

# Uncountability of $\mathbb{R}$

Thm:  $|\mathbb{R}| \neq |\mathbb{N}|$  ( $\mathbb{R}$  is uncountable)

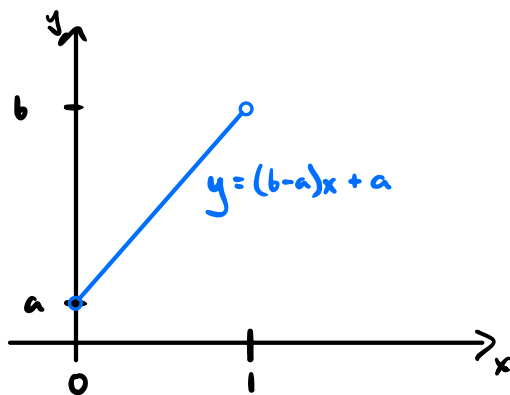
Step 1: If  $a, b \in \mathbb{R}$  with  $a < b$ , then  $|(a, b)| = |(0, 1)|$ .

We must give a bijection between  $(0, 1)$  and  $(a, b)$ .

A linear function will work:

$$\begin{aligned} f: (0, 1) &\rightarrow (a, b) \\ x &\longmapsto (b-a)x + a \end{aligned}$$

Graph:



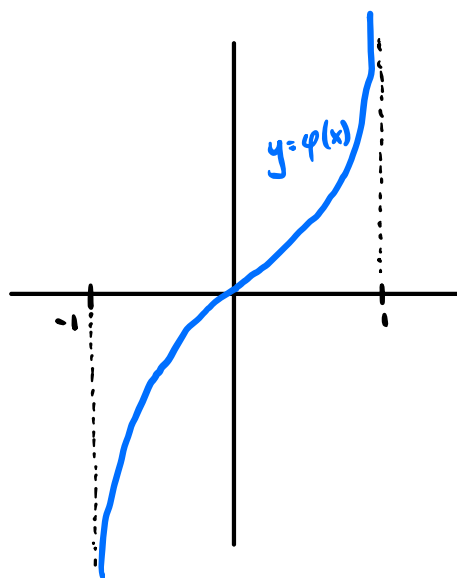
Exercise: Check that  $f$  is a bijection.

Step 2:  $|\mathbb{R}| = |(-1, 1)|$

There are many ways to do this, but we'll use the book's: Define

$$\begin{aligned} \varphi: (-1, 1) &\rightarrow \mathbb{R} \\ x &\mapsto \frac{x}{1-|x|} \end{aligned}$$

Graph:



Exercise: Check that  $\varphi$  is a bijection.

(See HW 23 practice problem 2.  
Basically follows from HW 23 exercise 1.)

Step 3: There is no surjection  $\mathbb{N} \rightarrow (0,1)$   
(and thus no bijection  $\mathbb{N} \rightarrow (0,1)$ ).

Why is this enough? If  $|\mathbb{N}| = |\mathbb{R}|$ , then since  
 $|\mathbb{R}| = |(-1,1)|$  and  $|(-1,1)| = |(0,1)|$ , transitivity  
gives  $|\mathbb{N}| = |(0,1)|$ , a contradiction.

To show this, we use Cantor's Diagonal  
Argument.

Need: • Every real number has an infinite  
decimal representation.

$$\text{e.g. } \frac{1}{3} = 0.3333333\dots$$

$$\frac{3}{4} = 0.7500000\dots$$

$$\pi - 3 = 0.14159265\dots$$

• This representation is unique if we  
don't allow infinite repeating 9s.

$$\text{e.g. } \frac{3}{4} = 0.749999999\dots$$

$$= 0.750000000\dots$$

Now, let  $f: \mathbb{N} \rightarrow (0,1)$  be a function.  
 Think of this as an infinite list:

$$c_1 = f(1) = 0. x_{11} x_{12} x_{13} x_{14} x_{15} \dots$$

$$c_2 = f(2) = 0. x_{21} x_{22} x_{23} x_{24} x_{25} \dots$$

$$c_3 = f(3) = 0. x_{31} x_{32} x_{33} x_{34} x_{35} \dots$$

$$c_4 = f(4) = 0. x_{41} x_{42} x_{43} x_{44} x_{45} \dots$$

⋮

$x_{nm}$  =  $n$ th digit of  
 $m$ th number

Define a number  $c_0$  by

$$c_0 = 0. x_{01} x_{02} x_{03} x_{04} x_{05} \dots$$

where

$$x_{0m} = \begin{cases} 1 & \text{if } x_{mm} \neq 1 \\ 2 & \text{if } x_{mm} = 1 \end{cases}$$

↳ or use any other pair  
 of digits not including 9.

Then  $c_0 \in (0,1)$ , but

$$c_0 \neq c_1 \quad \text{because} \quad x_{01} \neq x_{11}$$

$$c_0 \neq c_2 \quad \text{"} \quad x_{02} \neq x_{22}$$

$$c_0 \neq c_3 \quad \text{"} \quad x_{03} \neq x_{33}$$

⋮

⋮

Thus,  $c_0 \notin \text{Rng}(f)$ , so  $f$  is not surjective.

# If time: Cantor's Generalized Diagonal Lemma

A similar argument shows that we can always find "larger" infinities.

Def: Let  $A$  and  $B$  be sets.

- We write  $|A| \leq |B|$  if there exists an injection  $A \rightarrow B$ .
- We write  $|A| < |B|$  if  $|A| \leq |B|$  and  $|A| \neq |B|$ .

Note: This is consistent with what we know about finite sets, where  $|A|$  and  $|B|$  are non-negative integers.

Ex:  $|\mathbb{N}| < |\mathbb{R}|$ .

Thm (Cantor): Let  $A$  be any set. Then

$$|A| < |\mathcal{P}(A)|$$

Note: We've seen that if  $A$  is finite, then  $|\mathcal{P}(A)| = 2^{|A|} > |A|$ .

So the interesting (hard) part of this theorem is the case where  $A$  is infinite.

Proof: First, consider  $g: A \rightarrow \mathcal{P}(A)$   
 $x \mapsto \{x\}$

This is an injection, since  $\{x_1\} = \{x_2\}$  if and only if  $x_1 = x_2$ .

Thus  $|A| \leq |\mathcal{P}(A)|$ .

Next, we must show  $|A| \neq |\mathcal{P}(A)|$ .

Consider any function  $f: A \rightarrow \mathcal{P}(A)$ .  
So for any  $x \in A$ , we get a subset  $f(x) \subseteq A$ .

Claim:  $f$  is not surjective  
(Thus,  $f$  cannot be a bijection.)

Consider

$$S = \{x \in A \mid x \notin f(x)\} \subseteq A.$$

Suppose that  $S \in \text{Rng}(f)$ . Then  
 $S = f(x_0)$  for some  $x_0 \in A$ .

Is  $x_0 \in S$  or  $x_0 \notin S$ ?

- If  $x_0 \in S$ , then by definition  $x_0 \notin f(x_0) = S$ , a contradiction.
- If  $x_0 \notin S = f(x_0)$ , then by definition of  $S$ ,  $x_0 \in S$ , a contradiction.

Since both possibilities lead to a contradiction, it must be that  $S \notin \text{Rng}(f)$ . Thus,  $f$  is not surjective.



As a result, we get an increasing chain

$$|\mathbb{N}| < |\mathcal{P}(\mathbb{N})| < |\mathcal{P}(\mathcal{P}(\mathbb{N}))| < \dots$$

of ever larger infinities.