

Lemma:  $f$  is non-degenerate  $\iff$   
 $C_f$  is non-singular.

Recall: For  $g(x,y) \in k[x,y]$ , the affine curve  
 $C_g \subset \mathbb{A}^2$  (here  $C_g(K) = \{ (a,b) \in K^2 \mid$   
(for any  $K \supset k$ )  $g(a,b) = 0 \}$ )

$\mathbb{A}^2(K) = \mathbb{A}^2$   
is non-singular at  $P = (a,b) \in C_g$  provided

$$\left( \frac{\partial g}{\partial x}(P) \quad \frac{\partial g}{\partial y}(P) \right) \neq (0 \quad 0)$$

(in this case, the tangent line to  $C_g$  at  $P$  is

$$\frac{\partial g}{\partial x}(P)(x-a) + \frac{\partial g}{\partial y}(P)(y-b) = 0$$

(compare the situation in usual class)

these derivatives are formally defined for polynomials (over any ring) using the usual rules  $\frac{d(x^n)}{dx} = n \cdot x^{n-1}$  etc.

$C_g$  is nonsingular if it is nonsingular at every  $P \in C_g$  [when I don't specify  $C_g(K)$  for a particular field  $K$ , I mean  $C_g = C_g(\bar{k})$ ].

Example:  
Think about  
 $g(x,y) = y^2 - x^3$  at

For a projective curve

$$C_f, f \in k[x_0, x_1, x_2]$$

$\downarrow$   
 $\mathbb{P}^2$

we say  $C_f$  is non-singular if

for each  $i$ ,  $\mathbb{P}^2 \supset \{x_i \neq 0\}$

$\xrightarrow{\cong}$   
 $\mathbb{A}^2$

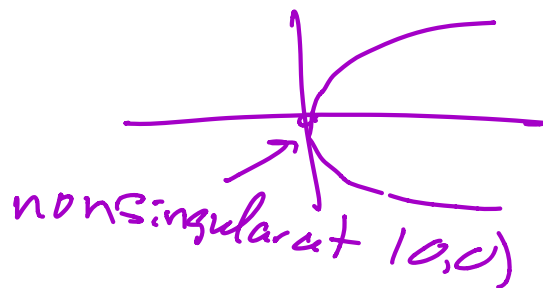
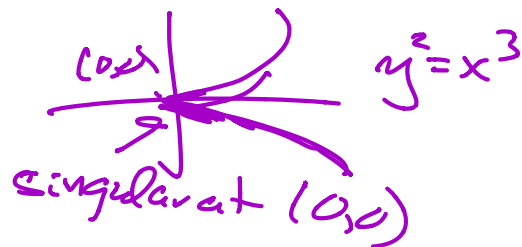
via ( $i=0$ )

$$\{x_0 \neq 0\} \xrightarrow{\cong} \mathbb{A}^2$$

$$[a_0, a_1, a_2] \mapsto \left( \frac{a_1}{a_0}, \frac{a_2}{a_0} \right)$$

$$[1, a, b] \longleftarrow (a, b)$$

the point  $(0,0)$  compared with  $g(x,y) = y^2 - x$  at  $(0,0)$  (say  $k = \mathbb{R}$ )



$C_f \cap \{x_i \neq 0\} \subset \mathbb{A}^2$   
is nonsingular (as an affine curve).

Pf of Lemma: Making an invertible linear change of variables, we may assume

$$f(x_0, x_1, x_2) = \sum a_i x_i^2 \text{ is diagonal.}$$

$$f \text{ is non-degenerate} \Leftrightarrow a_0 a_1 a_2 \neq 0.$$

On the other hand,  $C_f$  is nonsingular

$$\Leftrightarrow \forall i=0,1,2 \quad C_f \cap \{x_i \neq 0\} \hookrightarrow \mathbb{A}^2$$

$z$  is nonsingular.

Take  $z=0$ :  $C_f \cap \{x_0 \neq 0\} \subset \{x_0 \neq 0\} \simeq \mathbb{A}^2$

then  $C_f \cap \{x_0 \neq 0\}$   
identifies to the affine  
curve

$$[x_0, x_1, x_2] \mapsto \begin{pmatrix} x_1 \\ x_0 \end{pmatrix}, \begin{pmatrix} x_2 \\ x_0 \end{pmatrix}$$

"                    "                    "  
 $y_1$                      $y_2$

$$a_0 + a_1 y_1^2 + a_2 y_2^2 = 0.$$

For  $P \in C_f \cap \{x_0 \neq 0\}$ ,  $P$  is nonsing

$$\Leftrightarrow (2a_1 y_1(P) \quad 2a_2 y_2(P)) \neq (0 \quad 0)$$

(and similar calc for  $z=1,2$ )

So assume  $a_0 a_1 a_2 \neq 0$  ( $f$  nondeg).

Then a singular point  $P \in C_f \cap \{x_0 \neq 0\}$   
(ditto other  $z=1,2$ ) satisfies  $y_1(P)=0$ ,  
 $y_2(P)=0$ ; but then  $a_0=0$ , a contradiction.

Conclude:  $C_f \cap \{x_0 \neq 0\}$  is nonsingular,  
and the same argument shows  $C_f \cap \{x_i \neq 0\}$   
is nonsing  $\forall i$ , hence  $C_f$  is nonsingular.

Conversely, if some  $a_i=0$  (let's say  $a_0=0$ )  
then  $[1, 0, 0] \in C_f$  is a singular point.

$$P^2 = \{[a_0, a_1, a_2]\} = \bigcup_{i=0}^2 \{[a_0, a_1, a_2] \mid a_i \neq 0\}.$$



$$\uparrow \underline{k^3 \setminus (0,0,0)}$$

Examples  $k = \mathbb{F}_p, \mathbb{Q}_p, \mathbb{Q}, \dots$  Is  $C_f(k) \neq \emptyset$ ?

•  $k = \mathbb{F}_q$  (finite field of odd characteristic)

we may assume  $f(x_0, x_1, x_2) = a_0 x_0^2 + a_1 x_1^2 + a_2 x_2^2$   
(char  $k \neq 2$ ). Suffices to find  $x, y \in \mathbb{F}_q$  such

$$\text{that } a_0 x^2 + a_1 y^2 + a_2 = 0.$$

Take  $a_0 a_1 a_2 \neq 0$  for simplicity (degenerate cases easier)

$$\{a_0 x^2 \mid x \in \mathbb{F}_q\} \quad \{-a_1 y^2 - a_2 \mid y \in \mathbb{F}_q\} \quad x^2 + y^2 + 1$$

both have  $\frac{q+1}{2}$  elements — win by pigeonhole.

So  $C_f(\mathbb{F}_q) \neq \emptyset$  in all such cases!

• In fact, any homogeneous quadratic  $f \in \mathbb{F}_q[x_0, x_1, x_2]$  (for any  $q$ ) has a non-zero solution. ("every conic over  $\mathbb{F}_q$  has an  $\mathbb{F}_q$ -point")

(see Serre Chpt. 1, §2)



- $\mathbb{Q} = \mathbb{Q}_p$ . Recall two constructions of  $\mathbb{Q}_p$ :

Analytic

Algebraic

$\mathbb{Q}$  has its  $p$ -adic abs. value

$|\cdot|_p$  given by

$$\left| p^n \cdot \frac{a}{b} \right|_p = p^{-n} \text{ where}$$

$$(ab, p) = 1.$$

$\mathbb{Q}_p =$  completion of  $\mathbb{Q}$   
w.r.t.  $|\cdot|_p$  (Cauchy sequences  
modulo  $\sim$ )

eg:  $\mathbb{Q}_p \ni 1 + p + p^2 + \dots$

$|\cdot|_p$  extends to  $\mathbb{Q}_p$ , and

we define

$$\mathbb{Z}_p = \{x \in \mathbb{Q}_p \mid |x|_p \leq 1\}$$

(check:  $\mathbb{Q}_p$  is a field.  $\mathbb{Z}_p$  is a ring.  
 $\text{Frac}(\mathbb{Z}_p) = \mathbb{Q}_p$ ).

"inverse limit"

$$\mathbb{Z}_p = \varprojlim \mathbb{Z}/p^n$$

$$:= \left\{ (\dots, a_n, a_{n-1}, \dots, a_1) \mid \begin{array}{l} a_i \in \mathbb{Z}/p^i \text{ and } \forall i \geq 2, \\ a_i \equiv a_{i-1} \pmod{p^{i-1}} \end{array} \right\}$$

• Ring under component-wise  $+$ ,  $\cdot$

•  $\mathbb{Z}_p$  is an integral domain. Set

$$\mathbb{Q}_p := \text{Frac}(\mathbb{Z}_p)$$

$p$ -adic valuation

$$v_p: \mathbb{Q}_p \rightarrow \mathbb{Z} \cup \{\infty\} \quad \mathbb{Q}_p^\times \rightarrow \mathbb{Z}$$

$0 \mapsto \infty$

$$(\dots, a_n, a_{n-1}, \dots, a_1) \mapsto \max \{n \mid a_n = 0\}.$$

$\mathbb{Z}_p \quad \mathbb{Z}/p^n$

extended to  $\mathbb{Q}_p$  by

defining

$$v_p\left(\frac{a}{b}\right) = v_p(a) - v_p(b)$$

for  $a, b \in \mathbb{Z}_p$  ( $b \neq 0$ )

The relation between  $|\cdot|_p$  and  $v_p$  is

$$|x|_p = p^{-v_p(x)} \quad (\text{for any } x \in \mathbb{Q}_p).$$

Quadratic form /  $\mathbb{Q}_p$  Need not have non-zero solution

$$(C_f(\mathbb{Q}_p) = \emptyset).$$

Example:  $f(x, y, z) = x^2 + 3y^2 - 15z^2 \in \mathbb{Q}_3[x, y, z]$

Suppose  $(a_0, a_1, a_2) \in \mathbb{Q}_3^3 \setminus 0$  satisfies

$$f(a_0, a_1, a_2) = 0.$$

Scaling by some  $3^n$  we may assume  $(a_0, a_1, a_2) \in \mathbb{Z}_3^3$   
and at least one  $v_3(a_2) = 0$ .

$$a_0^2 = -3a_1^2 + 15a_2^2 \Rightarrow v_3(a_0) > 0. \text{ Since } v_3 \text{ is a}$$

$$\text{hom, } v_3(-3a_1^2 + 15a_2^2) = v_3(a_0^2) > 2$$

$$\Rightarrow v_3(-a_1^2 + 5a_2^2) > 1$$

Reduce mod 3:  $a_1^2 \equiv 5a_2^2 \pmod{3}$ , which is impossible

$(5 \notin (\mathbb{Z}/3\mathbb{Z})^{\times})^2$ , since we've assumed some  $v_3(a_2) = 0$ .

Remarks: (1) In particular,  $C_f(\mathbb{Q}) = \emptyset$ : the easiest way to show an equation has no  $\mathbb{Q}$  solutions is to show it has no  $\mathbb{Q}_p$  (for some  $p$ ) solutions or no  $\mathbb{R}$  solutions

(2) The issue in this example was that while  $C_f$  is nonsingular,  $C_{f \pmod{p}}$  is singular.

If we avoid this, we'll get solutions in  $\mathbb{Q}_p$

"lifting" solutions in  $\mathbb{F}_p$ , as we'll see next.

# Hensel's Lemma

Version 1: (one variable) Let  $f(x) \in \mathbb{Z}_p[x]$

Let  $a \in \mathbb{Z}_p$  such that  $f(a) \equiv 0 \pmod{p}$   
and  $f'(a) \not\equiv 0 \pmod{p}$

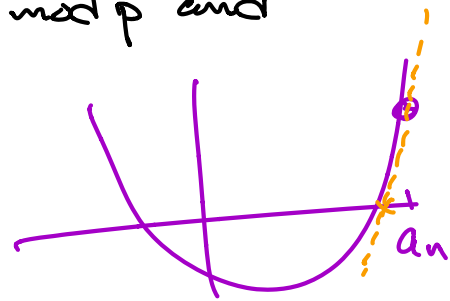
Then  $\exists! a' \in \mathbb{Z}_p$  such that  $a' \equiv a \pmod{p}$  and  $f(a') = 0$ . (in  $\mathbb{Z}_p$ ).

(on the <sup>1st year</sup> sets) Newton's method!

Recursively define  $a_1 = a$

$$a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$$

show that  $\lim_{n \rightarrow \infty} a_n = a'$  works.



Refined version: (on problem set)

Assume  $f(a) \equiv 0 \pmod{p^n}$  and that  $v_p(f'(a)) = k$  where  $n > 2k$ .

Then there is a unique  $a' \in \mathbb{Z}_p$  such that  $f(a') = 0$  and  $a' \equiv a \pmod{p^{n-k}}$ .

Example:  $p=2$ .  $f(x) = x^2 + 7 \in \mathbb{Z}_2[x]$ .

Take  $a=1$ .  $f(1) \equiv 0 \pmod{2^3}$  and  $v_2(f'(1)) = 1$   
 $3 > 2 \cdot 1$ , so refined Hensel shows  $\exists! a' \in \mathbb{Z}_2$   
such that  $(a')^2 = -7$  and  $a' \equiv 1 \pmod{4}$ .

Version 2: Let  $f(\underline{x}) \in \mathbb{Z}_p[x_0, \dots, x_n]$ , and suppose  
there exists  $a = (a_0, \dots, a_n) \in \mathbb{Z}_p^{n+1}$  and  $j$ ,  $0 \leq j \leq n$ ,  
such that  $v_p(f(a)) > 2 \cdot v_p\left(\frac{\partial f}{\partial x_j}(a)\right)$ .

Then  $\exists a' \in \mathbb{Z}_p^{n+1}$  such that  $f(a') = 0$  and  
 $a' \equiv a \pmod{p^{v_p(f(a)) - v_p\left(\frac{\partial f}{\partial x_j}(a)\right)}}$

Pf: The case  $n=0$  is literally the last result.

In general, we just vary  $a_j$ :  $f(a_0, a_1, \dots, a_{j-1}, x_j, a_{j+1}, \dots, a_n) \in \mathbb{Z}_p[x_j]$  satisfies the hypotheses of the previous version, so  $\exists a'_j \in \mathbb{Z}_p$ :  $a'_j \equiv a_j \pmod{p^{v_p(f(a)) - v_p\left(\frac{\partial f}{\partial x_j}(a)\right)}}$  such that  $f(a_0, \dots, a_{j-1}, a'_j, a_{j+1}, \dots, a_n) = 0$ .  $\checkmark$

Cor Let  $f(\underline{x}) \in \mathbb{Z}_p[x_0, \dots, x_n]$ . Suppose  $\bar{a} \in \mathbb{F}_p^{n+1}$  is  
a simple zero of  $f(\underline{x}) \pmod{p} \in \mathbb{F}_p[x_0, \dots, x_n]$ : that is,  
 $\frac{\partial f}{\partial x_j}(\bar{a}) \neq 0$  for at least one  $j$

Then  $\bar{a}$  lifts to a zero  $a \in \mathbb{Z}_p^{n+1}$  of  $f$ .

(Special case of Version 2).

← note this hypothesis says that the affine hypersurface over  $\mathbb{F}_p$

$\{f \pmod{p} = 0\} \subset \mathbb{A}^{n+1}$  is non-singular at  $\bar{a}$ .

Cor:  $p \neq 2$ . Let  $f(x) = \sum_{i,j=0}^n a_{ij} x_i x_j \in \mathbb{Z}_p[x_0, \dots, x_n]$  with

$a_{ij} = a_{ji} \forall i,j$  be a quadratic form with  $\det(a_{ij}) \in \mathbb{Z}_p^*$ .

Fix  $c \in \mathbb{Z}_p$  (e.g.  $c=0$ ). Suppose  $\underline{a} \in \mathbb{Z}_p^{n+1} = (\underline{a}_0, \dots, \underline{a}_n)$  is a

primitive solution to  $f(x) = c \pmod{p}$ , meaning not every  $a_i$  is divisible by  $p$ . ( $\Leftrightarrow a \pmod{p} \neq 0$ )

Then  $\exists$  a solution  $a' \in \mathbb{Z}_p^{n+1} : a' \equiv a \pmod{p}$  and  $f(a') = c$

PF:  $\frac{\partial f}{\partial x_i} = 2 \sum_{j=0}^n a_{ij} x_j$  so if  $\frac{\partial f}{\partial x_i}(\underline{a}) \equiv 0 \pmod{p}$

$\forall i$ , then  $[a_{ij}] \begin{bmatrix} \underline{a}_0 \\ \vdots \\ \underline{a}_n \end{bmatrix} = 0 \pmod{p}$ , so  $\det(a_{ij}) \equiv 0 \pmod{p}$ ,

a contradiction. But then win by previous corollary.

Cor:  $p=2$ . Let  $f(x) \in \mathbb{Z}_2[x_0, \dots, x_n]$  be a quadratic

form, and fix  $c \in \mathbb{Z}_2$ . Suppose  $a \in \mathbb{Z}_p^{n+1}$  is a primitive

solution to  $f(x) = c \pmod{8}$ . Then  $\exists a' \equiv a \pmod{4}$  such that

$f(a') = c$  provided  $\left( \frac{\partial f}{\partial x_j}(a) \pmod{4} \right)_j$  is not the zero vector

(in particular, if  $\det a_{ij} \in \mathbb{Z}_2^*$ ).

(similar to above — left to you).

---

Rmk: Replace  $\mathbb{Q}_p$  by  $\mathbb{R}$ . Then the situation is clear: up to a linear

change of var, every nondegenerate quadratic form in  $n$  variables is equivalent to  $x_1^2 + \dots + x_r^2 - y_1^2 - \dots - y_s^2$  for some  $0 \leq r, s \leq n$ ,  $r+s=n$ .

$f(a) = 0$  has a solution in  $\mathbb{R}^{n+1} \setminus \{0\}$  (or in  $\mathbb{P}^n(\mathbb{R})$ )

$\Leftrightarrow r$  and  $s$  are both non-zero.

Example: Checking for what  $p$  a quadratic form /  $\mathbb{Q}$  has a non-zero solution in  $\mathbb{Q}_p$ .

$$\text{Let } f(x, y, z) = x^2 - 13y^2 + 17z^2 \rightsquigarrow C_f \hookrightarrow \mathbb{P}^2$$

$C_f(\mathbb{R}) \neq \emptyset : [\sqrt{13}, 1, 0]$ . For primes  $p$ , we'll use Hensel's Lemma + analysis over  $\mathbb{F}_p$ .

For  $p \neq 2, 13, 17$ ,  $C_{f \bmod p}$  is non-singular, so it suffices to find a primitive solution mod  $p$  (for  $p \neq 2, 13, 17$ ) — then Hensel forces a  $p$ -adic solution.

We've already seen (pigeonhole) that these primitive solutions exist — so  $C_f(\mathbb{Q}_p) \neq \emptyset$  for  $p \neq 2, 13, 17$ .

$p=13$ : (note  $[0, 1, 0]$  is a singular point of  $C_{f \bmod 13}$ )

$f \bmod 13 = x^2 + 4z^2$ , so  $[3, 0, 1]$  is a solution to  $f \bmod 13$ , and  $\frac{\partial f}{\partial x}$  or  $\frac{\partial f}{\partial z}$  at this point is  $\neq 0$ , so Hensel  $\Rightarrow C_f(\mathbb{Q}_{13})$ .

$p=17$ : similarly  $[8, 1, 0]$  lifts to a 17-adic solution

$p=2$ :  $f \bmod 8$   $f(2, 1, 1) \equiv 0 \bmod 8$  and

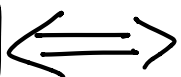
$v_2\left(\frac{\partial f}{\partial y}(2, 1, 1)\right) = 1$ , so we win again. Conclusion:

$\forall p, C_f(\mathbb{Q}_p) \neq \emptyset$  and  $C_f(\mathbb{R}) \neq \emptyset$ .

Question: For this  $f$ , is  $C_f(\mathbb{Q}) \neq \emptyset$ ? The 3-variable case of the Hasse-Minkowski thm says YES:

Thm Let  $f \in \mathbb{Q}[X_0, \dots, X_n]$  be a non-degenerate quadratic form. Then

$$\exists a \in \mathbb{Q}^{n+1} \setminus \{0\} : f(a) = 0$$



$$\forall p, \exists a_p \in \mathbb{Q}_p^{n+1} \setminus \{0\} : f(a_p) = 0$$

and

$$\exists a_\infty \in \mathbb{R}^{n+1} \setminus \{0\} : f(a_\infty) = 0$$