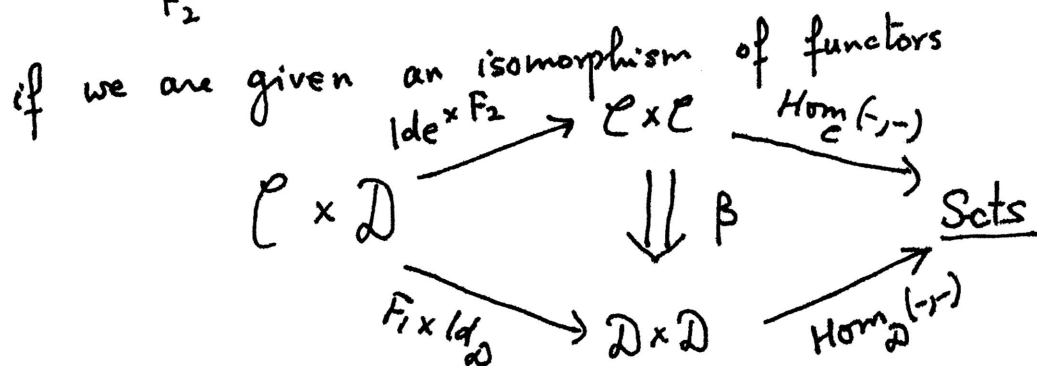


Lecture 4

①

(4.0) Recall: for two categories \mathcal{C} and \mathcal{D} , and a pair of functors

$$\mathcal{C} \begin{array}{c} \xrightarrow{F_1} \\ \xleftarrow{F_2} \end{array} \mathcal{D}, \text{ we say } (F_1, F_2) \text{ is an adjoint pair (see §3.1 p.2)}$$



That is, there are bijections $\beta_{X,Y} : \text{Hom}_{\mathcal{C}}(X, F_2 Y) \rightarrow \text{Hom}_{\mathcal{D}}(F_1 X, Y)$
 $\forall X \in \mathcal{C}, Y \in \mathcal{D}$; natural in X & Y .

We defined $\varepsilon : F_1 F_2 \rightarrow \text{Id}_{\mathcal{D}}$ natural transformations
 $\eta : \text{Id}_{\mathcal{C}} \rightarrow F_2 F_1$

by $\varepsilon_Y := \beta_{F_2 Y, Y}(\text{Id}_{F_2 Y}) : F_1 F_2 Y \rightarrow Y \quad \forall Y \in \mathcal{D}$

$\eta_X := \beta_{X, F_1 X}^{-1}(\text{Id}_{F_1 X}) : X \rightarrow F_2 F_1 X \quad \forall X \in \mathcal{C}$

and proved that the resulting sequences of natural transformations

$$\begin{array}{l} F_1 \rightarrow F_1 F_2 F_1 \rightarrow F_1 \\ F_2 \rightarrow F_2 F_1 F_2 \rightarrow F_2 \end{array} \text{ compose to give identity. (Lemma 3.4 page 6)}$$

i.e. $\forall X \in \mathcal{C} \quad F_1(X) \xrightarrow{F_1(\eta_X)} F_1 F_2 F_1(X) \xrightarrow{\varepsilon_{F_1(X)}} F_1(X) = \text{Id}_{F_1(X)}$

$\forall Y \in \mathcal{D} \quad F_2(Y) \xrightarrow{\eta_{F_2(Y)}} F_2 F_1 F_2(Y) \xrightarrow{F_2(\varepsilon_Y)} F_2(Y) = \text{Id}_{F_2(Y)}$

(4.1) Now we state and prove the converse

Again consider a pair of functors $\mathcal{C} \begin{matrix} \xrightarrow{F_1} \\ \xleftarrow{F_2} \end{matrix} \mathcal{D}$. Assume that

we are given

~~##~~ Natural transformations $\epsilon: F_1 F_2 \rightarrow Id_{\mathcal{D}}$
 $\eta: Id_{\mathcal{C}} \rightarrow F_2 F_1$

s.t. the resulting compositions are identity:

$$F_1 \rightarrow F_1 F_2 F_1 \rightarrow F_1 \quad \text{and} \quad F_2 \rightarrow F_2 F_1 F_2 \rightarrow F_2$$

Prop. With the hypotheses listed above, (F_1, F_2) are adjoint with

$$\beta_{X,Y}: \text{Hom}_{\mathcal{C}}(X, F_2 Y) \rightarrow \text{Hom}_{\mathcal{D}}(F_1 X, Y) \text{ given}$$

by

$$\text{Hom}_{\mathcal{C}}(X, F_2 Y) \xrightarrow{\beta_{X,Y} := (\epsilon_Y \circ -) \circ F_1 = \epsilon_Y \circ F_1(-)} \text{Hom}_{\mathcal{D}}(F_1 X, Y)$$

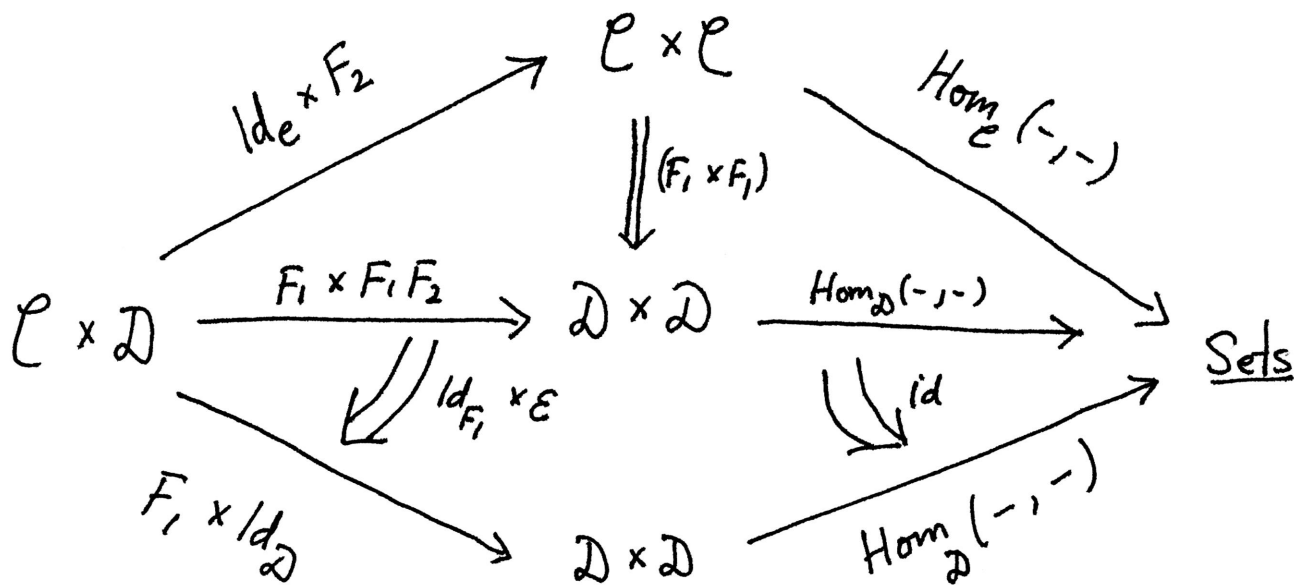
$$\downarrow F_1$$

$$\text{Hom}_{\mathcal{D}}(F_1 X, F_1 F_2 Y) \xrightarrow{\epsilon_Y \circ -}$$

(ie. $\beta_{X,Y}(f) = \epsilon_Y \circ F_1(f)$)

Proof. As a natural transformation from $\text{Hom}_{\mathcal{C}}(-, -) \circ (Id_{\mathcal{C}} \times F_2)$ to $\text{Hom}_{\mathcal{D}}(-, -) \circ (F_1 \times Id_{\mathcal{D}})$ (see the picture on the previous

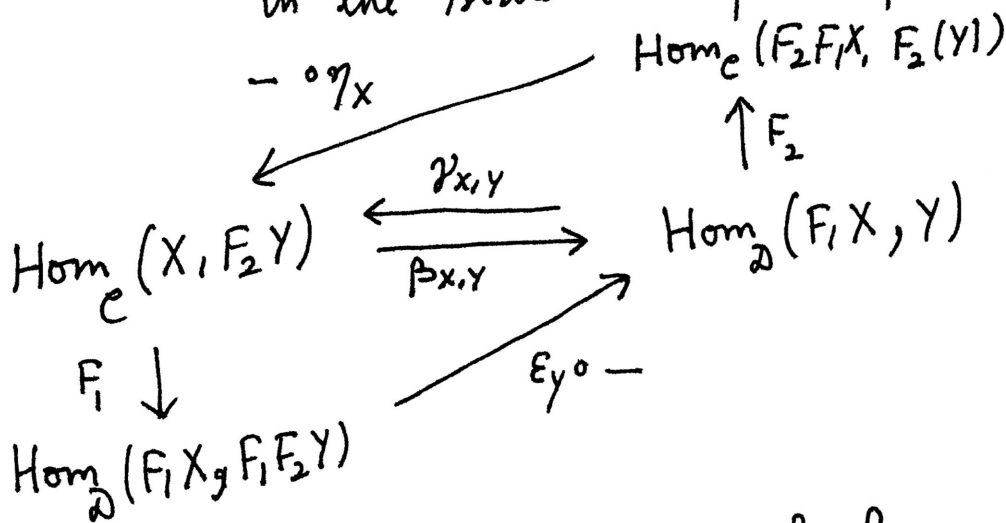
page), we can describe β as the following composition of natural transformations (thus proving naturality in X & Y)



Here we view $(F_1 \times F_1)$ as a natural transformation between

$$\text{Hom}_{\mathcal{C}}(-, -) \circ (\text{Id}_{\mathcal{C}} \times F_2) \longrightarrow \text{Hom}_{\mathcal{D}}(-, -) \circ (F_1 \times F_1 F_2)$$

$\beta_{x,y}$ is a bijection: The following picture completes the triangle in the statement of the proposition.



We claim that $\gamma_{x,y}$ is the inverse of $\beta_{x,y}$. $\forall f \in \text{Hom}_{\mathcal{C}}(X, F_2 Y)$

$$\gamma_{x,y} \circ \beta_{x,y}(f) = F_2(\epsilon_Y) \circ \boxed{F_2 F_1(f) \circ \eta_X} \xrightarrow{\text{by naturality of } \eta} \eta_{F_2 Y} \circ f$$

$$= \boxed{F_2(\epsilon_Y) \circ \eta_{F_2(Y)}} \circ f \longrightarrow = \text{Id}_{F_2 Y} \text{ since}$$

$$= f \quad \text{Id}_{F_2 Y} = \{ F_2 Y \xrightarrow{\eta_{F_2 Y}} F_2 F_1 F_2 Y \xrightarrow{F_2(\epsilon_Y)} F_2 Y \}$$

Similarly $\beta_{X,Y} \circ \gamma_{X,Y}(g) = \boxed{\epsilon_Y \circ F_1 F_2(g)} \circ F_1(\eta_X)$

$$\forall g \in \text{Hom}_{\mathcal{D}}(F_1 X, Y) = g \circ \boxed{\epsilon_{F_1(X)} \circ F_1(\eta_X)} = g \quad \square$$

(4.2) Yoneda's Lemma. Let \mathcal{C} be a category. For any $X \in \mathcal{C}$, let us abbreviate $h_X = \text{Hom}_{\mathcal{C}}(-, X) : \mathcal{C} \longrightarrow \underline{\text{Sets}}$ contravariant.

and $h^X : \text{Hom}_{\mathcal{C}}(X, -) \longrightarrow \underline{\text{Sets}}$ covariant.

For definiteness, let us focus on contravariant case.

Theorem. Let $\text{Func}(\mathcal{C}^{op}, \underline{\text{Sets}})$ be the category of contra. functors $\mathcal{C} \longrightarrow \underline{\text{Sets}}$. Then $X \longmapsto h_X$ is

a faithful and full functor $\mathcal{C} \xrightarrow{h} \text{Func}(\mathcal{C}^{op}, \underline{\text{Sets}})$. (covariant)

$h.$ is usually called Yoneda embedding.

Proof. - Step 1. $h.$ is a functor. Let $f: X \rightarrow X'$ be a morphism in \mathcal{C} . $h_f: h_X \rightarrow h_{X'}$ is the following

natural transformation:

$$\begin{array}{ccc}
 h_{f;Y} : h_X(Y) & \longrightarrow & h_{X'}(Y) \\
 \parallel & & \parallel \\
 \text{Hom}(Y, X) & \xrightarrow{f \circ -} & \text{Hom}(Y, X')
 \end{array}$$

We only need to show that for any $Y \xrightarrow{g} Y'$, the following diagram commutes, to prove that h_f is a nat. trans. (contravariance of h_X)

$$\begin{array}{ccc}
 h_X(Y') & \xrightarrow{h_X(g)} & h_X(Y) \\
 h_{f;Y'} \downarrow & & \downarrow h_{f;Y} \\
 h_{X'}(Y') & \xrightarrow{h_{X'}(g)} & h_{X'}(Y)
 \end{array}
 \left[\begin{array}{l} \text{recall: } h_X(g) \\ = - \circ g \end{array} \right]$$

i.e. $\forall \alpha \in h_X(Y') = \text{Hom}_{\mathcal{C}}(Y', X); (f \circ \alpha) \circ g = f \circ (\alpha \circ g)$

which is true by associativity of composition.

Thus $f \in \text{Hom}_{\mathcal{C}}(X, X') \mapsto h_f \in \text{Hom}_{\text{Func}(\mathcal{C}^{\text{op}}, \text{Sets})}(h_X, h_{X'})$

Finally to prove that $X \mapsto h_X$ is a functor, $f \mapsto h_f$

We need to check: $h_{\text{id}_X} = \text{id}_{\text{Hom}(X, X)}$ (true since

$$h_f = f \circ - \text{ , see definition above). ; and } h_{f \circ f'} = h_f \circ h_{f'}$$

(again true because of associativity of composition).

Step 2. $h : \mathcal{C} \longrightarrow \text{Func}(\mathcal{C}^{\text{op}}, \underline{\text{Sets}})$ is faithful.

$$\begin{array}{ccc} \text{Hom}_{\mathcal{C}}(X, X') & \longrightarrow & \text{Hom}_{\text{Func}(\mathcal{C}^{\text{op}}, \underline{\text{Sets}})}(h_X, h_{X'}) \\ \downarrow \psi & & \downarrow \psi \\ f & \longmapsto & h_f \end{array} \quad (*)$$

is one to one.

Assume $h_f = h_{f'}$. This means $h_{f;Y} = h_{f';Y} \forall Y \in \mathcal{C}$.

$$\begin{array}{ccc} \text{Set } Y = X : & h_{f;X} : \text{Hom}(X, X) & \longrightarrow & \text{Hom}(X, X) \\ & \downarrow \psi & \longmapsto & \downarrow \psi \\ & = h_{f';X} & \text{id}_X & \longmapsto & \begin{matrix} f \\ f' \end{matrix} \supset \checkmark \end{array}$$

$$\Rightarrow f = h_{f;X}(\text{id}_X) = h_{f';X}(\text{id}_X) = f'$$

Steps. h is full. i.e., the set map $f \mapsto h_f$ in (*) is surjective.

Let $\eta : h_X \rightarrow h_{X'}$ be a natural transformation. (7)

We want to prove that $\eta = h_f$ for some $f : X \rightarrow X'$ in \mathcal{C}

Again, consider $\eta_X : h_X(X) \rightarrow h_{X'}(X)$
 $\text{Hom}_e(X, X) \quad \text{Hom}_e(X, X')$

Define $f := \eta_X(\text{id}_X)$. We claim that $\forall Y \in \mathcal{C}$,

$\eta_Y = f \circ -$; i.e. $\forall g \in h_X(Y) = \text{Hom}_e(Y, X)$

$\eta_Y(g) = f \circ g \in \text{Hom}_e(Y, X') = h_{X'}(Y)$.

Since η is a natural transformation, we get a commutative

$$\text{diagram: } \begin{array}{ccc} \text{id}_X \in h_X(X) & \xrightarrow{\eta_X} & h_{X'}(X) \\ \downarrow h_X(g) & & \downarrow h_{X'}(g) (= - \circ g) \\ h_X(Y) & \xrightarrow{\eta_Y} & h_{X'}(Y) \end{array}$$

$$\Rightarrow (h_{X'}(g) \circ \eta_X)(\text{id}_X) = (\eta_Y \circ h_X(g))(\text{id}_X)$$

i.e., $f \circ g = \eta_Y(g)$ as required \square

(4.3) Definition. - Let \mathcal{C} be a category and $F: \mathcal{C} \rightarrow \underline{\text{Sets}}$ ⑧

be a covariant (resp. contravariant) functor. We say F is representable if $\exists X \in \mathcal{C}$ and a natural isomorphism $h^X = \text{Hom}_{\mathcal{C}}(X, -) \xrightarrow{\sim} F$ (resp. $h_X \xrightarrow{\sim} F$)

Remark. - "Universal problems" in mathematics can be conveniently phrased as "is this functor representable?"

Lemma. - Assume F is a contravariant functor (for definiteness) from \mathcal{C} to Sets. If F is representable and $X \in \mathcal{C}$ is the object representing F , then X is unique up to unique iso.

Proof. - Let X and X' be two objects representing F , i.e., F is naturally iso to h_X and $h_{X'}$. Then we are

$$\begin{aligned} \text{given } \xi_X: h_X &\xrightarrow{\sim} F & \Rightarrow \xi_{X'}^{-1} \circ \xi_X: h_X &\xrightarrow{\sim} h_{X'} \\ \xi_{X'}: h_{X'} &\xrightarrow{\sim} F & & \end{aligned}$$

By Thm. (4.2) this iso. comes from a unique $f: X \rightarrow X'$ isomorphism and the lemma is proved. □

(4.4) An example. Let $\mathcal{C} = \text{Vect}_K$ (category of vector spaces over a fixed field K). Let $V, W \in \mathcal{C}$.

Define $\mathcal{C} \xrightarrow{T_{V,W}} \underline{\text{Sets}}$ (actually Sets can be replaced by Vect_K again) (9)

$$X \longmapsto \{ \text{bilinear maps } V \times W \rightarrow X \} =: T_{V,W}(X)$$

$$X \xrightarrow{f} X' \longmapsto T_{V,W}(X) \xrightarrow{f \circ -} T_{V,W}(X')$$

$T_{V,W}$ is representable by $V \otimes_K W$, i.e. $\eta: \text{Hom}(V \otimes_K W, -) \xrightarrow{\sim} T_{V,W}$ natural iso.

(i) \exists a bilinear map $V \times W \rightarrow V \otimes_K W$, namely

$$b_{V,W} := \eta_{V \otimes_K W} (\text{Id}_{V \otimes_K W}) \in T_{V,W}(V \otimes_K W)$$

(ii) \forall bilinear map $V \times W \xrightarrow{a} X$, $\exists!$ $V \otimes_K W \xrightarrow{\tilde{a}} X$ in \mathcal{C}

$$\begin{array}{ccc} V \times W & \xrightarrow{b_{V,W}} & V \otimes_K W \\ a \downarrow & & \swarrow \tilde{a} \\ X & & \end{array}$$

$$[\text{use. } \eta_X : \text{Hom}_{\mathcal{C}}(V \otimes_K W, X) \xrightarrow{\quad} T_{V,W}(X) \\ \underbrace{\quad}_{\tilde{a}} \quad \longleftarrow \quad \underbrace{\quad}_a]$$

Commutativity of the diagram above follows from naturality of η — same argument as on page 7]