

AN UPGRADE FOR “EXPANSIONS OF THE REAL FIELD WITH POWER FUNCTIONS”

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As the title suggests, this brief note is a follow-up to [5] (my first published paper), which the reader is assumed to have at hand. I make more readily available some results from my thesis [6, Chapter IV] that generalize some of the main results from [5], the latter being written just before the technology became available for proving more general results. Though I think these extensions are interesting, the proofs are fairly minor modifications of the material in [5], so I never published them except in my thesis. I also give some new applications, correct a few errors, and give the final data for the references of [5].

Put $\overline{\mathbb{R}} := (\mathbb{R}, <, +, -, \cdot, 0, 1)$. Let \mathfrak{R} be a polynomially bounded o-minimal expansion of $\overline{\mathbb{R}}$ having field of exponents K_0 . Let $S \subseteq \mathbb{R}$ and put $\mathfrak{R}^S = (\mathfrak{R}, (x^s)_{s \in S})$. By [8], \mathfrak{R}^S is o-minimal; indeed, so is (\mathfrak{R}, e^x) . Unfortunately, the method of proof does not reveal the field of exponents of \mathfrak{R}^S , nor even whether \mathfrak{R}^S is polynomially bounded.* But the answer is known under some fairly reasonable assumptions.

Let K be the subfield of \mathbb{R} generated by S over K_0 .

Theorem. *Suppose that \mathfrak{R} defines each restriction $x^s \upharpoonright [1, 2]$, $s \in S$. Then \mathfrak{R}^S is polynomially bounded with field of exponents K .*

Sketch of proof. For every $r \in K$, $x^r \upharpoonright [1, 2]$ is definable in \mathfrak{R}^S , so we may assume that $S = K$. By definability of Skolem functions, we may assume that \mathfrak{R} admits quantifier elimination and is universally axiomatizable. By using [2, Theorem C] instead of [5, 1.2], an easy modification of the proof of [5, 2.5] shows that \mathfrak{R}^K admits quantifier elimination and is explicitly universally axiomatizable over \mathfrak{R} . (In the proof of [5, 2.4], use K_0 instead of \mathbb{Q} , and the reduct of A to the language of \mathfrak{R} instead of A_{an} .) Without loss of generality, we may assume that \mathfrak{R} has no relation symbols other than $<$. Hence, by [1, 5.5, 5.12], \mathfrak{R}^K is o-minimal.† By [1, 5.8], regard the Hardy field \mathcal{H} of \mathfrak{R}^K as an elementary extension of \mathfrak{R}^K . In the second and third paragraphs of the proof of [5, 2.4] (again, modified as above), let x be the germ of the identity function on \mathbb{R} , $A = \mathfrak{R}^K$ and $B = \mathcal{H}$. Then the resulting structure C is a model of $\text{Th}(\mathfrak{R}^K)$ containing $\mathbb{R}(x)$ as a Hardy field. On the other hand, by [1, 5.8], \mathcal{H} is the smallest model of $\text{Th}(\mathfrak{R}^K)$ containing $\mathbb{R}(x)$. Hence, $C = \mathcal{H}$, $\nu(\mathcal{H}) = K \cdot \nu(x)$, and K is the field of exponents of \mathfrak{R}^K . □

Corollary 1. *Suppose that \mathfrak{R} defines $e^x \upharpoonright [0, 1]$. Then \mathfrak{R}^S is o-minimal and polynomially bounded with field of exponents K .*

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*This is Speissegger’s opinion [personal communication].

†The proof relies only on Hardy field arguments and is independent of [8].

Proof. For every $r \in \mathbb{R}$, the restriction $x^r \upharpoonright [1, 2]$ is definable in $(\mathfrak{R}, e^x \upharpoonright [0, 1])$. Apply the theorem. \square

Corollary 2 (of the proof). *If \mathfrak{R} is model complete (in a language L extending the language of ordered rings with unity) and defines each partial power $x^s \upharpoonright [1, 2]$, $s \in S$, then \mathfrak{R}^S is model complete (in the obvious extension of L).*

Proof. Every $r \in K$ is both universally and existentially definable in \mathfrak{R}^S (since $K = K_0(S)$) so it suffices to consider the case that $S = K$. Since \mathfrak{R}^K admits QE after expanding by the Skolem functions definable in \mathfrak{R} , and \mathfrak{R} is model complete, so is \mathfrak{R}^K (without the added Skolem functions). \square

Corollary 3. *Suppose that \mathfrak{R} is either the “Gevrey structure” \mathbb{R}_g defined in [3] or a “Denjoy-Carleman structure” $\mathbb{R}_{C(M)}$ as defined in [7]. Then \mathfrak{R}^S is model complete and polynomially bounded with field of exponents $\mathbb{Q}(S)$.*

Proof. In either case, \mathfrak{R} is model complete, o-minimal and has field of exponents \mathbb{Q} . \square

Challenge. Many of the results in [5] were reproved, even superceded, without using model theory in [4]. Find “standard” proofs of the above results.

Questions.

- Does $(\mathfrak{R}, e^x \upharpoonright [1, 2])$ have field of exponents K_0 ? In every case that we know of, the answer is “Yes”. If not, is it at least polynomially bounded?
- Does $(\mathfrak{R}, (x^s \upharpoonright [1, 2])_{s \in S})$ have field of exponents K_0 ? In every case that we know of, the answer is “Yes”. If not, is it at least polynomially bounded?

Corrections to [5].

- Ordered abelian groups should be assumed to have a distinguished positive element $1 > 0$, and be regarded in a language extending $\{<, +, 0, 1\}$. In particular, this should be reflected in 1.1 and its preceding paragraph.
- In 1.1, replace “ $A \subseteq B, A' \subseteq B'$ ” with “ $A \preceq B, A' \preceq B'$ ”, and strike “unique”.
- In the Notation preceding 2.2, use $L_{\text{an}}^{\mathbb{Q}}$ and $T_{\text{an}}^{\mathbb{Q}}$ instead of L_{an} and T_{an} .
- In the last line of the proof of 2.2, “ $u \in A$ ” should be “ $u^r \in A$ ”.
- In the third line of the proof of 2.4, strike “unique”.
- In the first line of the last paragraph of the proof of 2.4, strike “uniquely”.
- The statement of 3.2 is missing the assumption that T should have QE.
- In the third line on page 89, “ α ” should be “ a ”.

Final data for References of [5].

- [7] **60** (1995), 74–102. And “pairs” should be “extensions”.
- [8] **140** (1994), 183–205.
- [9] **85** (1994), 19–56.
- [11] **122** (1994), 257–259.
- [12] **123** (1995), 2551–2555.
- [17] and [18] appeared as one paper: *Model completeness results for expansions of the ordered field of real numbers by restricted Pfaffian functions and the exponential function*, J. Amer. Math. Soc. **9** (1996), 1051–1094.

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