

Lecture 18

(18.0) Recall:  $\mathcal{Y}_\hbar \mathfrak{g}$  = Yangian of a simple f.d. Lie algebra  $\mathfrak{g}$ . Here  $\hbar \in \mathbb{C}^\times$  is a deformation parameter.

$\text{Rep } \mathcal{Y}$  = category of f.d. repns. of  $\mathcal{Y} = \mathcal{Y}_\hbar \mathfrak{g}$ .

Last time we introduced Drinfeld coproduct which endows  $\text{Rep } \mathcal{Y}$  with a mono. tensor structure. For  $V_1, V_2 \in \text{Rep } \mathcal{Y}$  s.t.  $\sigma(V_1) \cap \sigma(V_2) = \emptyset$ , the Yangian

acts on  $V_1 \otimes V_2$  via

$$\begin{aligned} \xi_i(u) &\mapsto \xi_i(u) \otimes \xi_i(u) \\ x_i^+(u) &\mapsto x_i^+(u) \otimes 1 + \left[ \begin{array}{l} \int \frac{1}{u-v} \xi_i(v) \otimes x_i^+(v) dv \\ C_2 \end{array} \right] \\ x_i^-(u) &\mapsto 1 \otimes x_i^-(u) + \left[ \begin{array}{l} \int \frac{1}{u-v} x_i^-(v) \otimes \xi_i(v) dv \\ C_1 \end{array} \right] \end{aligned}$$

]  $u$  is outside of  $C_j$   
( $j=1, 2$ )  
 $C_j$  encloses  $\sigma(V_j)$   
and avoids  $\sigma(V_{3-j})$

Let  $V_1 \underset{\mathcal{D}}{\otimes} V_2$  denote the resulting representation. For arbitrary  $V_1$  and  $V_2$  we obtain a rational family of repns.  $\{V_1(s) \underset{\mathcal{D}}{\otimes} V_2\}$  with poles at  $s \in \sigma(V_2) - \sigma(V_1) := \{a_2 - a_1 \mid a_j \in \sigma(V_j), j=1, 2\}$ .

(18.1) Thm. There exist meromorphic functions

$$R_{V_1, V_2}^{0, \pm}(s) : \mathbb{C} \rightarrow \text{End}(V_1 \underset{\mathcal{D}}{\otimes} V_2) \quad \text{s.t.}$$

(1)  $R_{V_1, V_2}^{0, \pm}(s)$  is holomorphic and invertible for  $\pm \text{Re}(s/\hbar) \gg 0$ , and  $[R^0(s), R^0(s')] = 0$ .  $R^{0, \pm}(s) \sim 1 + \hbar \Omega^0 \tilde{s}^\pm + \dots$  as  $s \rightarrow \infty$  in  $\pm \text{Re}(s/\hbar) \gg 0$

(2)  $\sigma \circ R_{V_1, V_2}^{0, \pm}(s) : V_1(s) \underset{\mathcal{D}}{\otimes} V_2 \rightarrow V_2 \underset{\mathcal{D}}{\otimes} V_1(s)$  is a morphism in  $\text{Rep } \mathcal{Y}$ .

$$(3) R_{V_1, V_2}^{0, +}(s)^{-1} = \sigma \circ R_{V_2, V_1}^{0, -}(-s) \circ \sigma$$

(4) For  $V_1, V_2, V_3 \in \text{Rep } Y$

$$R_{V_1(s_1) \otimes V_2, V_3}^{\circ}(s_2) = R_{V_1 V_3}^{\circ}(s_1 + s_2) R_{V_2 V_3}^{\circ}(s_2)$$

$$R_{V_1, V_2(s_2) \otimes V_3}^{\circ}(s_1 + s_2) = R_{V_1 V_3}^{\circ}(s_1 + s_2) R_{V_1 V_2}^{\circ}(s_1)$$

$$(5) \quad R_{V_1(a), V_2(b)}^{\circ}(s) = R_{V_1 V_2}^{\circ}(s + a - b)$$

Proof. We construct  $R_{V_1 V_2}^{\circ}(s)$  in the same way as for  $U_q(\mathfrak{g})$  case  
(see Lecture 12).

Step 1. Define  $B(T) = ([d_{ij}]_T)_{i,j \in I}$ . Then (Khavchkin-Tolstoy)

$$B(T)^{-1} = \frac{1}{[l]_T} C(T) \quad \text{where} \quad l = m h^\vee : \begin{aligned} h^\vee &= \text{dual Coxeter \#} \\ &= l + p(\theta^\vee) \end{aligned}$$

and  $m = 1, 2, 3$  if  $\mathfrak{g}$  is of type  
ADE, BCF or G resp.  $(p \in \mathfrak{g}^* \text{ is s.t. } p(h) = l + i)$   
 $\theta \in \mathfrak{f}^*$  is the longest root)

$C(T)$  has entries from  $\mathbb{Z}[T, T^{-1}]$ ,  $(c_{ij}(T))_{i,j \in I}$

$$c_{ij}(T) = \sum_{r \in \mathbb{Z}} c_{ij}^{(r)} T^r$$

(finite num.)

Step 2. Define

$$A(s) = \exp \left[ - \sum_{\substack{i,j \in I \\ r \in \mathbb{Z}}} c_{ij}^{(r)} \oint_{C_i} t_i'(v) \otimes t_j(v+s+\frac{(l+r)h}{2}) dv \right]$$

$$t_i(u) = \log \xi_i(u)$$

We explained in Lecture 12 how to make sense of this logarithm.

Check (see § 12.11)  $A(s)$  is a rat'l fn. of  $s$ , regular at  $\infty$

$$A(s) = 1 - l h^2 \Omega_0 \bar{s}^2 + O(\bar{s}^3)$$

$$\text{Step 3. } R^{\circ}(s+2\ell h) = A(s) R^{\circ}(s)$$

We will study additive difference equations in detail. The one above  
(next lecture)  
can be solved as

$$R^{0,+}(s) = A(s)^{-1} A(s+2\ell h)^{-1} A(s+4\ell h)^{-1} \dots$$

$$R^{0,-}(s) = A(s-2\ell h) A(s-4\ell h) A(s-6\ell h) \dots$$

The theorem (except for (i)) is proved exactly as its  $U_q(\mathfrak{g})$  counter part.

(18.2) Conjecture. There exist meromorphic twist (tensor structure) on the identity functor  $(\text{Rep } Y, \otimes) \rightarrow (\text{Rep } Y, \otimes_D)$ , which is in fact rational.

In more detail, we have iso. of repns, rat'l in  $s$ , natural in  $V_1, V_2$ :

$$R_{V_1, V_2}^{-}(s) : V_1(s) \otimes V_2 \xrightarrow{\quad} V_1(s) \otimes_D V_2$$

s.t.  $\forall V_1, V_2, V_3 \in \text{Rep } Y$  the following diagram is commutative

$$\begin{array}{ccccc}
 & & & & \\
 & V_1(s_1+s_2) \otimes V_2(s_2) \otimes V_3 & \xrightarrow{\quad \text{Id} \otimes R_{V_2, V_3}^{-}(s_2) \quad} & V_1(s_1+s_2) \otimes V_2(s_2) \otimes_D V_3 & \\
 & \downarrow & & & \downarrow R_{V_1, V_2(s_2) \otimes V_3}^{-}(s_1+s_2) \\
 & (V_1(s_1) \otimes V_2)(s_2) \otimes V_3 & \xrightarrow{\quad} & V_1(s_1+s_2) \otimes_D V_2(s_2) \otimes V_3 & \\
 & \downarrow R_{V_1, V_2}^{-}(s_1) \otimes \text{Id} & & & \\
 & (V_1(s_1) \otimes_D V_2)(s_2) \otimes V_3 & \xrightarrow{\quad R_{V_1(s_1) \otimes V_2, V_3}^{-}(s_2) \quad} & &
 \end{array}$$

Conjecture is true for  $\alpha = s_2$ .

(18.3) Sliced subcategories of  $\text{Rep}Y$ .

Fix a subset  $\Pi \subset \mathbb{C}$  s.t.  $\Pi \pm \text{diag} \frac{1}{2} \subset \Pi$  ( $\forall i, j \in I$ ).

**Definition**  $\text{Rep}^\Pi Y$  is the full subcategory of  $\text{Rep}Y$  consisting of representations, the Drinfeld polynomials of irreducible factors of whose composition series have roots in  $\Pi$ . That is, let  $V \in \text{Rep}Y$  and

let  $0 = V_0 \subset V_1 \subset \dots \subset V_r = V$  be its composition series.

Let  $\{P_i^{(j)}(u)\}_{i \in I}$  be Drinfeld poly. of  $V_j/V_{j-1}$  ( $0 \leq j \leq r$ ). Then

$V \in \text{Rep}^\Pi Y \iff \text{Zeros of } P_i^{(j)} \subset \Pi \quad \forall \begin{matrix} 0 \leq j \leq r \\ i \in I \end{matrix}$ .

**Theorem.** Let  $V \in \text{Rep}Y$ . Then the following are equivalent.

(1)  $V \in \text{Rep}^\Pi Y$ .

(2)  $\sigma(V) \subset \Pi$ .

(3) Poles of  $\xi_i(u)$  are contained in  $\Pi$  ( $\forall i \in I$ ).

(4) Poles of eigenvalues of  $\xi_i(u)$  are contained in  $\Pi$  ( $\forall i \in I$ ).

(2)  $\Rightarrow$  (3)  $\Rightarrow$  (4)  $\Rightarrow$  (1) are clear.

We prove (1)  $\Rightarrow$  (2) by induction on the length of composition series of  $V$ .

**Remark.** By Knight's result zeroes of  $\xi_i(u)$  are obtained by shifting the poles of its diagonal entries by  $\pm \text{diag} \frac{1}{2}$  and  $\Pi$  is stable under these shifts. So (3) (or (4))  $\Rightarrow$   $\xi_i(u)$  are regular and invertible on  $\mathbb{C} \setminus \Pi$ .

(18.4) Base Case:  $V$  is irreducible. Let  $\{P_i\}_{i \in I}$  be Drinfeld polynomials of  $V$ . Since  $V \in \text{Rep}^H T$ , zeroes of  $P_i$  lie in  $\Pi$  ( $\forall i \in I$ ).  
 To prove:  $\{\xi_i(u), x_i^\pm(u)\}$  have poles in  $\Pi$ .

Let us write  $V = \bigoplus_{\mu \in \mathfrak{g}^*} V[\mu]$  as a  $\mathfrak{g}$ -module. Let  $\lambda \in \mathfrak{g}^*$  be the highest weight. We will use the following properties of  $V$ :

(P1) For a weight  $\mu < \lambda$ , the weight space  $V[\mu]$  is spanned by

$$\{x_{i,r}^- V[\mu + \alpha_i]\}_{i \in I, r \in \mathbb{N}}.$$

(P2) If  $v \in V[\mu]$  is annihilated by  $x_{i,r}^+$  ( $\forall i \in I, r \in \mathbb{N}$ ) and  $\mu < \lambda$  then  $v = 0$ .

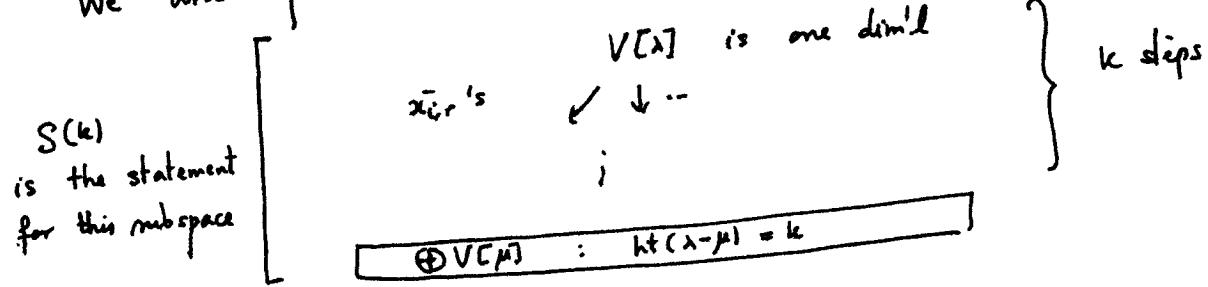
Recall:  $\mu < \lambda$  means  $\lambda - \mu = \sum_{i \in I} n_i \alpha_i$  ( $n_i \in \mathbb{N}$ ). Define

$$\text{ht}(\lambda - \mu) = \sum n_i.$$

$S(k)$ :  $\forall i \in I$ ,  $\xi_i(u)_\mu, x_i^+(u)_\mu$  have poles in  $\Pi$ ,  $\forall \mu$  s.t.  $\text{ht}(\lambda - \mu) \leq k$

and  $x_i^-(u)_\mu$  has poles in  $\Pi$   $\forall \mu$  s.t.  $\text{ht}(\lambda - \mu) < k$ .

We will prove  $S(k)$  by induction on  $k$ .



$S(0)$  is clear since  $x_i^+(u)|V[\lambda] = 0$

$$\xi_i(u)_\lambda = \frac{P_i(u + d\lambda)}{P_i(u)} \quad \text{and zeroes of } P_i(u) \subset \Pi.$$

Assume  $S(k')$  for every  $k' \leq k$ , where  $k \geq 0$ . Let us prove

$S(k+1)$ . Take  $v$  to be a wt. of  $V$  s.t.  $\text{ht}(\lambda - v) = k+1$ .

- use (Y5)

$$x_i^+(u)_v x_j^-(v)_{v+\alpha_j} = x_j^-(v)_{v+\alpha_i+\alpha_j} x_i^+(u)_{v+\alpha_j} + \frac{\delta_{ij} k}{u-v} \left( \xi_i(v)_{v+\alpha_i} - \xi_i(u)_{v+\alpha_i} \right)$$

RHS has poles  $\notin \Pi \times \Pi$ . (induction hypothesis).

Assume  $x_j^-(v)_{v+\alpha_j}$  has a pole at  $z \notin \Pi$  of order  $n$ . Multiply by

$(v-z)^n$  and let  $v=z$  to get

$$x_i^+(u)_v \left[ (v-z)^n x_j^-(v)_{v+\alpha_j} \Big|_{v=z} \right] = 0$$

i.e. Image of  $(v-z)^n x_j^-(v)_{v+\alpha_j} \Big|_{v=z}$  is annihilated by all  $x_{i,r}^+$ . Hence it must be 0, by property (P2). Contradicts the fact that  $n$  was order of the pole.

Similarly if  $x_i^+(u)_v$  has a pole of order  $n$  at  $z \notin \Pi$  we get

$$\left( (u-z)^n x_i^+(u)_v \Big|_{u=z} \right) x_j^-(v)_{v+\alpha_j} = 0$$

!!

X

i.e. X vanishes on the image of all  $x_{j,r}^- V[v+\alpha_j]$ . But this image is  $V[v]$

by (P1). So  $X = 0$ .

$$\text{use (Y23)} \quad \xi_i(u)_v x_j^-(v)_{v+\alpha_j} = \frac{u-v-a}{u-v+a} x_j^-(v)_{v+\alpha_j} \xi_i(u)_{v+\alpha_j}$$

$$+ \frac{2a}{u-v+a} x_j^-(u+a)_{v+\alpha_j} \xi_i(u)_{v+\alpha_j}$$

$\Rightarrow$  one the image of  $x_j^-(v)_{v+\alpha_j}$ , poles of  $\xi_i(u)$  are contained in those of  $\xi_i(u)_{v+\alpha_j}$  or  $x_j^-(u+a)_{v+\alpha_j}$ , hence contained in  $\Pi$ .

(18.5) Let  $0 \rightarrow V_1 \rightarrow V \rightarrow V_2 \rightarrow 0$  be a short exact seq. If (7)  
 $\sigma(V_1), \sigma(V_2) \subset \Pi$  then  $\sigma(V) \subset \Pi$ .

Enough to prove it for  $\text{sl}_2$ . (by fixing index  $i \in I$ ).

Write  $V = V_1 \oplus V_2$  as vector space and every  $y \in Y$  has the form

$$y = \begin{bmatrix} y'' & y^{12} \\ 0 & y^{22} \end{bmatrix}. \quad \text{We know that } \xi(u)^{kk}, x^\pm(u)^{kk} \text{ have poles in } \Pi. \quad (k=1, 2).$$

(T.S.)  $\xi(u)^{12}, x^\pm(u)^{12}$  have poles in  $\Pi$ .

Assume the contrary. Choose  $z$  outside of  $\Pi$  where  $\xi(u)^{12}, x^\pm(u)^{12}$  have poles max'l s.t. these functions are regular at  $z+rt$  ( $r>0$ ).

Let  $N = \max.$  of the orders of  ~~$\xi(u)^{12}$~~  pole of  $\xi(u)^{12}, x^\pm(u)^{12}$  at  $u=z$ .

$$H := (u-z)^N \xi(u)^{12} \Big|_{u=z} \quad X^\pm := (u-z)^N x^\pm(u)^{12} \Big|_{u=z}.$$

- use (Y5) and its (1,2) entry

$$(u-v) \left( x^+(u)'' x^-(v)^{12} + x^+(u)^{12} x^-(v)^{22} - x^-(v)'' x^+(u)^{12} - x^-(v)^{12} x^+(u)^{22} \right) \\ = t \left( \xi(v)^{12} - \xi(u)^{12} \right)$$

$$\implies (z-v) \left( X^+ x^-(v)^{22} - x^-(v)'' X^+ \right) = -t H$$

multiply by  $(u-z)^N$  and let  $u \rightarrow z$   
 set  $v=z$  to get  $H=0$ .

$$(u-v-t) \xi(u) x^+(v) - (u-v+t) x^+(v) \xi(u) = -2t x^+(u-t) \xi(u)$$

- use (Y23) for + :  ~~$(u-v-t) \xi(u) x^+(v) - (u-v+t) x^+(v) \xi(u)$~~   $\Rightarrow X^+ = 0$

Take 1,2 entry. Multiply by  $(u-t-z)^N$  and let  $u \rightarrow z+t$ . Using

assumptions on  $z$  and  $H=0$  we get

$$X^+ \xi(z+t)^{22} = 0. \quad \text{By } \xi(u)^{22} \text{ is inv. outside of } \Pi. \quad \Rightarrow X^+ = 0$$

- use (Y23) for - :  $(u-v+t) \xi(u) x^-(v) - (u-v-t) x^-(v) \xi(u) = 2t \xi(u) x^-(u-t)$   
 $\dots \Rightarrow X^- = 0$ . Contradicts the fact that  $N$  was order of  
 the pole of one of  $\xi(u)^{12}, x^\pm(u)^{12}$  □