$$

Thus,  $\Lambda$  is a real/complex-linear operator, sending symmetric bilinear forms  $\sigma$  on  $\mathfrak{g}$  to exterior 4-forms on  $\mathfrak{g}$ . The Killing form  $\beta$  has  $\beta([x, y], [z, z']) = \beta([x, y], z, z')$ , as  $\text{Ad } z$  is  $\beta$ -skew-adjoint, and so, by the Jacobi identity and (1.1) – (1.2.a),

$$\text{i) } \Lambda\beta = 0, \quad \text{ii) if } \mathfrak{g} \text{ is semisimple, } \Omega\beta = 2\beta. \quad (1.5)$$

For semisimple Lie algebras  $\mathfrak{g}$  there is also the operator  $\Pi : [\mathfrak{g}^*]^{\otimes 4} \rightarrow [\mathfrak{g}^*]^{\otimes 2}$  such that

$$\Pi(\xi \otimes \xi' \otimes \eta \otimes \eta') = \beta([x, x'], \cdot) \otimes \beta([y, y'], \cdot), \quad (1.6)$$

whenever  $\xi, \xi', \eta, \eta' \in \mathfrak{g}^*$ , with  $x, x', y, y' \in \mathfrak{g}$  characterized by  $\xi = \beta(x, \cdot)$ ,  $\xi' = \beta(x', \cdot)$ ,  $\eta = \beta(y, \cdot)$ ,  $\eta' = \beta(y', \cdot)$ . According to formula (3.1) below,  $\Pi([\mathfrak{g}^*]^{\wedge 4}) \subset [\mathfrak{g}^*]^{\otimes 2}$ .

Our first main result, established in Section 3, relates  $\Omega$  to  $\Pi\Lambda : [\mathfrak{g}^*]^{\odot 2} \rightarrow [\mathfrak{g}^*]^{\otimes 2}$ , the composite of  $\Lambda$  and the restriction of  $\Pi$  to the subspace  $[\mathfrak{g}^*]^{\wedge 4} \subset [\mathfrak{g}^*]^{\otimes 4}$ .

**Theorem A** Let  $\Omega, \Lambda$  and  $\Pi$  be the operators defined by (1.2), (1.4) and (1.6) for a given real/complex semisimple Lie algebra  $\mathfrak{g}$ . Then  $2\Pi\Lambda = -(\Omega + \text{Id})(\Omega - 2\text{Id})$ .

Next, in Section 5, we use Meyberg's result and Theorem A, both to show that

$$\text{Ker } \Lambda = \text{Ker}(\Omega - 2\text{Id}) \oplus \text{Ker}(\Omega + \text{Id}) \text{ in any real/complex simple Lie algebra,} \quad (1.7)$$

and to obtain the following explicit description of  $\text{Ker } \Lambda$  for semisimple Lie algebras, which also provides a crucial step in our proof of Theorem C (see below).

**Theorem B** Given a real/complex semisimple Lie algebra  $\mathfrak{g}$  with a direct-sum decomposition  $\mathfrak{g} = \mathfrak{g}_1 \oplus \dots \oplus \mathfrak{g}_s$  into simple ideals,  $s \geq 1$ , let  $\Lambda$  and  $\Lambda_i$  denote the operator defined by (1.4) for  $\mathfrak{g}$  and, respectively, its analog for the  $i$ th summand  $\mathfrak{g}_i$ .

- (i)  $\text{Ker } \Lambda = \text{Ker } \Lambda_1 \oplus \dots \oplus \text{Ker } \Lambda_s$ , where  $[\mathfrak{g}_i^*]^{\odot 2} \subset [\mathfrak{g}^*]^{\odot 2}$  via trivial extensions.
- (ii)  $\Lambda = 0$  if  $\dim \mathfrak{g} = 3$ .
- (iii)  $\dim \text{Ker } \Lambda = 12$  if  $\mathfrak{g}$  is simple and  $\dim \mathfrak{g} = 6$ , which happens only when  $\mathfrak{g}$  is real and isomorphic to the underlying real Lie algebra of  $\mathfrak{h} = \mathfrak{sl}(2, \mathbb{C})$ , while  $\text{Ker } \Lambda$  then consists of the real parts of all symmetric  $\mathbb{C}$ -bilinear functions  $\mathfrak{h} \times \mathfrak{h} \rightarrow \mathbb{C}$ .
- (iv)  $\dim \text{Ker } \Lambda \in \{1, 2\}$  whenever  $\mathfrak{g}$  is simple and  $\dim \mathfrak{g} \notin \{3, 6\}$ , while  $\text{Ker } \Lambda$  is then spanned either by the Killing form  $\beta$ , or by  $\text{Re } \beta^{\mathfrak{h}}$  and  $\text{Im } \beta^{\mathfrak{h}}$ , with  $\beta^{\mathfrak{h}}$  denoting the Killing form of the complex simple Lie algebra  $\mathfrak{h}$  in (1.3). The former case occurs if  $\mathfrak{g}$  is complex, or real of type (1.3.a), the latter if  $\mathfrak{g}$  is real of type (1.3.b).

Finally, one defines the Cartan three-form  $C \in [\mathfrak{g}^*]^{\wedge 3}$  of a Lie algebra  $\mathfrak{g}$  by

$$C = \beta([\cdot, \cdot], \cdot), \quad \text{where } \beta \text{ denotes the Killing form.} \quad (1.8)$$

The following result has been known for decades, although no published proof of it seems to exist [4]. By an *isomorphism of the Cartan three-forms* we mean here a vector-space isomorphism of the Lie algebras in question, sending one three-form onto the other.

**Theorem C** Let  $\mathfrak{g}$  be a real/complex semisimple Lie algebra with a fixed direct-sum decomposition into simple ideals, which we briefly refer to as the “summands” of  $\mathfrak{g}$ .

- (i) If  $\mathfrak{h}$  is a real/complex Lie algebra, the Cartan three-forms of  $\mathfrak{g}$  and  $\mathfrak{h}$  are isomorphic and, in the real case,  $\mathfrak{g}$  has no summands of dimension 3, then  $\mathfrak{h}$  is isomorphic to  $\mathfrak{g}$ .
- (ii) If  $\mathfrak{g}$  contains no summands of dimension 3 or 6, then every automorphism of the Cartan three-form of  $\mathfrak{g}$  is a Lie-algebra automorphism of  $\mathfrak{g}$  followed by an operator that acts on each summand as the multiplication by a cubic root of 1.
- (iii) If  $\mathfrak{g}$  is the underlying real Lie algebra of a complex simple Lie algebra and  $\dim \mathfrak{g} \neq 6$ , then every automorphism of the Cartan three-form of  $\mathfrak{g}$  is complex-linear or antilinear.

Conversely, if  $\mathfrak{g}$  has  $k$  summands of dimension 3 and  $l$  summands of dimension 6, then the Lie-algebra automorphisms of  $\mathfrak{g}$  form a subgroup of codimension  $5k + 10l$  in the automorphism group of the Cartan three-form.

We derive Theorem C from Theorem B, in Section 7.

## 2 Preliminaries

Suppose that  $\mathfrak{g}$  is the underlying real Lie algebra of a complex Lie algebra  $\mathfrak{h}$ . We denote by  $\beta$  and  $C$  the Killing form and Cartan three-form of  $\mathfrak{g}$ , cf. (1.1) and (1.8), by  $\Lambda$  the operator in (1.4) associated with  $\mathfrak{g}$ , and use the symbols  $\beta^{\mathfrak{h}}, C^{\mathfrak{h}}, \Lambda^{\mathfrak{h}}$  for their counterparts corresponding to  $\mathfrak{h}$ . Obviously, whenever  $\sigma : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{C}$  is a symmetric  $\mathbb{C}$ -bilinear form,

$$\text{i) } \beta = 2\operatorname{Re}\beta^{\mathfrak{h}}, \quad \text{ii) } C = 2\operatorname{Re}C^{\mathfrak{h}}, \quad \text{iii) } \Lambda(\operatorname{Re}\sigma) = \operatorname{Re}(\Lambda^{\mathfrak{h}}\sigma). \quad (2.1)$$

For (2.1.i), see also [6, formula (13.1)]. With  $\mathfrak{g}$  and  $\mathfrak{h}$  as above, it is clear from (2.1.i) that

$$\operatorname{Re}\beta^{\mathfrak{h}} \text{ and } \operatorname{Im}\beta^{\mathfrak{h}} \text{ span the real space of symmetric bilinear forms } \sigma \text{ on } \mathfrak{g} \text{ arising} \quad (2.2)$$

via (1.2.b) from linear endomorphisms  $\Sigma$  which are complex multiples of  $\operatorname{Id}$ .

Furthermore, (2.1.i) also implies, for dimensional reasons, that

$$\text{the real parts of symmetric } \mathbb{C}\text{-bilinear functions } \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{C} \text{ form the image} \quad (2.3)$$

under (1.2.b) of the space of  $\mathbb{C}$ -linear  $\beta^{\mathfrak{h}}$ -self-adjoint endomorphisms of  $\mathfrak{h}$ ,

as the former space obviously contains the latter.

Let  $\mathfrak{g}$  now be a Lie algebra over the scalar field  $\mathbb{F} = \mathbb{R}$  or  $\mathbb{F} = \mathbb{C}$ . A fixed basis of  $\mathfrak{g}$  allows us to represent elements  $x, y$  of  $\mathfrak{g}$ , symmetric bilinear forms  $\sigma$  on  $\mathfrak{g}$ , and the Lie-algebra bracket operation  $[\cdot, \cdot]$  by their components  $x^i, y^i, \sigma_{ij}$  and  $C_{ij}{}^k$  (the structure constants of  $\mathfrak{g}$ ), so that  $\sigma(x, y) = \sigma_{ij}x^iy^j$  and  $[x, y]^k = C_{ij}{}^kx^iy^j$ . Repeated indices are summed over. The Cartan three-form  $C$  with (1.8) has the components  $C_{ijk} = C_{ij}{}^r\beta_{kr}$ , where  $\beta$  is the Killing form. The definition (1.1) of  $\beta$ , its bi-invariance, and the Jacobi identity now read

$$\begin{aligned} \text{i) } \beta_{ij} &= C_{ip}{}^qC_{jq}{}^p, & \text{ii) } C_{ijk} &\text{ is skew-symmetric in } i, j, k, \\ \text{iii) } C_{ij}{}^qC_{qk}{}^l + C_{jk}{}^qC_{qi}{}^l + C_{ki}{}^qC_{qj}{}^l &= 0. \end{aligned} \quad (2.4)$$

In the remainder of this section  $\mathfrak{g}$  is also assumed to be semisimple. We can thus lower and raise indices using the components  $\beta_{ij}$  of the Killing form  $\beta$  and  $\beta^{ij}$  of its reciprocal:  $C_p{}^q = \beta^{kr}C_{rp}{}^q$ , and  $C_j{}^{sp} = \beta^{sk}C_{jk}{}^p$ . For any  $x, y, z \in \mathfrak{g}$  and the Cartan three-form  $C$  given by (1.8), one has  $2\operatorname{tr}[(\operatorname{Ad} x)(\operatorname{Ad} y)(\operatorname{Ad} z)] = C(x, y, z)$ , which in component notation reads

$$2C_{ir}{}^pC_{jq}{}^rC_{kp}{}^q = C_{ijk}. \quad (2.5)$$

To prove (2.5), we note that the equalities  $C_p{}^q = C_p{}^{qk}$  and  $C_i{}^{rp} = -C_i{}^{pr}$  (obvious from (2.4.ii)) along with (2.4.ii), (2.4.iii) and (2.4.i-ii) give  $2C_{ir}{}^pC_{jq}{}^rC_p{}^q = 2C_i{}^{rp}C_{jq}{}^rC_p{}^{qk} = C_i{}^{rp}(C_{jq}{}^rC_p{}^{qk} - C_{jq}{}^rC_r{}^{qk}) = C_i{}^{rp}(C_{jr}{}^qC_{qp}{}^k + C_{pj}{}^qC_{qr}{}^k) = -C_i{}^{rp}C_{rp}{}^qC_{qj}{}^k = \delta_i^qC_{qj}{}^k = C_{ij}{}^k$ . Now (2.5) follows if one lowers the index  $k$ . Next, we introduce the linear operator

$$T : [\mathfrak{g}^*]^{\otimes 2} \rightarrow [\mathfrak{g}^*]^{\otimes 2} \quad \text{with } (T\sigma)_{ij} = T_{ij}{}^{kl}\sigma_{kl}, \quad \text{where } T_{ij}{}^{kl} = 2C_{ip}{}^kC_j{}^{lp}. \quad (2.6)$$

**Lemma 2.1** For  $T$  and the operator  $\Omega : [\mathfrak{g}^*]^{\otimes 2} \rightarrow [\mathfrak{g}^*]^{\otimes 2}$  given by (1.2),

- (a)  $T$  leaves the subspaces  $[\mathfrak{g}^*]^{\otimes 2}$  and  $[\mathfrak{g}^*]^{\wedge 2}$  invariant,
- (b)  $\Omega$  coincides with the restriction of  $T$  to  $[\mathfrak{g}^*]^{\otimes 2}$ ,
- (c) the restriction of  $T$  to  $[\mathfrak{g}^*]^{\wedge 2}$  is diagonalizable, with the eigenvalues 0 and 1,
- (d) the eigenspace  $[\mathfrak{g}^*]^{\wedge 2} \cap \operatorname{Ker}(\Omega - \operatorname{Id})$  equals  $\{C(x, \cdot, \cdot) : x \in \mathfrak{g}\}$ , for  $C$  given by (1.8).

*Proof* Assertions (a) – (b) are obvious from (2.6) and the fact that, by (2.6),  $T\sigma$  is the same as  $\Omega\sigma$  in (1.2), except that now  $\sigma : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{F}$  need not be symmetric. Next,  $(T\sigma)_{ij} = -C_{ij}{}^q C_q{}^{kl} \sigma_{kl}$  for  $\sigma \in [\mathfrak{g}^*]^{\wedge 2}$ , as one sees raising the index  $k$  in (2.4.iii), then transvecting with  $\sigma_{kl}$ , and using (2.4.ii). Hence, if  $\sigma = C(x, \cdot, \cdot)$  lies in  $C(\mathfrak{g}) = \{C(x, \cdot, \cdot) : x \in \mathfrak{g}\}$  (or,  $\sigma \in [\mathfrak{g}^*]^{\wedge 2}$  is  $\beta$ -orthogonal to  $C(\mathfrak{g})$ ), so that  $\sigma_{kl} = x^p C_{pkl}$  (or, respectively,  $C_p{}^{kl} \sigma_{kl} = 0$ ), then, by (2.4.i–ii),  $T\sigma = \sigma$  (or, respectively,  $T\sigma = 0$ ). As  $C(\mathfrak{g})$  and its  $\beta$ -orthogonal complement must now span  $[\mathfrak{g}^*]^{\wedge 2}$  for dimensional reasons, (c) and (d) follow.  $\square$

The next result is a direct consequence of Meyberg’s theorem. See the Appendix, the last three lines of which justify assertions (c), (d) and (e).

**Theorem 2.2** *For any complex simple Lie algebra  $\mathfrak{g}$  and  $\Omega : [\mathfrak{g}^*]^{\odot 2} \rightarrow [\mathfrak{g}^*]^{\odot 2}$  with (1.2),*

- (a)  $\Omega$  is diagonalizable,
- (b) 2 is an eigenvalue of  $\Omega$  with multiplicity 1,
- (c) 0 is not an eigenvalue of  $\Omega$ ,
- (d)  $\Omega$  has the eigenvalue 1 if and only if  $\mathfrak{g}$  is isomorphic to  $\mathfrak{sl}(n, \mathbb{C})$  for some  $n \geq 3$ ,
- (e)  $\dim \text{Ker}(\Omega + \text{Id})$  equals 5 when  $\mathfrak{g}$  is isomorphic to  $\mathfrak{sl}(2, \mathbb{C})$ , and 0 otherwise.

**Remark 2.3** The isomorphism types of all complex simple Lie algebras are:  $\mathfrak{sl}_n$ , for  $n \geq 2$ ,  $\mathfrak{sp}_n$  (even  $n \geq 4$ ),  $\mathfrak{so}_n$  with  $n \geq 7$ , as well as  $\mathfrak{g}_2$ ,  $\mathfrak{f}_4$ ,  $\mathfrak{e}_6$ ,  $\mathfrak{e}_7$  and  $\mathfrak{e}_8$ . See [9, pp. 8 and 77].

**Remark 2.4** One has  $\text{Ker}[\Theta(\Theta + a\text{Id})] \subset \text{Ker}\Theta \oplus \text{Ker}(\Theta + a\text{Id})$  for any scalar  $a \neq 0$  and linear endomorphism  $\Theta$  of a vector space. In fact, the required decomposition of any  $\sigma \in \text{Ker}[\Theta(\Theta + a\text{Id})]$  is given by  $a\sigma = (\Theta + a\text{Id})\sigma - \Theta\sigma$ .

**Remark 2.5** The curvature operator of a (pseudo)Riemannian metric  $\gamma$  on a manifold, acting on symmetric 2-tensors, has been studied by various authors [5], [3], [2, pp. 51–52]. It is given by  $\sigma \mapsto \tau$ , where  $2\tau_{ij} = \gamma^{pq} R_{ipj}{}^k \sigma_{qk}$  in terms of components relative to a basis of the tangent space at any point, the sign convention about the curvature tensor  $R$  being that a Euclidean tangent plane with an orthonormal basis  $x, y$  has the sectional curvature  $\gamma_{pq} R_{ijk}{}^p x^i y^j x^k y^q$ . When  $\gamma$  is the Killing form  $\beta$  of a semisimple Lie group  $G$ , treated as a left-invariant metric (see the lines following (1.1)), this operator equals  $-\Omega/16$ , for  $\Omega$  with (1.2). In fact, the description of the Levi-Civita connection  $D$  of  $\beta$  in the Introduction gives  $4R(x, y)z = [[x, y], z]$  for left-invariant vector fields  $x, y, z$ , that is,  $4R_{ijk}{}^l = C_{ij}{}^p C_{pk}{}^l$ . Lemma 2.1(b) now implies our claim, as  $T_{ij}{}^{kl} = -8\beta^{kp} R_{jpi}{}^l$  due to (2.4.ii) and (2.6).

### 3 Proof of Theorem A

We use the notation of Section 2. For  $C_{ij}{}^k$  as in (2.4), relations (1.4) and (1.6) give

$$\begin{aligned} (\Lambda\sigma)_{ijkl} &= \Lambda_{ijkl}{}^{rs} \sigma_{rs} \quad \text{where } \Lambda_{ijkl}{}^{rs} = C_{ij}{}^r C_{kl}{}^s + C_{jk}{}^r C_{il}{}^s + C_{ki}{}^r C_{jl}{}^s, \\ (\Pi\zeta)_{pq} &= C_{ij}{}^p C_{q}{}^{kl} \zeta_{ijkl}, \quad \text{whenever } \sigma \in [\mathfrak{g}^*]^{\odot 2} \text{ and } \zeta \in [\mathfrak{g}^*]^{\wedge 4}, \end{aligned} \quad (3.1)$$

in any real/complex semisimple Lie algebra  $\mathfrak{g}$ . Next, with  $T_{ij}{}^{kl}$  defined by (2.6),

$$2C_{ij}{}^p C_{pq}{}^{kl} (C_{ij}{}^r C_{kl}{}^s + C_{jk}{}^r C_{il}{}^s + C_{ki}{}^r C_{jl}{}^s) = 2\delta_p^r \delta_q^s + T_{pq}{}^{rs} - T_{pq}{}^{ik} T_{ik}{}^{rs}. \quad (3.2)$$

In fact, the first of the three terms naturally arising on the left-hand side of (3.2) equals  $2\delta_p^r \delta_q^s$  since, by (2.4.i–ii),  $C_p^{ij} C_{ij}^r = -\delta_p^r$  and  $C_q^{kl} C_{kl}^s = -\delta_q^s$ . The other two terms coincide (as skew-symmetry of  $C_p^{ij}$  in  $i, j$  gives  $C_p^{ij} C_{jk}^r C_{il}^s = -C_p^{ij} C_{ik}^r C_{jl}^s = C_p^{ij} C_{ki}^r C_{jl}^s$ ), and so they add up to  $4C_p^{ij} C_q^{kl} C_{ki}^r C_{jl}^s$ , that is,  $4C_q^{kl} C_{jl}^s C_p^{ji} C_{ik}^r = 4C_q^{kl} C_l^j C_{pj}^i C_{ik}^r = -4C_q^{kl} C_l^j C_{jk}^i C_{ip}^r$ ; the rightmost equality is due to the Jacobi identity (2.4.iii). The last expression consists of the first term,  $-4C_q^{kl} C_l^j C_{jk}^i C_{ip}^r = -4C_{ip}^r (C_k^i C_{ql}^k C_j^s C_l^j) = -2C_{ip}^r C_q^{is}$ , cf. (2.5), equal, by (2.4.ii) and (2.6), to  $2C_{pi}^r C_q^{si} = T_{pq}^{rs}$ , and the second term,  $-(2C_{kp}^i C_q^{kl})(2C_{ij}^r C_l^j)$ , the two parenthesized factors of which are, for the same reasons, nothing else than  $T_{pq}^{il}$  and  $T_{il}^{rs}$ . This proves (3.2).

Theorem A is now an obvious consequence of (3.1) – (3.2) and Lemma 2.1(b).

#### 4 The spectrum of $\Omega$ in real simple Lie algebras

**Theorem 4.1** *Let  $\Omega$  denote the operator with (1.2) corresponding to a fixed real simple Lie algebra  $\mathfrak{g}$ , and  $\Omega^{\mathfrak{h}}$  its analog for  $\mathfrak{h}$ , chosen so that  $\mathfrak{g}$  and  $\mathfrak{h}$  satisfy (1.3).*

- (i)  $\Omega$  is always diagonalizable.
- (ii) In case (1.3.a),  $\Omega$  has the same spectrum as  $\Omega^{\mathfrak{h}}$ , including the multiplicities.
- (iii) In case (1.3.b), the spectrum of  $\Omega$  arises from that of  $\Omega^{\mathfrak{h}}$  by doubling the original multiplicities and then including 0 as an additional eigenvalue with the required complementary multiplicity. Note that, by Theorem 2.2(c), 0 is not an eigenvalue of  $\Omega^{\mathfrak{h}}$ .
- (iv) The eigenspace  $\text{Ker}(\Omega - 2\text{Id})$  is spanned in case (1.3.a) by  $\beta$ , and in case (1.3.b) by  $\text{Re}\beta^{\mathfrak{h}}$  and  $\text{Im}\beta^{\mathfrak{h}}$ , for the Killing forms  $\beta$  of  $\mathfrak{g}$  and  $\beta^{\mathfrak{h}}$  of  $\mathfrak{h}$ .

*Proof* By [6, Lemma 14.3(ii) and formulae (14.5) – (14.7)], if  $\mathfrak{g}$  is of type (1.3.a), the complexification of  $[\mathfrak{g}^*]^{\odot 2}$  may be naturally identified with its (complex) counterpart  $[\mathfrak{h}^*]^{\odot 2}$  for  $\mathfrak{h}$ , in such a way that  $\Omega^{\mathfrak{h}}$  and the Killing form  $\beta^{\mathfrak{h}}$  become the unique  $\mathbb{C}$ -linear extensions of  $\Omega$  and  $\beta$ . Now Theorem 2.2(a)–(b) and (1.5.ii) yield (i), (ii) and (iv) in case (1.3.a).

For  $\mathfrak{g}$  of type (1.3.b), Lemma 13.1 of [6] states the following. First,  $[\mathfrak{g}^*]^{\odot 2}$  is the direct sum of two  $\Omega$ -invariant subspaces: one formed by the real parts of  $\mathbb{C}$ -bilinear symmetric functions  $\sigma : \mathfrak{h} \times \mathfrak{h} \rightarrow \mathbb{C}$ , the other by the real parts of functions  $\sigma : \mathfrak{h} \times \mathfrak{h} \rightarrow \mathbb{C}$  which are antilinear and Hermitian. Secondly,  $\Omega$  vanishes on the “Hermitian” summand, and its action on the “symmetric” summand is equivalent, via the isomorphism  $\sigma \mapsto \text{Re}\sigma$ , to the action of  $\Omega^{\mathfrak{h}}$  on  $\mathbb{C}$ -bilinear symmetric functions  $\sigma$ . With diagonalizability of  $\Omega^{\mathfrak{h}}$  again provided by Theorem 2.2(a), this proves our remaining claims. (The multiplicities are doubled since the original complex eigenspaces are viewed as real, while the eigenspace  $\Omega^{\mathfrak{h}}$  for the eigenvalue 2 consists, by Theorem 2.2(b) and (1.5.ii), of complex multiples of  $\beta^{\mathfrak{h}}$ , the real parts of which are precisely the real linear combinations of  $\text{Re}\beta^{\mathfrak{h}}$  and  $\text{Im}\beta^{\mathfrak{h}}$ .)  $\square$

**Remark 4.2** It is well known [9, p. 30] that, up to isomorphisms,  $\mathfrak{sl}(n, \mathbb{R})$  as well as  $\mathfrak{su}(p, q)$  with  $p + q = n$  and, if  $n$  is even,  $\mathfrak{sl}(n/2, \mathbb{H})$ , are the only real forms of  $\mathfrak{sl}(n, \mathbb{C})$ .

**Lemma 4.3** *The only complex, or real, simple Lie algebras of dimensions less than 7 are, up to isomorphisms,  $\mathfrak{sl}(2, \mathbb{C})$  or, respectively,  $\mathfrak{sl}(2, \mathbb{R})$ ,  $\mathfrak{su}(2)$ ,  $\mathfrak{su}(1, 1)$  and  $\mathfrak{sl}(2, \mathbb{C})$ , the last one being both complex three-dimensional and real six-dimensional. Consequently,*

- (i) a complex simple Lie algebra cannot be six-dimensional,

- (ii) *there is just one isomorphism type of a complex or, respectively, real simple Lie algebra of dimension 3 or, respectively, 6, both represented by  $\mathfrak{sl}(2, \mathbb{C})$ ,*
- (iii)  $\dim \mathfrak{g} \notin \{1, 2, 4, 5\}$  *for every real or complex simple Lie algebra  $\mathfrak{g}$ .*

*Proof* According to Remark 2.3, in the complex case, only  $\mathfrak{sl}(2, \mathbb{C})$  is possible. For real Lie algebras, one can use Remark 4.2 and (1.3).  $\square$

**Remark 4.4** We can now justify the claim, made in [6, Theorem 12.3], that 1 is not an eigenvalue of  $\Omega$  in any real or complex simple Lie algebra except the ones isomorphic to  $\mathfrak{sl}(n, \mathbb{R})$ ,  $\mathfrak{sl}(n, \mathbb{C})$ ,  $\mathfrak{su}(p, q)$  or, for even  $n$  only,  $\mathfrak{sl}(n/2, \mathbb{H})$ , where  $n = p + q \geq 3$ .

In fact, by Theorem 2.2 and parts (ii) – (iii) of Theorem 4.1, the only real or complex simple Lie algebras in which  $\Omega$  has the eigenvalue 1 are, up to isomorphisms,  $\mathfrak{sl}(n, \mathbb{C})$  for  $n \geq 3$  and their real forms. According to Remark 4.2, these are all listed in the last paragraph.

**Remark 4.5** For any real/complex simple Lie algebra  $\mathfrak{g}$ , Theorems 2.2 and 4.1(ii)-(iii) give  $3 \dim \text{Ker}(\Omega + \text{Id}) = 5 \dim \mathfrak{g}$  if  $\dim \mathfrak{g} \in \{3, 6\}$ , and  $\text{Ker}(\Omega + \text{Id}) = \{0\}$  otherwise.

## 5 Proofs of (1.7) and Theorem B

Let  $\sigma \in [\mathfrak{g}^*]^{\odot 2}$  and  $\Lambda\sigma = 0$ . Consequently, by (1.4),  $\sigma([x, y], [z, z']) + \sigma([y, z], [x, z']) + \sigma([z, x], [y, z']) = 0$  for all  $x, y, z, z'$  in  $\mathfrak{g}$ . Thus,  $\sigma([x, y], [z, z']) = 0$  whenever  $x, y \in \mathfrak{h}_i$  and  $z, z' \in \mathfrak{h}_j$  with  $j \neq i$ . The summands  $\mathfrak{h}_i$  and  $\mathfrak{h}_j$ , being simple, are spanned by such brackets  $[x, y]$  and  $[z, z']$ , and so  $\mathfrak{h}_i$  is  $\sigma$ -orthogonal to  $\mathfrak{h}_j$ . As this is the case for any two summands, we obtain Theorem B(i), the right-to-left inclusion being obvious. Theorem B(ii) is also immediate, since  $[\mathfrak{g}^*]^{\wedge 4} = \{0\}$  when  $\dim \mathfrak{g} = 3$ .

From now on,  $\mathfrak{g}$  is assumed to be simple. The first of the following two inclusions is then clear from Theorem 4.1(iv), (1.5.i) and (2.1.iii) (applied to  $\sigma = a\beta^{\mathfrak{h}}$ , with  $a \in \mathbb{C}$ ), the second one – from Theorem A and Remark 2.4 (for  $\Theta = \Omega - 2\text{Id}$  and  $a = 3$ ):

$$\text{Ker}(\Omega - 2\text{Id}) \subset \text{Ker} \Lambda \subset \text{Ker}(\Omega - 2\text{Id}) \oplus \text{Ker}(\Omega + \text{Id}). \quad (5.1)$$

If  $\dim \mathfrak{g} \notin \{3, 6\}$ , Remark 4.5 gives  $\text{Ker}(\Omega + \text{Id}) = \{0\}$ . The inclusions in (5.1) thus are equalities, which both proves (1.7) in this case and, combined with Theorem 4.1(iv), implies Theorem B(iv). When  $\dim \mathfrak{g} = 3$ , (1.7) follows as the second inclusion in (5.1) is an equality: both spaces are 6-dimensional by Theorems B(ii), 4.1(iv) and Remark 4.5.

Finally, suppose that  $\dim \mathfrak{g} = 6$ . According to Lemma 4.3(i)-(ii),  $\mathfrak{g}$  is then real and isomorphic to the underlying real algebra of  $\mathfrak{h} = \mathfrak{sl}(2, \mathbb{C})$ . From (2.1.iii), with  $\Lambda^{\mathfrak{h}}\sigma = 0$  by Theorem B(ii), we thus get  $\mathcal{F} \subset \text{Ker} \Lambda$  for  $\mathcal{F} = \{\text{Re } \sigma : \sigma \in [\mathfrak{h}^*]^{\odot 2}\}$ , where  $[\mathfrak{h}^*]^{\odot 2}$  denotes the space of all symmetric  $\mathbb{C}$ -bilinear forms  $\sigma : \mathfrak{h} \times \mathfrak{h} \rightarrow \mathbb{C}$ . As the operator  $\sigma \mapsto \text{Re } \sigma$  is injective, that is, any such  $\sigma$  is uniquely determined by  $\text{Re } \sigma$ , one must have  $\dim_{\mathbb{R}} \mathcal{F} = 12$ . The second inclusion in (5.1) is therefore an equality, and  $\mathcal{F} = \text{Ker} \Lambda$ , for dimensional reasons:  $\text{Ker} \Lambda$  contains the subspace  $\mathcal{F}$  of real dimension 12, equal, in view of Theorem 4.1(iv) and Remark 4.5, to the real dimension of  $\text{Ker}(\Omega - 2\text{Id}) \oplus \text{Ker}(\Omega + \text{Id})$ . This yields (1.7) in the remaining case  $\dim \mathfrak{g} = 6$  while, due to the definition of  $\mathcal{F}$ , the relations  $\dim_{\mathbb{R}} \mathcal{F} = 12$  and  $\mathcal{F} = \text{Ker} \Lambda$  prove assertion (iii) of Theorem B.



## 6 Some facts needed from linear algebra

In this section  $\mathfrak{g}$  is the underlying real space of a finite-dimensional complex vector space  $\mathfrak{h}$  and  $J : \mathfrak{g} \rightarrow \mathfrak{g}$  is the operator of multiplication by  $i$ , also referred to as the *complex structure*. We denote by  $\beta^{\mathfrak{h}}$  a fixed nondegenerate  $\mathbb{C}$ -bilinear symmetric form on  $\mathfrak{h}$ , so that the  $\mathbb{R}$ -bilinear symmetric form  $\beta = 2\operatorname{Re}\beta^{\mathfrak{h}}$  on  $\mathfrak{g}$  is nondegenerate as well. The same applies to any nonzero complex multiple of  $\beta^{\mathfrak{h}}$ . Thus,  $\beta$  and  $\gamma = 2\operatorname{Im}\beta^{\mathfrak{h}}$  constitute a basis of a real vector space  $\mathcal{P}$  of  $\mathbb{R}$ -bilinear symmetric forms on  $\mathfrak{g}$ . All nonzero elements of  $\mathcal{P}$  are nondegenerate. As  $\beta^{\mathfrak{h}}$  is  $\mathbb{C}$ -bilinear,  $\gamma(x, y) = -\beta(x, Jy)$  for all  $x, y \in \mathfrak{g}$ . We use components relative to a basis of  $\mathcal{P}$ , as in Section 2.

**Lemma 6.1** *The real spaces  $\mathfrak{g}$  and  $\mathcal{P}$  uniquely determine the pair  $(J, \beta^{\mathfrak{h}})$  up to its replacement by  $(J, a\beta^{\mathfrak{h}})$  or  $(-J, a\beta^{\mathfrak{h}})$ , with any  $a \in \mathbb{C} \setminus \{0\}$ .*

*Proof* For any basis  $\kappa, \lambda$  of  $\mathcal{P}$ , replacing  $\beta^{\mathfrak{h}}$  by a complex multiple, which leaves  $\mathcal{P}$  unchanged, we assume that  $\kappa = \beta$ . Thus,  $\lambda = u\beta + v\gamma$ , where  $u, v \in \mathbb{R}$  and  $v \neq 0$ . Writing the equality  $\gamma = -\beta(\cdot, J\cdot)$  as  $\gamma_{rq} = -\beta_{rs}J_q^s$ , and then using the reciprocal components  $\kappa^{pr} = \beta^{pr}$ , we obtain  $\kappa^{pr}\lambda_{rq} = \beta^{pr}(u\beta_{rq} - v\beta_{rs}J_q^s) = u\delta_q^p - vJ_q^p$ . Now  $\pm J$  may be defined by declaring the matrix  $J_q^p$  to be the traceless part of  $\kappa^{pr}\lambda_{rq}$ , normalized so that  $J^2 = -\operatorname{Id}$ .

At the same time, fixing any  $\kappa \in \mathcal{P} \setminus \{0\}$  we may assume, as before, that  $\kappa = \beta$ . Then  $\kappa$  and  $\gamma = -\kappa(\cdot, J\cdot)$ , determine  $2\beta^{\mathfrak{h}}$ , being its real and imaginary parts. Combined with the last sentence of the preceding paragraph, this completes the proof.  $\square$

The next fact concerns two mappings,  $\operatorname{rec} : \mathcal{P} \setminus \{0\} \rightarrow \mathfrak{g}^{\odot 2}$  and  $\mathfrak{g}^{\odot 2} \ni \mu \mapsto \mu_{\flat} \in \operatorname{End}\mathfrak{g}$ . The former sends every nonzero element of  $\mathcal{P}$  (which, as we know, is nondegenerate) to its reciprocal. The latter is the operator of index-lowering via  $\beta$ , and takes values in the space of  $\mathbb{R}$ -linear endomorphisms of  $\mathfrak{g}$ , which include complex multiples of  $\operatorname{Id}$ . We then have

$$\{[\operatorname{rec}(\sigma)]_{\flat} : \sigma \in \mathcal{P} \setminus \{0\}\} = \{a\operatorname{Id} : a \in \mathbb{C} \setminus \{0\}\}. \quad (6.1)$$

Namely, under index raising with the aid of  $\beta$ , the operators  $A = a\operatorname{Id}$ , for  $a \in \mathbb{C} \setminus \{0\}$ , correspond to elements  $\mu$  of  $\mathfrak{g}^{\odot 2}$  characterized by  $\mu^{pq} = \beta^{pr}A_r^q$ . Every such  $\mu$  is in turn the reciprocal of  $\sigma \in [\mathfrak{g}^*]^{\odot 2}$  defined by  $\sigma_{pq} = H_p^k\beta_{kq}$ , where  $H = A^{-1}$  (as  $\sigma_{pq}\mu^{sq} = H_p^k\beta_{ks}\beta^{sr}A_r^q = H_p^rA_r^q = \delta_p^q$ ). Symmetry of  $\mu$ , and hence  $\sigma$ , is obvious from  $\beta$ -self-adjointness of  $A$ . The inverses  $H$  of our operators  $A = a\operatorname{Id}$  range over nonzero complex multiples of  $\operatorname{Id}$  as well, and so the resulting symmetric forms  $\sigma$  act on  $x, y \in \mathfrak{g}$  by  $\sigma(x, y) = \beta(ux + vJx, y)$ , where  $(u, v)$  range over  $\mathbb{R} \setminus \{0\}$ . Therefore  $\sigma = u\beta - v\gamma$ , as required.

**Remark 6.2** The relation  $\gamma = -\beta(\cdot, J\cdot)$  for  $\beta = 2\operatorname{Re}\beta^{\mathfrak{h}}$  and  $\gamma = 2\operatorname{Im}\beta^{\mathfrak{h}}$  shows that, once  $J$  is fixed,  $\operatorname{Re}\beta^{\mathfrak{h}}$  uniquely determines  $\beta^{\mathfrak{h}}$ . Similarly,  $\operatorname{Re}C^{\mathfrak{h}}$  and  $J$  determine the Cartan three-form  $C^{\mathfrak{h}}$  of a complex Lie algebra  $\mathfrak{h}$ , cf. (1.8). In fact,  $\operatorname{Im}C^{\mathfrak{h}} = -\operatorname{Re}C^{\mathfrak{h}}(\cdot, \cdot, J\cdot)$ .

**Remark 6.3** The bracket  $[\cdot, \cdot]$  of a real/complex semisimple Lie algebra is uniquely determined by  $C$  and  $\beta$  via (1.8). Knowing  $C$  and the set of nonzero scalar multiples of  $\beta$ , rather than  $\beta$  itself, makes  $[\cdot, \cdot]$  unique up to multiplications by cubic roots of 1. Such factors must be allowed as multiplying  $[\cdot, \cdot]$  by a scalar  $r$  replaces  $\beta$  and  $C$  with  $r^2\beta$  and  $r^3C$ .

**Remark 6.4** In the first sentence of Remark 6.3, treating  $C$  and  $\beta$  formally, we see that in the complex case  $\bar{C}$  and  $\bar{\beta}$  determine, via (1.8), the same bracket  $[\cdot, \cdot]$  as  $C$  and  $\beta$ .



**Lemma 6.5** *The Lie algebra  $\mathfrak{a}$  of infinitesimal automorphisms of the Cartan three-form  $C$  of a simple real/complex Lie algebra  $\mathfrak{g}$  has the vector-space decomposition  $\mathfrak{a} = \mathfrak{a}_+ \oplus \mathfrak{a}_-$ , where  $\mathfrak{a}_+$  is the space of all  $\Sigma$  related as in (1.2.b) to elements  $\sigma$  of  $\text{Ker}(\Omega + \text{Id})$ , and  $\mathfrak{a}_-$  consists of all derivations of  $\mathfrak{g}$ . The operators forming  $\mathfrak{a}_+$  are all  $\beta$ -self-adjoint, those in  $\mathfrak{a}_-$  are  $\beta$ -skew-adjoint, and  $\mathfrak{a}_-$  coincides with  $\text{Ad}(\mathfrak{g}) = \{\text{Ad } x : x \in \mathfrak{g}\}$ .*

*Proof* We have the obvious inclusions  $\text{Ad}(\mathfrak{g}) \subset \mathfrak{a}_- \subset \mathfrak{a}$ . For any fixed  $\Sigma \in \mathfrak{a}$ , define  $\sigma$  by (1.2.b). Transvecting the equality  $\sigma_{iq}C_{jk}^q + \sigma_{jq}C_{ki}^q + \sigma_{kq}C_{ij}^q = 0$  with  $C^{jk}_p$ , we see that, by (2.4.i-ii),  $\sigma_{ip} = 2C^{jk}_p C_{ki}^q \sigma_{jq}$ . Hence (2.6) and (2.4.ii) give  $T\tau = -\sigma$ , where  $\tau = \sigma^*$  is the 2-tensor with  $\tau_{ij} = \sigma_{ji}$ . As  $(T\tau)^* = T\tau^*$ , cf. (2.6),  $\sigma \pm \sigma^*$  is an eigenvector of  $T$  for the eigenvalue  $\mp 1$ . Lemma 2.1(b),(d) thus shows that the self-adjoint and skew-adjoint parts of any  $\Sigma \in \mathfrak{a}$  lie in  $\mathfrak{a}_+$  and, respectively, in  $\text{Ad}(\mathfrak{g}) \subset \mathfrak{a}_- \subset \mathfrak{a}$ . Consequently, noting that Lie-algebra automorphisms of  $\mathfrak{g}$  leave  $\beta$  invariant, and so all derivations of  $\mathfrak{g}$  must be  $\beta$ -skew-adjoint, one obtains  $\mathfrak{a}_- = \text{Ad}(\mathfrak{g})$  and  $\mathfrak{a} = \mathfrak{b} \oplus \mathfrak{a}_-$  for the space  $\mathfrak{b}$  of all  $\Sigma \in \mathfrak{a}_+$  which are at the same time infinitesimal automorphisms of  $C$ .

It now suffices to show that  $\mathfrak{b} = \mathfrak{a}_+$ . If  $\dim \mathfrak{g} \notin \{3, 6\}$ , this is clear from Remark 4.5, which gives  $\mathfrak{b} = \mathfrak{a}_+ = \{0\}$ . When  $\dim \mathfrak{g} = 3$ , the inclusion  $\mathfrak{a} = \mathfrak{b} \oplus \mathfrak{a}_- \subset \mathfrak{a}_+ \oplus \mathfrak{a}_-$  is an equality, as  $8 = \dim \mathfrak{a} \leq \dim \mathfrak{a}_+ + \dim \mathfrak{a}_- = 5 + \dim \text{Ad}(\mathfrak{g}) \leq 5 + \dim \mathfrak{g} = 8$ . (Here  $\dim \mathfrak{a}_+ = 5$  by Remark 4.5, and  $\dim \mathfrak{a} = 8$ , since  $C$  is a volume form in the 3-space  $\mathfrak{g}$ .) Finally, let  $\dim \mathfrak{g} = 6$  and  $\Sigma \in \mathfrak{a}_+$ . Thus,  $\mathfrak{g}$  is real and isomorphic to the underlying real algebra of  $\mathfrak{h} = \mathfrak{sl}(2, \mathbb{C})$  (see Lemma 4.3(i)-(ii)). As  $\sigma$  with (1.2.b) lies in  $\text{Ker}(\Omega + \text{Id})$ , and so, by (1.7), in  $\text{Ker } \Lambda$ , Theorem B(iii) gives  $\sigma = 2\text{Re } \sigma^{\mathfrak{h}}$ , where  $\sigma^{\mathfrak{h}} : \mathfrak{h} \times \mathfrak{h} \rightarrow \mathbb{C}$  is  $\mathbb{C}$ -bilinear and symmetric. Clearly,  $\sigma^{\mathfrak{h}}(x, y) = \beta^{\mathfrak{h}}(\Sigma^{\mathfrak{h}}x, y)$  for all  $x, y \in \mathfrak{h}$ , the Killing form  $\beta^{\mathfrak{h}}$  of  $\mathfrak{h}$ , and some complex-linear operator  $\Sigma^{\mathfrak{h}} : \mathfrak{h} \rightarrow \mathfrak{h}$ . Taking  $2\text{Re}$  of both sides, we see that (2.1.i) yields (1.2.b) with  $\Sigma$  replaced by  $\Sigma^{\mathfrak{h}}$ . Consequently,  $\Sigma^{\mathfrak{h}} = \Sigma$ , and  $\Sigma : \mathfrak{h} \rightarrow \mathfrak{h}$  is complex-linear. At the same time, (2.6) and Lemma 2.1(b) easily imply that  $\Omega$  is self-adjoint. The two summands in (1.7) are therefore  $\beta$ -orthogonal, and so, by Theorem 4.1(iv),  $\sigma$  is orthogonal to  $\beta = 2\text{Re } \beta^{\mathfrak{h}}$  and  $\gamma = 2\text{Im } \beta^{\mathfrak{h}}$ . Since  $\sigma, \beta$  and  $\gamma$  correspond as in (1.2.b) to  $\Sigma, \text{Id}$  and  $-J$ , cf. Remark 6.2, these orthogonality relations read  $\text{tr}_{\mathbb{R}} \Sigma = \text{tr}_{\mathbb{R}} J\Sigma = 0$ , that is,  $\text{tr}_{\mathbb{C}} \Sigma = 0$ . On the other hand, the Cartan three-form  $C^{\mathfrak{h}}$  of  $\mathfrak{h}$  is a volume form in its underlying complex 3-space. Being traceless,  $\Sigma$  is thus an infinitesimal automorphism of both  $C^{\mathfrak{h}}$  and  $C = 2\text{Re } C^{\mathfrak{h}}$  (see (2.1.ii)), as required.  $\square$

The first paragraph of the above proof obviously remains valid if  $\mathfrak{g}$  is only assumed to be semisimple, and so it constitutes a direct argument showing that, for any semisimple real or complex Lie algebra  $\mathfrak{g}$ , all derivations of  $\mathfrak{g}$  lie in  $\text{Ad}(\mathfrak{g})$ .

## 7 Proof of Theorem C

For a real/complex Lie algebra  $\mathfrak{g}$ , let the mapping  $\Phi : [\mathfrak{g}^*]^{\wedge 3} \times \mathfrak{g}^{\odot 2} \rightarrow [\mathfrak{g}^*]^{\wedge 4}$  be defined by  $[\Phi(C, \mu)](x, y, z, z') = \mu(C(x, y), C(z, z')) + \mu(C(y, z), C(x, z')) + \mu(C(z, x), C(y, z'))$ , where  $\mu \in \mathfrak{g}^{\odot 2}$  is treated as a symmetric real/complex-bilinear form on  $\mathfrak{g}^*$ , and  $C(x, y)$  stands for the element  $C(x, y, \cdot)$  of  $\mathfrak{g}^*$ . If  $\mathfrak{g}$  is also semisimple, the isomorphic identification  $\mathfrak{g} \approx \mathfrak{g}^*$  provided by the Killing form  $\beta$  induces an isomorphism  $[\mathfrak{g}^*]^{\odot 2} \rightarrow \mathfrak{g}^{\odot 2}$ , which we write as  $\sigma \mapsto \sigma^{\sharp}$ . Then, in view of (1.4) and (1.8),

$$\Phi(C, \sigma^{\sharp}) = \Lambda \sigma \quad \text{for any } \sigma \in \mathfrak{g}^{\odot 2} \text{ and the Cartan three-form } C. \quad (7.1)$$

Theorem C is a trivial consequence of the following result combined with Lemma 4.3(ii) and the fact that, by multiplying a Lie-algebra bracket operation  $[\cdot, \cdot]$  by a nonzero scalar, one obtains a Lie-algebra structure isomorphic to the original one. Note that the final clause of Theorem C is immediate from Lemma 7.1(a) along with Lemma 6.5 and Remark 4.5.

**Lemma 7.1** *In a real or complex semisimple Lie algebra  $\mathfrak{g}$ , the Cartan three-form and the vector-space structure of  $\mathfrak{g}$  uniquely determine each of the following objects.*

- (a) *The vector subspaces constituting the simple direct summand ideals of  $\mathfrak{g}$ .*
- (b) *Up to a sign, in the real case, the complex structure, defined as in Section 6, of every summand ideal  $\mathfrak{g}'$  with  $\dim_{\mathbb{R}} \mathfrak{g}' \neq 6$  which is a complex Lie algebra, treated as real.*
- (c) *Up to multiplications by cubic roots of 1, the restrictions of the Lie-algebra bracket of  $\mathfrak{g}$  to all such summands of dimensions other than 3 or 6.*
- (d) *The Lie algebra isomorphism types of all summand ideals  $\mathfrak{g}'$  with  $\dim_{\mathbb{R}} \mathfrak{g}' \neq 3$ .*

*Proof* Let  $C$  be the Cartan three-form of  $\mathfrak{g}$ . By (7.1),  $\text{Ker } \Delta = \{\sigma^\sharp : \sigma \in \text{Ker } \Lambda\}$  for the real/complex-linear operator  $\Delta : \mathfrak{g}^{\odot 2} \rightarrow [\mathfrak{g}^*]^{\wedge 4}$  given by  $\Delta\mu = \Phi(C, \mu)$ . Then, if one views all  $\mu \in \text{Ker } \Delta \subset \mathfrak{g}^{\odot 2}$  as linear operators  $\mu : \mathfrak{g}^* \rightarrow \mathfrak{g}$ ,

- (e) *the simple direct summands of  $\mathfrak{g}$  are precisely the minimal elements, in the sense of inclusion, of the set  $\mathbf{S} = \{\mu(\mathfrak{g}^*) : \mu \in \text{Ker } \Delta, \text{ and } \dim \mu(\mathfrak{g}^*) = 3 \text{ or } \dim \mu(\mathfrak{g}^*) \geq 6\}$ .*

In fact,  $\mathbf{S}$  consists of the images of those linear endomorphisms  $\Sigma : \mathfrak{g} \rightarrow \mathfrak{g}$  which correspond via (1.2.b) to elements  $\sigma$  of  $\text{Ker } \Lambda$ , and have  $\text{rank } \Sigma \notin \{0, 1, 2, 4, 5\}$ . To describe all such  $\Sigma$ , we use the four parts of Theorem B, referring to them as (i) – (iv). Specifically, by (i), our endomorphisms  $\Sigma$  are direct sums of linear endomorphisms  $\Sigma_i$  of the simple direct summands  $\mathfrak{g}_i$  of  $\mathfrak{g}$ , while the endomorphisms  $\Sigma_i$  are themselves subject to just two restrictions: one due to the exclusion of ranks 0, 1, 2, 4 and 5, the other depending, in view of (ii) – (iv), on  $d_i = \dim \mathfrak{g}_i$ , as follows. If  $d_i = 3$ , (ii) states that  $\Sigma_i$  is only required to be  $\beta$ -self-adjoint (to reflect symmetry of  $\sigma_i$  related to  $\Sigma_i$  as in (1.2.b)). Similarly, it is clear from (iv) and (2.2) that, with a specific the scalar field  $\mathbb{F}$ ,

$$\Sigma_i \text{ is a nonzero } \mathbb{F}\text{-multiple of Id when } d_i \notin \{3, 6\}, \text{ where } \mathbb{F} = \mathbb{C} \text{ if } \mathfrak{g}_i \text{ is either complex or real of type (1.3.b), and } \mathbb{F} = \mathbb{R} \text{ for real } \mathfrak{g}_i \text{ of type (1.3.a).} \quad (7.2)$$

In the remaining case,  $d_i = 6$ . Then, by (iii),  $\Sigma_i$  is complex-linear and  $\beta$ -self-adjoint, cf. (2.3) and (2.1.i), but otherwise arbitrary.

The image  $\Sigma(\mathfrak{g})$  of any  $\Sigma$  as above is the direct sum of the images of its summands  $\Sigma_i$ , and so it can be minimal only if there exists just one  $i$  with  $\Sigma_i \neq 0$ . For this  $i$ , minimality of  $\Sigma(\mathfrak{g}) = \Sigma_i(\mathfrak{g}_i)$  implies that  $\Sigma(\mathfrak{g}) = \mathfrak{g}_i$ . In fact, in view of the last paragraph, the cases  $d_i = 3$  and  $d_i \notin \{3, 6\}$  are obvious (the former since  $\text{rank } \Sigma_i \geq 3$ ) while, if  $d_i = 6$ , complex-linearity of  $\Sigma_i$  precludes not just 0, 1, 2, 4 and 5, but also 3 from being its real rank.

We thus obtain one of the inclusions claimed in (e): every minimal element of  $\mathbf{S}$  equals some summand  $\mathfrak{g}_i$ . Conversely, any fixed summand  $\mathfrak{g}_i$  is an element of  $\mathbf{S}$ , realized by  $\Sigma$  with  $\Sigma_i = \text{Id}$  and  $\Sigma_j = 0$  for all  $j \neq i$ , cf. Lemma 4.3(iii). Minimality of  $\mathfrak{g}_i$  is in turn obvious from (7.2) if  $d_i \notin \{3, 6\}$ , while for  $d_i = 3$  or  $d_i = 6$  it follows from the restriction on  $\text{rank } \Sigma$  combined, in the latter case, with complex-linearity of  $\Sigma_i$ . This yields (e).

Now (a) is obvious from (e), as  $\Delta$  and  $\mathbf{S}$  depend only on  $C$  and the vector-space structure of  $\mathfrak{g}$ . To prove (b) – (c), we fix  $i$  with  $d_i \notin \{3, 6\}$ . Elements  $\mu$  of  $\text{Ker } \Delta$  having

$\mu(\mathfrak{g}^*) = \mathfrak{g}_i$  correspond, via (1.2.b) followed by the assignment  $\sigma \mapsto \mu = \sigma^\sharp$ , to endomorphisms  $\Sigma$  of  $\mathfrak{g}$  which satisfy (7.2) and vanish on  $\mathfrak{g}_j$  for  $j \neq i$ . Any such  $\mu$ , now viewed as a bilinear form on  $\mathfrak{g}^*$ , is therefore obtained from a bilinear form  $\mu_i$  on  $\mathfrak{g}_i^*$  by the trivial extension to  $\mathfrak{g}^*$ , that is, pullback under the obvious restriction operator  $\mathfrak{g}^* \rightarrow \mathfrak{g}_i^*$ .

If  $\mathbb{F} = \mathbb{R}$ , it is immediate from (7.2) that the resulting forms  $\mu_i$  are nonzero multiples of the reciprocal of the Killing form of  $\mathfrak{g}_i$ , and Remark 6.3 implies (c). Next, let  $\mathbb{F} = \mathbb{C}$ . We denote  $\mathfrak{g}_i$  treated as a complex Lie algebra by  $\mathfrak{h}$ , and the Cartan three-form of  $\mathfrak{h}$  by  $C^{\mathfrak{h}}$ . Formula (6.1) states that, in view of (7.2), the reciprocals of our  $\mu_i$  are precisely the nonzero elements of the space  $\mathcal{P}$  defined in Section 6. Thus, Lemma 6.1, (2.1.ii) and Remark 6.2 imply that  $C$  determines the triple  $(J, \beta^{\mathfrak{h}}, C^{\mathfrak{h}})$  uniquely up to replacements by  $(J, a\beta^{\mathfrak{h}}, aC^{\mathfrak{h}})$  or  $(-J, a\overline{\beta^{\mathfrak{h}}}, a\overline{C^{\mathfrak{h}}})$ , with  $a \in \mathbb{C} \setminus \{0\}$ . This proves (b), while using Remarks 6.3 – 6.4 we obtain (c) for  $\mathbb{F} = \mathbb{C}$  as well. Finally, (c) and Lemma 4.3(i)–(iii) easily yield (d).  $\square$

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### Appendix: Meyberg’s theorem

For any complex simple Lie algebra  $\mathfrak{g}$ , the operator  $\Omega$  with (1.2) is diagonalizable. Its systems  $\text{Spec}[\mathfrak{g}]$  of eigenvalues and  $\text{Mult}[\mathfrak{g}]$  of the corresponding multiplicities are

$$\begin{aligned} \text{Spec}[\mathfrak{sl}_n] &= (2, 1, 2/n, -2/n) \text{ and} \\ \text{Mult}[\mathfrak{sl}_n] &= (1, n^2 - 1, n^2(n-3)(n+1)/4, n^2(n+3)(n-1)/4), \text{ if } n \geq 4. \\ \text{Spec}[\mathfrak{sp}_n] &= (2, (n+4)/(n+2), -4/(n+2), 2/(n+2)) \text{ for even } n \geq 4, \text{ and} \\ \text{Mult}[\mathfrak{sp}_n] &= (1, (n-2)(n+1)/2, n(n+1)(n+2)(n+3)/24, n(n-1)(n-2)(n+3)/12). \\ \text{Spec}[\mathfrak{so}_n] &= (2, (n-4)/(n-2), 4/(n-2), -2/(n-2)) \text{ if } n = 7 \text{ or } n \geq 9, \text{ while} \\ \text{Mult}[\mathfrak{so}_n] &= (1, (n+2)(n-1)/2, n(n-1)(n-2)(n-3)/24, n(n+1)(n+2)(n-3)/12) \end{aligned}$$

and, if  $\mathfrak{g}$  is one of the exceptional complex Lie algebras  $\mathfrak{sl}_2, \mathfrak{sl}_3, \mathfrak{g}_2, \mathfrak{so}_8, \mathfrak{f}_4, \mathfrak{e}_6, \mathfrak{e}_7, \mathfrak{e}_8$ ,

$$\begin{aligned} \text{Spec}[\mathfrak{g}] &= (2, (1+w)/6, (1-w)/6), \text{ with } \text{Mult}[\mathfrak{g}] \text{ equal to} \\ &= (1, 3d[(d+2)w - (d+32)]/[w(11-w)], 3d[(d+2)w + (d+32)]/[w(11+w)]), \end{aligned} \quad (7.3)$$

with  $d = \dim \mathfrak{g}$  and  $w = [(d+242)/(d+2)]^{1/2}$ . This is a result of Meyberg [8] who, rather than our  $\Omega$ , studied the operator  $T = \Omega/2$ . (The formula for  $w$  in [8] misses the exponent  $1/2$ .) For  $\mathfrak{sl}_2$ , the resulting “eigenvalue”  $4/3$  of multiplicity 0 should be disregarded. All isomorphism types of complex simple Lie algebras are listed above, cf. Remark 2.3.

The dimensions  $d$  of  $\mathfrak{sl}_2, \mathfrak{sl}_3, \mathfrak{g}_2, \mathfrak{so}_8, \mathfrak{f}_4, \mathfrak{e}_6, \mathfrak{e}_7, \mathfrak{e}_8$  are 3, 8, 14, 28, 52, 78, 133, 248 [1, pp. 32, 37]. The eigenvalues 0,  $-1, 1$  in (7.3) would correspond to  $w = 1, 7, 5$ , of which only the latter two occur, for  $d = 3, 8$  and  $\mathfrak{g} = \mathfrak{sl}_2, \mathfrak{sl}_3$ , in agreement with Theorem 2.2.

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