# HYPERBOLIC GROUPS WITH BOUNDARY AN n-DIMENSIONAL SIERPINSKI SPACE

## JEAN-FRANÇOIS LAFONT AND BENA TSHISHIKU

ABSTRACT. For  $n \geq 7$ , we show that if G is a torsion-free hyperbolic group whose visual boundary  $\partial_{\infty}G \simeq \mathscr{S}^{n-2}$  is an (n-2)-dimensional Sierpinski space, then  $G=\pi_1(W)$  for some aspherical n-manifold W with nonempty boundary. Concerning the converse, we construct, for each  $n \geq 4$ , examples of aspherical manifolds with boundary, whose fundamental group G is hyperbolic, but with visual boundary  $\partial_{\infty}G$  not homeomorphic to  $\mathscr{S}^{n-2}$ .

## 1. Introduction

One of the basic invariants for a hyperbolic group is its boundary at infinity, and a fundamental question is to determine what properties of the group are captured by the topology of the boundary at infinity. For example, the famous  $Cannon\ conjecture$  postulates that a hyperbolic group whose boundary at infinity is the 2-sphere  $S^2$  must admit a properly discontinuous, isometric, cocompact action on hyperbolic 3-space  $\mathbb{H}^3$ .

In [19], Kapovich and Kleiner study groups whose boundary at infinity is a Sierpinski carpet – a boundary version of the Cannon conjecture. In [4], Bartels, Lück, and Weinberger study groups whose boundary at infinity is a sphere  $S^n$  of dimension  $n \geq 5$  – a high-dimensional version of the Cannon conjecture. In this paper, we consider groups whose boundary at infinity are high-dimensional Sierpinski spaces – thus lying somewhere between the work of Kapovich-Kleiner and that of Bartels-Lück-Weinberger.

The two main theorems are as follows. Let  $\mathscr{S}^{n-2}$  denote an (n-2)-dimensional Sierpinski space. See Section 2 for the definition.

**Theorem 1.** Fix  $n \geq 7$  and let G be a torsion-free hyperbolic group. If the visual boundary  $\partial_{\infty}G$  is homeomorphic to  $\mathscr{S}^{n-2}$ , then there exists an n-dimensional compact aspherical topological manifold W with nonempty boundary such that  $\pi_1(W) \cong G$ . Furthermore, W is unique up to homeomorphism.

Note that the fundamental group  $\pi$  of a closed aspherical manifold M is an example of a Poincaré duality group. Whether or not all finitely presented Poincaré duality groups arise in this fashion is an open problem that goes back to Wall [16]. So the existence portion of Theorem 1 addresses a relative version of Wall realization problem for a special class of groups. On the other hand, the uniqueness portion of Theorem 1 verifies the Borel conjecture for this same class of groups.

Our second result shows that the converse of Theorem 1 is false – even if one imposes additional strong constraints on the geometry of the aspherical manifold.

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**Theorem 2.** For each  $n \ge 4$ , there exists a compact aspherical manifold  $M^n$  with nonempty connected boundary  $\partial M^n = N^{n-1}$  such that:

- (1)  $G = \pi_1(M)$  is hyperbolic, and  $H = \pi_1(N)$  is a proper quasi-convex subgroup in G.
- (2)  $\partial_{\infty}(\pi_1(N))$  is homeomorphic to  $S^{n-2}$ , but
- (3)  $\partial_{\infty}G \cong \partial_{\infty}\widetilde{M}$  is **not** homeomorphic to  $\mathscr{S}^{n-2}$ .

Moreover, when  $n \geq 5$ , the manifold  $M^n$  supports a locally CAT(-1) metric with totally geodesic boundary.

Remark 3. If one just wants a simple counterexample to the converse of Theorem 1, one can proceed as follows: start with a k-dimensional closed hyperbolic manifold K with fundamental group G, where k < n. Now embed the hyperbolic k-plane  $\mathbb{H}^k$  isometrically inside  $\mathbb{H}^n$ . Then the G-action on the embedded  $\mathbb{H}^k$  extends to an action on the r-neighborhood X of the  $\mathbb{H}^k$ . Let M = X/G, and note that M is aspherical, diffeomorphic to  $K \times \mathbb{D}^{n-k}$ , with fundamental group G. Clearly  $\partial_{\infty}G$  is homeomorphic to the (k-1)-sphere  $S^{k-1}$ , and not to Sierpinski (n-2)-space  $\mathscr{S}^{n-2}$ . Of course, in this example,  $N = K \times S^{n-k-1}$ , so the example fails to have property (1) from Theorem 2.

Remark 4. In Theorem 2 one can construct, in dimensions  $n \geq 5$ , manifolds satisfying property (1), but failing to have (2). Start with a Davis-Januszkiewicz example of a locally CAT(-1) closed (n-1)-manifold N with  $\partial_{\infty}\widetilde{N}$  not homeomorphic to  $S^{n-2}$ , chosen so that  $N=\partial W^{n+1}$  for some compact manifold  $W^{n+1}$ . Then take M to be the relative hyperbolization of W, relative to N (see [15]). Properties of relative hyperbolization readily yield statement (1), while the choice of N ensures that (2) fails. It seems likely that such manifolds M would also have property (3). Indeed, one could visualize the boundary at infinity of  $\widetilde{M}$  to be similar to a Sierpinski curve, but instead of having peripheral spheres (see Section 2), it would have peripheral subspaces which are Čech homology spheres instead of genuine spheres (since (2) fails). Such a space is probably not homeomorphic to  $\mathscr{S}^{n-2}$ . We point out, however, that this approach could not possibly work in dimension n=4, as in this case the boundary would be a closed 3-manifold, which forces (2) to hold.

Structure of paper. In Section 2 we recall the definition of an *n*-dimensional Sierpinski space. In Sections 3 and 4, we prove Theorems 1 and 2, respectively. In Section 5, we remark on a generalization of Theorem 1 to CAT(0) groups.

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## 2. n-dimensional Sierpinski space and hyperbolic groups

We use Cannon's definition of n-dimensional Sierpinski space [12] (Cannon uses the term Sierpinski curve instead of Sierpinski space).

**Definition.** Fix  $n \geq 0$ . Let  $D_1, D_2, \ldots \subset S^{n+1}$  be a sequence of open topological balls such that

- (i)  $\overline{D_i} \cap \overline{D_j} = \emptyset$  for  $i \neq j$ ,
- (ii) diam $(D_i) \to 0$  with respect to the round metric on  $S^{n+1}$ , and
- (iii)  $\bigcup D_i \subset S^{n+1}$  is dense.

Then  $\mathscr{S}^n := S^{n+1} \setminus \bigcup D_i$  is an *n*-dimensional Sierpinski space. The spheres  $S^n \cong \partial(\overline{D_i}) \subset \mathscr{S}$  are called *peripheral spheres*.

Example. A 0-dimensional Sierpinski space  $\mathscr{S}^0$  is a Cantor set, while the space  $\mathscr{S}^1$  is the classical Sierpinski carpet. The Sierpinski space  $\mathscr{S}^{n-2}$  arises as the visual boundary of hyperbolic groups (in the sense of Gromov [17]). For example, if  $W^n$  is a hyperbolic n-manifold with nonempty totally geodesic boundary, then  $\pi_1(W)$  is a hyperbolic group whose visual boundary is a Sierpinski (n-2)-space. To see this, observe that the universal cover  $\widetilde{W}$  can be embedded as a submanifold of hyperbolic space  $\widetilde{W} \hookrightarrow \mathbb{H}^n$ . Using the disk model, the visual boundary  $\partial_\infty \widetilde{W}$  is a subspace of  $\partial_\infty \mathbb{H}^n \cong S^{n-1}$ . The boundary components of W lift to countably many disjoint geodesic hyperplanes  $\mathbb{H}^{n-1} \subset \mathbb{H}^n$ . Each hyperplane has boundary a sphere  $\partial_\infty \mathbb{H}^{n-1} \cong S^{n-2}$ , which bounds an open ball  $\mathbb{D}^{n-1} \subset S^{n-1}$ . The visual boundary of  $\widetilde{W}$  is obtained by removing this countable collection of open balls, yielding a Sierpinski space  $\mathscr{S}^{n-2}$ .

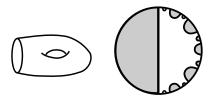


FIGURE 1. A torus with one boundary component, and its universal cover inside the hyperbolic plane.

The simplest example of this is when W is a torus with one boundary component (see Figure 1). More examples are furnished by the following general theorem of Lafont [20].

**Theorem 5** (Lafont). Let  $M^n$  be a compact, negatively curved Riemannian manifold with nonempty totally geodesic boundary. Then  $\partial_{\infty}\widetilde{M}$  is homeomorphic to  $\mathscr{S}^{n-2}$ .

We remark that the dimension restriction in the statement of [20, Theorem 1.1] is unnecessary thanks to work of Freedman and Quinn (c.f. the MathSciNet review of [25]). As a consequence of this result, the "locally CAT(-1) metric" statement in Theorem 2 cannot be replaced by "negatively curved Riemannian metric".

## 3. Proof of Theorem 1

*Proof.* We first prove the existence part of the statement, proceeding in three steps.

Step 1 (Peripheral subgroups and Poincaré duality pairs). Recall that G is a torsion-free hyperbolic group such that  $\partial_{\infty}G \cong \mathscr{S}^{n-2}$ . The stabilizer  $H \leq G$  of a peripheral sphere  $S^{n-2} \subset \mathscr{S}^{n-2}$  is called a *peripheral subgroup*. By Kapovich-Kleiner [19, Theorem 8(1)], there are finitely many peripheral subgroups, up to conjugacy in G. Choose representatives  $H_1, \ldots, H_p$  for the conjugacy classes.

In order to show that G is the fundamental group of a manifold with boundary, we first need to establish that G has the same Poincaré duality as a manifold with boundary. To be

precise, Kapovich-Kleiner [19, Corollary 12] show that  $(G, \{H_i\})$  is a group PD(n) pair in the sense of Bieri-Eckmann [7]. This has the following topological consequence (see [18, Theorem 1] and [6, Section 6]): let (X,Y) be the CW-complex pair obtained by taking  $Y = \coprod_{i=1}^p BH_i$  and defining X to be the mapping cylinder of the map  $\coprod BH_i \to BG$ . Then (X,Y) is a CW-complex PD(n) pair in the sense of Wall [28]. In particular this means that there are isomorphisms  $H^i(X;\mathbb{Z}) \cong H_{n-i}(X,Y;\mathbb{Z})$  and  $H^{i-1}(Y;\mathbb{Z}) \cong H_{n-i}(Y;\mathbb{Z})$  induced by cap product with  $[X] \in H_n(X)$  and  $\partial[X] \in H_{n-1}(Y)$ , respectively, and that X is a finitely dominated CW complex (i.e. there exists a finite CW complex L and maps  $X \xrightarrow{i} L \xrightarrow{r} X$  such that  $r \circ i = \mathrm{id}_X$ ).

Step 2 (Preparing for surgery). Let (X,Y) be the pair from Step 0. We now explain why (X,Y) is homotopy equivalent to a pair (K,N) such that

- (A) K is a finite CW complex, and
- (B) N is a manifold.

This will allow us to employ the total surgery obstruction in Step 3.

(A) Wall's finiteness obstruction  $\tilde{o}(X) \in \widetilde{K}_0(X)$  vanishes if and only if X is homotopy equivalent to a finite CW complex [27]. Thus to show (A), it suffices to show  $\widetilde{K}_0(X) = 0$ . This is a corollary of the following powerful result (see [4, Proof of Theorem 1.2] for more information):

**Theorem 6** (Bartels-Lück [2], Bartels-Lück-Reich [3]). Let G be a torsion-free hyperbolic group G. Then

- (†) the (non-connective) K-theory assembly map  $H_i(BG; \mathbb{K}_{\mathbb{Z}}) \to K_i(\mathbb{Z}G)$  is an isomorphism for  $i \leq 0$  and surjective for i = 1;
- (‡) the (non-connective) L-theory assembly map  $H_i(BG; {}^w\mathbb{L}_{\mathbb{Z}}^{\langle -\infty \rangle}) \to L_i^{\langle -\infty \rangle}(\mathbb{Z}G, w)$  is bijective for every  $i \in \mathbb{Z}$  and every orientation homomorphism  $w : G \to \{\pm 1\}$ .

The conditions  $(\dagger)$  and  $(\dagger)$  are called the Farrell-Jones conjectures in K- and L-theory, respectively. Note that, since G is a torsion-free hyperbolic group, a constructive alternative is to take X a large enough Rips complex (which is automatically a finite simplicial complex). We included the non-constructive proof above, as this "obstruction" point of view will reappear in later arguments.

(B) It remains to see that Y is homotopy equivalent to a closed manifold  $N^{n-1}$ . By definition Y is homotopy equivalent to  $\coprod_{i=1}^p BH_i$ . The peripheral subgroups  $H_i$  are all hyperbolic groups, and  $\partial_{\infty}H_i$  is identified with the sphere  $S^{n-2}\subset \mathscr{S}^{n-2}$  stabilized by  $H_i$  (see [19, Theorem 8]). The following result from [4, Theorem A] implies that  $Y\simeq\coprod_{i=1}^p BH_i$  is homotopy equivalent to a manifold:

**Theorem 7** (Bartels-Lück-Weinberger [4]). Fix  $n \geq 7$ , and let H be a torsion-free hyperbolic group. If  $\partial_{\infty} H \cong S^{n-2}$ , then there is a closed aspherical manifold  $N^{n-1}$  such that  $\pi_1(N) \cong H$ .

Step 3 (The total surgery obstruction). Let (K, N) be the pair from Step 2. The structure set  $S_{\partial}^{TOP}(K)$  is defined as the set of equivalence classes of homotopy equivalences  $f:(M,\partial M)\to (K,N)$  where  $(M,\partial M)$  is a manifold with boundary and  $f|_{\partial M}:\partial M\to N$  is a homeomorphism (the equivalence relation is h-cobordism rel  $\partial$ ; see [24, Chapter 18]). Surgery theory provides computable obstructions to determine whether or not (K,N) is homotopy equivalent to a manifold with boundary, i.e. whether or not  $S_{\partial}^{TOP}(K)\neq\emptyset$ .

We will follow the algebraic approach detailed in Ranicki [24]. The total surgery obstruction  $s_{\partial}(K)$  lives in the structure group  $\mathbb{S}_n(K)$  and has the property that  $s_{\partial}(K) = 0$  if and only if

(K, N) is homotopy equivalent (rel boundary) to an *n*-manifold with boundary; see [23, Theorem 1]. The group  $\mathbb{S}_n(K)$  fits into the algebraic surgery exact sequence [24, Definition 15.19]

$$\cdots \to H_n(K; \mathbb{L}_{\bullet}) \xrightarrow{A} L_n(\pi_1(K)) \to \mathbb{S}_n(K) \to H_{n-1}(K; \mathbb{L}_{\bullet}) \to \cdots$$

where A is the assembly map and  $\mathbb{L}_{\bullet}$  is the 1-connective surgery spectrum whose 0th space is G/TOP and whose homotopy groups are  $\pi_i(\mathbb{L}_{\bullet}) = L_i(\mathbb{Z})$  for  $i \geq 1$ .

To show that  $S_{\partial}^{TOP}(K) \neq \emptyset$ , we will show that  $\mathbb{S}_n(K) = 0$ . For this, we need to consider two other versions of the structure groups.

- The quadratic structure groups  $\mathbb{S}_i(\mathbb{Z}, K)$  are defined in [24, Definition 14.6].
- The group  $\overline{\mathbb{S}}_n(K)$  (see [24, Chapter 25]) belongs to the 4-periodic algebraic surgery exact sequence

$$\cdots \to H_n(K; \overline{\mathbb{L}}_{\bullet}) \xrightarrow{A} L_n(\pi_1(K)) \to \overline{\mathbb{S}}_n(K) \to H_{n-1}(K; \overline{\mathbb{L}}_{\bullet}) \to \cdots$$

where  $\overline{\mathbb{L}}_{\bullet}$  is the 0-connective surgery spectrum whose 0th space is  $L_0(\mathbb{Z}) \times G/TOP \cong \mathbb{Z} \times G/TOP$  and whose homotopy groups are  $\pi_i(\overline{\mathbb{L}}_{\bullet}) = L_i(\mathbb{Z})$  for  $i \geq 0$ .

In order to show that  $\mathbb{S}_n(K) = 0$ , we use the following three facts.

- (a) The groups  $\overline{\mathbb{S}}_n(K)$  and  $\mathbb{S}_n(\mathbb{Z}, K)$  are equal. This follows directly from Ranicki [24, Proposition 15.11(iii)-(iv)]. Here we have used that dim  $K \geq 6$ . Note that  $L_q(\mathbb{Z}) = 0$  for q = -1, and in Ranicki's notation  $\mathbb{S}_n\langle 0 \rangle(\mathbb{Z}, K) = \overline{\mathbb{S}}_n(K)$  (compare with [24, Page 289]).
- (b) The quadratic structure groups  $\mathbb{S}_i(\mathbb{Z}, K) \cong \mathbb{S}_i(\mathbb{Z}, BG)$  are 0 for all  $i \in \mathbb{Z}$ . For the proof, see [4, Proof of Theorem 1.2]. Note that this also uses Theorem 6.
- (c) There is an exact sequence

$$H_n(K; L_0(\mathbb{Z})) \to \mathbb{S}_n(K) \to \overline{\mathbb{S}}_n(K).$$

See Ranicki [24, Theorem 25.3(i)].

From (a) and (b), it follows that  $\overline{\mathbb{S}}_n(K) = 0$ . Then, by (c), to show  $\mathbb{S}_n(K) = 0$  is suffices to show  $H_n(K; L_0(\mathbb{Z})) = H_n(K; \mathbb{Z}) = 0$ . This can be seen from the long exact sequence in homology of a pair (K, N):

$$H_n(N; \mathbb{Z}) \to H_n(K; \mathbb{Z}) \to H_n(K, N; \mathbb{Z}) \xrightarrow{\partial} H_{n-1}(N; \mathbb{Z}).$$

The group  $H_n(N; \mathbb{Z}) = 0$  because N is a PD(n-1) complex. Also  $H_n(K, N; \mathbb{Z}) \cong \mathbb{Z}$  is generated by the fundamental class [K], and  $\partial[K]$  is a sum of fundamental classes of the components of N. In particular  $\partial[K] \neq 0$ , so  $H_n(K; \mathbb{Z}) = 0$ , as desired.

This concludes the proof of existence.

Uniqueness. So far we have proven the existence of a compact aspherical manifold W with  $\pi_1(W) = G$ . To show W is unique, we want to show that  $S_{\partial}^{TOP}(W)$  is a singleton. By [23, Corollary 1 (rel  $\partial$ )], it suffices to show that  $\mathbb{S}_{n+1}(W) = 0$ . By [24, Theorem 25.3(i)], there is an exact sequence

$$0 \to \mathbb{S}_{n+1}(W) \to \overline{\mathbb{S}}_{n+1}(W) \to H_n(W; \mathbb{Z}),$$

and as noted above,  $H_n(W; \mathbb{Z}) = 0$ . Thus, it suffices to show that  $\overline{\mathbb{S}}_{n+1}(W) = 0$ . This follows because  $\overline{\mathbb{S}}_{n+1}(W) = \mathbb{S}_{n+1}(\mathbb{Z}, W)$  (by the same reason as in Step 3, Fact (a) above), and  $\mathbb{S}_{n+1}(\mathbb{Z}, W) = 0$  (see Step 3, Fact (b)).

## 4. Proof of Theorem 2

The proof of Theorem 2 is an adaptation of [14, Section (5a), (5c)]. We briefly explain the relative version of [14] and the problem with extending it directly to our case.

The paper [14] uses hyperbolization to construct a closed, locally CAT(-1) manifold  $M^n$  with the unusual property that  $\partial_{\infty}\widetilde{M}$  is **not** homomorphic to  $S^{n-1}$ . To show this, they establish that  $\partial_{\infty}\widetilde{M} - \{\gamma_+, \gamma_-\}$  is not simply connected, where  $\gamma_+, \gamma_-$  are the endpoints of a geodesic  $\gamma: (-\infty, \infty) \to \widetilde{M}$  whose link is a homology sphere H with  $\pi_1(H) \neq 1$ . In order to find nontrivial elements of  $\pi_1(\partial_{\infty}\widetilde{M} - \{\gamma_+, \gamma_-\})$ , [14] studies metric spheres  $S_p(r)$  centered at  $p = \gamma(0)$ . When s > r, there are natural geodesic contraction maps  $\rho_r^s: S_p(s) \to S_p(r)$ , which allow one to relate the topology of small spheres to the topology of  $\partial_{\infty}\widetilde{M} = \varprojlim \{S_p(r)\}_{r>0}$ . The central property of the maps  $\rho_r^s$  that makes the comparison work is that they are cell-like.

Following [14], we will construct a triangulated, locally CAT(-1) manifold M with totally geodesic boundary  $\partial M$  whose universal cover  $\widetilde{M}$  contains a geodesic  $\gamma:(-\infty,\infty)\to \widetilde{M}$  whose link is a homology sphere H with  $\pi_1(H)\neq 1$ . As above, we wish to show  $\pi_1(\partial_\infty \widetilde{M}-\{\gamma_+,\gamma_-\})\neq 1$  (Lemma 8 below then implies that  $\partial_\infty \widetilde{M}$  is **not** homeomorphic to  $\mathscr{S}^{n-2}$ ). In this case  $\widetilde{M}$  is a manifold with boundary, and the maps  $\rho_r^s:S_p(s)\to S_p(r)$  are not surjective for s>>r. This prevents us from proceeding directly as in [14]. To bypass this issue, we "cap off" the boundary components of  $\widetilde{M}$  to obtain a CAT(-1) manifold  $\widehat{M}\supset \widetilde{M}$  to which the arguments of [14] apply; in particular,  $\pi_1(\partial_\infty \widehat{M}-\{\gamma_+,\gamma_-\})\neq 1$ . At this point it will be clear from the capping procedure (see specifically Lemma 9 below) that  $\pi_1(\partial_\infty \widetilde{M}-\{\gamma_+,\gamma_-\})\neq 1$ .

For the proof of Theorem 2, we need the following elementary fact.

**Lemma 8.** For  $n \geq 2$ , the n-dimensional Sierpinski space  $\mathscr{S}^n$  is simply-connected. Moreover, if  $F \subset \mathscr{S}^n$  is any finite collection of points in  $\mathscr{S}^n$ , then  $\mathscr{S}^n \setminus F$  is still simply-connected.

Proof. Model  $\mathscr{S}^n$  as the complement, in the standard sphere  $S^{n+1}$ , of the interiors of a dense collection of pairwise disjoint round disks  $D_i$  whose radii  $r_i$  tend to zero. If  $\gamma$  is a curve in  $\mathscr{S}^n \subset S^{n+1}$ , we can find a bounding disk  $\phi: \mathbb{D}^2 \to S^{n+1}$ . Perturbing the map a little bit, we can assume that  $\phi$  is transverse to all the  $D_i$ . Inductively define  $\phi_k: \mathbb{D}^2 \to S^{n+1}$  to have image disjoint from  $D_1, \ldots, D_k$ , as follows.  $\phi^{-1}(\partial D_k)$  is a finite collection of curves in  $\mathbb{D}^2$ , and each of these curves maps to a curve  $\eta_j$  on  $\partial D_k \cong S^n$ . Since  $n \geq 2$ , we can redefine  $\phi_{k-1}$  on the interior of these finitely many curves in  $\mathbb{D}^2$ , by sending each of these to a bounding disk in  $\partial D_k$  for the corresponding  $\eta_j$ . Since the diameter of the  $D_i$  shrinks to zero, the maps  $\phi_k$  converge to a map  $\phi_\infty: \mathbb{D}^2 \to S^{n+1}$  whose boundary coincides with  $\gamma$ , and whose image is disjoint from the interiors of all the  $D_i$ , i.e. the image of  $\phi_\infty$  lies in  $\mathscr{S}^n$ . A similar argument works even after removing finitely many points in  $\mathscr{S}^n$ .

*Proof of Theorem 2.* We proceed in several steps.

**Step 1 (Construction).** We construct M using the *strict hyperbolization* construction of Charney-Davis [13]. For simplicity we will focus primarily on the case  $n \geq 5$ . The case n = 4 will be explained at the end of Step 2.

The case  $n \geq 5$  is modeled on [14, Section (5c)]. Fix a smooth n-manifold X with non-empty connected boundary Y, equipped with a PL-triangulation. Choose a smooth homology sphere  $H^{n-2}$  with non-trivial fundamental group, take a PL-triangulation of H, and consider the double suspension  $\Sigma^2 H \cong S^n$ , with the obvious induced (no longer PL) triangulation. Take the triangulated connect sum  $X\sharp \Sigma^2 H$ , obtained by using the interior of a pair of n-simplices

in the triangulated X,  $\Sigma^2 H$  to take the connect sum (and chosen so that simplex in X does not intersect the boundary of X). Note that, topologically  $X\sharp\Sigma^2 H$  is homeomorphic to X, but now has a triangulation that fails to be PL – there is precisely one 4-cycle in the 1-skeleton of the triangulation whose link is H (instead of  $S^{n-2}$ ). Finally, we let  $M^n = h(X\sharp\Sigma^2 H)$ , an n-manifold with boundary  $N^{n-1} = h(Y)$ , and set  $G = \pi_1(M)$ .

Properties of hyperbolization implies statement (1) in our Theorem, while statement (2) follows from the fact that the triangulation of Y is PL (applying Davis-Januszkiewicz [14, Theorem (3b.2)]). The rest of our proof thus focuses on establishing statement (3) in the theorem – that  $\partial_{\infty}G$  is not homeomorphic to  $\mathscr{S}^{n-2}$ .

Step 2 (Capping procedure). To show that  $\partial_{\infty}G \neq \mathscr{S}^{n-2}$ , first identify  $\partial_{\infty}G \cong \partial_{\infty}\widetilde{M}$ . We use Lemma 8 and show that  $\pi_1(\partial_{\infty}\widetilde{M}\setminus F)\neq 1$ , where  $F=\{\gamma_+,\gamma_-\}$  consists of two points.

 $\widehat{M}$  is a non-compact CAT(-1) manifold with non-empty boundary, each component of which is isometric to  $\widehat{h(Y)}$ . To understand  $\partial_{\infty}\widetilde{M}$ , we first define an isometric embedding  $\widetilde{M} \hookrightarrow \widehat{M}$  into a CAT(-1) space without boundary. It will be easier to analyze  $\widehat{M}$ , which is obtained from  $\widetilde{M}$  by gluing a certain space Z to each component of  $\partial_{\infty}\widetilde{M}$ . Next we define Z and describe its key features.

Let DX be the double of X across Y, with the induced triangulation. We apply a strict hyperbolization of Charney-Davis [13] to obtain a closed n-manifold h(DX) equipped with a locally  $\operatorname{CAT}(-1)$  metric. The universal cover h(DX) has boundary at infinity homeomorphic to  $S^{n-1}$  (see [14, Theorem (3b.2)]). Take any lift h(Y) of the separating codimension one submanifold  $h(Y) \subset h(DX)$ . Then h(Y) separates h(DX) into two (isometric) convex subsets. Denote by Z the closure of one of these convex subsets. Then Z is a non-compact locally  $\operatorname{CAT}(-1)$  n-manifold with totally geodesic boundary h(Y).

**Lemma 9.** The boundary at infinity  $\partial_{\infty} Z$  of Z is homeomorphic to  $\mathbb{D}^{n-1}$ . The inclusion  $\widetilde{h(Y)} = \partial Z$  induces, at the boundary at infinity, an identification  $\partial_{\infty} \widetilde{h(Y)} = S^{n-2} = \partial(\mathbb{D}^{n-1})$ .

Let us momentarily assume Lemma 9 and finish the proof. Form the CAT(-1) space  $\widehat{M}$  by gluing a copy of Z to each boundary component of  $\partial \widehat{M}$ , by isometrically identifying the copy of  $\widehat{h(Y)}$  inside Z with the boundary component. We have an isometric embedding  $\widehat{M} \hookrightarrow \widehat{M}$ , inducing an embedding  $\partial_{\infty} \widehat{M} \hookrightarrow \partial_{\infty} \widehat{M}$ . Let  $\gamma$  be a lift, in  $\widehat{M} \subset \widehat{M}$  of the singular geodesic in M, i.e. the geodesic whose link is the homology sphere H. The argument in [14, Proof of Theorem 5c.1(iv), pg. 385] applies verbatim to show that  $\partial_{\infty} \widehat{M} - \{\gamma_+, \gamma_-\}$  is not simply-connected. If  $\eta$  denotes a homotopically non-trivial loop in  $\partial_{\infty} \widehat{M} - \{\gamma_+, \gamma_-\}$ , then Lemma 9 allows us to use the same argument as in Lemma 8 to homotope  $\eta$  into the subset  $\partial_{\infty} \widehat{M} = \partial_{\infty} G$ . We conclude that  $\partial_{\infty} G - \{\gamma_+, \gamma_-\}$  fails to be simply connected. From Lemma 8, we conclude that  $\partial_{\infty} G$  is not homeomorphic to  $\mathscr{S}^{n-2}$ .

The n=4 case proceeds similarly, but is modeled instead on [14, Section (5a)]. Briefly, one lets X be a 4-dimensional simplicial complex whose geometric realization is a homology manifold with non-empty boundary Y, and which contains a singular point in the interior of X (whose link is, for example, the Poincaré homology 3-sphere H). One then looks at the universal cover of the hyperbolization W=h(X). We can "cap off" the boundary components of  $\widetilde{W}$  as in the last paragraph to obtain  $\widehat{W}$ . Then the arguments in [14, Section 3d] shows that  $\pi_1(\partial_\infty \widehat{W})$  is non-trivial. Again, using Lemma 9, we can push a homotopically non-trivial loop in  $\partial_\infty \widehat{W}$  into

the subset  $\partial_{\infty}\widetilde{W} = \partial_{\infty}G$ . From Lemma 8, we conclude that  $\partial_{\infty}G$  is not homeomorphic to  $\mathscr{S}^2$ . Finally, even though W is not a manifold, it is homotopy equivalent to a manifold: just remove a small neighborhood of the singular cone point, and replace it by a contractible manifold which bounds H. The resulting 4-manifold M has the desired properties.

Step 3 (Reducing Lemma 9). To complete the proof of the theorem, we are left with verifying Lemma 9. This is again a minor adaptation of the arguments in [14, Sections 3b, 3c]. Choose a basepoint  $x \in \partial Z$ , and consider the closed metric r-balls  $\overline{B}_Z(r)$ ,  $\overline{B}_{\partial Z}(r)$  in the spaces Z,  $\partial Z$ , centered at x, as well as the metric r-spheres  $S_Z(r)$  and  $S_{\partial Z}(r)$ . The proof of Lemma 9 will rely on the following:

<u>Claim 1:</u> For all r, the metric spheres  $S_Z(r)$  are manifolds with boundary  $S_{\partial Z}(r)$ .

Claim 2: For points  $p \in S_{\partial Z}(r)$ , the complement  $Lk(p) \setminus B_{Lk(p)}(v; \pi)$  of the metric ball of radius  $\pi$ , centered at  $v \in \partial (Lk(p))$  in the link of p, is a cell-like set.

From these two Claims, it is easy to conclude. If one takes a small enough r, then clearly  $S_Z(r)$  is homeomorphic to a disk  $\mathbb{D}^{n-1}$ . In view of Claim 2 and the discussion in [14, pg. 372], there is an  $\epsilon > 0$  such that each of the geodesic contraction maps  $\rho_r^s : S_Z(s) \to S_Z(r)$  is a cell-like map when  $r < s < r + \epsilon$ . So by Claim 1, the maps  $\rho_r^s$  are cell-like maps between manifolds with boundaries. From the work of Siebenmann [26], Quinn [22], and Armentrout [1] it follows that each  $\rho_r^s$  is a near-homeomorphism (i.e. can be approximated arbitrarily closely by homeomorphisms), and hence, that all the  $S_Z(r)$  are homeomorphic to a disk  $\mathbb{D}^{n-1}$ , with boundary  $\partial S_Z(r) = S_{\partial Z}(r)$ .

Finally, we identify the pair  $(\partial_{\infty} Z, \partial_{\infty}(\partial Z))$  with the inverse limit  $\varprojlim \{(S_Z(r), S_{\partial Z}(r))\}_{r>0}$ , where the bonding maps are given by the maps  $\rho_r^s$  (where 0 < r < s), which we saw are all near-homeomorphisms. Lemma 9 now follows by applying the main result of Brown [10].

This reduces the proof of Lemma 9 (and hence also of the theorem) to checking Claim 1 and Claim 2 – which are the last two steps of the proof.

Step 4 (Proof of Claim 1). We first argue that the ball  $B_Z(r)$  of radius r is a manifold with boundary. It is clear that points  $p \in \operatorname{Int}(\widetilde{M})$  at distance < r from the basepoint have manifold neighborhoods. It is also immediate that points  $p \in \partial \widetilde{M}$  at distance < r from the basepoint have neighborhoods homeomorphic to  $\mathbb{R}^{n-1} \times \mathbb{R}_+$ . Points at distance = r from the basepoint are either in  $\operatorname{Int}(\widetilde{M})$  or on  $\partial \widetilde{M}$ .

For points p in  $\operatorname{Int}(\widetilde{M})$ , the argument in [14, pg. 372] shows that p has a neighborhood homeomorphic to  $\mathbb{R}^{n-1} \times \mathbb{R}_+$ . So the only possible points to worry about are points at distance = r, and lying on the subset  $\partial \widetilde{M}$ . But for such a point p, a similar argument works with no trouble. Let v be the point in  $\operatorname{Lk}(p)$  pointing from p to the basepoint x, and consider the closed ball  $\overline{B}_{\operatorname{Lk}(p)}(v;\pi/2)$  in the link of p, centered at v, of radius  $\pi/2$ . For any vector  $w \in \overline{B}_{\operatorname{Lk}(p)}(v;\pi/2)$ , one can look at the geodesic  $\gamma_w$  emanating from p, in the direction w ( $\gamma_w$  is well-defined close to p). If the direction w is at distance  $<\pi/2$  from v, then for a small interval of time [0,s(w)], the geodesic  $\gamma_w$  lies entirely in  $B_Z(r)$ , with  $\gamma_w(s(w)) \in S_Z(r) \cup B_{\partial Z}(r)$ . Note that s varies continuously and  $s(w) \to 0$  as  $w \to S_{\operatorname{Lk}(p)}(v;\pi/2)$ . It follows that p has a neighborhood homeomorphic to the set  $\hat{X}$  constructed as follows: take the product  $I \times \overline{B}_{\operatorname{Lk}(p)}(v;\pi/2)$ , collapse the fibers over the subset  $S_{\operatorname{Lk}(p)}(v;\pi/2)$  to 0, and then collapse the subset  $\{0\} \times \overline{B}_{\operatorname{Lk}(p)}(v;\pi/2)$  to a single point (which is identified with p) – see Figure 2. By an inductive argument (note

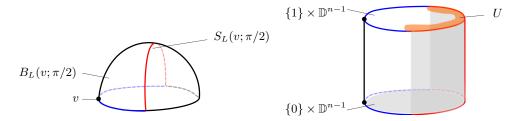


FIGURE 2. Left: The link L = Lk(p). Right: The space  $I \times \overline{B}_{\text{Lk}(p)}(v; \pi/2)$ , which is identified with a neighborhood  $\hat{X}$  of p after quotienting by the gray region.

that  $\dim(\operatorname{Lk}(p)) = \dim(\widetilde{M}) - 1$ ) one can assume that  $\overline{B}_{\operatorname{Lk}(p)}(v; \pi/2)$  is homeomorphic to a disk  $\mathbb{D}^{n-1}$ , with the subset  $S_{\operatorname{Lk}(p)}(v; \pi/2)$  corresponding to an embedded  $\mathbb{D}^{n-2}$  inside  $\partial \mathbb{D}^{n-1} \cong S^{n-2}$ . Following the construction of  $\hat{X}$  given above, we see that  $\hat{X}$  is homeomorphic to  $\mathbb{D}^n$ , with the point corresponding to p lying on  $\partial \mathbb{D}^n$ . This shows that  $B_Z(r)$  is indeed a manifold with boundary, and that the boundary of  $B_Z(r)$  naturally decomposes as the union of  $S_Z(r) \cup B_{\partial Z}(r)$ , where the union is over the common subset  $S_{\partial Z}(r)$ .

Finally, we check that  $S_Z(r)$  is an (n-1)-manifold with boundary. For points  $p \in S_Z(r)$  lying in  $\operatorname{Int}(\widetilde{M})$ , it follows easily from [14, pg. 372] that these points have neighborhoods homeomorphic to  $\mathbb{D}^{n-1}$  with p lying as an interior point. In the case where  $p \in S_Z(r)$  lies on  $\partial \widetilde{M}$ , we look at the neighborhood  $\hat{X}$  of p constructed above. Within  $\hat{X}$ , the subset corresponding to  $S_Z(r)$  consists of (the image of) a small neighborhood U of  $\{1\} \times S_{\operatorname{Lk}(p)}(v; \pi/2) \cong \mathbb{D}^{n-2}$  inside the slice  $\{1\} \times \overline{B}_{\operatorname{Lk}(p)}(v; \pi/2) \cong \mathbb{D}^{n-1}$ . Note that the (n-2)-disk  $S_{\operatorname{Lk}(p)}(v; \pi/2)$  lies in the boundary sphere of the (n-1)-disk  $\overline{B}_{\operatorname{Lk}(p)}(v; \pi/2)$  (by induction). The image of U thus gives a copy of  $\mathbb{D}^{n-1}$ , with p lying in the boundary of  $\mathbb{D}^{n-1}$ . Moreover, the subset of U corresponding to  $S_{\partial Z}(r)$  is just a neighborhood of p inside the boundary sphere of  $\mathbb{D}^{n-1}$ , i.e. is homeomorphic to  $\mathbb{D}^{n-2}$ . This completes the argument for Claim 1.

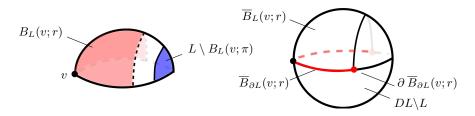


FIGURE 3. The link L = Lk(p) and its double DL.

Step 5 (Proof of Claim 2). We want to show that the complement  $Lk(p) \setminus B_{Lk(p)}(v;\pi)$  is cell-like. The set Lk(p) is homeomorphic to a disk  $\mathbb{D}^{n-1}$ , so we can think of the set we are interested in as lying within the double  $D(Lk(p)) \cong S^{n-1}$ . Given an  $r \in (0,\pi)$ , consider the subset  $U_r \subset D(Lk(p)) \cong S^{n-1}$  defined to be the union of  $D(Lk(p)) \setminus Lk(p)$  and the set  $B_{Lk(p)}(v;r)$ . See Figure 3. We will show each such  $U_r$  is homeomorphic to  $\mathbb{R}^{n-1}$ . Then by a result of Brown [11] it follows that the union  $U_{\infty} := \bigcup_{r \in (0,\pi)} U_r \subset D(Lk(p)) \cong S^{n-1}$  is also

homeomorphic to  $\mathbb{R}^{n-1}$ . But if a subset of  $S^{n-1}$  is homeomorphic to  $\mathbb{R}^{n-1}$ , its complement is automatically cell-like. Since the complement of  $U_{\infty}$  coincides with  $\mathrm{Lk}(p) \setminus B_{\mathrm{Lk}(p)}(v;\pi)$ , this would establish Claim 2.

To see that each  $U_r$  is homeomorphic to  $\mathbb{R}^{n-1}$ , we consider their closures  $\overline{U}_r$ . We have that  $U_r = \operatorname{Int}(\overline{U}_r)$ , and that  $\overline{U}_r$  can be written as the union of a copy of  $\operatorname{Lk}(p)$  along with  $\overline{B}_{\operatorname{Lk}(p)}(v;r)$ , where the union is taken over the common subset  $\overline{B}_{\partial\operatorname{Lk}(p)}(v;r)$ . Let us analyze the two pieces in this decomposition.

On one of the sides, the subset Lk(p) is homeomorphic to  $\mathbb{D}^{n-1}$ , and the common subset  $\overline{B}_{\partial Lk(p)}(v;r)$  is homeomorphic to an embedded (n-2)-disk  $\mathbb{D}^{n-2}$  inside the boundary sphere  $\partial Lk(p) \cong S^{n-2}$ . Note that, by varying the parameter r, we see that

$$S^{n-3} \simeq \partial \overline{B}_{\partial \mathrm{Lk}(p)}(v;r) \subset \partial \mathrm{Lk}(p) \simeq S^{n-2}$$

is bicollared. On the other side, the subset  $\overline{B}_{\mathrm{Lk}(p)}(v;r)$  is also homeomorphic to  $\mathbb{D}^{n-1}$ , and the gluing disk  $\mathbb{D}^{n-2} \cong \overline{B}_{\partial \mathrm{Lk}(p)}(v;r)$  inside the boundary sphere  $S^{n-2} \cong \partial \overline{B}_{\mathrm{Lk}(p)}(v;r)$  also has complement a disk (by the argument in Claim 1). Thus, we see that  $\overline{U}_r$  is obtained by gluing together two closed (n-1)-disks, by identifying together two copies of an (n-2)-disk, where each copy is nicely embedded in the respective boundary spheres  $S^{n-2} \cong \mathbb{D}^{n-1}$ . It follows that  $\overline{U}_r$  is also homeomorphic to  $\mathbb{D}^{n-1}$ . This completes the proof of Claim 2 and the proof of the theorem.

Remark 10. Let us make a small comment on approximating cell-like maps by homeomorphisms, in the case of manifolds with boundary. The attentive reader will probably notice that, in Siebenmann's work [26], there are two cases that require special care. In the 5-dimensional case, he requires the restriction of the map to the boundary to be a homeomorphism (rather than just a cell-like map). This is due to the fact that, at the time [26] was written, it was unclear whether or not cell-like maps of (closed) 4-manifolds could be approximated by homeomorphisms—hence the need of a stronger hypothesis on the boundary map. In view of Quinn's subsequent proof of the 4-dimensional case [22], this stronger hypothesis is no longer needed in the 5-dimensional boundary case. Note that, in our context, the bonding maps, when restricted to the boundary, are always cell-like (but are not homeomorphisms).

The other special case has to do with 3-dimensions. Here there is an added hypothesis that every point pre-image has a neighborhood N which isn't just contractible, but in addition is prime (i.e. if  $N = M_1 \# M_2$ , then one of the  $M_i$  is a standard 3-sphere). The only way this could fail is if one of the  $M_i$  were instead a homotopy 3-sphere – but by Perelman's resolution of the Poincaré Conjecture, such a manifold is automatically  $S^3$ . So again, in the 3-dimensional case, this additional hypothesis is now unnecessary.

## 5. Remarks on CAT(0) groups

In this section we remark on generalizing the main result from hyperbolic groups to CAT(0) groups. A proper geodesic space X is called CAT(0) if geodesic triangles in X are at least as thin as triangles in Euclidean space [8]. A group G is called CAT(0) if there exists a CAT(0) space X on which G acts geometrically (that is, isometrically, properly, and compactly).

A CAT(0) space X has a visual boundary  $\partial_{\infty}X$ , and if G acts geometrically on X, then G acts on  $\partial_{\infty}X$  by homeomorphisms. In this case  $\partial_{\infty}X$  is called a boundary of G. With this terminology we have the following theorem.

**Theorem 11.** Let G be a CAT(0) group for which  $S^{n-1}$  is a boundary. If  $n \geq 6$ , then there exists a closed n-dimensional aspherical manifold W such that  $\pi_1(W) \simeq G$ .

The proof is almost identical to the proof of Theorem 7 in [4]. We give a short explanation for how to extend that argument to the CAT(0) case.

Proof of Theorem 11. By assumption G acts geometrically on an X with  $\partial_{\infty}X = S^{n-1}$ . Denote  $\overline{X} = X \cup \partial_{\infty}X$ . We proceed in three steps.

Step 1. BG is homotopy equivalent to a closed aspherical homology n-manifold W such that W has the disjoint disk property. To show this, it suffices to show that G is a PD(n) group and to note that CAT(0) groups satisfy the Farrell-Jones conjectures in K- and L-theory. For then we may use [4, Theorem 1.2], which says that for such a group, BG is homotopy equivalent to a closed aspherical homology n-manifold M with the disjoint disk property.

We explain why G is  $\operatorname{PD}(n)$  group. First, we know G is of type FP once we know that there exists a finite CW complex  $K \simeq BG$  (for then the cellular chain complex of the universal cover  $\widetilde{K}$  is a finite length resolution of  $\mathbb{Z}$  by finitely generated free G modules). A finite CW complex  $K \simeq BG$  for a group G that acts geometrically on a proper CAT(0) space is shown to exist by Lück [21]. Now G is a PD(n) group because

$$H^i(G;\mathbb{Z}G)\cong H^i_c(X)\cong \widetilde{H}^{i-1}(\partial_\infty X)=\widetilde{H}^{i-1}(S^{n-1})=\left\{\begin{array}{ll}\mathbb{Z} & \text{if } i=n\\ 0 & \text{else}\end{array}\right.$$

The first two isomorphisms are described by Bestvina [5]. That this implies G is a PD(n) group is explained in [9, VIII.10.1].

Step 2. The universal cover  $\widetilde{W}$  can be compactified  $N=\widetilde{W}\cup\partial_{\infty}X$  such that N is a homology manifold with boundary. To show that N is a homology manifold with boundary it suffices to show that N is a finite-dimensional locally compact ANR and  $\partial_{\infty}X$  is a Z-set in N (see [4, Proposition 2.5]). The pair  $(\overline{X},\partial_{\infty}X)$  is a Z-structure on G by Bestvina [5, Example 1.2(ii)]. Furthermore, by [5, Lemma 1.4] for any other finite model K for BG, there is a natural Z-structure on  $(\overline{K},\partial_{\infty}X)$ , where  $\overline{K}=K\cup\partial_{\infty}X$ . Thus  $(N,\partial_{\infty}X)$  admits a Z-set structure; in particular, N is a Euclidean retract, finite dimensional, and  $S^{n-1}$  is a Z-set inside N.

Step 3.  $\widetilde{W}$  (and hence also W) is a manifold. This part of the argument is identical to that given in [4, Theorem A]. Quinn's invariant allows one to recognize manifolds among homology manifolds with the disjoint disk property. By the local nature of Quinn's invariant, if  $(B, \partial B)$  is a homology manifold with boundary and  $\partial B$  is a manifold, then  $\operatorname{int}(B)$  is a manifold.

In light of this result and Theorem 1 above, it is natural to ask the following question.

**Question.** Let G be a CAT(0) group which admits  $\mathscr{S}^{n-2}$  as a boundary. Is G the fundamental group of an n-dimensional aspherical manifold with boundary?

Examples of G satisfying the hypothesis of this Question are given by Ruane [25]: every nonuniform lattice  $\Gamma \leq SO(n,1)$  is an example. For these examples, an aspherical manifold with boundary can be obtained by "truncating the cusps" of  $\mathbb{H}^n/\Gamma$ .

There are some basic problems with answering this Question with the techniques of this paper. For example, it is not obvious that peripheral subgroups of a CAT(0) group with Sierpinski space boundary are CAT(0), or that the double of a CAT(0) group along peripheral subgroups is CAT(0).

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Department of Mathematics, Ohio State University, Columbus, OH 43210

 $E ext{-}mail\ address: jlafont@math.ohio-state.edu}$ 

Department of Mathematics, University of Chicago, Chicago, IL 60615

 $E\text{-}mail\ address: \verb|tshishikub@math.uchicago.edu||$