

Warm-Up: Can you find a bijection

$$f: \mathbb{N} \rightarrow \mathbb{Z}?$$

Ex:  $\mathbb{N} \times \mathbb{N}$  is countably infinite

Key: Write the elements of  $\mathbb{N} \times \mathbb{N}$  in a grid

	1	2	3	4	5	...
1	(1,1)	(1,2)	(1,3)	(1,4)	(1,5)	
2	(2,1)	(2,2)	(2,3)	(2,4)	(2,5)	
3	(3,1)	(3,2)	(3,3)	(3,4)	(3,5)	
4	(4,1)	(4,2)	(4,3)	(4,4)	(4,5)	
5	(5,1)	(5,2)	(5,3)	(5,4)	(5,5)	
⋮						

Define a bijection  $f: \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$  by reading along the northeast diagonals in order:

$$f(1) = (1,1)$$

$$f(2) = (2,1)$$

$$f(3) = (1,2)$$

$$f(4) = (3,1)$$

⋮

Ex: The set  $\mathbb{Q}_{>0} = \{q \in \mathbb{Q} \mid q > 0\}$  of positive rational numbers is countably infinite.

Key idea: Each  $q \in \mathbb{Q}_{>0}$  can be written uniquely as  $q = \frac{a}{b}$  where

- $a, b \in \mathbb{N}$
- and
- $\frac{a}{b}$  is in lowest terms ( $\gcd(a, b) = 1$ )

Now, use a grid again, but cross out fractions not in lowest terms:

	1	2	3	4	5	...
1	$\frac{1}{1}$	$\frac{1}{2}$	$\frac{1}{3}$	$\frac{1}{4}$	$\frac{1}{5}$	
2	$\frac{2}{1}$	<del><math>\frac{2}{2}</math></del>	$\frac{2}{3}$	<del><math>\frac{2}{4}</math></del>	$\frac{2}{5}$	
3	$\frac{3}{1}$	$\frac{3}{2}$	<del><math>\frac{3}{3}</math></del>	$\frac{3}{4}$	$\frac{3}{5}$	
4	$\frac{4}{1}$	<del><math>\frac{4}{2}</math></del>	$\frac{4}{3}$	<del><math>\frac{4}{4}</math></del>	$\frac{4}{5}$	
5	$\frac{5}{1}$	$\frac{5}{2}$	$\frac{5}{3}$	$\frac{5}{4}$	<del><math>\frac{5}{5}</math></del>	
⋮						

Define a bijection  $g: \mathbb{N} \rightarrow \mathbb{Q}_{>0}$  by reading the remaining entries along the northeast diagonals.

$$g(1) = 1, \quad g(2) = 2, \quad g(3) = \frac{1}{2}, \quad g(4) = 3, \quad g(5) = \frac{1}{3}, \quad \dots$$

Ex:  $\mathbb{Q}$  is countably infinite.

Let  $g: \mathbb{N} \rightarrow \mathbb{Q}_{>0}$  be the bijection above.  
Define a bijection  $h: \mathbb{N} \rightarrow \mathbb{Q}$  by

$$h(n) = \begin{cases} 0 & \text{if } n=1 \\ g(\frac{n}{2}) & \text{if } n \text{ is even} \\ -g(\frac{n-1}{2}) & \text{if } n \text{ is odd} \end{cases}$$

So

$$h(1) = 0$$

$$h(2) = g(1) = 1$$

$$h(3) = -g(1) = -1$$

$$h(4) = g(2) = 2$$

$$h(5) = -g(2) = -2$$

⋮

Thm:  $|\mathbb{R}| \neq |\mathbb{N}|$  ( $\mathbb{R}$  is uncountable)

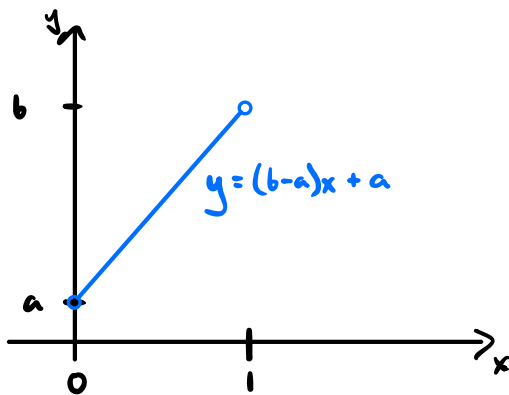
Step 1: If  $a, b \in \mathbb{R}$  with  $a < b$ , then  $|(a, b)| = |(0, 1)|$ .

We must give a bijection between  $(0, 1)$  and  $(a, b)$ .

A linear function will work:

$$\begin{aligned} f: (0, 1) &\rightarrow (a, b) \\ x &\longmapsto (b-a)x + a \end{aligned}$$

Graph:



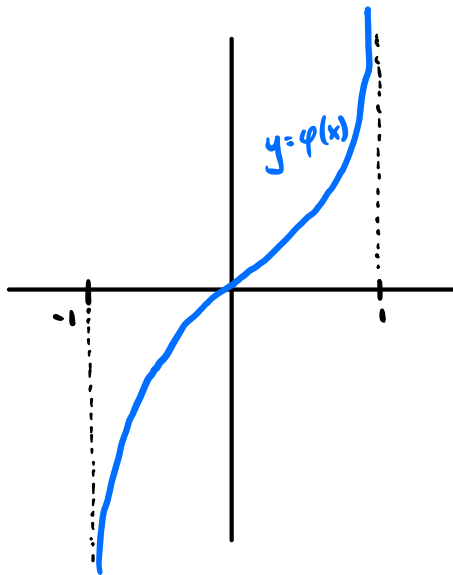
Exercise: Check that  $f$  is a bijection.

Step 2:  $|\mathbb{R}| = |(-1, 1)|$

There are many ways to do this, but we'll use the books: Define

$$\begin{aligned} \varphi: (-1, 1) &\rightarrow \mathbb{R} \\ x &\longmapsto \frac{x}{1-|x|} \end{aligned}$$

Graph:



Exercise: Check that  $\varphi$  is a bijection.  
(Follows from HW 23.)

Step 3: There is no surjection  $\mathbb{N} \rightarrow (0,1)$   
(and thus no bijection  $\mathbb{N} \rightarrow (0,1)$ ).

Why is this enough? If  $|\mathbb{N}| = |\mathbb{R}|$ , then since  
 $|\mathbb{R}| = |(-1,1)|$  and  $|(-1,1)| = |(0,1)|$ , transitivity  
gives  $|\mathbb{N}| = |(0,1)|$ , a contradiction.

To show this, we use Cantor's Diagonal Argument.

Need: • Every real number has an infinite decimal representation.

$$\text{e.g. } \frac{1}{3} = 0.3333333\ldots$$

$$\frac{3}{4} = 0.7500000\ldots$$

$$\pi - 3 = 0.14159265\ldots$$

• This representation is unique if we don't allow infinite repeating 9s.

$$\text{e.g. } \frac{3}{4} = 0.749999999\ldots$$

$$= 0.750000000\ldots$$

Now, let  $f: \mathbb{N} \rightarrow (0,1)$  be a function.  
Think of this as an infinite list:

$$c_1 = f(1) = 0. x_{11} x_{12} x_{13} x_{14} x_{15} \dots$$

$$c_2 = f(2) = 0. x_{21} x_{22} x_{23} x_{24} x_{25} \dots$$

$$c_3 = f(3) = 0. x_{31} x_{32} x_{33} x_{34} x_{35} \dots$$

$$c_4 = f(4) = 0. x_{41} x_{42} x_{43} x_{44} x_{45} \dots$$

⋮

$x_{nm}$  =  $m$ th digit of  
 $n$ th number

Define a number  $c_0$  by

$$c_0 = 0. x_{01} x_{02} x_{03} x_{04} x_{05} \dots$$

where

$$x_{0m} = \begin{cases} 1 & \text{if } x_{mm} \neq 1 \\ 2 & \text{if } x_{mm} = 1 \end{cases}$$

Then  $c_0 \in (0,1)$ , but

$$\begin{array}{lll} c_0 \neq c_1 & \text{because} & x_{01} \neq x_{11} \\ c_0 \neq c_2 & \text{"} & x_{02} \neq x_{22} \\ c_0 \neq c_3 & \text{"} & x_{03} \neq x_{33} \\ & & \vdots \end{array}$$

Thus,  $c_0 \notin \text{Rng}(f)$ , so  $f$  is not surjective.

# If time: Cantor's Generalized Diagonal Lemma

A similar argument shows that we can always find "larger" infinities.

Def: Let  $A$  and  $B$  be sets.

- We write  $|A| \leq |B|$  if there exists an injection  $A \rightarrow B$ .
- We write  $|A| < |B|$  if  $|A| \leq |B|$  and  $|A| \neq |B|$ .

Note: This is consistent with what we know about finite sets, where  $|A|$  and  $|B|$  are non-negative integers.

Ex:  $|\mathbb{N}| < |\mathbb{R}|$ .



Thm (Cantor): Let  $A$  be any set. Then

$$|A| < |\mathcal{P}(A)|$$

Note: We've seen that if  $A$  is finite, then  $|\mathcal{P}(A)| = 2^{|A|} > |A|$ .

So the interesting (hard) part of this theorem is the case where  $A$  is infinite.

Proof: First, consider  $g: A \rightarrow \mathcal{P}(A)$   
 $x \mapsto \{x\}$

This is a bijection, since  $\{x_1\} = \{x_2\}$  if and only if  $x_1 = x_2$ .

Thus  $|A| \leq |\mathcal{P}(A)|$ .

Next, we must show  $|A| \neq |\mathcal{P}(A)|$ .

Consider any function  $f: A \rightarrow \mathcal{P}(A)$ . So for any  $x \in A$ , we get a subset  $f(x) \subseteq A$ .

Claim:  $f$  is not surjective  
(Thus,  $f$  cannot be a bijection.)

Consider

$$S = \{x \in A \mid x \notin f(x)\} \subseteq A.$$

Suppose that  $S \in \text{Rng}(f)$ . Then  
 $S = f(x_0)$  for some  $x_0 \in A$ .

Is  $x_0 \in S$  or  $x_0 \notin S$ ?

- If  $x_0 \in S$ , then by definition  $x_0 \notin f(x_0) = S$ , a contradiction.
- If  $x_0 \notin S = f(x_0)$ , then by definition of  $S$ ,  $x_0 \in S$ , a contradiction.

Since both possibilities lead to a contradiction, it must be that  $S \notin \text{Rng}(f)$ . Thus,  $f$  is not surjective.

