

# Counting embeddings

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## Encoding homotopical information

Let  $X$  and  $Y$  be finite simplicial complexes...

### Fact

The number of simplicial maps from  $X$  to  $Y$  is bounded above by  $|Y|^{|X|}$  (exponential in  $|X|$ .)

### Theorem (Gromov)

*Fix a simply connected  $Y$  and the homotopy type of  $X$ . Then the number of **homotopy classes** of simplicial maps  $X \rightarrow Y$  is a **polynomial**  $P(|X|)$ .*

(Contrast growth of fundamental groups)

???

# I lied!

Here's Gromov's actual theorem\*:

## Theorem

*Let  $X$  and  $Y$  be compact Riemannian manifolds with boundary,  $Y$  simply connected. Then the number of homotopy classes of  $L$ -Lipschitz maps  $X \rightarrow Y$  is  $O(L^\alpha)$ , where  $\alpha$  depends only on the rational homotopy of  $X$  and  $Y$ .*

But the first formulation I gave is closely related via...

## The quantitative simplicial approximation theorem

Any  $L$ -Lipschitz map between simplicial complexes  $X$  and  $Y$  is close to one which is simplicial on a subdivision of  $X$  at scale  $L$ .

\*may not actually be a theorem of Gromov

## A sketch of the proof of Gromov's theorem

Let  $f : X \rightarrow Y$  be a map. Two key observations:

- Rational homotopy theory gives invariants classifying maps  $f : X \rightarrow Y$  up to some finite torsion part. These are forms built from  $f^*\omega_i$ , for some finite set  $\{\omega_i\}$ , by repeated multiplication and antidifferentiation.
- A **coisoperimetric inequality**: every exact  $\omega \in \Omega^n(Y)$  has an antidifferential  $\alpha \in \Omega^{n-1}(Y)$  such that  $\|\alpha\|_\infty \leq C_{n,Y} \|\omega\|_\infty$ .

Therefore the obstructions classifying an  $L$ -Lipschitz map can't be more than polynomial in size.

## Isoperimetric duality

- The **coisoperimetric inequality** we want: every exact  $\omega \in \Omega^n(Y)$  has an antidifferential  $\alpha \in \Omega^{n-1}(Y)$  such that

$$\|\alpha\|_\infty \leq C_{n,Y} \|\omega\|_\infty.$$

- This has a dual **isoperimetric inequality**: every boundary  $T \in \mathbf{N}_{n-1}(Y)$  has a filling  $S \in \mathbf{N}_n(Y)$  such that

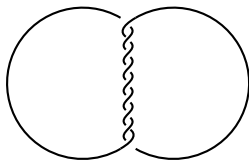
$$\text{mass}(S) \leq C_{n,Y} \text{mass}(T).$$

- This follows from the Federer–Fleming deformation theorem.
- **Isoperimetric duality** says the two constants are equal.
  - This is an application of the Hahn–Banach theorem.

## What about embeddings?

Consider embeddings of a manifold  $M$  in a manifold  $N$ . Such an embedding can be complicated even if its Lipschitz constant is small. E.g.:

- A tiny but complicated knot in  $S^3$
- Two linked  $S^n$ 's in  $S^{2n+1}$ :



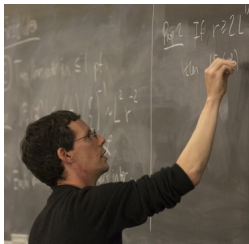
What geometric quantities might encapsulate the “complexity” of embeddings?

## Thick embeddings

If we force our embeddings to have tubular (or regular) neighborhoods of radius  $1/L$ , that seems to limit the amount of information. This has not been studied much as far as I know, except for:

- Ropelength and physical knot theory (many authors)
- “Combinatorially” thick embeddings of simplicial complexes in  $\mathbb{R}^n$  (Gromov–Guth)

$C^2$  conditions have a similar effect.



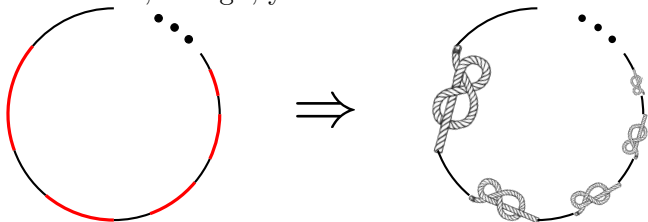
## The bilipschitz constant: a weaker bound

We will say  $f : X \rightarrow Y$  is  $L$ -bilipschitz if

$$\frac{1}{L}d(x_1, x_2) \leq d(f(x_1), f(x_2)) \leq Ld(x_1, x_2).$$

(Some might call this  $L^2$ -bilipschitz.) What happens if we restrict embeddings to be  $L$ -bilipschitz?

- Links can't get too close
- With knots, though, you can do this:





# What can we say about bilipschitz embeddings

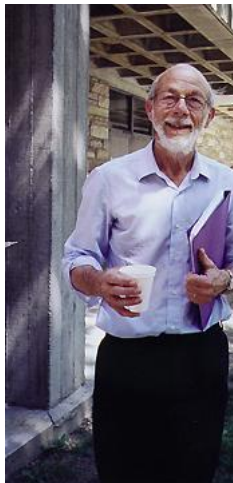
$$M^m \rightarrow N^n?$$

It depends on codimension and category:

- When  $n - m = 2$ , there are always knots.
- When  $n/3 \gtrsim n - m \geq 3$ , there are smoothly knotted spheres in  $\mathbb{R}^n$  (Haefliger); however, these are PL unknotted.

So in these two situations, there's an infinite number of isotopy classes with the same bilipschitz constant. On the other hand:

- When  $n - m \neq 2$ , the number of topological isotopy classes of embeddings with bilipschitz constant  $\leq L$  is finite (Joshua Maher, unpublished thesis)



## Reducing embedding theory to homotopy theory

### Theorem (Haefliger)

When  $2m > 3(n + 1)$ , isotopy classes of embeddings  $M^m \rightarrow N^n$  correspond to homotopy classes of maps

$F : M \times M \times [0, 1] \rightarrow N \times N$  preserving the following structure:

(E1)  $F|_t$  is equivariant with respect to the involution

$$(x, y) \mapsto (y, x)$$

(E2)  $F|_{t=1}$  is isovariant\* with respect to this involution

(E3)  $F|_{t=0} = f \times f$  for some map  $f : M \rightarrow N$ .

When  $N = \mathbb{R}^n$ , this reduces to  $\mathbb{Z}/2\mathbb{Z}$ -equivariant homotopy classes of maps

$$M \times M \setminus \Delta \rightarrow S^{n-1}.$$

\***isovariant**: preimages of fixed points are fixed points

## Homotopy theory of diagrams

These are not homotopy classes of maps between spaces! So Gromov's theorem doesn't directly apply.

### Definition

Let  $\mathcal{D}$  be a small category. A  $\mathcal{D}$ -*diagram of spaces* is a functor  $\mathcal{D} \rightarrow \text{Top}$ . These map to each other in the obvious way.

E.g., here's (part of) what's preserved in Haefliger's theorem:

$$\begin{array}{ccccc}
 M \times cM & \longleftarrow & M \times M \setminus \nu\Delta & \longrightarrow & cM \times M \\
 \uparrow & & \uparrow & & \uparrow \\
 \Delta \times [0, 1] & & \partial\nu\Delta & & \Delta \times [0, 1] \\
 & \swarrow & \downarrow & \searrow & \\
 & & \overline{\nu\Delta} & & \\
 & \swarrow & \uparrow & \searrow & \\
 & & \Delta & & 
 \end{array}$$

## Gromov's theorem for diagrams

### Theorem (M.–Weinberger)

Let  $\underline{X}$  and  $\underline{Y}$  be free\* diagrams of simply connected spaces over a finite EI\*\* category  $\mathcal{D}$  such that tensor products of injective  $\mathbb{Q}\mathcal{D}$ -modules are injective. Then the number of homotopy classes of diagram maps  $\underline{X} \rightarrow \underline{Y}$  which are objectwise  $L$ -Lipschitz is polynomial in  $L$ .

Applications:

- Equivariant maps (here  $\mathcal{D}$  is the **orbit category**)
- $L$ -bilipschitz isovariant maps
- $L$ -bilipschitz embeddings in the metastable range (as asserted by Gromov)

\*the sort you can do homotopy theory with

\*\*in an **EI category**, all endomorphisms are automorphisms

# What's special about the metastable range?

Two things (apparently coincidentally.)

- 1 Generically, there are no triple intersections.
- 2 Homotopy classes of isovariant maps  $TM \rightarrow TN$  are the same as homotopy classes of bundle monomorphisms  $TM \rightarrow TN$ .

The second of these is harder to deal with than the first...

# The calculus of manifolds

## Theorem (Goodwillie–Klein–Weiss)

*There is a sequence of functors  $T^k$  from manifolds to diagrams(-ish) such that, if  $n - m \geq 3$ , then for every  $r$  there is a large enough  $k = k(r, m, n)$  such that the map  $\text{Emb}(M, N) \rightarrow \text{Map}(T^k M, T^k N)$  is  $r$ -connected.*

This would be just what we need, but the “-ish” includes some tangential information.



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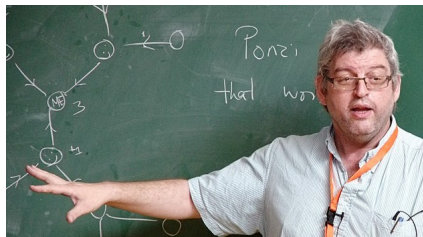
## A conjecture



### Conjecture (Ferry–Weinberger, 2013)

If  $M^m$  and  $N^n$  are (topological or PL) manifolds and  $n - m \geq 3$ , then the number of isotopy classes of  $L$ -bilipschitz embeddings  $M \rightarrow N$  is polynomial in  $L$ .

Perhaps this can be proven by harnessing the calculus of manifolds in a clever way?



Thank you!