

- 1) Classical context of Bernoulli numbers:  $1^m + 2^m + \dots + n^m$
  - 2)  $S(2n)$ ,  $n \in \mathbb{N}$ , and B. numbers
  - 3) Analytic properties of  $\zeta$
  - 4)  $p$ -adic properties of B. #'s: denominator primes  
numerator primes (Hecke and Ribet; don't prove)  
Kummer congruences and  $p$ -adic interpolation of  $\zeta$ .
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Fix  $m \in \mathbb{Z}_{\geq 1}$ . Set  $S_m(n) = 1^m + 2^m + \dots + (n-1)^m$

Closed formula?  $S_1(n) = 1 + \dots + n-1 = \frac{(n-1)n}{2} = \frac{n^2}{2} - \frac{n}{2}$

$$S_2(n) = 1^2 + \dots + (n-1)^2 = \frac{(n-1)n(2n-1)}{6} = \frac{(n^2-n)(2n-1)}{6} = \frac{n^3}{3} - \frac{n^2}{2} + \frac{n}{6}$$

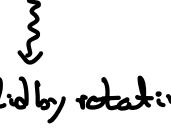
$$S_3(n) = 1^3 + \dots + (n-1)^3 = \left[ \frac{(n-1)n}{2} \right]^2 = \frac{n^4}{4} - \frac{n^3}{2} + \frac{n^2}{4}$$

i?

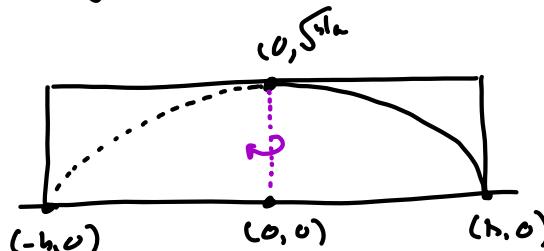
Before symbolic algebra, some special cases were used to compute areas (Archimedes); a general inductive approach to determining  $S_m(n)$  in terms of  $S_{m-1}, \dots, S_1$  was given by Ibn al-Haytham. Some context:



Arch. computed these volumes

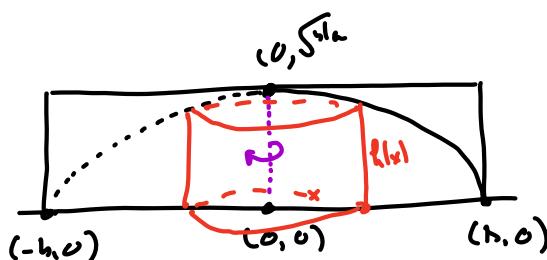
 solid by rotating

Ibn al-Haytham computed these (as  $8/15$  the volume of an inscribing cylinder)



$$x-b = -ay^2$$

Compute the integral by cylindrical shells



$$\text{cylinder volume} = \sqrt{\frac{b}{a}} \cdot \pi b^2$$

rotated parabola volume

$$= \int_0^b 2\pi x h(x) dx = \int_0^b 2\pi x \sqrt{-\frac{x}{a} + \frac{b}{a}} dx$$

$$\text{Let } u = \sqrt{b-x} \quad \frac{du}{dx} = \frac{-1}{2\sqrt{b-x}}$$

$$= \frac{2\pi}{\sqrt{a}} \int_{\sqrt{b}}^0 (b-u^2) u (-2u) du$$

$$= \frac{4\pi}{\sqrt{a}} \int_{\sqrt{b}}^0 bu^2 - u^4 du$$

$$= \frac{4\pi}{\sqrt{a}} \left[ b \frac{n^3}{3} - \frac{n^5}{5} \right]_0^{\sqrt{b}} = \frac{4\pi}{\sqrt{a}} b^{5/2} \cdot \left( \frac{1}{3} - \frac{1}{15} \right) = \frac{8\pi b^2}{15} \sqrt{b/a} = \frac{8}{15} \text{ cylinder.}$$

Note that to do the Riemann integral you would use a formula for  $\sum_{i=1}^n i^4$ , and Ibn al-Haytham computed inductively - we'll give modern version now.

$$S_m(n) = 1^m + \dots + (n-1)^m$$

$$(k+1)^{m+1} - k^{m+1} = \sum_{i=0}^m \binom{m+1}{i} k^i. \quad \text{Sum } k=0, \dots, n-1: \quad (\text{when } k=0, R+S := \frac{1}{1}, \text{ and then } S_0(n) := \sum_{k=0}^{n-1} 1 = n)$$

$$n^{m+1} = \sum_{i=0}^m \binom{m+1}{i} S_i(n), \text{ so } S_m(n) \text{ determined recursively:}$$

$$S_m(n) = \frac{n^{m+1}}{m+1} - \sum_{i=0}^{m-1} \frac{\binom{m+1}{i} S_i(n)}{m+1}, \text{ and then we easily see by induction}$$

that  $S_m(n)$  is a polynomial in  $n$  of degree  $m+1$ , leading coeff.  $\frac{1}{m+1}$ , and no constant term.

$$\begin{aligned} \text{Example: } S_4(n) &= \frac{n^5}{5} - \frac{1}{5} \left[ n + 5S_1(n) + 10S_2(n) + 10S_3(n) \right] \\ &= \frac{n^5}{5} - \frac{1}{5} \left( n + 5 \frac{n^2 - 5n}{2} + 10 \frac{n^3}{3} - 5n^2 + \frac{5n}{3} + \frac{5n^4}{2} - 5n^3 + \frac{5n^2}{2} \right) \\ &= \frac{n^5}{5} - \frac{n^4}{2} + \underbrace{\left( \frac{2}{3} + 1 \right) n^3}_{0} + \left( -\frac{1}{2} + 1 - \frac{1}{2} \right) n^2 + \left( -\frac{1}{5} + \frac{1}{2} - \frac{1}{3} \right) n \end{aligned}$$

First Defn of Bernoulli #:  $\forall m \geq 0$ , let  $B_m = \text{coefficient of } n = m$

$S_m(n)$  (with convention  $S_0(n) = n$ , so  $B_0 = 1$ ).

$$\text{Ex: } B_0 = 1 \quad B_1 = -\frac{1}{2} \quad B_2 = \frac{1}{4} \quad B_3 = 0 \quad B_4 = -\frac{1}{30}, \dots$$

$$\begin{aligned} B_4 \quad n^{m+1} &= \sum_{i=0}^m \binom{m+1}{i} S_i(n), \quad 0 = \sum_{i=0}^m \binom{m+1}{i} B_i \quad (\text{compare coeff of } n), \\ \text{so } (m+1)B_m &= - \sum_{i=0}^{m-1} \binom{m+1}{i} B_i \end{aligned}$$

and we obtain

2nd Defn:  $B_0 = 1$ , and given  $B_0, \dots, B_{m-1}$ , we define  $B_m$  by boxed formula.

$$\begin{aligned} B_5 &= -\frac{1}{6} (B_0 + 6B_1 + 15B_2 + 20B_3 + 15B_4) \\ &= -\frac{1}{6} \left( 1 - 3 + \frac{5}{2} + 0 - \frac{1}{2} \right) = 0. \end{aligned}$$

The important observation (Jakob Bernoulli & Takakazu Seki) is that all coefficients of  $S_m(n)$  are expressible in terms of  $B_k$ 's:

**Thm:** For any  $m \in \mathbb{Z}_{\geq 1}$ ,

$$(m+1)S_m(n) = \sum_{k=0}^m \binom{m+1}{k} B_k n^{m+1-k}$$

$$\text{Eg: } 5S_4(n) = B_0 n^5 + 5B_1 n^4 + 10B_2 n^3 + 10B_3 n^2 + 5B_4 n$$

$$\rightarrow S_4(n) = \frac{n^5}{5} - \frac{n^4}{2} + \frac{n^3}{3} - \frac{n}{30} \quad (\text{but now much easier})$$

For a quick proof, note: (removable singularity at 0)

Lemma / 3rd defn of  $B_m$ 's:  $\frac{t}{e^t - 1} = \sum_{m=0}^{\infty} b_m \frac{t^m}{m!}$  for some  $b_m$ . Then  $b_m = B_m$   $\forall m \in \mathbb{Z}_{\geq 0}$

Pf:  $t = \sum_{n=1}^{\infty} \frac{t^n}{n!} \sum_{m=0}^{\infty} b_m \frac{t^m}{m!}$ . Equate coefficients:

$$1 = b_0, 0 = \frac{1}{2!} b_0 + \frac{1}{1!} b_1, \dots, 0 = \sum_{j=0}^{m+1, m>1} \frac{b_j}{j!} \cdot \frac{1}{(m+1-j)!}, \text{ which } -(m+1)!$$

gives  $0 = \sum_{j=0}^m \binom{m+1}{j} b_j$ , the same recursion defining  $B_m$ . \blacksquare

Cor: For  $m \geq 1$ ,  $B_{2m+1} = 0$ .

Pf:  $\frac{t}{e^t - 1} + \frac{t}{2} = 1 + \sum_{m=2}^{\infty} B_m \frac{t^m}{m!}$ . LHS := an even function ( $\frac{-te^t}{1-e^t} - \frac{t}{2} = -t \frac{(2e^t + 1 - e^t)}{2(1-e^t)} = \frac{t}{2} \left( \frac{e^t + 1}{e^t - 1} \right) = \frac{t}{2} \left( \frac{2}{e^t - 1} + 1 \right) = \text{LHS}$ ),

so the odd Taylor coeff's of LHS must be 0. \blacksquare

Back to Thm.

Pf of Thm: For  $k=0, 1, \dots, n-1$ , add the equations

$$e^{kt} = \sum_{m=0}^{\infty} k^m \frac{t^m}{m!}, \dots$$

$$1 + e^t + e^{2t} + \dots + e^{(n-1)t} = \sum_{m=0}^{\infty} S_m(n) \frac{t^m}{m!}$$

$$\frac{e^{nt}-1}{e^t-1} = \frac{e^{nt}-1}{t} \cdot \frac{t}{e^t-1} = \sum_{i=1}^{\infty} n^i \frac{t^{i-1}}{i!} \cdot \sum_{j=0}^{\infty} B_j \frac{t^j}{j!}$$

and comparing coeffs. of  $t^m$ ,

$$\frac{S_m(n)}{m!} = \sum_{j=0}^m \frac{B_j}{j!} \frac{n^{m-j+1}}{(m-j+1)!} \quad \text{Multiply by } (m+1)!$$

$$(m+1)S_m(n) = \sum_{j=0}^m \binom{m+1}{j} B_j n^{m-j+1} \quad \blacksquare$$

\* The next major development, and really the starting-point for this course, is Euler's discovery of relation between  $B_{2n}$  and  $S(2n)$  ( $n \in \mathbb{Z}_{\geq 1}$ ).

Here's some craziness. For  $k \geq 2$ , let's compute

$$\sum_{n=1}^{\infty} n^{k-1} = \sum_{n=1}^{\infty} \left[ \left( \frac{d}{dt} \right)^{k-1} e^{nt} \right]_{t=0}$$

$$\begin{aligned}
 &= \left[ \left( \frac{d}{dt} \right)^{k-1} \sum_{n=1}^{\infty} e^{nt} \right] \Big|_{t=0} \\
 &= \left[ \left( \frac{d}{dt} \right)^{k-1} \left( \frac{1}{1-e^t} - 1 \right) \right] \Big|_{t=0} \\
 &= \left[ \left( \frac{d}{dt} \right)^{k-1} \left( -\frac{1}{t} \cdot \left( \frac{t}{e^t - 1} \right) \right) \right] \Big|_{t=0} \quad \left( \frac{d}{dt}(1) = 0 \right) \\
 &= \left[ \left( \frac{d}{dt} \right)^{k-1} \sum_{n=0}^{\infty} -\frac{1}{t} \cdot \frac{B_n t^n}{n!} \right] \Big|_{t=0} . \quad \text{If you pretend}
 \end{aligned}$$

the  $n=0$  term is not there, you get

$$\left[ \left( \frac{d}{dt} \right)^{k-1} \sum_{n=1}^{\infty} -\frac{B_n}{n} \cdot \frac{t^{n-1}}{(n-1)!} \right] \Big|_{t=0} = -\frac{B_k}{k} .$$

We'll show this is "correct," but it will take a lot of work.

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Defn: Let  $s \in \mathbb{C}$ . The series

$\sum_{n=1}^{\infty} \frac{1}{n^s}$  converges absolutely, and uniformly on compact subsets, in the domain  $\{s \in \mathbb{C} \mid \operatorname{Re}(s) > 1\}$ .

For  $\operatorname{Re}(s) > 1$ , we define

$\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  to be the resulting function.

Don't worry if complex exponential is not familiar.

For  $a \in \mathbb{R}_{>0}$  and  $s \in \mathbb{C}$ , we define

$a^s = e^{\log a \cdot s}$  by the convergent series

$$\sum_{k=0}^{\infty} \frac{(\log a)^k s^k}{k!}$$

or equivalently by  $s = \sigma + it$ ,  $a^s = e^{\sigma \log a} \cdot \underbrace{e^{it \log a}}_{\cos(t \log a) + i \sin(t \log a)}$

Then  $|1 \frac{1}{n^s}| = \frac{1}{n^\sigma}$ , and so absolute convergence for  $\sigma > 1$  is familiar.

Euler's Theorem: For all  $m \in \mathbb{Z}_{\geq 1}$ ,

$$\zeta(2m) = (-1)^m \pi^{2m} \frac{2^{2m-1}}{(2m-1)!} \left( \frac{-B_{2m}}{2^m} \right)$$

( $\in \pi^{2m} \cdot \mathbb{Q}$ ; we've written it this way for reasons that will become clear later).

Our crazy calculation was somehow " $\zeta(1-2m)$ ", which will take time to make sense of.

What's ahead: Give 2 proofs of E's thm, introducing some important tools along the way.

Some complex analysis

Some Fourier analysis

## § Fourier analysis approach to the theorem

(Prereqs: basic theory of series; Riemann integration.)

There are many ways. We'll give two.

Let  $f: [a,b] \rightarrow \mathbb{C}$  be Riemann integrable. Set  $L = b-a$

Defn:  $\forall n \in \mathbb{Z}$ ,  $\hat{f}(n) = \frac{1}{L} \int_a^b f(x) e^{-2\pi i nx/L} dx$  is the  $n^{\text{th}}$  Fourier coefficient of  $f$ .

$\sum_{n \in \mathbb{Z}} \hat{f}(n) e^{2\pi i nx/L}$  is the Fourier series of  $f$ . No claim

about convergence is implied — this is a formal expression now.

Example:  $[a,b] = [-\pi, \pi]$ ,  $L = 2\pi$ .

$[-\pi, \pi] \xrightarrow{f} \mathbb{R}$  defined on  $|x| \leq \pi$  by  $f(x) = |x|$

(so  $f$  factors through continuous  $\overline{\mathbb{II}} \rightarrow \mathbb{R}$ )

$$\hat{f}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(y) e^{-iny} dy = \frac{1}{2\pi} \int_0^\pi y e^{-iny} dy + \frac{1}{2\pi} \int_{-\pi}^0 (-y) e^{-iny} dy$$

$$n=0: \frac{1}{2\pi} \left[ \pi^2/2 - (-\pi^2/2) \right] = \pi/2$$

$$n \neq 0: \text{Use } \int y e^{-iny} dy = y \frac{e^{-iny}}{-in} - \int \frac{e^{-iny}}{-in} dy = -ye^{-iny} \Big|_{in} + \frac{1}{n^2} e^{-iny}$$

$$\text{So } \hat{f}(n) = \frac{1}{2\pi} \left[ \left( \frac{-\pi(-1)^n}{in} + \frac{1}{n^2} ((-1)^n - 1) \right) \Big|_0^\pi \right]$$

$$+ \left[ \frac{ye^{-iny}}{in} - \frac{1}{n^2} e^{-iny} \right]_{-\pi}^0 \Big|_0^\pi$$

$$- \frac{1}{n^2} - \left[ \frac{-\pi(-1)^n}{in} - \frac{1}{n^2} ((-1)^n - 1) \right]$$

$$= \frac{1}{2\pi} \left( \frac{2}{n^2} \right) ((-1)^n - 1) = \begin{cases} 0 & n \text{ even} \\ \frac{2}{\pi n^2} & n \text{ odd} \end{cases}$$

SUPPOSE that the Fourier series  $\sum_{n \in \mathbb{Z}} \hat{f}(n) e^{inx}$

converges to  $f(x)$  in the sense that

$$\lim_{N \rightarrow \infty} S_N(f)(x) = f(x) \quad \forall x \in [-\pi, \pi], \text{ where } S_N(f)(x) = \sum_{n=-N}^N \hat{f}(n) e^{inx}.$$

That is,

$$(|x| \leq \pi) \quad |x| = \sum_{n \in \mathbb{Z}} \hat{f}(n) e^{-\pi i n x}. \quad \text{Taking } x=0:$$

$$0 = 2 \sum_{\substack{n \text{ odd} \\ \geq 1}} \frac{-2}{\pi n^2} + \frac{\pi}{2}, \text{ or equivalently}$$

$$\sum_{\substack{n \text{ odd} \\ \geq 1}} \frac{1}{n^2} = \frac{\pi^2}{8}. \quad \text{Set } E = \sum_{\substack{n \text{ even} \\ \geq 1}} \frac{1}{n^2}, \quad O = \sum_{\substack{n \text{ odd} \\ \geq 1}} \frac{1}{n^2}$$

$$\frac{1}{4}(E+O) = E, \text{ so } E = \frac{O}{3}, \text{ and } E+O = \pi^2 \left( \frac{1}{8} + \frac{1}{24} \right) = \pi^2/6$$

$$\underline{\text{Conclusion: }} \zeta(2) = \frac{\pi^2}{6} = \pi^2 \cdot \frac{2^1}{1!} \frac{B_2}{2}, \text{ as expected.}$$

How do we ensure the convergence? Subtle problem in general, and Fourier analysis spends a great deal of effort deciding what classes of functions have Fourier series that "converge" in one sense or another.

We use only the following simple criterion:

Thm: Suppose  $f: [a,b] \rightarrow \mathbb{C}$   $= [-\pi, \pi]$  wma is continuous and  $f(a) = f(b)$

(so  $f$  defines a cts function on circle). Also suppose  $\sum_{n \in \mathbb{Z}} |\hat{f}(n)| < \infty$ .

Then  $\lim_{N \rightarrow \infty} (\sum_N f)(x) = f(x)$ , uniformly in  $x$

In our example,  $\sum |\hat{f}(n)| < \infty$  since  $\sum \frac{1}{n^2}$  converges, so the thm. completes the calculation of  $S(2)$ .

Note that if  $\{a_n\}_{n \in \mathbb{Z}}$  satisfies

$$\lim_{N \rightarrow \infty} \sum_{n=-N}^N a_n = -\infty$$

$\sum |a_n| < \infty$ , then we can define  $S_N(x) =$

$\sum_{n=-N}^N a_n e^{inx}$  and check  $S_N$  converges uniformly to some continuous fun, with  $\hat{g}(n) = a_n$ . Apply w/  $a_n = \hat{f}(n)$ . We still

Should we prove the theorem? It follows from  $\{\hat{f}(n)\}$  determines  $f$ .

**Thm** Let  $f$  be an integrable function on the circle. Suppose

$\hat{f}(n) = 0 \quad \forall n \in \mathbb{Z}$ . Then for any  $x_0$ :  $f$  is continuous at  $x_0$ ,

$f(x_0) = 0$ .

(Rmk: No generality lost by restricting to  $[a, b] = [-\pi, \pi]$ , i.e  $f: [-\pi, \pi] \rightarrow \mathbb{C}$  is integrable and  $f(-\pi) = f(\pi)$ ;  $f$  is not assumed continuous. The "circle" here is  $[-\pi, \pi]/_{-\pi \sim \pi}$ , or  $\mathbb{R}/2\pi\mathbb{Z}$ .)

Cor: Two continuous functions on circle with same Fourier coefficients are equal. In particular, if  $f: [-\pi, \pi] \rightarrow \mathbb{C}$  is continuous &  $f(-\pi) = f(\pi)$ , and  $\sum |\hat{f}(n)| < \infty$ , then

$g(x) = \sum_{n \in \mathbb{Z}} \hat{f}(n) e^{inx}$  (really  $\lim_{N \rightarrow \infty} (\sum_N f)(x)$ ) is continuous,

because  $(\sum_N f)(x))_{N=1}^\infty$  converges uniformly to  $g(x)$ .

Then also  $\hat{g}(m) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \sum_{n \in \mathbb{Z}} \hat{f}(n) e^{inx} \cdot e^{-imx} dx$

→ by Weierstrass test,  
since  $|\hat{f}(n)e^{inx} + \hat{f}(-n)e^{-inx}|$

$$= \sum_{n \in \mathbb{Z}} \frac{1}{2\pi} \int_{-\pi}^{\pi} \hat{f}(n) e^{i(m-n)x} dx = \hat{f}(m) \quad \forall m \in \mathbb{Z}.$$

$\leq |\hat{f}(n)| + |\hat{f}(-n)|$   
and  $\sum_{n \in \mathbb{Z}} |\hat{f}(n)| < \infty$  converges.  
so  $|\sum_{n \in \mathbb{Z}} \hat{f}(n)e^{inx}|$

By 1st sentence of the Cor,  $f(x) = g(x)$  identically.

$\forall p \in \mathbb{Z}$ :  $\sum_{n \in \mathbb{Z}} \hat{f}(n)e^{inx} = \sum_{n \in \mathbb{Z}} \hat{f}(n)e^{i(n+p)x}$   
 $\Rightarrow |\sum_{n \in \mathbb{Z}} \hat{f}(n)e^{inx} - \sum_{n \in \mathbb{Z}} \hat{f}(n)e^{i(n+p)x}| = 0$

Another route to Euler's theorem: the partial fraction expansion of  $\cot(z)$ .

Thm For all  $z \in \mathbb{C} \setminus \pi\mathbb{Z}$ ,

$$\cot(z) = \frac{1}{z} + 2 \sum_{n=1}^{\infty} \frac{z}{z - n^2\pi^2} \quad (\text{absolute convergence})$$

You can either restrict to  $z \in \mathbb{R}$ , all that's needed for Euler, or

$$\text{define } \cot(z) = \frac{\cos(z)}{\sin(z)} = \frac{e^{iz} + e^{-iz}}{e^{iz} - e^{-iz}} = \frac{e^{iz} + e^{-iz}}{2i}$$

$$\left( \begin{array}{l} e^{iz} = \cos(z) + i\sin(z) \\ e^{-iz} = \cos(z) - i\sin(z) \end{array} \right).$$

$$\begin{aligned} \text{Thus } z \cot(z) &= 1 + 2 \sum_{n=1}^{\infty} \frac{z^2}{z^2 - n^2\pi^2} = 1 + 2 \sum_{n=1}^{\infty} \frac{-z^2}{n^2\pi^2(1 - (\frac{z}{n\pi})^2)} \\ &= 1 + 2 \sum_{n=1}^{\infty} \frac{-z^2}{n^2\pi^2} \cdot \sum_{m=0}^{\infty} \left(\frac{z}{n\pi}\right)^{2m} = 1 - 2 \sum_{m=1}^{\infty} \frac{z^{2m}}{\pi^{2m}} \cdot S(2m) \end{aligned}$$

But we also have

$$\begin{aligned} z \cot z &= iz \frac{e^{2iz} + 1}{e^{2iz} - 1} = iz + iz \frac{2}{e^{2iz} - 1} = iz + \sum_{m=0}^{\infty} B_m \frac{(2iz)^m}{m!} \\ &= 1 + \sum_{m=2}^{\infty} B_m \frac{(2iz)^m}{m!} \end{aligned}$$

And so, by the miracle of comparing coefficients, we find

$$\frac{2^m i^m}{m!} B_m = -2 \frac{S(2m)}{\pi^{2m}}, \text{ i.e.}$$

$$\text{Cor: (Euler's theorem)} \quad S(2m) = (-1)^m \frac{2^{2m-1} \pi^{2m}}{(2m-1)!} \left(-\frac{B_{2m}}{2m}\right).$$

Pf of Thm: (via Fourier analysis)

For  $\alpha \in \mathbb{C} \setminus \mathbb{Z}$ , consider  $f: [-\pi, \pi] \rightarrow \mathbb{C}$  defined by  $f(x) = \cos(\alpha x)$

Note that  $f(-\pi) = f(\pi)$  since  $\cos(\alpha x)$  is even, and on the circle  $f$  is continuous.

$$\hat{f}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \cos(\alpha x) e^{-inx} dx$$

$$\begin{aligned} \int \cos(\alpha x) e^{-inx} dx &= \int \frac{e^{i\alpha x} + e^{-i\alpha x}}{2} e^{-inx} dx \\ &= \frac{1}{2} \left[ \frac{e^{i(\alpha-n)x}}{i(\alpha-n)} + \frac{e^{-i(\alpha+n)x}}{-i(\alpha+n)} \right], \text{ so} \end{aligned}$$

$$\begin{aligned} \hat{f}(n) &= \frac{1}{4\pi} \left( \frac{(-1)^n e^{i\alpha\pi}}{i(\alpha-n)} + \frac{(-1)^n e^{-i\alpha\pi}}{-i(\alpha+n)} - \frac{(-1)^n e^{-i\alpha\pi}}{i(\alpha-n)} - \frac{(-1)^n e^{i\alpha\pi}}{-i(\alpha+n)} \right) \\ &= \frac{1}{2\pi} \left[ \frac{(-1)^n}{i(\alpha-n)} \sin(\alpha\pi) \cdot i - \frac{(-1)^n}{i(\alpha+n)} \sin(-\alpha\pi) i \right] \\ &= \frac{(-1)^n}{2\pi} \left( \frac{\sin(\alpha\pi)}{\alpha-n} + \frac{\sin(-\alpha\pi)}{\alpha+n} \right) = \frac{(-1)^n \alpha}{\pi} \frac{\sin(\alpha\pi)}{\alpha^2 - n^2} \end{aligned}$$

Again ( $\alpha \notin \mathbb{Z}$ ),  $\sum |\hat{f}(n)| < \infty$ , so since  $f$  is continuous, the basic convergence theorem shows that

$\Leftrightarrow f$  is represented by its Fourier series at all  $x \in [-\pi, \pi]$ ,  
 $f(x) = \sum_{n \in \mathbb{Z}} \hat{f}(n) e^{inx}$ . Taking  $x = \pi$ , we get

$$\begin{aligned} \sum_{n \in \mathbb{Z}} \hat{f}(n) (-1)^n &= \cos(\alpha\pi) \\ \frac{\sin(\alpha\pi)}{\pi \alpha} + 2 \sum_{n=1}^{\infty} \frac{\alpha \sin(\alpha\pi)}{\pi(\alpha^2 - n^2)} &, \text{ so} \end{aligned}$$

$$\cot(\alpha\pi) = \frac{1}{\pi\alpha} + 2 \sum_{n=1}^{\infty} \frac{\alpha\pi}{(\alpha\pi)^2 - n^2\pi^2}, \text{ or equivalently,}$$

$$\text{for } z \notin \pi\mathbb{Z}, \cot(z) = \frac{1}{z} + 2 \sum_{n=1}^{\infty} \frac{z}{z^2 - n^2\pi^2} \quad \blacksquare$$

(many other proofs)

Maybe now we have enough motivation to prove the point-wise convergence theorem.  
Recall it follows from (go back up in notes)

Theorem  $\hat{f}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) e^{-inx} dx$  if  $f$  is  $2\pi$ -periodic and integrable.

Let  $x_0$  be a point where  $f$  is continuous. Then  $f(x_0) = 0$ .

Pf: Define a trigonometric polynomial to be a finite sum

$$p(x) = \sum_{n=-N}^N a_n e^{inx} \quad (\text{on other intervals we use } e^{2\pi i n x / \text{length}})$$

[Using the Euler identity  $e^{inx} = \cos(nx) + i\sin(nx)$  and angle sum formulas, you can write these as poly's in  $\cos(x), \sin(x)$ ]

$$\begin{aligned} \text{The assumption } 0 &= \hat{f}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} f(x) e^{-inx} dx \quad \forall n \in \mathbb{Z} \\ \Rightarrow \forall \text{ trig. polys, } \int_{-\pi}^{\pi} f(x) p(x) dx &= 0. \end{aligned}$$

To prove the theorem, wma  $f$  is  $\mathbb{R}$ -valued. Assuming this case, in general write  $f(x) = u(x) + iv(x)$   $u, v$  periodic, integrable,  $\mathbb{R}$ -valued & cts at  $x_0$ .  $\bar{f}(x) = u(x) - iv(x)$ , and  $f(x) + \bar{f}(x) = 2u(x)$  so Fourier coefficients  $\hat{u}(n) = \frac{1}{2} \left\{ \hat{f}(n) + \overline{\hat{f}(n)} \right\}$

$$\hat{\bar{f}}(n) = \frac{1}{2\pi} \int_{-\pi}^{\pi} \bar{f}(x) e^{-inx} dx = \frac{1}{2\pi} \left( \overline{\int_{-\pi}^{\pi} f(x) e^{inx} dx} \right) = \overline{\hat{f}(-n)}$$

So all  $\hat{f}(n) = 0 \Rightarrow$  all  $\hat{\bar{f}}(n) = 0 \Rightarrow$  all  $\hat{u}(n) = 0$ .

Likewise for  $v(x) = \frac{f(x) - \bar{f}(x)}{2i}$ ,  $\hat{v}(n) = 0 \quad \forall n \in \mathbb{Z}$ . By the  $\mathbb{R}$ -case,  $v(x_0) = v(x_0) = 0$ , so  $f(x_0) = 0$ .

So assume  $f: \mathbb{R}/2\pi\mathbb{Z} \rightarrow \mathbb{R}$ , and for a contradiction assume  $f(x_0) \neq 0$ .

Wma  $x_0 = 0$  and  $f(0) > 0$ . We'll find a trig poly  $q(x) : \int_{-\pi}^{\pi} f(x) q(x) dx \neq 0$ .

Idea: construct a family  $p_1, p_2, \dots$  of trig polys where as  $k \rightarrow \infty$   $p_k$  "spikes" more & more at  $0$  ( $= x_0$ ) but remains small away from  $0$ .

$f$  is continuous at  $0$ ,  $f(0) > 0 \Rightarrow \exists \delta > 0 : |x| < \delta \Rightarrow f(x) > \frac{f(0)}{2}$ .

Consider  $p(x) = e + \cos(x)$

Choose  $\epsilon^0$  s.t. when

$\delta \leq |x| \leq \pi$ , we have

$$|p(x)| < 1 - \epsilon/2 \quad (\text{"small"})$$

and  $p(x) > 0$  for  $|x| < \delta$

Also choose  $\eta$ ,

$$0 < \eta < \delta, \text{ s.t.}$$

$$|x| < \eta \Rightarrow p(x) > 1 + \epsilon/2$$

Set  $p_k(x) = p(x)^k$  &  $k \geq 1$ .

(Note  $p_k(0) \rightarrow \infty$  as  $k \rightarrow \infty$ )

We claim  $\int_{-\pi}^{\pi} f(x) p_k(x) dx \rightarrow \infty$  as  $k \rightarrow \infty$ . Then we will,  
 $(\hat{f}(n)=0 \forall n \Rightarrow \text{all three}=0)$

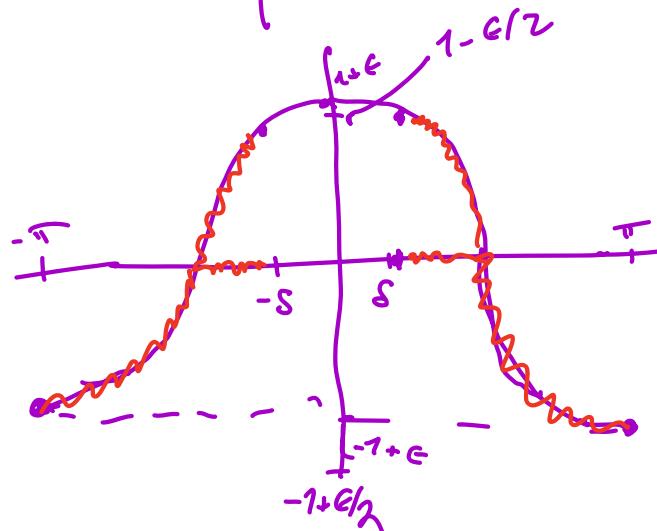
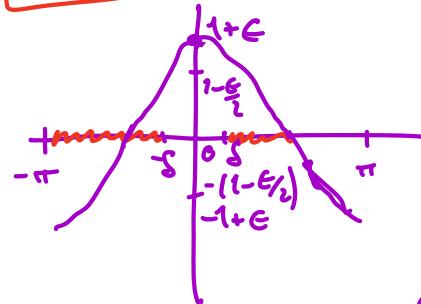
Indeed,  $\int_{-\pi}^{\pi} = \int_{|x| < \eta} + \int_{\eta \leq |x| < \delta} + \int_{\delta \leq |x| \leq \pi}$ .  $f$  is bounded ( $\because$  it is Riemann integrable on  $[-\pi, \pi]$ , so by defn bounded),  $\Rightarrow |f(x)| \leq M \forall x$ .

$$\left| \int_{\delta \leq |x| \leq \pi} f(x) p_k(x) \right| \leq 2\pi M (1 - \frac{\epsilon}{2})^k \quad (\rightarrow 0 \text{ as } k \rightarrow \infty)$$

$$\int_{\eta \leq |x| \leq \delta} f(x) p_k(x) \geq 0 \quad (\text{for } |x| < \delta, f(x) > \frac{f(0)}{2} > 0, \text{ and } p_k(x) > 0)$$

$$\int_{|x| \leq \eta} f(x) p_k(x) \geq 2\eta \frac{f(0)}{2} \cdot \left(1 + \frac{\epsilon}{2}\right)^k \quad (\rightarrow \infty \text{ as } k \rightarrow \infty)$$

The claim is now clear. ■



## § Analytic properties of $\zeta(s)$

We recall some complex function theory: let  $\Omega \subset \mathbb{C}$  be an open set (union of arbitrary open balls), and let  $f: \Omega \rightarrow \mathbb{C}$  be a function.

Defn:  $f$  is holomorphic at  $z_0 \in \Omega$  if

$$\lim_{h \rightarrow 0} \frac{f(z_0+h) - f(z_0)}{h} \text{ exists (then denoted } f'(z_0)).$$

Crucially,  $h \rightarrow 0$  in  $\mathbb{C}$ , so this means  $\forall \epsilon > 0, \exists \delta > 0$  s.t.  $\forall h \in \mathbb{C}$  with  $|h| < \delta$ ,  $\left| \frac{f(z_0+h) - f(z_0)}{h} - f'(z_0) \right| < \epsilon$ .

Eg:  $f(z) = \text{polynomial of } z$  ( $\Omega = \mathbb{C}$ ),

sums, products, compositions and quotients when they make sense.

Non-eq:  $f(z) = \bar{z}$ : taking  $h = x \in \mathbb{R} \rightarrow 0$ ,  $\lim_{x \rightarrow 0} \frac{f(z_0+x) - f(z_0)}{x} = \lim_{x \rightarrow 0} \frac{\bar{z}_0 + x - \bar{z}_0}{x} = 1$

Taking  $h = iy \in i\mathbb{R} \rightarrow 0$ ,  $\lim_{y \rightarrow 0} \frac{\bar{z}_0 - iy - \bar{z}_0}{iy} = -1$ , so the  $\lim_{h \rightarrow 0}$  DNE.

Key eg: Let  $f(z) = \sum_{n=0}^{\infty} a_n z^n$  be a power series with radius of convergence  $R$ . Here  $0 \leq R \leq \infty$  is uniquely determined by the property

- if  $|z| < R$ , the series converges absolutely

- if  $|z| > R$ , " diverges

and explicitly  $\frac{1}{R} = \limsup_n |a_n|^{\frac{1}{n}}$  (with  $\frac{1}{0} = \infty, \frac{1}{\infty} = 0$ )

Then  $f$  is holomorphic on  $D = \{|z| < R\}$ ; moreover,  $\forall z \in D$ ,

$f'(z) = \sum_{n=0}^{\infty} n a_n z^{n-1}$ , where RHS is another power series with the same radius of convergence  $R$ .

Eg:  $f(z) = e^z := \sum_{n=0}^{\infty} z^n / n!$  has  $R = \infty$ , so is holomorphic on all of  $\mathbb{C}$  (likewise  $\cos z, \sin z$ ).

Pf: Just as in the theory of power series of a real variable. —

The remarkable fact, expressing the rigidity nature of holomorphic fns, is that the converse holds: SAY OMIT REST OF PAGE or after Thm

Thm: (Cauchy) Suppose  $f$  is holomorphic on an open set  $\Omega \subset \mathbb{C}$ .

Then all  $f^{(n)}$  exist and are holomorphic on  $\Omega$ . Moreover, for any disc  $D = \{z \in \mathbb{C} \mid |z - z_0| < r\}$  with closure  $\bar{D} \subset \Omega$ ,  $f$  has a convergent power series expansion on  $D$ :

$$f(z) = \sum_{n=0}^{\infty} \frac{f^{(n)}(z_0)}{n!} (z - z_0)^n \quad \forall z \in D.$$

Cauchy proved this by finding a remarkable contour integral expression for  $f$  and its derivatives:

Let  $C = \partial \bar{D} = \{ |z - z_0| = r \}$  ( $\bar{D} \subset \Omega$  as above), positively oriented.

$$\text{Then } \forall z \in D, f(z) = \frac{1}{2\pi i} \int_C \frac{f(\xi)}{\xi - z} d\xi$$

$$\text{and } f^{(n)}(z) = \frac{n!}{2\pi i} \int_C \frac{f(\xi)}{(\xi - z)^{n+1}} d\xi$$



(The meaning of an integral  $\int_C g(\xi) d\xi$  is: parametrize  $C$  by a smooth curve  $\gamma: [a, b] \rightarrow \mathbb{C}$ , e.g.  $\gamma: [0, 1] \rightarrow \mathbb{C}$ ,  $\gamma(t) = z_0 + re^{2\pi it}$ , and set  $\int_C g(\xi) d\xi := \int_a^b g(\gamma(t)) \gamma'(t) dt$ . To be precise, we ask  $\gamma$  only differentiable on  $(a, b)$  and continuous at endpoints. We can also take piecewise smooth  $\gamma$ .)

Sketch Goursat / Morera in hol-cts derivative simple case?

After stating, SAY: the significance of this form will be philosophical—we are going to extend  $\mathbb{C}$  to  $\mathbb{C} \setminus \mathbb{R}$ —but what will characterize the extension?

Holomorphicity condition uniquely characterizes it: two half-fns  $S_1, S_2$  that agree on an open, nonempty subset with a limit point are =

Thm:  $\zeta(s) = \sum_{n=1}^{\infty} n^{-s}$  is holomorphic on  $\operatorname{Re}(s) > 1$ .

More generally, if a Dirichlet series  $\sum_{n=1}^{\infty} \frac{f(n)}{n^s}$  ( $f: \mathbb{N} \rightarrow \mathbb{C}$ ) converges absolutely on  $\operatorname{Re}(s) > \sigma$ , then it defines a holomorphic function in this region.

Pf: •  $\sum_{n=1}^{\infty} \frac{f(n)}{n^s}$  converges uniformly on every compact subset of  $\{\operatorname{Re}(s) > \sigma\}$ ; (even on half-plane)  
 For  $\operatorname{Re}(s) > \sigma + \epsilon$  ( $\text{some } \epsilon > 0$ );  $|\sum_{n=1}^{\infty} \frac{f(n)}{n^s}| \leq \sum_{n=1}^{\infty} \frac{|f(n)|}{n^{\sigma+\epsilon}} = \sum_{n=1}^{\infty} \frac{|f(n)|}{n^{\sigma+\epsilon/2}} \cdot \underbrace{\frac{1}{n^{\epsilon/2}}}_{> 0, \text{ so this is}} \cdot \underbrace{\frac{1}{n^{\operatorname{Re}(s)-\sigma-\epsilon/2}}}_{\leq N}$   
 $\leq \left( \text{the constant } \sum_{n=1}^{\infty} \frac{|f(n)|}{n^{\sigma+\epsilon/2}} \right) \cdot N^{-\epsilon/2} \rightarrow 0 \text{ as } N \rightarrow \infty, \text{ uniformly}$   
 in  $s$ . ( $\operatorname{Re}(s) - \sigma - \epsilon/2 > \sigma/2$ , so  $n^{-\epsilon/2} > n^{-(\operatorname{Re}(s)-\sigma-\epsilon/2)}$   $\forall n \geq 1$ ,  
 and  $\forall n \geq N$ ,  $n^{-\epsilon/2} \leq N^{-\epsilon/2}$ )

• Each  $\frac{f(n)}{n^s}$  is a holomorphic function, even on all of  $\mathbb{C}$ ,  
 so the same is true of the partial sums  $\sum_{n=1}^N \frac{f(n)}{n^s}$ . Thus what we need is

Thm: If  $\{g_n\}_{n=1}^{\infty}$  is a sequence of holomorphic functions on an open  $\Omega \subset \mathbb{C}$ , and  $\{g_n\}$  converges to a function  $g: \Omega \rightarrow \mathbb{C}$  uniformly on compacts  $\subset \Omega$ , then  $g$  is holomorphic on  $\Omega$

Pf: Goursat's thm;  $f$  hol. on  $\Omega$ ,  $T \subset \Omega$  a triangle with interior in  $\Omega$ .

Then  $\int_T f(z) dz = 0$ . (=  $\int_0^1 f(\gamma(t)) \gamma'(t) dt \dots$ )  
 (or polygon or piecewise-smooth path)

Conversely:

Morera's theorem asserts that a continuous function  $f$  on an open disc

$D$  is holomorphic provided  $\int_T f(z) dz = 0$  for any triangle  $T \subset D$   
 (or closed polygonal path)

Thus, for any disc  $D: \bar{D} \subset \Omega$ , and any  $T \subset D$ ,

$\int_T g_n(z) dz = 0$ . As  $g_n \rightarrow g$  uniformly on  $\bar{D}$ ,  $g$  is continuous

and  $\oint_D g(z) dz = \lim_{n \rightarrow \infty} \int_T g_n(z) dz = \int_T \lim_{n \rightarrow \infty} g_n(z) dz = \int_T g(z) dz$

$\forall T \subset D$ . Thus  $g$  is holomorphic. ■

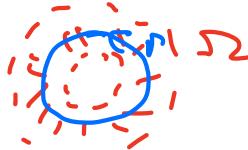
Our  $\sum_{n=1}^N f(n)/n^s$  are evidently holomorphic with continuous derivatives. This special case of Goursat / Morera is easier to prove.

Sketch:  $\Omega \subset \mathbb{C}$  open.  $g: \Omega \rightarrow \mathbb{C}$  holomorphic with continuous derivative.

Let  $\Gamma \subset \Omega$  be any "nice" curve with interior  $\subset \Omega$

Forbids

We'll just take "nice" = polygon



Write  $z = x + iy$  and set  $g(x, y) = g(z) = u(x, y) + i \cdot v(x, y)$ ,

thinking of  $g$  as a function on  $\Omega \subset \mathbb{R}^2$ .

By assumption,  $\frac{\partial u}{\partial x}, \frac{\partial u}{\partial y}, \frac{\partial v}{\partial x}, \frac{\partial v}{\partial y}$  exist and are continuous, on  $\Omega$ :

C-R equations  $\forall z_0 = (x_0, y_0) \in \Omega$ ,  $g'(z_0)$  exists, i.e.

$\lim_{h \rightarrow 0} \frac{g(z_0+h) - g(z_0)}{h}$  exists. Evaluate in two ways:

$$1) = \lim_{\substack{\Delta x \rightarrow 0 \\ i\Delta y}} \frac{g(x_0 + \Delta x, y_0) - g(x_0, y_0)}{\Delta x} =: \left( \frac{\partial u}{\partial x} + i \frac{\partial v}{\partial x} \right)(x_0, y_0)$$

$$2) = \lim_{\Delta y \rightarrow 0} \frac{g(x_0, y_0 + i\Delta y) - g(x_0, y_0)}{i\Delta y} =: \frac{1}{i} \left( \frac{\partial u}{\partial y} + i \frac{\partial v}{\partial y} \right)(x_0, y_0).$$

$h = i \cdot \Delta y$

Thus  $\boxed{\frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}}$  and  $\boxed{\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}}$ .

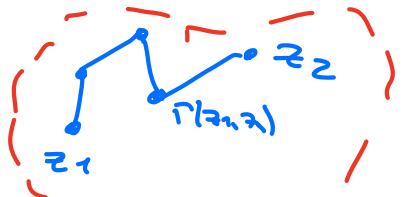
$$\text{Now, } \int_{\Gamma} g(z) dz = \int_{\Gamma} (u + iv)(dx + idy)$$

$$= \int_{\Gamma} (u dx - v dy) + i \int_{\Gamma} (v dx + u dy), \text{ which by Green's Thm, using continuity of partials}$$

$$= \int_{\text{closed}} \left( -\frac{\partial u}{\partial y} - \frac{\partial v}{\partial x} \right) dx dy + i \int_{\text{closed}} \left( \frac{\partial u}{\partial x} - \frac{\partial v}{\partial y} \right) dx dy = 0. \quad \blacksquare$$

That proves Goursat. For Morera, assume  $\int_{\Gamma} g(z) dz = 0$  for all closed polygonal paths in  $\Omega$ . A  $z_1, z_2 \in \Omega$ , and any polygon path  $\Gamma(z_1, z_2)$  from  $z_1$  to  $z_2$  contained in  $\Omega$ ,

$$\int_{\Gamma(z_1, z_2)} u dx - v dy, \int_{\Gamma(z_1, z_2)} u dy + v dx \text{ is ind. of choice of path.}$$



Conclude  $\exists F, G : \Omega \rightarrow \mathbb{R}$  continuously differentiable w/  $(u, -v) = \nabla F$  ( $= \frac{\partial F}{\partial x}, \frac{\partial F}{\partial y}$ )  $(u, v) = \nabla G$  Since  $\mathbb{C}^1$ , partials commute,

$$\text{and } \frac{\partial^2 F}{\partial x \partial y} = -\frac{\partial v}{\partial x}, \quad \frac{\partial^2 G}{\partial x \partial y} = \frac{\partial v}{\partial x}$$

$$\frac{\partial^2 F}{\partial y \partial x} = \frac{\partial u}{\partial y}, \quad \frac{\partial^2 G}{\partial y \partial x} = \frac{\partial u}{\partial y}$$

Hence  $g = u + iv$  satisfies the C-R equations & has continuous partials.

Exercise: This implies  $g$  is holomorphic on  $\Omega$ .  $\blacksquare$

Much deeper:

Thm There is a unique holomorphic extension of  $\zeta(s)$ , also denoted  $\zeta(s)$ , to  $\mathbb{C} \setminus \{1\}$ . It does not extend to a holomorphic function at 1, but  $\zeta(s) \cdot (s-1)$  (hol. on  $\mathbb{C} \setminus 1$ ) does.

This theorem now lets us make sense of " $\zeta(1-2m)$ " ( $m > 1$ ). We will describe (and prove) a refinement that lets us compute  $\zeta(1-2m)$  in terms of our previous evaluation of  $\zeta(2m)$ .

Defn: (Gamma function) Let  $s \in \mathbb{R}_{>0}$ . Define

$$\Gamma(s) = \int_0^\infty e^{-t} t^{s-1} dt$$

This improper integral is really  $\lim_{\epsilon \rightarrow 0^+} \int_\epsilon^\infty e^{-t} t^{s-1} dt + \lim_{u \rightarrow \infty} \int_u^\infty e^{-t} t^{s-1} dt$

$\int_0^\infty e^{-t} t^{s-1} dt$  converges when  $\int_0^\infty t^{s-1} dt$  does,

$$\left[ \frac{t^s}{s} \right]_0^\infty = \frac{1}{s} - \frac{\epsilon^s}{s}, \text{ which converges as } \epsilon \rightarrow 0^+$$

$$\frac{e^{-t} t^{s-1}}{t^2} \rightarrow 0 \text{ as } t \rightarrow \infty,$$

so this  $\int_0^\infty$  converges  $\forall s \in \mathbb{R}$  since  $\int_0^\infty t^2 dt$  does

Lemma: The integral in fact defines  $\Gamma(s)$  as a holomorphic function on  $\operatorname{Re}(s) > 0$ .

Pf: Since  $|e^{-t} t^{s-1}| = e^{-t} t^{s-1}$ ,  $\sigma = \operatorname{Re}(s)$ , the integral converges.

We'll show it is a uniform limit (on compact) of holomorphic functions.

$$\text{Certainly, } \Gamma(s) = \lim_{\epsilon \rightarrow 0^+} \underbrace{\int_\epsilon^\infty e^{-t} t^{s-1} dt}_{\Gamma_\epsilon(s)}$$

$\Gamma_\epsilon(s) \rightarrow \Gamma(s)$  uniformly in vertical strips  $0 < \sigma_1 < \operatorname{Re}(s) < \sigma_2$ :

indeed,  $|\Gamma(s) - \Gamma_\epsilon(s)| \leq \int_0^\epsilon e^{-t} t^{s-1} dt + \sum_{t=\epsilon}^{\infty} e^{-t} t^{s-1} dt$

$$\leq \frac{\epsilon^{\sigma_1}}{\sigma_1} + \sum_{t=\epsilon}^{\infty} e^{-t} t^{\sigma_2-1} dt \leq \frac{\epsilon^{\sigma_1}}{\sigma_1} + 2e^{-\frac{1}{2\epsilon}} \rightarrow 0 \text{ as } \epsilon \rightarrow 0^+$$

(for  $0 < \epsilon < 1$ ,  $\frac{\epsilon^{\sigma_1}}{\sigma_1}, \frac{\epsilon^{\sigma_1}}{\sigma}$ )

$$e^{-t} t^{\sigma_2-1} \leq e^{-t/2}, \quad \sum_{t=\epsilon}^{\infty} e^{-t} dt = (-2)e^{-t/2}$$

and evidently this is uniform in the vertical strip  $s$ :

Finally, each  $\Gamma_\epsilon(s)$  is holomorphic: for any triangle  $T$  in  $\{\operatorname{Re}(s) > 0\}$ ,

$$\int_T \Gamma_\epsilon(s) ds = \int_T \left( \int_0^\epsilon e^{-t} t^{s-1} dt \right) ds \quad \begin{array}{c} \triangle \\ T \end{array} = \int_1^2 + \int_2^3 + \int_3^4$$

Each int. here is over some interval, so we have three  $S$ 's of the form  $\int_0^\epsilon \left( \int_0^t g(t_1, t_2) dt_1 \right) dt_2$  where  $g$  is continuous on the

compact set  $[\epsilon, 1/\epsilon] \times [0, 1]$ , hence is integrable and by Fubini's theorem  $\int_T \Gamma_\epsilon(s) ds = \int_0^\epsilon \left( \int_0^t e^{-t} t^{s-1} ds \right) dt = 0$  since

(Goursat)  $e^{-t} t^{s-1}$  is hol. on open  $\supset T$ . We conclude  $\Gamma_\epsilon(s)$  is hol. by Morera.

(Alternatively, show  $\Gamma_\epsilon$  is a uniform limit of Riemann sums that are hol.).  $\blacksquare$

Lemma: For  $\operatorname{Re}(s) > 0$ ,  $\Gamma(s+1) = s \cdot \Gamma(s)$

Pf:  $\int_0^\epsilon e^{-t} t^s dt = -e^{-t} t^s \Big|_0^\epsilon - \int_0^\epsilon -e^{-t} s t^{s-1} dt \xrightarrow{\epsilon \rightarrow 0} s \cdot \Gamma(s)$

i.e.  $\Gamma(s+1) = \lim_{\epsilon \rightarrow 0^+} \Gamma_\epsilon(s+1) = s \cdot \Gamma(s)$ .  $\blacksquare$

Prop:  $\Gamma(s)$  uniquely extends to a holomorphic function on  $\mathbb{C} \setminus \mathbb{Z}_{\leq 0}$  with simple poles at  $\mathbb{Z}_{\leq 0}$ , and never vanishes.

Pf: Inductively, define  $\Gamma(s)$  in  $\operatorname{Re}(s) > -1, -2, -3, \dots$ .

by : for  $\operatorname{Re}(s) > -1$ ,  $\Gamma(s) := \frac{\Gamma(s+1)}{s}$ , holomorphic on  $\{\operatorname{Re}(s) > -1\} \setminus \{0\}$

$\operatorname{Re}(s) > -2$ ,  $\Gamma(s) := \frac{\Gamma(s+1)}{s}$ , hol. on  $\{\operatorname{Re}(s) > -2\} \setminus \{0, -1\}, \dots$

So for  $\operatorname{Re}(s) > -n$  ( $n \in \mathbb{Z}_{\geq 0}$ ) we have

$$\Gamma(s) = \frac{\Gamma(s+1)}{s} = \frac{\Gamma(s+2)}{s(s+1)} = \dots = \frac{\Gamma(s+n)}{s(s+1)\dots(s+n-1)}, \text{ and the claim about poles clear.}$$

$$\begin{aligned} \text{The residue at } 1-n \text{ is } [s+(n-1)] \Gamma(s) \Big|_{s=1-n} &= \frac{\Gamma(1)}{(1-n)(2-n)\dots(-1)} \\ &= \frac{(-1)^{n-1}}{(n-1)!} \cdot \int_0^\infty e^{-t} dt = \frac{(-1)^{n-1}}{(n-1)!}. \quad \square \end{aligned}$$

Note Lemma also shows for  $n \in \mathbb{Z}_{\geq 0}$ ,  $\Gamma(n) = (n-1)!$ .

$\Gamma(\frac{s}{2})$  is part of a "missing factor at  $\infty$ " of  $\zeta(s) = \prod_p (1-p^{-s})^{-1}$ .

Thm Set  $\tilde{\zeta}(s) = \Gamma(\frac{s}{2}) \pi^{-\frac{s}{2}} \zeta(s)$ , defined initially as a holomorphic function in  $\operatorname{Re}(s) > 1$ . Then  $\tilde{\zeta}(s)$  extends (uniquely) to a holomorphic function on  $\mathbb{C} \setminus \{0, 1\}$ , with simple poles at  $0, 1$ , and satisfying the functional equation

$$\tilde{\zeta}(s) = \tilde{\zeta}(1-s) \quad (\forall s \neq 0, 1).$$

We will both define & make the "pole" statement more precise when we give the proof.

We'll then deduce

Cor:  $\zeta(s) := \frac{\tilde{\zeta}(s)}{\Gamma(\frac{s}{2}) \pi^{-\frac{s}{2}}}$  extends to a holomorphic

function on  $\mathbb{C} \setminus \{1\}$ . For  $m \in \mathbb{Z}_{>0}$ ,

$$\zeta(-2m) = 0, \quad \zeta(0) = -\frac{1}{2}$$

$$\zeta(1-2m) = -\frac{B_{2m}}{2m}.$$

(SKIP TO THE  
calculation that reveals  
 $\Theta$ -function')

(sum phrase as  $\zeta(1-n) = -\frac{B_n}{n}$   $\forall n \geq 1$  if we redefine  $B_1 = +\frac{1}{2}$ )

Fourier analysis for functions  $f: \mathbb{R} \rightarrow \mathbb{C}$  is harder, but sometimes we get striking results by defining  $F: \mathbb{R}/\mathbb{Z} \rightarrow \mathbb{C}$  by  $\bar{F}(x) = \sum_{n \in \mathbb{Z}} f(x+n)$  & applying theory on  $\mathbb{R}/\mathbb{Z}$ .

- Convergence obviously an issue here. We'll restrict to the nicest possible setting:

Def'n: The Schwartz space  $\mathcal{S}(\mathbb{R})$  is the set (a  $\mathbb{C}$ -vect) of infinitely differentiable  $f: \mathbb{R} \rightarrow \mathbb{C}$  s.t.  $f, f', f'', \dots$  all  $f^{(k)}$ , are rapidly decreasing:  $\forall k, l > 0$ ,

$$\sup_{x \in \mathbb{R}} |x|^k |f^{(l)}(x)| < \infty$$

(all  $f^{(k)}$  decay faster than any polynomial grows)

Important ex:  $f(x) = e^{-x^2}$ ,  $f^{(k)}(x) = (\text{some polynomial in } x) \cdot e^{-x^2}$  is clearly Schwartz

Easy observation:  $f \in \mathcal{S}(\mathbb{R}) \Rightarrow f' \in \mathcal{S}(\mathbb{R})$

$$\Downarrow \\ x \cdot f \in \mathcal{S}(\mathbb{R})$$

There is a well-behaved Fourier transform of  $f \in \mathcal{S}(\mathbb{R})$

Def'n: For  $\xi \in \mathbb{R}$ , set  $\hat{f}(\xi) = \int_{-\infty}^{\infty} f(y) e^{-2\pi i \xi y} dy$ .

( $f$  is no longer periodic, so unreasonable to try to express it in terms of  $e^{2\pi i \xi y}$  exponentials - the magic is that we can in terms of  $\hat{f}(\xi)$ ).

Note that the integral converges for  $f \in \mathcal{S}(\mathbb{R})$ ,  $\forall \xi \in \mathbb{R}$ .

Another relatively simple condition one could take on

$f$  is  $\exists A > 0: |f(x)| \leq \frac{A}{1+x}$ ,  $\forall x \in \mathbb{R}$  (moderate decrease or  $1+\epsilon, \epsilon > 0$  in  $M(\mathbb{R})$ )

so  $f$  is bounded & decays like  $1/x^2$  at  $\infty$ .

Then  $\int_{-\infty}^{\infty} f(x) dx = \lim_{N \rightarrow \infty} \sum_{n=-N}^N$  exists, and so does

$\hat{f}(\xi) \forall \xi \in \mathbb{R}$ . It is even

- bounded
- continuous
- $\rightarrow 0$  as  $|\xi| \rightarrow \infty$ .

But  $\hat{f}(\xi)$  may not be in  $M(\mathbb{R})$ .  $S(\mathbb{R})$  has this property)

(Aside) Explanation of the shape of  $\hat{f}$  in the two cases  $\mathbb{R}/\mathbb{Z} \rightarrow \mathbb{C}$  vs  $\mathbb{R} \rightarrow \mathbb{C}$ :

for any locally compact abelian group  $G$ , set

$\widehat{G} = \text{Hom}_{cts}(G, S^1)$  equipped with the compact-open topology.  
(It's a group!)

Given  $f: G \rightarrow \mathbb{C}$  a (nice enough) function, define

$$\widehat{f} = \widehat{G} \rightarrow \mathbb{C} \quad \text{by}$$

$$\widehat{f}(\xi) = \int_G f(y) \overline{\xi(y)} dy.$$

(dy a "haar measure" on  $G$  – it is usual  $S$  when  $G = (\mathbb{R}, +)$ )

For  $G = \mathbb{R}/\mathbb{Z}$ ,  $\widehat{\mathbb{R}/\mathbb{Z}} \xleftarrow{\sim} \mathbb{Z}$

$$(y \mapsto e^{2\pi i y}) \xleftarrow{\sim} \xi$$

(Problem 8)

are isos of topological groups, identifying "abstract"  $\widehat{f}$  with the concrete examples.

and for  $G = \mathbb{R}$ ,  $\widehat{\mathbb{R}} \xleftarrow{\sim} \mathbb{R}$

$$(y \mapsto e^{2\pi i y}) \xleftarrow{\sim} \xi$$

A good example to think through (requiring no complex RIF)  
is  $G = (\mathbb{Z}/n, +)$ , with  $(\widehat{\mathbb{Z}/n}) \xleftarrow{\sim} \mathbb{Z}/n$   
 $\left( y \mapsto e^{\frac{2\pi i y}{n}} \right) \xleftarrow{\sim} 1/a$

---

Lemma:  $\widehat{(\cdot)} : \mathcal{S}(\mathbb{R}) \rightarrow \mathcal{S}(\mathbb{R})$ , and  $\forall f \in \mathcal{S}(\mathbb{R})$

$$\frac{d}{d\xi} \widehat{f}(\xi) = \widehat{(-2\pi i x f)}(\xi).$$

Dually,  $\widehat{(f')}(\xi) = 2\pi i \xi \widehat{f}(\xi)$

(Fourier transform swaps differentiation & mult. by  $x$ ).

Pf:  $\widehat{f}(\xi) = \int_{-\infty}^{\infty} f(x) e^{-2\pi i \xi x} dx$ . Claim that  $\frac{d}{d\xi} \widehat{f}(\xi)$  exists and

$$\text{is given by } \int_{-\infty}^{\infty} \frac{\partial \widehat{F}}{\partial \xi}(x, \xi) dx = \int_{-\infty}^{\infty} -2\pi i x f(x) \cdot e^{-2\pi i \xi x} dx = \widehat{(-2\pi i x f)}(\xi)$$

Let  $g_n(\xi) = \int_{-n}^n \widehat{F}(x, \xi) dx$ . Clearly  $g_n \rightarrow \widehat{f}$  pointwise. The key  
is to check each  $g'_n$  exists, and  $g'_n \rightarrow \int_{-\infty}^{\infty} \frac{\partial \widehat{F}}{\partial \xi}(x, \xi) dx$  ( $n \rightarrow \infty$ )

uniformly on  $\xi \in$  [any closed interval]. Details an exercise — or see

Körner Chpt. 5.3

omit:

Since  $\frac{\partial \widehat{F}}{\partial \xi}$  exists and is continuous, each  $g'_n$  is differentiable with

$$\frac{dg'_n}{d\xi}(\xi) = \int_{-n}^{\infty} \frac{\partial \widehat{F}}{\partial \xi}(x, \xi) dx, \text{ and we further have}$$

$$\textcircled{*} \quad |g'_n(\xi) - \int_{-\infty}^{\infty} \frac{\partial \widehat{F}}{\partial \xi}(x, \xi) dx| = \left| \int_{|x| \geq n} \frac{\partial \widehat{F}}{\partial \xi}(x, \xi) dx \right| \rightarrow 0 \text{ as } n \rightarrow \infty$$

uniformly for  $\xi \in$  any closed interval  $[a, b]$ .

Then  $\lim g_n = \widehat{f}$  is differentiable with derivative  $\int_{-\infty}^{\infty} \frac{\partial \widehat{F}}{\partial \xi}(x, \xi) dx$ .

For  $\int_{-\infty}^{\infty} f'(x) e^{-2\pi i x \xi} dx$ , integrate by parts: exercise.

Example:  $f(x) = e^{-\pi x^2}$ .  $f'(x) = -2\pi x f(x)$ .  $\widehat{f'}(\xi) = 2\pi i \xi \widehat{f}(\xi)$  and  $= -i \cdot \frac{d\widehat{f}}{d\xi}$ ,

using the two formulas from the lemma.

So  $\frac{df}{dx}(\xi) = -2\pi \xi \widehat{f}(\xi)$ , the same DE  $f$  satisfies.

$$\text{so } \left[ \left( \frac{f}{g} \right)' = \frac{gf' - fg'}{g^2} = \frac{gf_x - fg_x}{g^2} = 0 \text{ for 2 solutions} \right] \text{ to } f' = xf$$

$$\widehat{f} = C \cdot f, C \in \mathbb{R}.$$

$$\widehat{f}(0) = \int_{-\infty}^{\infty} e^{-\pi x^2} dx = 1 = f(0), \text{ so } C = 1,$$

and we conclude  $\boxed{\widehat{f} = f}$ .

A simple cov shows that for  $a \in \mathbb{R}$  and  $f \in \mathcal{S}(\mathbb{R})$ ,

$f_a(x) := f(ax)$ , then

$$\begin{aligned} \widehat{(f_a)}(\xi) &= \int_{-\infty}^{\infty} f(ax) e^{-2\pi i x \xi} dx = \frac{1}{|a|} \int_{-\infty}^{\infty} f(y) e^{-2\pi i y \frac{\xi}{a}} dy \\ &= \frac{1}{|a|} \widehat{f}\left(\frac{\xi}{a}\right). \end{aligned}$$

Thm: (Poisson summation) If  $f \in \mathcal{S}(\mathbb{R})$ , or more generally if  $f$  and  $\hat{f}$  are of moderate decrease, then

$$x \mapsto \sum_{n \in \mathbb{Z}} f(x+n)$$

$$x \mapsto \sum_{n \in \mathbb{Z}} \hat{f}(n) e^{2\pi i n x}$$

both converge, absolutely and uniformly on compact sets, to the same continuous function of  $x$ .

Later we'll use this to study  $\mathfrak{F}$ 's analytic properties.

Now we use it to prove Euler's theorem

Computation of  $\mathfrak{F}(2m)$

(Poisson kernel  $P_t(x)$ )

$$\text{Let } f(x) = \frac{t}{\pi(x^2 + t^2)} \quad \text{for } t > 0.$$

$$\hat{f}(\xi) = \int_{-\infty}^{\infty} \frac{t}{\pi(x^2 + t^2)} \cdot e^{-2\pi i x \xi} dx$$

SKTP

Proof of Poisson summation,  $f \in \mathcal{S}(\mathbb{R})$ .

The sum defining  $F(x) = \sum_{n \in \mathbb{Z}} f(x+n)$  converges absolutely

$\forall x \in \mathbb{R}$ , & uniformly on compacte. Thus  $F: \mathbb{R} \rightarrow \mathbb{C}$  is continuous and 1-periodic, so we may study its Fourier series.

We have also the continuous periodic function

$$G(x) = \sum_{n \in \mathbb{Z}} \hat{f}(n) e^{2\pi i n x}, \text{ abs. conv. & uniform compact}$$

Here are just values of F-transform again b/c  $\hat{f} \in \mathcal{S}(\mathbb{R})$

By our basic pointwise convergence theorem, it will suffice to show  $F$  and  $G$  (continuous!) have same Fourier coefficients. Clearly  $\hat{G}(m) = \hat{f}(m) \forall m$ .

$$\hat{F}(m) = \int_0^1 F(x) e^{-2\pi i mx} dx = \int_0^1 \sum_{n \in \mathbb{Z}} f(x+n) e^{-2\pi i mx} dx$$

$$= \sum_{n \in \mathbb{Z}} \int_0^1 f(x+n) e^{-2\pi i mx} dx = \sum_{n \in \mathbb{Z}} \int_n^{n+1} f(x) e^{-2\pi i mx} dx$$

$$= \int_{-\infty}^{\infty} f(x) e^{-2\pi i mx} dx = \hat{f}(m). \quad (\text{Again, } \hat{F} \text{ means FS.})$$

◻

We often use this in the form  $\sum_{n \in \mathbb{Z}} f(n) = \sum_{n \in \mathbb{Z}} \hat{f}(n)$ .

Apply it to  $f_a(x) = e^{-\pi a x^2}$ , where we know

$$(\hat{f}_a)(\xi) = \frac{1}{|a|} \hat{f}\left(\frac{\xi}{a}\right) = \frac{1}{|a|} f\left(\frac{\xi}{a}\right) \quad \text{for } f(x) = e^{-\pi x^2}.$$

Thus  $\sum_{n \in \mathbb{Z}} e^{-\pi a n^2} = \frac{1}{|a|} \sum_{n \in \mathbb{Z}} e^{-\pi n^2/a^2}$ . Letting  $a^2 = y > 0$ ,

this says  $\forall y > 0$ ,

$$\sum_{n \in \mathbb{Z}} e^{-\pi y n^2} = \frac{1}{\sqrt{y}} \sum_{n \in \mathbb{Z}} e^{-\pi n^2/y}, \quad \text{i.e.}$$

Defn: For  $y \in \mathbb{R}_{>0}$ , define the theta function

$$\Theta(y) = \sum_{n \in \mathbb{Z}} e^{-\pi n^2 y}.$$



$$\text{Cor: } \forall y > 0, \quad \Theta(y) = \sqrt{y} \Theta(1/y).$$



We'll apply this to  $\zeta$ ! Or more properly to

$$\zeta(s) = \Gamma(s/2) \pi^{-s/2} \zeta(s), \quad \text{which so far we know}$$

$\zeta(s)$  is a holomorphic function on  $\operatorname{Re}(s) > 1$ .

### Key calculation:

$$\pi^{-s/2} \Gamma(s/2) \frac{1}{n^s} = \int_0^\infty \pi^{-s/2} n^{-s} e^{-y} y^{s/2} \frac{dy}{y} = \int_0^\infty e^{-y} \left(\frac{y}{\pi n^2}\right)^{s/2} \frac{dy}{y}$$

$$= \int_0^\infty e^{-\pi n^2 x} x^{s/2} \frac{dx}{x} . \quad \text{When } \operatorname{Re}(s) > 1, \text{ we } \sum_{n=1}^\infty \text{ to get}$$

$$\text{let } x = \frac{y}{\pi n^2}$$

$$\zeta(s) = \sum_{n=1}^\infty \int_0^\infty e^{-\pi n^2 x} x^{s/2} \frac{dx}{x} .$$

If we replace the integrand with its absolute value,

$$\sum_{n=1}^\infty \int_0^\infty e^{-\pi n^2 x} x^{\operatorname{Re}(s)} \frac{dx}{x} = \frac{-\operatorname{Re}(s)_k}{\pi} \Gamma\left(\frac{\operatorname{Re}(s)}{2}\right) \zeta(\operatorname{Re}(s)) \text{ is finite,}$$

so we may interchange  $\sum$  &  $\int$  to find  $(\sum_{n=1}^\infty \int_0^\infty \leq \int_0^\infty \sum_{n=1}^\infty)$

$$\zeta(s) = \int_0^\infty \left[ \sum_{n=1}^\infty e^{-\pi n^2 x} \right] x^{s/2} \frac{dx}{x}$$

$\operatorname{Re}(s) > 1$   
almost  $\Theta(x)$ ! Namely it is  $\frac{1}{2}[\Theta(x)-1]$

$$= \frac{1}{2} \int_0^\infty [\Theta(x)-1] x^{s/2} \frac{dx}{x} .$$

Let's argue formally for now. Let  $\operatorname{Re}(s) > 1$ .

$$\zeta(s) = \underbrace{\sum_{n=1}^\infty \left( \frac{\Theta(x)-1}{2} \right) x^{s/2} \frac{dx}{x}}_1 + \underbrace{\int_0^1 \left( \frac{\Theta(x)-1}{2} \right) x^{s/2} \frac{dx}{x}}_2$$

certainly for  $x > 1$ , and for ANY  $s \in \mathbb{C}$

$$\left| \left( \frac{\Theta(x)-1}{2} \right) x^{s/2-1} \right| = e^{-\pi x} \left( 1 + \sum_{n=2}^\infty e^{-\pi(n^2-1)x} \right) x^{\operatorname{Re}(s)-1} \leq C_1 e^{-\pi x} x^{\operatorname{Re}(s)-1}$$

$\leq C_2 \cdot \frac{1}{x^2}$  (or any higher degree poly you want)

It follows that  $\int_1^\infty \left( \frac{\Theta(x)-1}{2} \right) x^{s/2} \frac{dx}{x}$  converges absolutely

and uniformly in  $S$ , so defines a holomorphic function  
on all of  $\mathbb{C}$ .

② What about  $\int_0^1 \frac{\Theta(x)-1}{2} x^{s_2} \frac{dx}{x}$ ? This is where we use  $\Theta(x) = \frac{1}{\sqrt{x}} \Theta(\frac{1}{\sqrt{x}})$  ! Still for  $\operatorname{Re}(s) > 1$ ,

$$\begin{aligned}
 & \int_0^1 \frac{\Theta(x)-1}{2} x^{s_2} \frac{dx}{x} \\
 &= -\frac{1}{2} \int_0^1 x^{s_2-1} dx + \int_1^\infty \frac{\Theta(\sqrt{y})}{2} y^{-s_2} \frac{dy}{y} \\
 &= -\frac{1}{2} \left[ \frac{x^{s_2}}{s_2} \right]_0^1 + \int_1^\infty \frac{\sqrt{y} \Theta(y)}{2} y^{-s_2} \frac{dy}{y} \\
 &= -\frac{1}{s_2} + \int_1^\infty \frac{\Theta(y)-1}{2} y^{\frac{1-s}{2}} \frac{dy}{y} + \underbrace{\int_1^\infty \frac{y^{\frac{1-s}{2}-1}}{2} dy}_{\frac{1}{2} \frac{y^{-\frac{s+1}{2}}}{-\frac{s+1}{2}} \Big|_1^\infty} \\
 &= -\frac{1}{s_2} + \frac{1}{s-1} + \underbrace{\int_1^\infty \frac{\Theta(y)-1}{2} y^{1-s} \frac{dy}{y}}
 \end{aligned}$$

(note that  
the sign  
is correct!)

↓  
subbing  $y = 1/x$ .

Conclusion: For  $\operatorname{Re}(s) > 1$ ,

$$\xi(s) = \int_1^\infty \frac{\Theta(y)-1}{2} \left( y^{\frac{s}{2}} + y^{\frac{1-s}{2}} \right) \frac{dy}{y} + \frac{1}{s-1} - \frac{1}{s}.$$

holomorphic on all of  $\mathbb{C}$

hol. on  $\mathbb{C} \setminus \{0, 1\}$ ,

**Thm** If  $s \in \mathbb{C} \setminus \{0, 1\}$ , define  $\tilde{\xi}(s)$  by the above formula; it is the unique holomorphic extension of  $\pi^{s/2} \Gamma(s)_2 \xi(s)$  (defined initially on  $\text{Re}(s) > 1$ ) to all of  $\mathbb{C} \setminus \{0, 1\}$ . Moreover,  $\forall s \in \mathbb{C} \setminus \{1\}$ ,  $\tilde{\xi}(s) = \tilde{\xi}(1-s)$ .

Pf: The symmetry is obvious from the formula, and we've proven the rest.

Cor:  $\xi(s) = \frac{\tilde{\xi}(s)}{\pi^{-s/2} \Gamma(s)_2}$  is holomorphic on  $\mathbb{C} \setminus \{1\}$ ,

and equals  $\frac{1}{s-1} +$  (hol. everywhere in  $\mathbb{C}$ ),

$\forall n \in \mathbb{Z}_{>1}$ ,  $\xi(1-n) = -\frac{\beta_n}{n}$ , and

$$\xi(0) = -\frac{1}{2}.$$

Pf: Recall  $\Gamma(s) = \frac{\Gamma(s+1)}{s}$  for all  $s \in \mathbb{C} \setminus \mathbb{Z}_{\leq 0}$ .  $\Gamma(1) = 1$ .

Thus near 0,  $\Gamma(s) = \frac{1}{s} +$  (holomorphic), so near 0,

$$\xi(s) = \frac{\text{hol. } -\frac{1}{2}s}{\pi^{-s/2} (\frac{2}{s} + \text{hol})} = \frac{s \cdot \text{hol} - 1}{\pi^{-s/2} (2 + s \cdot \text{hol})} \underset{(s \rightarrow 0)}{\text{is hol.}} \text{ and } \xi(0) = -\frac{1}{2}$$

We next need that  $\Gamma(s)$  is never 0: this is not obvious

but follows from

$$\Gamma(s) \Gamma(1-s) = \frac{\pi}{\sin(\pi s)}$$

$$\text{Lso } \frac{1}{\Gamma(s)} = \Gamma(1-s) \frac{\sin(\pi s)}{\pi}$$

has no poles:  $\Gamma(1-s)$  has poles for  $s \in \mathbb{Z}_{>1}$ , but the zeroes of  $\sin(\pi s)$  cancel them).

Thus  $\xi(s)$  is hol. on  $\mathbb{C} \setminus \{1\}$ .

$\xi(s) = \xi(1-s)$  gives

$$\pi^{-s/2} \Gamma(\frac{s}{2}) \xi(s) = \pi^{\frac{1-s}{2}} \Gamma(\frac{1-s}{2}) \xi(1-s), \text{ so}$$

$$\xi(1-s) = \pi^{\frac{1}{2}-s} \frac{\Gamma(\frac{s}{2})}{\Gamma(\frac{1-s}{2})} \xi(s) . \quad \text{Now we } \oplus \text{ and} \quad \left. \begin{array}{l} \\ \end{array} \right\} \text{ resp.}$$

$$\Gamma(s) \Gamma(s + \frac{1}{2}) = \frac{2\sqrt{\pi}}{2^{2s}} \Gamma(2s)$$

$$\text{Rewrite } \left\{ \Gamma(\frac{s}{2}) \Gamma(\frac{1+s}{2}) = \frac{2\sqrt{\pi}}{2^s} \Gamma(s) \right.$$

$$1 - (\frac{1-s}{2}) \quad \left. \Gamma(\frac{1-s}{2}) \Gamma(\frac{1+s}{2}) = \frac{\pi}{\sin(\pi(\frac{1-s}{2}))} = \frac{\pi}{\cos(\frac{\pi}{2}s)}, \right.$$

hence

$$\xi(1-s) = \pi^{\frac{1}{2}-s} \frac{2\sqrt{\pi}}{2^s} \frac{\Gamma(s)}{\frac{\pi}{\cos(\frac{\pi}{2}s)}} \cdot \xi(s)$$

$$= 2(2\pi)^{-s} \Gamma(s) \cos(\frac{\pi}{2}s) \xi(s)$$

Now for  $n \in \mathbb{Z}_{\geq 1}$  odd,

$\xi(1-n) = 0$  because  $\cos(\frac{\pi}{2}n) = 0$  and rest of RHS is  $\neq 0$  at  $n$ .

For  $n \in \mathbb{Z}_{\geq 1}$  even,

$$\xi(1-n) = 2(2\pi)^{-n} \Gamma(n) \cos(\frac{\pi}{2}n) \xi(n)$$

$$= 2(2\pi)^{-n} (n-1)! (-1)^{n/2} \cdot (-1)^{n/2} \frac{2^{n-1} \pi^n}{(n-1)!} \left(-\frac{B_n}{n}\right)$$

Euler

Recall

$$\xi(2m) = (-1)^m \frac{2^{2m-1} \pi^{2m}}{(2m-1)!} \left( -\frac{B_{2m}}{2m} \right). \quad = -\frac{B_m}{m} !$$

7/6: Recall: we set  $\tilde{\xi}(s) = \pi^{-s/2} \Gamma(s/2) \xi(s)$  ( $\text{for } \operatorname{Re}(s) > 1$ )

could be written as

$$\tilde{\xi}(s) = \underbrace{-\frac{1}{s} + \frac{1}{s-1}}_{\text{hol. on } \mathbb{C} \setminus [0, 1]} + \underbrace{\sum_{n=1}^{\infty} \frac{\Theta(x)-1}{2} \left( x^{\frac{s}{2}} + x^{\frac{1-s}{2}} \right) \frac{dx}{x}}_{\text{hol. on } \mathbb{C}}$$

where  $\Theta(x) = \sum_{n \in \mathbb{Z}} e^{-\pi i n x}$  and thus obtained the

- "analytic continuation" of  $\tilde{\xi}$  to  $\mathbb{C} \setminus \{0, 1\}$
- "functional equation"  $\tilde{\xi}(s) = \tilde{\xi}(1-s)$ .

Also deduced that for  $n \in \mathbb{Z}_{\geq 1}$ ,

$$\xi(1-n) = -\frac{B_n}{n} \quad \text{where for } n=1 \text{ we use } +\frac{1}{2} \text{ instead of } -\frac{1}{2} \text{ for } B_1.$$

$$\xi(-1) = 1 + 2 + 3 + 4 + \dots$$

$$4\xi(-1) = 4 + 8 + 12 + \dots$$

$$-3\xi(-1) = 1 - 2 + 3 - 4 + \dots = (1 - 1 + 1 - 1 + \dots)(1 - 1 + 1 - 1 + \dots) = \left(\frac{1}{1+x}\right)^2 = \frac{1}{4}$$

$$\text{so } \xi(-1) = -\frac{1}{12} \quad \left(\frac{1}{1-x}\right)^2 = (1 + x + x^2 + \dots)(1 + x + x^2 + \dots) \\ = -\frac{B_2}{2} \quad 1 + 2x + 3x^2 + 4x^3 + \dots$$

And the key thing we needed was

$$\Theta(y) = \frac{1}{\sqrt{y}} \Theta\left(\frac{1}{\sqrt{y}}\right), \quad (y \in \mathbb{R}_{>0}).$$

All of this generalizes to  $\{z \in \mathbb{C} \mid |z|=1\}$

Defn: Let  $\chi: (\mathbb{Z}/m\mathbb{Z})^\times \rightarrow S^1$  be a homomorphism ( $\chi$  is a Dirichlet character). Extend  $\chi$  to  $\mathbb{Z}$  by

$$\chi: \mathbb{Z} \rightarrow \mathbb{C}$$

$$a \mapsto \chi(a \bmod m) \text{ if } (a, m) = 1$$

$$a \mapsto 0 \quad \text{if } (a, m) > 1$$

(so  $\chi: \mathbb{Z} \rightarrow \mathbb{C}$  is still multiplicative)

Eg:  $m=p$  prime.  $\chi = \left(\frac{\cdot}{p}\right): (\mathbb{Z}/p\mathbb{Z})^\times \rightarrow \{\pm 1\} \subset S^1$ ,

extending to  $\left(\frac{\cdot}{p}\right): \mathbb{Z} \rightarrow \{0, \pm 1\} \subset \mathbb{C}$ .

Eg:  $m=1$ .  $\chi(a) = 1 \forall a \in \mathbb{Z}$ . Write  $\mathbf{1}$  for  $\chi$ , the trivial character.

We will assume for simplicity that  $\chi$  is primitive:

there is no divisor  $d|m$  s.t.  $\chi$  factors

$$(\mathbb{Z}/m\mathbb{Z})^\times \longrightarrow (\mathbb{Z}/d\mathbb{Z})^\times \xrightarrow{\quad \bar{\chi} \quad} S^1 \quad \text{for some } \bar{\chi}.$$

$$\underbrace{\qquad}_{\chi}$$

( $\because \chi(a) = n^a$  determined by  $\chi \bmod d$ )  
(if it were, we'd replace by  $\bar{\chi}$ )

Defn:  $L(\chi, s) := \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$ , the Dirichlet L-function.

$L(\chi, s)$  converges absolutely on  $\operatorname{Re}(s) > 1$ , uniformly on compact sets, so is a holomorphic fn there, whereas  $L(\chi, s) = \prod_p (1 - \chi(p)p^{-s})^{-1}$ .

When  $\chi$  non-trivial,  $L(\chi, s)$  converges (conditionally) on  $\operatorname{Re}(s) > 0$ ,

but we won't use this. For  $\chi = \text{trivial char}$ ,  $L(\chi, s) = \xi(s)$

Recall that we used  $\xi(s) = \pi^{-s/2} \Gamma(\frac{s+1}{2}) \xi(\frac{s}{2})$  to analyze it more clearly.  
Likewise here: define

$$\Lambda(\chi, s) = \left(\frac{m}{\pi}\right)^{s/2} \Gamma\left(\frac{s+1}{2}\right) \cdot L(\chi, s), \text{ where}$$

$\chi(-1) = (-1)^p$ ,  $p \in \{0, 1\}$ . So for  $\xi(s)$ ,  $\chi = \pm 1$ ,  $p=0, m=1$ ,  
and we get  $\xi(s) = \Lambda(1, s)$

**Thm A** Let  $\chi$  be a nontrivial primitive Dirichlet character.  
Then  $\Lambda(\chi, s)$  extends to a holomorphic function on all of  $\mathbb{C}$   
satisfying

$$\Lambda(\chi, s) = \frac{G(\chi)}{\sqrt[4]{\pi^p m}} \Lambda(\bar{\chi}, 1-s)$$

↑ note! complex conjugate

$G(\chi)$  is the Gauss sum

$$G(\chi) = \sum_{j=0}^{m-1} \chi(j) e^{2\pi i j/m}$$

(We could take  $\chi = 1$  also; then  $\Lambda(1, s) = \xi(s)$  is hol. on  $\mathbb{C} \setminus \{s=1\}$ )  
 $\bar{\chi} = \chi$ ,  $G(\chi) = 1$ ,  $p=0$ ,  $m=1$ .

Pf: See the problems. Sketch:  $\Gamma\left(\frac{s+p}{2}\right) = \int_0^\infty e^{-yt} y^{\frac{s+p}{2}} \frac{dy}{y}$   
Set  $y = \frac{\pi n^2 t}{m}$   $= \left(\int_0^\infty e^{-\frac{\pi n^2 t}{m}} \left(\frac{\pi n^2 t}{m}\right)^{\frac{s+p}{2}} \frac{dt}{t}\right)$

$$\text{so } \left(\frac{m}{\pi}\right)^{\frac{s+p}{2}} \Gamma\left(\frac{s+p}{2}\right) n^{-s} = \int_0^\infty n^p e^{-\frac{\pi n^2 t}{m}} \cdot t^{\frac{s+p}{2}} \frac{dt}{t}$$

Multiply by  $\chi(n)$  &  $\sum_{n=1}^\infty$ :

$$\left(\frac{m}{\pi}\right)^{1/2} \Lambda(\chi, s) = \sum_{n=1}^\infty \int_0^\infty \chi(n) n^p e^{-\frac{\pi n^2 t}{m}} t^{\frac{s+p}{2}} \frac{dt}{t}$$

$$= \int_0^\infty \sum_{n=1}^\infty \chi(n) n^p e^{-\frac{\pi n^2 t}{m}} \cdot t^{\frac{s+p}{2}} \frac{dt}{t}$$

Define  $\Theta(x, y) = \sum_{n \in \mathbb{Z}} x(n) n^p e^{-\pi n^2 y/m}$  (when  $n$  &  $p$  are both 0)  
 take  $n^p = 1$ . here

For  $x = 1$ , this is  $\Theta(y)$  from before.

Since  $x(-n)(-n)^p = x(n)n^p$ , (this is why we need the  $n^p$ !)

$$\left(\frac{m}{\pi}\right)^{p/2} \Lambda(x, s) = \sum_0^\infty \left( \frac{\Theta(x, y) - x(0)}{2} \right) y^{\frac{s+p}{2}} \frac{dy}{y}. \quad (\text{For } x \neq 1, x(0) = 0).$$

As with  $\Theta$ , we use Poisson summation to show for  $y > 0$  { exercise.}

$$\Theta(x, y) = \frac{G(x)}{i^{p+1} \sqrt{m}} y^{\frac{p+1}{2}} \Theta(\bar{x}, y)$$

$$\begin{aligned} \left(\frac{m}{\pi}\right)^{p/2} \Lambda(x, s) &\stackrel{\text{Defn}}{=} \sum_0^\infty \frac{\Theta(x, y)}{2} y^{\frac{s+p}{2}} \frac{dy}{y} = \sum_0^1 + \sum_1^\infty = \sum_1^\infty \frac{\Theta(x, y)}{2} y^{-\left(\frac{s+p}{2}\right)} \frac{dy}{y} \\ &+ \sum_1^\infty \frac{\Theta(x, y)}{2} y^{\frac{s+p}{2}} \frac{dy}{y} = \frac{G(x)}{i^{p+1} \sqrt{m}} \sum_1^\infty \frac{\Theta(\bar{x}, y)}{2} y^{p+\frac{1}{2} - \left(\frac{s+p}{2}\right)} \frac{dy}{y} + \sum_1^\infty \frac{\Theta(x, y)}{2} y^{\frac{s+p}{2}} \frac{dy}{y} \end{aligned}$$

which is an entire function of  $s$ , and multiplied by  $i^{p+1} \sqrt{m} / G(x)$  we get

$$\begin{aligned} \left(\frac{m}{\pi}\right)^{p/2} i^{p+1} \sqrt{m} \Lambda(x, s) &= \sum_1^\infty \frac{\Theta(\bar{x}, y)}{2} y^{\frac{1-s+p}{2}} \frac{dy}{y} + \frac{i^{p+1} \sqrt{m}}{G(x)} \sum_1^\infty \frac{\Theta(x, y)}{2} y^{\frac{s+p}{2}} \frac{dy}{y}, \text{ where } \\ \left(\frac{m}{\pi}\right)^{p/2} \Lambda(\bar{x}, 1-s) &= \underbrace{\sum_1^\infty \frac{\Theta(\bar{x}, y)}{2} y^{\frac{1-s+p}{2}} \frac{dy}{y}}_{\leftarrow} + \sum_1^\infty \frac{\Theta(\bar{x}, y)}{2} y^{-\left(\frac{1-s+p}{2}\right)} \frac{dy}{y} \\ &= \underbrace{\quad}_{\leftarrow} + \frac{G(\bar{x})}{i^{p+1} \sqrt{m}} \sum_1^\infty \frac{\Theta(x, y)}{2} y^{p+\frac{1}{2} - \left(\frac{1-s+p}{2}\right)} \frac{dy}{y} \\ &= \underbrace{\quad}_{\leftarrow} + \frac{m(-1)^p}{i^p G(x) \sqrt{m}} \sum_1^\infty \frac{\Theta(x, y)}{2} y^{\frac{s+p}{2}} \frac{dy}{y} = \frac{i^{p+1} \sqrt{m}}{G(x)} \Lambda(x, s) \end{aligned}$$

$\uparrow$  here we use  $|G(x)|^2 = m$ , i.e.  $G(x) \overline{G(\bar{x})} = m$  (for a primitive  $x$ ). and  
 $\overline{G(x)} = \sum_{k \in \mathbb{Z}/m} \bar{x}(k) e^{-2\pi ik/m} = x(-1) \sum_{k \in \mathbb{Z}/m} \bar{x}(k) e^{2\pi ik/m} = (-1)^p G(\bar{x})$ .  $\square$

STOP HERE & GO BACK TO POISSON SUMMATION.

(Not in lecture: here's the messy Poisson summation step.)

$$\Theta_\mu(a, b, y) = \sum_{n \in \mathbb{Z}} e^{-\pi(a+ny)^2} y + 2\pi i bn$$

$$\sim \Theta_\mu(a, b, iy) = \sum_{n \in \mathbb{Z}} e^{-\pi(a+ny)^2 \cdot \frac{1}{y}} + 2\pi i b ny$$

$$\text{Set } f_{\mu, a, b}(x) = e^{-\pi(a+\mu x)^2 + 2\pi i b \mu x}$$

$$\text{Then } \hat{f}(\xi) = \int_{-\infty}^{\infty} e^{-\pi(a+\mu x)^2 + 2\pi i b \mu x} \cdot e^{-2\pi i x \xi} dx$$

$$= \int_{-\infty}^{\infty} e^{-\pi(\mu x)^2} \cdot e^{2\pi i b \mu (x - \frac{\xi}{\mu}) - 2\pi i (x - \frac{\xi}{\mu}) \xi} dx$$

$$= e^{-2\pi i ab + 2\pi i \frac{a \xi}{\mu}} \cdot \int_{-\infty}^{\infty} h(\mu x) e^{2\pi i x(b \mu - \xi)} dx$$

$$= e^{-2\pi i ab + 2\pi i \frac{a \xi}{\mu}} \cdot (\hat{h}_\mu)(\xi - b \mu)$$

$$= \underbrace{\dots}_{\text{Poisson}} \cdot \frac{1}{\mu} h\left(\frac{\xi}{\mu} - b\right)$$

$$\text{Now } \Theta_\mu(a, b, iy) = \sum_{n \in \mathbb{Z}} f_{\frac{n}{iy}, \frac{a}{iy}, b\sqrt{y}}(n) \stackrel{\text{Poisson}}{=} \sum_{n \in \mathbb{Z}} \widehat{f}_{\frac{n}{iy}, \frac{a}{iy}, b\sqrt{y}}(n)$$

$$= e^{-2\pi i ab} \cdot \frac{\sqrt{y}}{\mu} \cdot \sum_{n \in \mathbb{Z}} h\left(\frac{n}{\mu} - b\sqrt{y}\right) \cdot e^{2\pi i \frac{an}{\mu}}$$

$$= e^{-2\pi i ab} \frac{\sqrt{y}}{\mu} \sum_{n \in \mathbb{Z}} e^{-\pi\left(\frac{n}{\mu} - b\right)^2 y + 2\pi i a \frac{n}{\mu}}$$

$$= e^{-2\pi i ab} \frac{\sqrt{y}}{\mu} \Theta_{\frac{1}{\mu}}(-b, a, y) . \quad \square )$$

$$h(x) = e^{-\pi x^2}$$

$$x = \frac{a}{\mu} \text{ for } x$$

$$(\hat{h}_\mu)(\xi)$$

$$= \frac{1}{\mu} \hat{h}\left(\frac{\xi}{\mu}\right)$$

$$= \frac{1}{\mu} h\left(\frac{\xi}{\mu}\right)$$

On to special values of  $L(\chi, s)$ . We've now seen a little more abstract Fourier analysis. Problem 9 is an essential exercise, and we'll use the following consequence:

(Recall from problems:  $\chi: \mathbb{Z}/m \rightarrow \mathbb{C}$  has Fourier transform

$$\hat{\chi}(a) = \frac{1}{m} \sum_{k \in \mathbb{Z}/m} \chi(k) e^{-2\pi i ak/m} = \frac{1}{m} \cdot G(\chi, -a)$$

$\Rightarrow \frac{1}{m} \bar{\chi}(-a) G(\chi)$ , and Fourier inversion

$$\begin{aligned} \text{for } (\chi, m) > 1 \quad \chi(k) &= \sum_{a \in \mathbb{Z}/m} \hat{\chi}(a) e^{2\pi i ak/m} = \frac{1}{m} \sum_a \bar{\chi}(-a) G(\chi) e^{2\pi i ak/m} \\ &= \frac{1}{m} G(\chi) \chi(-1) \sum_a \bar{\chi}(a) e^{2\pi i ak/m} \end{aligned}$$

$$\text{so } \sum_{k=1}^{\infty} \chi(k) k^{-s} = \frac{1}{m} G(\chi) \chi(-1) \sum_{a=1}^m \bar{\chi}(a) \sum_{k=1}^{\infty} \frac{e^{2\pi i ak/m}}{k} \quad ).$$

(Special values of  $L(\chi, s)$ ).  $\chi$  primitive non-trivial,  $\chi(-1) = (-1)^{\frac{m-1}{2}}$

Def'n: The generalized Bernoulli numbers  $B_{n,\chi}$ ,  $n \in \mathbb{Z}_{\geq 0}$ ,

are defined by  $\sum_{a=1}^m \chi(a) \frac{t e^{at}}{e^{mt}-1} = \sum_{n=0}^{\infty} B_{n,\chi} \frac{t^n}{n!}$

For  $\chi = \mathbb{1}$ :  $\frac{te^t}{e^t-1} = \frac{t}{e^t-1} + t = \sum_{n=0}^{\infty} B_n \frac{t^n}{n!} + t$ , so  $B_{n,\mathbb{1}} = \begin{cases} B_n, & n \neq 1 \\ B_1 + 1, & n = 1 \end{cases}$

(this normalization more convenient for special values)

We know  $B_{\text{odd}, \mathbb{1}} = 0$ . Similarly,

$$\sum_a \chi(a) \frac{-te^{-at}}{e^{-mt}-1} = \sum_{a=1}^m \chi(a) \frac{t e^{(m-a)t}}{e^{-t}-1} = \chi(-1) \cdot F_{\chi}(t) = (-1)^p F_{\chi}(t)$$

$$F_{\chi}(-t) \quad \chi(-1) \cdot \chi(m-a)$$

so  $(-1)^n B_{n,\chi} = (-1)^p B_{n,\chi}$ . Thus if  $n \neq p \pmod{2}$ ,  $B_{n,\chi} = 0$ .

**[Thm B]** For any  $n \in \mathbb{Z}_{\geq 1}$ ,

$$L(\chi, 1-n) = -\frac{\beta_{n,\chi}}{n} \quad (l=0 \text{ for } n \not\equiv p \pmod{2})$$

PF:  $L(\chi, s) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s}$  Re(s) > 1 Exercise - see last page  $= \chi(-1) G(\chi) \sum_{a=1}^m \bar{\chi}(a) \sum_{n=1}^{\infty} \frac{e^{2\pi i an/m}}{n^s}$  (and  $\bar{\chi}(m)=0$ )

Recall from exercises the Bernoulli polynomials

given by  $B_{k,x}(x) = \sum_{j=0}^k \binom{k}{j} B_j x^{k-j}$

We can relate the inner sums  $\sum_{n=1}^{\infty} \frac{e^{2\pi i nx}}{n^k}$  for  $k \in \mathbb{Z}_{\geq 1}$  and  $x \in \mathbb{R}$

to 1-periodic extensions of  $(B_k(x) \text{ restricted to } x \in [0,1])$

Set  $A_k(x) = \sum_{n \in \mathbb{Z} \setminus 0} \frac{e^{2\pi i nx}}{n^k}, x \in [0,1] \quad (\text{and 1-periodic})$

We **claim** for  $k \geq 2$ ,  $A_k(x) = -\frac{(2\pi i)^k}{k!} B_k(x), \forall x \in [0,1]$  actually for  $x \in (0,1)$   
this holds for  $k=1$ ,  
but omitted

Note  $B_k(0) = B_k(1) :=$

$$\sum_{n=1}^{\infty} B_n \frac{t^n}{n!} \cdot \sum_{m=1}^{\infty} \frac{(xt)^m}{m!} = \sum_n \sum_{k=0}^n \frac{n!}{k!(n-k)!} B_k \frac{x^{n-k}}{(n-k)!} \cdot \frac{t^n}{n!} = \sum_{n=0}^{\infty} \left( \underbrace{\sum_{k=0}^n \binom{n}{k} B_k x^{n-k}}_{B_{n,t}(x)} \right) \frac{t^n}{n!}$$

$$B_{n,t}'(x) = \sum_{k=0}^n (n-k) \binom{n}{k} B_k x^{n-k-1} = n \cdot \sum_{k=0}^{n-1} \frac{(n-1)!}{k!(n-1-k)!} B_k x^{n-k-1}$$

$$= n \cdot B_{n-1}(x)$$

$$\underline{n=1}: B_1(x) = x + B_1 = x - 1/2$$

$$\underline{n=2}: B_2(x) = x^2 - x + \frac{1}{6}.$$

$$\rightarrow B_k(1) - B_k(0) = \sum_{j=0}^k \binom{k}{j} B_j - B_k = \sum_{j=0}^{k-1} \binom{k}{j} B_j = 0 \quad (\text{defining recursion})$$

Moreover, since  $B_k'(x) = k B_{k-1}(x)$  (above or  $\cancel{x-1}$ ),

$$\text{Thus } 0 = B_k(1) - B_k(0) = \int_0^1 B_k'(x) dx = \underbrace{\int_0^1 B_{k-1}(x) dx}_{\sim 0} \quad \int_0^1 B_n(x) dx = 0 \quad \text{for } n \geq 1.$$

(& we'll see  $\sum_{n \in P \setminus 2} L(\chi, n)$  for the next)

Pf of claim:  $A_k(0) = \sum_{n \in \mathbb{Z} \setminus 0} n^{-k} = \xi(k) \cdot (1 + (-1)^k) = 2 \xi(k)$  k even  
 For  $k \geq 2$

Also  $-\frac{(2\pi i)^k}{k!} B_k(0) = -\frac{(2\pi i)^k}{k!} \cdot B_k = \begin{cases} 0 & k \text{ odd} \\ 2 \cdot \frac{(-1)^m \pi^{2m}}{(2m-1)!} \cdot 2^{2m-1} \cdot \left(\frac{-B_{2m}}{2^m}\right)^k & k = 2m \end{cases}$   
 So the expressions agree at  $x=0$ .

$A_k(x)$  is differentiable and for  $k \geq 3$ ,

$$A'_k(x) = \sum_{n \in \mathbb{Z} \setminus 0} \frac{2\pi i n e^{2\pi i n x}}{n^k} \quad \forall x \in \mathbb{R} \text{ (or } [0, 1])$$

$$= 2\pi i \cdot A_{k-1}(x)$$

$$\text{But } \frac{d}{dx} \left[ -\frac{(2\pi i)^k}{k!} B_k(x) \right] = -\frac{(2\pi i)^k}{k!} k B_{k-1}(x)$$

$$= 2\pi i \cdot \left[ -\frac{(2\pi i)^{k-1}}{(k-1)!} \cdot B_{k-1}(x) \right] \quad \text{. Thus by induction}$$

and the Mean Value Theorem  
 it will suffice to show  $A_2(x) = -\frac{(2\pi i)^2}{2!} B_2(x)$

$$= 2\pi^2 (x^2 - x + 1/6)$$

for  $x \in [0, 1]$ .

$$A_2(x) = \cdot \sum_{n \in \mathbb{Z} \setminus 0} \frac{e^{2\pi i n x}}{n^2} \quad \text{thus}$$

$$\hat{A}_2(m) = \int_0^1 A_2(x) e^{-2\pi i m x} dx = \int_0^1 \sum_{n \in \mathbb{Z} \setminus 0} \frac{e^{2\pi i (n-m)x}}{n^2} dv$$

$$= \sum_m \int_0^1 \frac{e^{2\pi i (n-m)x}}{n^2} dv = \frac{1}{m^2} \quad \text{for } m \neq 0, \text{ and } = 0 \text{ for } m = 0$$

Meanwhile

$$\frac{1}{3} - \frac{1}{2} + \frac{1}{6} = 0$$

$$\hat{B}_2(m) = \int_0^1 \left( x^2 - x + \frac{1}{6} \right) e^{-2\pi i mx} dx = 0 \quad m=0$$

$$\int x^2 e^{2\pi i x} dx = \frac{x^2 e^{2\pi i x}}{2\pi i} - \int 2x e^{2\pi i x} dx = \frac{x^2 e^{2\pi i x}}{2\pi i} - 2 \left( \frac{x e^{2\pi i x}}{\pi^2} - \int e^{2\pi i x} dx \right)$$

$$\text{so } \int_0^1 x^2 e^{-2\pi i mx} dx = \frac{1}{\alpha} - 2 \left( \frac{1}{\alpha^2} - 0 \right)$$

$\alpha = -2\pi i m$

$$- \int x e^{-2\pi i mx} dx = - \left( \frac{1}{\alpha} \right)$$

$$\text{so } \hat{B}_2(m) = \frac{-2}{(-2\pi i m)^2} = \frac{1}{2\pi^2 m^2}, \text{ i.e. } 2\pi^2 \hat{B}_2(m) = \hat{A}_2(m) \forall m.$$

Since  $\forall m$  these continuous periodic functions have the same Fourier coefficients,  $\hat{B}_2(x) = A_2(x) \forall x \in [0, 1]$ .

Now we can evaluate  $L(x, k)$ , at least for  $k \geq 2$  ( $k=1$  missing from our treatment), and hence  $L(x_{\text{or } \bar{x}}, 1-k)$ .  
 but the same holds:  $\hat{B}_1(kx) = A_1(kx)$  'exercise!'

$B'$   $x : (\mathbb{Z}/m\mathbb{Z})^* \rightarrow \mathbb{C}$  primitive character,  $m > 1$ . Let

$k \in \mathbb{Z}_{\geq 1}$  satisfying  $k \equiv p \pmod{2}$ , i.e.  $x(-1) = (-1)^k$ . Then

$$L(x, k) = - \frac{x(-1) G(x) (2\pi i)^k}{2m \cdot k!} \sum_{j=1}^{m-1} \bar{x}(j) B_k \left( \frac{j}{m} \right)$$

$B' \Rightarrow B$  ( $L(x, 1-n) = -\frac{B_{x,n}}{n}$ )

Pf: Recall

$$L(x, k) = \frac{x(-1) G(x)}{m} \sum_{a=1}^m \bar{x}(a) \sum_{n=1}^{\infty} \frac{e^{2\pi i an/k}}{n^k}$$

(and  $\bar{x}(m) = 0$ )

$$\text{relate to } A_k\left(\frac{\alpha}{m}\right) = \sum_{n \neq 0} \frac{e^{2\pi i \alpha n/m}}{n^k}$$

We have a and  $-\alpha$  terms in the  $\sum_a$ :

$$\begin{aligned} \bar{x}(a) \sum_{n=1}^{\infty} \frac{e^{2\pi i \alpha n/m}}{n^k} + \bar{x}(-1) \bar{x}(a) \sum_{n=1}^{\infty} \frac{e^{-2\pi i \alpha n/m}}{n^k} \\ = \bar{x}(a) \sum_{n=1}^{\infty} \frac{e^{2\pi i \alpha n/m} + x(-1) e^{-2\pi i \alpha n/m}}{n^k}. \end{aligned}$$

If  $x(-1) = (-1)^k$  (the assumption of the theorem), this equals  $\bar{x}(a) \cdot A_k(\alpha/m)$ .

Thus by duplicating the  $\sum_a \sum_n$  we get

$$\begin{aligned} L(x, k) &= \frac{x(-1) G(x)}{2m} \cdot 2 \cdot \sum_a \sum_n = \frac{x(-1) G(x)}{2m} \left( \sum_a \sum_m - \sum_{-a} \sum_m \right) \\ &= \frac{x(-1) G(x)}{2m} \sum_{a=1}^m \bar{x}(a) A_k(\alpha/m) \quad (\text{by doubling we get } \sum_{a=1}^m \text{ rather than } \sum_{a \neq 0}) \\ &= \frac{x(-1) G(x)}{2m} \sum_a \bar{x}(a) \frac{-(2\pi i)^k}{k!} B_k(\alpha/m) \quad (\text{prepared for } k \geq 2; \text{ valid for } k \geq 1) \\ &= -\frac{x(-1) G(x) (2\pi i)^k}{2m \cdot k!} \sum_{a=1}^m \bar{x}(a) B_k(\alpha/m) \end{aligned}$$

Recall.

$$A_k(x) = -\frac{(2\pi i)^k}{k!} B_k(x) \quad \begin{matrix} x \in [0, 1] \\ \text{or } (0, 1) \text{ if } k=1 \end{matrix}$$

$$\Lambda(x, s) = \frac{G(x)}{i^s \sqrt{m}} \Lambda(\bar{x}, 1-s)$$

$$\sum_{a=1}^m \bar{x}(a) \frac{t e^{at}}{e^{mt}-1} = \sum_{n=0}^{\infty} B_{n,x} \frac{t^n}{n!}$$

$$L(x, 1-k) = \frac{\Lambda(x, 1-k)}{\left(\frac{m}{\pi}\right)^{\frac{1-k}{2}} \Gamma\left(\frac{1-k+p}{2}\right)}$$

$$= \frac{\Lambda(\bar{x}, k)}{\left(\frac{m}{\pi}\right)^{\frac{k-p}{2}} \Gamma\left(\frac{1-k+p}{2}\right)} \cdot \frac{G(x)}{i^p \sqrt{m}}$$

$$= \frac{\left(\frac{m}{\pi}\right)^{\frac{k-p}{2}} \Gamma\left(\frac{k-p}{2}\right)}{\left(\frac{m}{\pi}\right)^{\frac{1-k}{2}} \Gamma\left(\frac{1-k+p}{2}\right)} \frac{G(x)}{i^p \sqrt{m}}$$

$$\left(\frac{m}{\pi}\right)^{k-\frac{1}{2}} \frac{\Gamma\left(\frac{k+p}{2}\right)}{\Gamma\left(\frac{1-k+p}{2}\right)} \cdot -\frac{x(-1)(2\pi i)^k}{2m \cdot k!} \frac{G(\bar{x}) G(x)}{i^p \sqrt{m}} \cdot \sum_{a=1}^m \bar{x}(a) B_k(\alpha/m)$$

$$p=0: \frac{\Gamma(\frac{k}{2})}{\Gamma(\frac{1-k}{2})} = \frac{2\sqrt{\pi}}{2^k \pi} \Gamma(k) \cos\left(\frac{\pi}{2}k\right)$$

$$\underbrace{p=1:}_{\frac{\Gamma(\frac{k+1}{2})}{\Gamma(\frac{1-k+1}{2})}} \quad \begin{cases} \Gamma\left(\frac{s}{2}\right)\Gamma\left(\frac{1+s}{2}\right) = \frac{2\sqrt{\pi}}{2^s} \Gamma(s) \\ \Gamma\left(\frac{1-s}{2}\right)\Gamma\left(\frac{1+s}{2}\right) = \frac{\pi}{\sin(\pi(\frac{1-s}{2}))} = \frac{\pi}{\cos(\frac{\pi}{2}s)} \end{cases}$$

$$= \frac{\Gamma\left(\frac{k-1}{2}\right) \cdot \left(\frac{k-1}{2}\right)}{\Gamma\left(\frac{1-(k-1)}{2}\right)} = \frac{2\sqrt{\pi}}{2^{k-1}} \frac{\Gamma(k-1)}{\pi} \cos\left(\frac{\pi}{2}(k-1)\right) \cdot \frac{k-1}{2}$$

So in sum (for  $k \equiv p \pmod{2}$ )

$$L(x, 1-k) = \left(\frac{m}{\pi}\right)^{k-\frac{1}{2}} \cdot \frac{2\sqrt{\pi}}{2^k} \cdot \frac{\Gamma(k)}{\pi} \underbrace{\cos\left(\frac{\pi}{2}(k-p)\right)}_{(-1)^{\frac{k-p}{2}}} \cdot -\frac{x(-1)(2\pi i)^k}{2^{i^p} k! \sqrt{m}} \cdot (-1)^p$$

combine

$$\text{WCGAGG}(\bar{x}) = x^{(l-1)m}$$

$$\bullet \sum_a x(a) B_k(a/m)$$

$$= -(-1)^p \cdot (-1)^p \cdot i^{k-p} \cdot (-1)^{\frac{k-p}{2}} \cdot 2^{\frac{k+1}{2}} \cdot \frac{\pi^{k+\frac{1}{2}}}{\pi^{k+\frac{1}{2}}} \cdot \frac{(k-1)!}{k!} \cdot m^{k-1} \sum_a x(a) B_k(a/m)$$

$$= -\frac{1}{k} m^{k-1} \sum_a x(a) B_k(a/m) = -\frac{B_{k,x}}{k}$$

Lemma: This  $\xrightarrow{\text{?}} = B_{k,x}$  !

Proof of Lemma:

$$\sum_{n=0}^{\infty} m^{n-1} \sum_{a=1}^m \chi(a) B_n\left(\frac{a}{m}\right) \frac{t^n}{n!} = \sum_{a=1}^m \frac{\chi(a)}{m} \sum_{n=0}^{\infty} B_n\left(\frac{a}{m}\right) \frac{t^n}{n!}$$

$$= \sum_{a=1}^m \frac{\chi(a)}{m} \frac{xt}{e^{mt}-1} \cdot e^{\frac{a}{m} \cdot mt}$$

$$= \sum_{a=1}^m \chi(a) \frac{t \cdot e^{at}}{e^{mt}-1} = \sum_{n=0}^{\infty} B_{n,x} \frac{t^n}{n!}$$

The result follows by comparing coefficients:  $B_{n,x} = m^{n-1} \sum_{a=1}^m \chi(a) B_n\left(\frac{a}{m}\right)$   $\blacksquare$

Phew!

$$\left. \begin{aligned} \sum_{a=1}^m \chi(a) \frac{t e^{at}}{e^{mt}-1} &= \sum_{n=0}^{\infty} B_{n,x} \frac{t^n}{n!} \\ \frac{t}{e^{t}-1} \cdot e^{xt} &= \sum_{n=0}^{\infty} B_{n,x} \frac{t^n}{n!} \end{aligned} \right\}$$

checked earlier (so this is the claim).

$$\sum B_n \frac{t^n}{n!} \cdot \sum \frac{(xt)^m}{m!} = \sum_n \sum_{k=0}^n \frac{B_k}{k!} \frac{x^{n-k}}{(n-k)!} \cdot \frac{t^n}{n!} = \sum_{n=0}^{\infty} \underbrace{\left( \sum \binom{n}{k} B_k x^{n-k} \right)}_{B_{n,x}(x)} \frac{t^n}{n!}$$

# New unit: $p$ -adic interpolation and $p$ -adic L-functions

Recall:  $\zeta(1-n) = -\beta_{n/n} \quad \forall n \in \mathbb{Z}_{\geq 1}$  (taking  $\beta_1 = \frac{1}{2}$  instead of  $-\frac{1}{2}$ )  
 $L(x, 1-n) = -\beta_{n,x/n} \quad \forall n \in \mathbb{Z}_{\geq 1}$

The  $\beta_n$ 's are rational numbers. The  $\beta_{n,x}$ 's live in the finite degree extension  $\mathbb{Q}[\{\text{values of } x\}] / \mathbb{Q}$ .

Question: What can we say about these rational numbers?  
 Namely, about their prime divisibility properties?

- what  $p$  divide numerators?
- ... " denominators?
- any congruence relation between different values?

Thm: Let  $p$  be prime.  $n \in \mathbb{Z}_{\geq 1}$

① If  $n \not\equiv 0 \pmod{p-1}$ , then  $-\frac{\beta_n}{n} = \zeta(1-n) \in \mathbb{Z}_{(p)} = \{a \in \mathbb{Q} \mid a = \frac{b}{c}, b, c \in \mathbb{Z}, (b, c) = 1 \text{ and } p \nmid c\}$

② If  $n \equiv 0 \pmod{p-1}$ , and  $n$  even, then  $p \cdot \beta_n \equiv -1 \pmod{p}$   
 (i.e.  $\beta_n \in -\sum \frac{1}{p} + \mathbb{Z}$ )  
 $\stackrel{p:}{p-1 \mid n}$

③ Kummer congruences: Let  $n \equiv n' \pmod{p-1}$

and  $n \not\equiv 0 \pmod{p-1}$  (case ①). Then

$$-\frac{\beta_n}{n} = \zeta(1-n) \equiv \zeta(1-n') = -\underbrace{\frac{\beta_{n'}}{n'}}_{\in \mathbb{Z}_{(p)}} \pmod{p}$$

More generally, if  $n \not\equiv n' \pmod{p-1} \cdot p^r$   $r \in \mathbb{Z}_{\geq 0}$ , then

$$(1-p^{n-1})\zeta(1-n) \equiv (1-p^{n'-1})\zeta(1-n') \pmod{p^{r+1}}$$

Example:  $p=7$ ,  $n=4$ ,  $n'=10$        $n' \equiv n \pmod{p-1}$

$$\begin{aligned}\zeta(1-4) &= -\frac{\beta_{12}}{4} = \frac{1}{4 \cdot 30} \quad \text{and} \quad \frac{1}{4 \cdot 30} + \frac{5}{66 \cdot 10} = \frac{1}{60} \left( \frac{1}{2} + \frac{5}{11} \right) \\ \zeta(1-10) &= -\frac{\beta_{10}}{10} = -\frac{5}{66 \cdot 10} \\ &= \frac{1}{60} \cdot \frac{71+10}{22} = 0 \bmod 7 \cdot \mathbb{Z}_{(7)}\end{aligned}$$

!!! The heuristic here is

$$(1-p^{k-1})\zeta(1-k) = \sum_{\substack{n=1 \\ (n,p)=1}}^{\infty} n^{k-1} \underset{k=k' \bmod (p-1) \cdot p}{=} \sum_i (n')^{k-1} = (1-p^{k-1})\zeta(1-k') \bmod p^{r+1}$$

where the congruence holds term-by-term

③ Kummer criterion. K. was trying to prove Fermat's Last Theorem; in the process he developed the foundations of alg. # theory.

$$x, y, z : x^p + y^p = z^p \Rightarrow \prod_{j=0}^{p-1} (x + \zeta_p^j y) = z^p, \text{ an equality in the ring } \mathbb{Z}[\zeta_p].$$

When factors on LHS are relatively prime, does this force them to be  $p^{\text{th}}$  powers (upto units  $\in \mathbb{Z}[\zeta_p]^\times$ ). Yes : if  $\mathbb{Z}[\zeta_p]$  is UFD, no in general and if  $p \nmid \# \text{Cl}(\mathbb{Z}[\zeta_p])$ .

Kummer's criterion: TFAE :

(A)  $p \nmid \# \text{Cl}(\mathbb{Z}[\zeta_p])$

(B) For all even  $m$ ,  $2 \leq m \leq p-3$ ,  $p \nmid \zeta(1-m)$   
(i.e. the numerator of  $\beta_m$  is not divisible by  $p$ ).

(Refinement: Herbrand-Ribet Theorem).

Example:  $691 \mid -\frac{\beta_{12}}{12} = \zeta(-11)$ , so  $691 \nmid \# \text{Cl}(\mathbb{Z}[\zeta_{691}])$

We see that (1)-(1') determine denominators of all  $\zeta(1-m)$ , but that the numerators lie very deep!

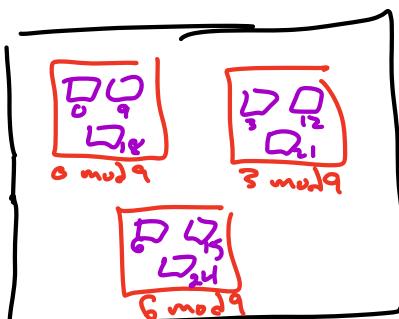
The natural context for all of these results is  $p$ -adic L-functions,

§

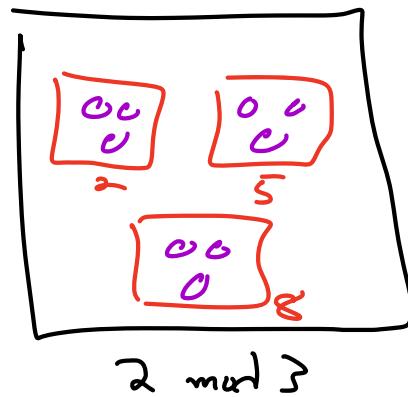
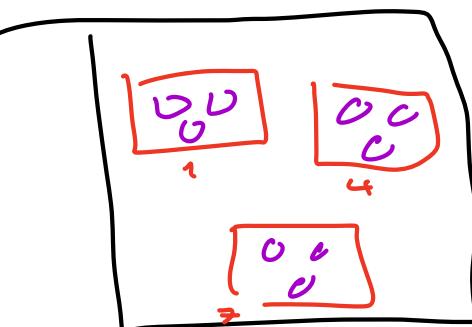
The  $p$ -adic numbers.

$p=3$ . Put integers into mod 3 boxes 1]

Then  
mod 9  
boxes



Then mod 27  
boxes  
etc.  
etc.



The 3-adics will be a number system with a notion of distance s.t. integers are "close" if they lie in a small box in the picture, i.e. if  $\equiv \pmod{(\text{high power of } p)}$ .

Defn: Fix a prime  $p$ . Let  $a \in \mathbb{Q}$ . Write  $a = p^r \cdot \frac{u}{v}$  where  $u, v \in \mathbb{Z}$  are not divisible by  $p$ . Define the  $p$ -adic valuation  $v_p(a) = r$ .

So  $v_p : \mathbb{Q} \setminus 0 \rightarrow \mathbb{Z}$ . We set  $v_p(0) = \infty$  for convenience.

Lemma: (1)  $\forall a, b \in \mathbb{Q}$ ,  $v_p(ab) = v_p(a) + v_p(b)$   
(with convention  $\infty + ? = \infty$ )

(2)  $v_p(a+b) \geq \min(v_p(a), v_p(b))$ , and equality holds if  $v_p(a) \neq v_p(b)$ . (again convention  $\infty \geq \text{any } n \in \mathbb{Z}$ ) or  $\infty$

Pf - easy and essential exercise.

Eg: Consider the sequence  $\{a_n = \sum_{k=0}^{n-1} p^k = 1+p+\dots+p^{n-1} \in \mathbb{Z}\}_{n \geq 1}$ .

$$\frac{1}{1-p} - a_n = \frac{1}{1-p} - \frac{1-p^n}{1-p} = \frac{p^n}{1-p} \text{ has } v_p\left(\frac{p^n}{1-p}\right) \rightarrow \infty$$

$n \rightarrow \infty$ . The  $p$ -adics will be a metric space where the sequence  $\{a_n\}_{n=1}^{\infty}$  converges to  $\frac{1}{1-p}$ . We need to convert  $\sim$  into a notion of distance.

Defn: For  $a \in \mathbb{Q}$ , define  $|a|_p = p^{-v_p(a)}$  ( $= 0$  for  $a=0$ ).

The  $p$ -adic distance between  $a, b \in \mathbb{Q}$  is  $|a-b|_p$ , so  $a, b$  are close when  $a \equiv b \pmod{p^{\text{high}}}$ . Ex:  $|a_n - \frac{1}{1-p}|_p \rightarrow 0$  as  $n \rightarrow \infty$  in the example above.

Lemma: ① If  $a, b \in \mathbb{Q}$ ,  $|ab|_p = |a|_p \cdot |b|_p$

②  $|a+b|_p \leq \max(|a|_p, |b|_p) \stackrel{|a|_p + |b|_p}{\leq}$  with equality if  $|a|_p \neq |b|_p$ .

③  $|a|_p = 0$  iff  $a = 0$ . Thus  $d_p$  is a metric on  $\mathbb{Q}$ .

Pf: Immediate from last lemma.

Note: For the "usual"  $|\cdot|: \mathbb{Q} \rightarrow \mathbb{R}_{>0}$ , ① & ③ hold, and the weaker version  $|a+b| \leq |a| + |b|$  (triangle inequality) holds.

In the  $\{a_n = 1+p+\dots+p^{n-1}\}$  example, the  $a_n$  got close to  $\frac{1}{1-p} \in \mathbb{Q}$ . But sometimes a sequence of  $a_n \in \mathbb{Q}$  will appear to be approaching a limit not in  $\mathbb{Q}$ .

Example:  $p=5$ . Recursively solve  $a_1=2$ ,  $a_n^2 \equiv -1 \pmod{5^n}$

(possible b/c: given  $a_n^2 \equiv -1 + 5^n \cdot k$ , solve  $(a_n + 5^{\frac{n}{2}}b)^2 \equiv -1 + 5^{\frac{n+1}{2}}$ )

Clearly for  $n, m$   $|a_n - a_m|_5 \leq 5^{-m}$  so  $\{a_n\}$  is a Cauchy sequence

but can't be approaching  $\alpha \in \mathbb{Q}$

b/c then  $\alpha^2 = -1$ !

to get  $-1 \pmod{5^{n+1}}$  value  
 $k + \underbrace{2(-1+5^{\frac{n}{2}})b}_{\text{for } b, \text{ possible b/c}} \equiv 0 \pmod{5}$

Dfn:  $\mathbb{Q}_p :=$  the completion of  $\mathbb{Q}$  with respect to  $|\cdot|_p$ .

Recall:  $\mathbb{R} = \{ \text{Cauchy sequences } (a_1, a_2, \dots) \in \mathbb{Q}^{\mathbb{N}} \} / \sim$

means:  $\mathbb{R} = \{ \text{Cauchy sequences } (a_1, a_2, \dots) \in \mathbb{Q}^{\mathbb{N}} \} / \sim$   
 w.r.t.  $|\cdot|$

where Cauchy means

$\forall \epsilon > 0 \exists N_\epsilon : \forall n, n > N_\epsilon, |a_n - a_m| < \epsilon$

and  $(a_n)_{n \geq 1} \sim (b_n)_{n \geq 1}$  means

$\forall \epsilon > 0 \exists N_\epsilon : \forall n, N_\epsilon, |a_n - b_n| < \epsilon$ .

$\mathbb{Q}_p$  is defined exactly the same way, with  $1 \cdot l_p$  in place of  $1 \cdot 1$ .

Lemma 1)  $\mathbb{Q}_p$  is a ring with operations

(write  $[ \cdot ]$  for the equivalence class of  $\cdot$ )

$$[(a_n)_{n \geq 1}] + [(b_n)_{n \geq 1}] = [(a_n + b_n)_{n \geq 1}]$$

which are well-defined (indep. of choice of reps of  $\sim$  class)

$$[(a_n)_n] \cdot [(b_n)_n] = [(a_n \cdot b_n)_n]$$

②  $\mathbb{Q}_p$  is a field containing  $\mathbb{Q}$  via  $\mathbb{Q} \rightarrow \mathbb{Q}_p$ ,

$$a \mapsto (a, a, a, \dots)$$

③  $1 \cdot l_p$  extends to  $1 \cdot l_p : \mathbb{Q}_p \rightarrow \mathbb{R}_{>0} \cup \{\infty\}$  via

$|a|_p = p^{-v_p(a)}$ , where for  $a \neq 0$ ,  $v_p(a)$  is the eventually constant value of the sequence  $(v_p(a_n))_{n \geq 1}$ . Equivalently,  $|a|_p = \lim_{n \rightarrow \infty} |a_n|_p$ .

④  $\mathbb{Q}_p$  is complete w.r.t.  $1 \cdot l_p$ .

Pf: easy and essential exercise. Let  $a = [(a_n)] \in \mathbb{Q}_p$ . Set

$|a|_p = \lim_{n \rightarrow \infty} |a_n|_p$ . This exists: if  $a = 0$ , then by defn  $\lim |a_n|_p = 0$

If  $a \neq 0$ , then  $\exists (\forall \epsilon > 0 \exists N > 0 \forall n > N |a_n|_p < \epsilon)$

$= \exists \epsilon > 0 : \forall N > 1 \exists j_N > N : |a_{j_N}|_p > \epsilon$

For this  $\epsilon$ , choose  $N$ :  $|a_n - a_N|_p < \epsilon \quad \forall n, n > N$ . In particular

$|a_n - a_{j_N}|_p < \epsilon$  and  $|a_{j_N}|_p > \epsilon$ , so  $|a_n|_p = |a_{j_N}|_p$  by strong Aines.

That is,  $|a_n|_p$  is constant

" $|a_n - a_{j_N} + a_{j_N}|_p$ "

( $\Rightarrow v_p(a_n)$  is constant) for all  $n > N$

More concrete way to think about  $p$ -adics:

Let  $\mathbb{Z}_p = \{a \in \mathbb{Q}_p \mid |a|_p \leq 1\}$ , the  $p$ -adic integers.

For  $a \in \mathbb{Z}_p$ , we can uniquely choose a Cauchy sequence representing  $\overset{(a_n)}{(a_n)}$

$a$  to satisfy  $a_n \in \mathbb{Z}$ ,  $0 \leq a_n < p^n$ ,  $a_{n+1} \equiv a_n \pmod{p^n}$   
or equivalently by division algorithm  $\exists b_0, b_1, b_2, \dots \in \{0, 1, \dots, p-1\}$   
such that  $a = \underbrace{b_0 + b_1 p + b_2 p^2 + \dots}_{\text{convergent infinite sum.}}$

Quick proof:  $\mathbb{Z} \rightarrow \mathbb{Z}_p$  induces  $\mathbb{Z}/p^n \rightarrow \mathbb{Z}_p/p^n \mathbb{Z}_p$ , which  
we claim is an iso. Surj: let  $x \in \mathbb{Z}_p \setminus 0$ , so  $\exists N: \forall n > N \quad |x_n|$  is  
constant and  $\leq 1$ . That is,  $x_n = \frac{a_n}{b_n}$  with  $a_n, b_n \in \mathbb{Z}$  &  $(b_n, p) = 1$ .

Solve  $b_n \cdot u_n \equiv a_n \pmod{p^n}$ ,  $u_n \in \mathbb{Z}$ . Then  $|x_n - u_n| = \left| \frac{a_n}{b_n} - u_n \right| = \left| \frac{a_n - b_n u_n}{b_n} \right| \leq p^{-n}$ , and  $|x - u| = |x - x_n + x_n - u_n| \leq \underbrace{\max\{|x - x_n|, |x_n - u_n|\}}_{\text{both} \rightarrow 0 \text{ as } n \rightarrow \infty}^2$

so  $x = \lim_{n \rightarrow \infty} u_n$  is now represented by the  $\mathbb{Z}$  Cauchy seq.  $(u_n)$ .

Now for any fixed no,  $\exists u \in \mathbb{Z}: |x - u| \leq p^{-n_0}$ , so  $x - u = p^n \cdot (y \in \mathbb{Z}_p)$ ,

This shows  $\mathbb{Z} \rightarrow \mathbb{Z}_p/p^n \mathbb{Z}_p$  surjective. The kernel is  $\{a \in \mathbb{Z} \mid v_p(a), n\} = p^n \mathbb{Z}$ .  $\square$

With these tools in hand, for  $a \in \mathbb{Z}_p$  we reduce mod  $p^n$ ,  
represent by  $\bar{a}_n \in \mathbb{Z}/p^n$ , and let  $a_n \in \{0, \dots, p^{n-1}\}$  represent  $\bar{a}_n$ .

Then  $a = [a_n]$  as desired.  $\square$  Cor:  $\mathbb{Z}_p \cong \varprojlim \mathbb{Z}/p^n$ .

Then  $\mathbb{Q}_p = \text{Frac}(\mathbb{Z}_p)$ , and more precisely  $\forall a \in \mathbb{Q}_p$ , ( $a \neq 0$ )  
 $a = p^{v_p(a)} \cdot u$  where  $|u|_p = 1$  ( $i.e. u \in \mathbb{Z}_p^\times$ ).

Lemma: (How to do  $p$ -adic analysis) Let  $\alpha_1, \alpha_2, \dots \in \mathbb{Q}_p$   
be a sequence with  $|\alpha_n|_p \rightarrow 0$  as  $n \rightarrow \infty$ . Then  
 $\sum_{n=1}^{\infty} \alpha_n$  converges in  $\mathbb{Q}_p$ .

Pf: Set  $S_N = \sum_{n=1}^N \alpha_n$ .  $\forall m > N$ ,  $|S_m - S_N|_p = \left| \sum_{n=N+1}^m \alpha_n \right|_p$   
 $\leq \max\{||\alpha_{N+1}|_p, \dots, |\alpha_m|_p\} \rightarrow 0$  as  $m \rightarrow \infty$ . Thus  $\{S_N\}_N$   
is a Cauchy sequence and so converges ( $\mathbb{Q}_p$  is complete).

## Essential example:

Thm) Let  $f(x) \in \mathbb{Z}_p[x]$  be a polynomial. Let  $a_0 \in \mathbb{Z}_p$  be a simple root of  $\bar{f}(x) \in \mathbb{F}_p[x]$ , i.e.

$$f(a_0) \equiv 0 \pmod{p} \text{ and } f'(a_0) \not\equiv 0 \pmod{p}.$$

Then  $\exists! a \in \mathbb{Z}_p$  s.t.  $a \equiv a_0 \pmod{p}$  and  $f(a) = 0$ .

Pf: Exercise: inductively define  $a_{n+1} = a_n - \frac{f(a_n)}{f'(a_n)}$  (Newton's method).  $\blacksquare$

Example:  $f(x) = x^{p-1} - 1 \in \mathbb{Z}_p[x]$ . For any

$a_0 \in \mathbb{Z} \setminus p\mathbb{Z}$ ,  $f(a_0) \equiv 0 \pmod{p}$ , and

$$f'(a_0) = (p-1)a_0^{p-2} \not\equiv 0 \pmod{p},$$

so  $\exists! a \in \mathbb{Z}_p$ ,  $a \equiv a_0 \pmod{p}$  s.t.  $a^{p-1} = 1$ .

( $a$  depends only on  $a_0 \pmod{p}$ , so we could restrict to  $a_0 \in \{1, 2, \dots, p-1\}$ ).

Thus,  $\{\text{$(p-1)$st roots of 1}\} \subset \mathbb{Z}_p^\times$ .

For  $a \in \mathbb{Z}_p \setminus p\mathbb{Z}_p$ , write  $c(a) \in \mathbb{Z}_p^\times$  for the unique el't of  $\mu_p$ ,  $c(a) \equiv a \pmod{p}$ .

The Kummer congruences are a shadow of the fact that there is a continuous function  $\mathbb{Z}_p \rightarrow \mathbb{Q}_p$  interpolating the values  $\zeta(1-r)$ , for  $r$  in a fixed non-zero congruence class mod  $p-1$ .

Our earlier mock version of this used  $n^{r-1} = n^{r'-1} \pmod{p^{N+1}}$  when  $p \nmid n$  and  $r \equiv r' \pmod{(p-1)p^N}$

So let's think about "the" function  $s \mapsto n^s$  for  $s \in \mathbb{Z}_p$ .  
 $s = [s_i]$  for a Cauchy sequence of  $s_i \in \mathbb{Z}_{\geq 0}$ , so we would want " $n^s = \lim_{i \rightarrow \infty} n^{s_i}$ ". But this DNE in general:

we would need  $|s - s'|$  small  $\Rightarrow |n^s - n^{s'}|$  small, and  
(i) if  $n = p$ ,  $|s - s'| = p^{-N}$ ,  $|n^s - n^{s'}| = |p^s| |1 - p^{s'-s}| = p^{-s}$

Imagine  $s$  fixed, say  $s=0$ ; then making  $s'$  closer & closer to  $s$  doesn't make  $p^{s'}$  any closer to  $p^s$ .

(ii) If  $n \not\equiv 0, 1 \pmod{p}$  ( $\hookrightarrow$  we already saw was bad), then  $|n^s - n^{s+p^N}| = |n^s| \cdot |1 - \underbrace{n^{p^N}}_1| = 1$   
late again.

We fix (ii) by avoiding  $p \mid n$ . One way to fix (ii) is restrict to  $n \equiv 1 \pmod{p}$ , say  $n = 1 + pm$   $m \in \mathbb{Z}_+$ . Then  $|n^s - n^{s+p^N}| = |n^s| \cdot |1 - \underbrace{n^{p^N}}_{m \pmod{p}}| = |1 - (1+pm)^{p^N}|$

$$= |pm \cdot p^N + (pm)^2 \cdot \binom{p^N}{2} + (pm)^3 \cdot \binom{p^N}{3} + \dots + (-1)^{p^N} \cdot (pm)^{p^N}| \leq \frac{1}{p^{N+1}}$$

and so we can extend to acts fn of  $s \in \mathbb{Z}_p$ .

More explicitly, we can define for  $n = 1 + pm$ ,  $s \in \mathbb{Z}_p$

$$n^s = (1+pm)^s := \sum_{j=0}^{\infty} \frac{s(s-1)\dots(s-j+1)}{j!} (pm)^j \quad p \text{ odd}.$$

$j=0$ 

Get useful further control

by noting

The terms  $\rightarrow 0$  for  $s \in \mathbb{Z}_p$ , since  $\binom{s}{j} \in \mathbb{Z}_p, v_p\left(\frac{p^j}{j!}\right) = j - v_p(j!)$

$$\text{and } v_p(j!) = \left\lfloor \frac{j}{p} \right\rfloor + \left\lfloor \frac{j}{p^2} \right\rfloor + \dots \leq j \left( \frac{1}{p} + \frac{1}{p^2} + \dots \right) = \frac{j}{p \cdot (1 - \frac{1}{p})}$$

$$= \frac{j}{p-1}.$$

This will imply that for  $p$  odd,  $s \mapsto n^s$ ,  $n \equiv 1 \pmod{p}$ , is an analytic function of  $s \in \mathbb{Z}_p$

(For  $p=2$  take  $n \equiv 1 \pmod{4}$  to get convergent power series rewriting of this series.)

Note that these expressions are not power series in  $s$  — some rewriting needed for that

IGNORE

But  $\mathbb{Z}_2 \xrightarrow{f} \mathbb{Q}_2$   
 $s \mapsto (1+2m)^s$  still exists by first argument. Its Mahler expansion is  $\sum a_n \binom{s}{n}$  where

$$a_n = \sum_{j=0}^n (-1)^{n-j} \binom{n}{j} (1+2m)^j \\ = (1+2m-1)^n = (2m)^n, \text{ so still}$$

$$(1+2m)^s = \sum_{n=0}^{\infty} (2m)^n \binom{s}{n}$$

$$\text{Mahler series} \quad \sum a_n L_n^s \quad \dashrightarrow \quad \sum b_n s^n.$$

This is the basic trick: write down series expansion & control their coefficients.

For general  $a \in \mathbb{Z}_p \setminus p\mathbb{Z}_p$ , we then have a function  $s \mapsto \left(\frac{a}{\omega(a)}\right)^s$  from  $\mathbb{Z}_p \rightarrow \mathbb{Q}_p$

Here  $\frac{a}{\omega(a)} \equiv 1 \pmod{p}$ , so the expression makes sense

**Exercise!**

$\forall s \in \mathbb{Z}_p$ , where it is given by a power series in  $s$ .

Standard notion:  $\langle a \rangle = \frac{a}{\omega(a)}$ , so  $s \mapsto \langle a \rangle^s$  is (for fixed  $a \in \mathbb{Z}_p \setminus p\mathbb{Z}_p$ ) an analytic function of  $s \in \mathbb{Z}_p$   
 $(= \text{given by convergent power series}).$

Here's the big theorem

Existence of the  $p$ -adic L-function (Kubota-Leopoldt, Iwasawa)

Fix a primitive Dirichlet character  $(\mathbb{Z}/m\mathbb{Z})^\times \xrightarrow{\chi} \mathbb{C}^\times$   
 $(m=1, \chi=\text{id} \text{ allowed})$

We'll need to regard  $\chi$  and  $a$  as either valued

in  $\mathbb{C}$  or in an extension of  $\mathbb{Q}_p$ . Choose a field embedding  $\mathbb{Q}[\zeta_{\varphi(m)}, \zeta_{p-1}] \hookrightarrow$  (finite degree field extension of  $\mathbb{Q}_p$ )

$$\cap \\ \mathbb{C}$$

This will allow us to make sense of an equality between a complex number & a  $p$ -adic number provided they lie in the common subfield  $\mathbb{Q}[\zeta_{\varphi(m)}, \zeta_{p-1}]$ .

Recall (part) that for  $K/\mathbb{Q}_p$  finite,  $\exists!$  extension of  $|\cdot|_p: \mathbb{Q}_p \rightarrow \mathbb{R}_{>0}$  to an absolute value on  $K$ , which we also write  $|\cdot|_p$ .

Theorem

- For  $x \neq 1$ , there is a (unique) analytic function (= given by a convergent power series)  $L_p(x, s)$ ,

$L_p(x, s): \mathbb{Z}_p \rightarrow \mathbb{Q}_p[\zeta_{\varphi(m)}]$  such that  
(actually analytic on the larger disc  $|s| < q \cdot p^{-\frac{1}{p-1}}$  in  $\mathbb{C}_p$ )

$$\forall n \in \mathbb{Z}_{\geq 1}, \quad L_p(x, 1-n) = (1 - (x\bar{\omega}^n)(p) \frac{1}{p^{n-1}}) \cdot L(x\bar{\omega}^n, 1-n)$$

(Note: if  $x(-1) = -1$ , then  $(-1)^n \neq (x\bar{\omega}^n)(-1)$ , so  $L_p(x, 1-n) = 0$ , hence  $L_p(x, s) = 0$ .)

- The same holds for  $x = 1$  except  $L_p(1, s) = \frac{1}{s-1} \cdot (\text{analytic function})$

(just like  $\zeta_s$ )

We need to parse this! The  $L(x, 1-n) = -\frac{B_{n,x}\bar{\omega}^n}{n}$  hold for  $x$  primitive.

Here  $x\bar{\omega}^n$  must be interpreted as

the (unique) primitive character underlying  $x\bar{\omega}^n$ . Eg if  $x = \bar{\omega}^n$ , this is not the trivial char mod  $p$  (the modulus of  $\bar{\omega}^n$  for  $n \not\equiv 0 \pmod{p-1}$ ) but the  $1 \pmod{1}$ . Note that for  $n \not\equiv 0 \pmod{p-1}$  not needed yet.

$$L_p(\omega^n, 1-n) = (1-p^{n-1}) \cdot -\frac{B_n}{n} \quad L_p(\omega^{n+1}, 0) = \begin{cases} 1 - \omega^n(p)p^{(-1)} - B_{n+1} & n \not\equiv -1 \pmod{p-1} \\ 1 & n \equiv -1 \pmod{p-1} \end{cases}$$

For  $\forall m \equiv n \pmod{(p-1) \cdot p^r}$  ( $r \in \mathbb{Z}_{>0}$ ),  $c\omega^n = c\omega^m$ , so the following corollary implies Kummer  $\equiv$ :  
apply with  $x = \omega^n = \omega^m$ .

Cor: Suppose  $\chi \neq 1$  is a primitive character of conductor  $m$ .

Assume  $p^2 \nmid m$  ( $p$  odd) or  $8 \nmid m$  ( $p=2$ ). Then

$$L_p(\chi, s) = a_0 + a_1(s-1) + a_2(s-1)^2 + \dots \quad \text{for } a_i \in \mathcal{O}_p[\chi]$$

satisfying  $|a_0|_p \leq 1$  and  $|a_i|_p \leq 1/p$   $\forall i \geq 1$  and  $|a_i|_p \rightarrow 0$  as  $i \rightarrow \infty$ .  
 (or  $\{a_i \in \mathcal{O}_p[\chi] \mid |a_i|_p \leq 1\} = \mathcal{O}_X$ )

(this is stronger than the convergence b/c it controls  $a_0, a_1, \dots$  "smaller")  
 (Note it also shows for  $n \not\equiv 0 \pmod{p-1}$ ,  $B_n \in \mathbb{Z}(p)$ .)

Cor  $\Rightarrow$  Kummer: Let  $m \equiv n \pmod{(p-1)p^r}$  be positive even integers  $\not\equiv 0 \pmod{p-1}$ . Then  $(1-p^{m-n})(-\frac{B_n}{n}) \equiv (1-p^{m-n})(-\frac{B_m}{m}) \pmod{p^{r+1}}$ .

Pf: We saw  $L_p(\omega^m, 1-m) = (1-p^{m-1})(-\frac{B_m}{m})$ , and likewise for  $n$ .

$$L_p(\omega^n, s) = L_p(\omega^m, s), \text{ so}$$

$$L_p(\omega^n, 1-n) = a_0 + a_1(1-n) + a_2(1-n)^2 + \dots$$

$$\equiv a_0 + a_1(-n) + a_2(-n)^2 + \dots \pmod{p^{r+1}} \quad \text{since } p \mid a_i, \\ i \geq 1 \text{ and } m \equiv n \pmod{p^r}$$

A related consequence:

Cor: For  $n \not\equiv 1 \pmod{p-1}$ ,  $n \not\equiv -1 \pmod{p-1}$ ,  $B_{1,\omega^n} = \frac{B_{n+1}}{n+1} \pmod{p}$  in  $\mathcal{O}_X$  (both sides in  $\mathcal{O}_X$ ).

Pf:  $n+1$  even  $\not\equiv 0 \pmod{p-1}$ , so

$$L(\omega^n, 0) \\ = -B_{1,\omega^n} \equiv \frac{B_{n+1}}{n+1}$$

$$\left(1 - \frac{1}{p}\right) \cdot \frac{-B_{n+1}}{n+1} = L_p(\omega^{n+1}, -n) \stackrel{\text{modulo } p}{=} L_p(\omega^n, 0) = (1 - \omega^n(p)p^{n-1}) \cdot -\frac{B_{n,p}}{1}$$

$\Rightarrow -B_{n,p}$   
 $\omega^n \neq 1 \pmod{p}$ ,  
 so  $\omega^n(p) \approx 0$

clear from power series:  
 any  $\in \mathbb{Z}_p$  give  
 value  $\equiv$  mod  $p$ , and the  $p$ -integrality also clear from power series

There are many ways to construct  $L_p(X, s)$ ; we'll give an explicit elementary construction (not optimal for continuing in the subject, but good  $p$ -adic number practice).

Prop: (Denominators of Bernoulli numbers) For  $m$  even  $\in \mathbb{Z}_{>0}$ ,

$$B_m + \sum_{\substack{p: \\ p-1|m}} \frac{1}{p} \in \mathbb{Z},$$

Pf: Way back we showed  $S_m(n) = \sum_{k=0}^{n-1} k^m$  satisfies

$$(m+1)S_m(n) = \sum_{j=0}^m \binom{m+1}{j} B_j n^{m-j+1} \quad \binom{m+1}{j} = \frac{(m+1) \cdot m!}{(m+1-j) \cdot (m-j)! \cdot j!}$$

$$\text{so } S_m(n) = \sum_{j=0}^m \binom{m}{j} \frac{B_j}{m+1-j} \cdot n^{m+1-j}$$

$$= \sum_{k=0}^m \binom{m}{k} B_{m-k} \frac{n^{k+1}}{k+1}$$

We'll check  $\forall m \in \mathbb{Z}_{\geq 1}$ ,  $pB_m \in \mathbb{Z}(p)$  and for even  $p$   $pB_m \equiv S_m(p) \pmod{p}$

This will suffice because

$$S_m(p) = 1^m + 2^m + \dots + (p-1)^m \equiv 1^m + g^m + g^{2m} + \dots + g^{(p-2)m} \pmod{p}$$

$$\text{For a generator } g \text{ of } (\mathbb{Z}/p)^{\times} = \frac{g^{(p-1)m} - 1}{g^m - 1}$$

If  $p-1 \nmid m$ , we see  $S_m(p) \equiv 0 \pmod{p}$ , and if  $(p-1) \mid m$ , then  $S_m(p) \equiv 1+1+\dots+1 \equiv -1 \pmod{p}$ .

Thus ( $m$  even)  $pB_m \equiv S_m(p) \pmod{p}$  tells us

(i)  $B_m \in \mathbb{Z}_{(p)}$  for  $p-1 \nmid m$

(ii) for  $p-1 \mid m$ ,  $pB_m \equiv -1 \pmod{p}$ ,  $pB_m \in \mathbb{Z}_{(p)}$

Thus  $\forall l$ ,  $B_m + \sum_{p \mid l, m} \frac{1}{p} \in \mathbb{Z}_{(p)}$ , done by  $\bigcap_{\text{primes}} \mathbb{Z}_{(p)} = \mathbb{Z}_1$ .

For the claim we induction on  $m$ . Clear for  $m=1$ . Suppose  $m > 1$ .

$$\mathbb{Z} \ni S_m(p) = \sum_{k=0}^m \binom{m}{k} B_{m-k} \underbrace{\frac{p^{k+1}}{k+1}}_{k=0 \text{ term is } B_m \cdot p}$$

The other terms are in  $\mathbb{Z}_{(p)}$ : by induction,

for  $k=1, \dots, m$ ,  $p \cdot B_{m-k} \in \mathbb{Z}_{(p)}$ , and clearly  $\frac{p^k}{k+1} \in \mathbb{Z}_{(p)}$   
 $\forall k \geq 1$

Thus  $pB_m \in \mathbb{Z}_{(p)} \quad \forall m \in \mathbb{Z}_{\geq 1}$ .

Similarly, for  $k \geq 2$ ,  $\frac{p^k}{k+1} \in p\mathbb{Z}_{(p)}$ , so

$$pB_m \equiv -m \cdot B_{m-1} \frac{p^2}{2} + Sp(m) \pmod{p}$$

$$= \begin{cases} Sp(m) & \text{for } m \geq 4 \text{ even} \\ -2(-\frac{1}{2}) \frac{p^2}{2} + Sp(m) & \text{for } m=2 \\ \equiv Sp(m) \pmod{p} \end{cases} \quad \square$$

# Construction of $L_p(\chi, s)$

$\chi$  - primitive conductor  $m$ .

For simplicity take  $p$  odd. Let  $F$  be any multiple of  $m \cdot p$ .  
 $(\bar{F} = mp \text{ is fine}, \text{not poly.})$

Define

$$L_p(\chi, s) = \frac{1}{F} \cdot \frac{1}{s-1} \sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) \langle_a \rangle^{1-s} \sum_{j=0}^{\infty} (1-s) \binom{1-s}{j} B_j \left( \frac{F}{a} \right)^j !$$

( $n > 1$ )

Values:  $L_p(\chi, 1-n) = \frac{1}{F} \cdot \frac{1}{-n} \sum_a \chi(a) \frac{\alpha^n}{\omega(a)^n} \sum_j \binom{n}{j} B_j \cdot \left( \frac{F}{a} \right)^j$

Exercise:  $B_{n,\chi} = F^{n-1} \sum_{a=1}^F \chi(a) \underbrace{B_n\left(\frac{a}{F}\right)}_{\sum_j \binom{n}{j} B_j \left(\frac{a}{F}\right)^{n-j}}$  (verified for  $F=m$ ) Wash. 4.1

$$L_p(\chi, 1-n) = \sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) \bar{\omega}^n(a) \underbrace{\sum_{j=0}^n \binom{n}{j} B_j \cdot \left(\frac{a}{F}\right)^{n-j} \cdot F \cdot -\frac{1}{n}}_{B_n(0/F)}$$

$$= \sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) \bar{\omega}^n(a) B_n\left(\frac{a}{F}\right) \cdot \frac{F^{n-1}}{-n} \stackrel{?}{=} \sum_{\substack{a=1 \\ p \nmid a}}^F (\chi \bar{\omega}^n)(a) B_n\left(\frac{a}{F}\right) \frac{F^{n-1}}{-n}$$

$$= \sum_{a=1}^F (\chi \bar{\omega}^n)(a) B_n\left(\frac{a}{F}\right) \frac{F^{n-1}}{-n} - \sum_{b=1}^{F/p} (\chi \bar{\omega}^n)(pb) B_n\left(\frac{b}{F/p}\right) \frac{F^{n-1}}{-n}$$

⊕ Wash Ex 3.7c  
unless both  $\chi_1(a) = 0$   
 $= \chi_2(a)$ ,  
 $\chi_1(a) \chi_2(a) = (\chi_1 \chi_2)(a)$

Case 1:  $p \mid \text{cond}(\chi \bar{\omega}^n)$ . Then  $\uparrow$  all  $= 0$ , and we get

$$-\frac{B_{n,\chi\bar{\omega}^n}}{n}: L(\chi \bar{\omega}^n, 1-n) = \left(1 - \underbrace{(\chi \bar{\omega}^n)(p)p^{n-1}}_0\right) L(\chi \bar{\omega}^n, 1-n) \quad \checkmark$$

Case 2:  $p \nmid \text{cond}(\chi \bar{\omega}^n)$ . Then  $\text{cond}(\chi \bar{\omega}^n) \mid F/p$ , and we get

$$-\frac{B_{n,\chi\bar{\omega}^n}}{n} - p^{n-1} \cdot \left(\frac{F}{p}\right)^{n-1} (\chi \bar{\omega}^n)(p) \sum_{b=1}^{F/p} (\chi \bar{\omega}^n)(b) B_n\left(\frac{b}{F/p}\right) \cdot -\frac{1}{n}$$

$$= -\frac{\beta_{n,x\omega^n}}{n} - p^{n-1}(x\omega^n)(p) \cdot \left( -\frac{\beta_{n,x\omega^n}}{n} \right)$$

$$= \left[ 1 - (x\omega^n)(p)p^{n-1} \right] \cdot -\frac{\beta_{n,x\omega^n}}{n} \quad \checkmark$$

Convergence:

$$L_p(\chi, s) = \frac{1}{F} \cdot \frac{1}{s-1} \sum_{\substack{\alpha=1 \\ p \nmid \alpha}}^F \chi(\alpha) \langle \alpha \rangle^{1-s} \sum_{j=0}^{\infty} \binom{1-s}{j} B_j \left( \frac{F}{\alpha} \right)^j$$

STP  $\langle \alpha \rangle^{1-s}$  analytic,  $\sum_{j=0}^{\infty} \binom{1-s}{j} B_j \left( \frac{F}{\alpha} \right)^j$  analytic  $s \in \mathbb{Z}_p$

Take  $p \neq 2$  for simplicity

$$\langle \alpha \rangle^s = (1 + (\langle \alpha \rangle - 1))^s = \sum_{j=0}^{\infty} \binom{s}{j} [\langle \alpha \rangle - 1]^j$$

converges for  $s \in \mathbb{Z}_p$ , since then  $|(\binom{s}{j} [\langle \alpha \rangle - 1]^j)| \leq p^{-j} \rightarrow 0$

Similarly,  $|\binom{s}{j} B_j \left( \frac{F}{\alpha} \right)^j| \leq |B_j| |F|^{-j}$  and vS-C shows along with  $|F| \leq p^{\nu_p(p|F|)}$  that  $\leq p \cdot p^{-j} \rightarrow 0$ .

Analytic? write  $\langle \alpha \rangle^s = \sum_{j=0}^{\infty} \binom{s}{j} \alpha^j$   $\alpha = \langle \alpha \rangle - 1 \in p\mathbb{Z}_p$   
 $|\alpha| \leq \nu_p$

$$\oplus \sum_{n=0}^{\infty} a_n s^n \text{ where } a_n = \frac{\alpha^n}{n!} + \frac{\alpha^{n+1} \cdot (\alpha \cdot 1)}{(n+1)!} + \dots$$

and  $\left| \frac{\alpha^{n+k}}{(n+k)!} \right| \leq p^{-n-k} \cdot p^{\frac{n+k}{p-1}} \rightarrow 0 \quad (p \neq 2)$ , so the sum defining

$a_n$  exists. Moreover,

$$|a_n| \leq \max_{k \geq 0} \left| \frac{\alpha^{n+k}}{(n+k)!} \right| = \max_k p^{-(n+k)(1-\frac{1}{p-1})} = p^{-n(1-\frac{1}{p-1})}$$

so  $\sum_{n=0}^{\infty} a_n s^n$  is a convergent power series for

$$\{s \in \mathbb{Q}_p \mid |s|^n \cdot p^{-n(1-\frac{1}{p-1})} \rightarrow 0\}$$

$$= \left\{ s \mid |s| \leq p^{1-\frac{1}{p-1}-\epsilon}, \text{ any } \epsilon > 0 \right\}, \text{ in particular for } s \in \mathbb{Z}_p.$$

The same argument applies to show  $\sum_{j=0}^{\infty} (-s) B_j \left(\frac{F}{a}\right)^j$  analytic on some domain (using  $s \in \mathbb{C}$  as before).

Some details for Q. (see Washington Prop 5.8)

The Corollary that we used to justify Kummer congruences requires a more careful tracking of coefficients: ( $p \neq 2$  for simplicity)

$x \neq 1 \cdot p^2 \nmid \text{cond}(x)$ . Then we can take  $F = p|F$ ,  $p^2 \nmid F$ . (and  $\text{cond}(x)|F$ ).

$$L_p(x, s) = \frac{1}{F} \cdot \frac{1}{s-1} \sum_{\substack{a=1 \\ p \nmid a}}^F x(a) \langle a \rangle^{1-s} \sum_{j=0}^{\infty} (-s) B_j \cdot \left(\frac{F}{a}\right)^j$$

where  $x(-1)=1$  (else  $L_p(x, s) = 0$ ).

$$\left| \frac{1}{F} (-s) B_j \cdot \left(\frac{F}{a}\right)^j \right| = \left| (1-s)(1-s-1)\cdots(1-s-(j-1)) \cdot \frac{B_j F^{j-1}}{a^j j!} \right| \leq p \cdot p^{\frac{j}{p-1}-\frac{j}{p}+1}$$

$$= p^{-j\left(1-\frac{1}{p-1}\right)} \cdot p^2 \stackrel{p \geq 3}{\leq} p^{-\frac{j}{2}+2} \stackrel{(j \geq 6)}{\leq} \frac{1}{p}$$

so the  $(s-1)^6$  and higher coeffs are div. by  $p$ .

(S-1)<sup>3,4,5</sup> check this case by case

For instance,  $\binom{s-1}{5}$  coefficient is (div. by  $p$ )

$$+ \frac{B_5 \cdot F^4}{a^5 \cdot 5!} \quad \text{O}$$

$$(s-1)^2, ( )^1, ( )^0 \text{coeff} \quad (B_2 = \gamma_6) \\ B_4 = -\gamma_2$$

$j=2$  always div. by  $p$ :  $\frac{B_2 F}{a^2 \cdot 2!}$   
unless  $p=3$ , then  $\in \mathbb{Z}_3$

$j=1 \in \mathbb{Z}_p$

$$\frac{B_4 F^3}{a^4 \cdot 4!} =$$

$$1 \cdot 1 = \left| \frac{1}{30} \cdot \frac{F^3}{4!} \right| = \frac{p^{-3}}{130 \cdot 4!} \leq \frac{1}{p}$$

in all cases  $p \neq 2$ .

$j=0$  have  $p$  in the denominator

So to complete the proof, since all coefficients of the power series  $\langle a \rangle^s$  in  $s-1$  are  $p$ -integral, and the  $(s-1)^2$  and higher coefficients are div. by  $p^2$  when we write

$L_p(x, s) = \sum_{n=0}^{\infty} a_n (s-1)^n$ , plan 3 is immediate, and it suffices to scrutinize the low-degree terms:

$$\frac{1}{F} \cdot \frac{1}{s-1} \sum_{\substack{a=1 \\ p \nmid a}}^F x(a) \left[ \langle a \rangle^{1-s} \right] \underset{\substack{\text{cut off} \\ \text{at } (s-1)^0 + (s-1)^1}}{\cdot} \sum_{j=0}^2 \binom{1-s}{j} B_j \left[ \frac{F}{a} \right]^j$$

$$= \frac{1}{s-1} \cdot \sum_{\substack{a=1 \\ p \nmid a}}^F x(a) (1 + (1-s)[a]-1) \cdot \left( \frac{1}{F} - \frac{1-s}{2a} + \frac{(1-s)(1-s-1)}{12a^2} \cdot F \right)$$

For  $x \neq 1$ ,  $\frac{1}{F} \sum_{\substack{a=1 \\ p \nmid a}}^F x(a) = 0$  (certainly  $\sum_{a=1}^F x(a) = 0$ , and

if  $p \nmid \text{cond}(x)$ ,  $\sum_{b=1}^{F/p} x(p^b) = x(p) \cdot \sum_{b=1}^{F/p} x(b)$  where  $\text{cond } x \mid F/p = 0$

and if  $p \mid \text{cond}(x)$ , then all terms  $x(p^b) = 0$ .

So there is no  $\frac{1}{s-1}$  term for  $x \neq 1$ .

$$a_0 = \sum_{\substack{a=1 \\ p \nmid a}}^F x(a) \left( \frac{\langle a \rangle^{-1}}{F} - \frac{1}{2a} - \frac{F}{12a^2} \right) + (\text{higher } p)$$

$$\in \mathbb{Z}_p \quad (p^2 \nmid F)$$

$$Q_1 = \sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) \left[ -\frac{\langle a \rangle - 1}{2a} - \frac{(\langle a \rangle - 1) \cdot F}{12a^2} + \frac{F}{12a^2} \right] + \text{div. by } p$$

$\in p \mathbb{Z}_p$  clearly for  $p > 3$ , and for  $p = 3$  ( $a^2 \equiv 1 \pmod{p}$ )

$$\sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) a^{-2} = \sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) \equiv 0 \pmod{p}$$

$$Q_2 = \sum_{\substack{a=1 \\ p \nmid a}}^F \chi(a) \cdot -\frac{(\langle a \rangle - 1) \cdot F}{12a^2} + \text{div. by } p$$

$\in p \mathbb{Z}_p$  clearly.  $\square$

$p$ -adic L-function is even better

$$\text{Fix } u \in 1 + \frac{p}{2} \mathbb{Z}_p \simeq \mathbb{Z}_p \text{ a topological generator} \\ (\text{ignore 2 for odd } p)$$

$$\text{so } \{u^\alpha\}_{\alpha \in \mathbb{Z}_p} = 1 + p \mathbb{Z}_p \quad (\text{let's ignore } p=2)$$

Then: ①  $\exists G_x(\tau) \in \text{Frac}(\mathcal{O}_x[[\tau]])$ :

$$L_p(x, s) = G_x(u^s - 1) \quad \forall s \in \mathbb{Z}_p$$

②  $\text{cond}(x) \neq 1, p^n \mid n \gamma_2 \Rightarrow G_x(\tau) \in \mathcal{O}_x[[\tau]]$

In this case ②,  $L_p(x, s) = \sum_{n=0}^{\infty} a_n \cdot (u^s - 1)^n$

$$u = 1 + pv \quad v \in \mathbb{Z}_p \quad a_n \in \mathcal{O}_x$$

$u^s - 1 = \sum_{n=1}^{\infty} \binom{s}{n} (pv)^n$ , and so the integrality properties needed for Kummer congruences are clear.

③ ( $\mu = \omega$ )  $x$  as in ②)  $G_x(\tau)$  is not div. by  $w \in \mathcal{O}_x$  (unif)

$$\begin{array}{ccc} K_n/\mathbb{Q}_n = (\mathbb{Q}/\mu_{p^n})^\Delta & \text{L}_n/\mathbb{Q}_n \text{ max'l abelian univ. } p\text{-extension} \\ \text{or } K_n \text{ has char} \\ A_{\mathbb{Q}_n} := \text{Cl}(\mathbb{Q}_n)_p \xrightarrow{\sim} \text{Gal}(\text{L}_n/\mathbb{Q}_n) \\ \downarrow \text{Norm} \qquad \qquad \qquad \downarrow \text{res} \\ \text{for norm} \qquad \qquad \qquad \text{Cl}(\mathbb{Q}_n)_p \xrightarrow{\sim} \text{Gal}(\text{L}_m/\mathbb{Q}_n, \text{L}_m = \mathbb{Q}_n) \end{array}$$

$$X := \varprojlim_{\text{norm}} A_{\mathbb{Q}_n}, \quad L_\infty = \bigcup_{n \geq 1} L_n, \quad \text{so } \text{Gal}(L_\infty/\mathbb{Q}_\infty) = \varprojlim \text{Gal}(L_n/\mathbb{Q}_n)$$

hence  $X \xrightarrow{\sim} \text{Gal}(L_\infty/\mathbb{Q}_\infty)$ , and this is an iso

$$x_{\chi_\alpha} : \text{Gal}(\mathbb{Q}_\infty/\mathbb{Q}) \rightarrow \mathbb{Z}_p[\Gamma] \text{-mod}$$

Thm: "X is a f.g. torsion  $\mathbb{Z}_p[\Gamma]$ -mod"; thus it has a characteristic ideal

Main Conjecture: for  $\chi : (\mathbb{Z}/\ell\mathbb{Z})^\times \rightarrow \mathbb{C}^\times$  a prim Dir. char  
 "of 1st kind" ( $v_p(N) \leq 1$  and via  $\text{Gal}(\mathbb{Q}(\mu_{Np^\infty})/\mathbb{Q}) \simeq (\mathbb{Z}/pN\mathbb{Z})^\times \times \mathbb{Z}_p$ ,  $\chi$   
 is a character of 1st fact)

$$\begin{array}{c} K_n := \mathbb{Q}(\mu_{N_0 p^n}) \quad (\Delta = \text{Gal}(K/\mathbb{Q}), \Gamma = \text{Gal}(K_\infty/K)) = \text{Gal}(K_\infty/\mathbb{Q}) \\ K = K_1 = \mathbb{Q}(\mu_{N_0 p}) \\ \downarrow \chi \\ \mathbb{C}^\times \end{array}$$

$$\begin{array}{c} \Lambda_{N_0} := \mathbb{Z}_p[\Delta \times \Gamma] \\ \text{induced by } \chi \text{ on } \Delta \end{array}$$

$$\Lambda_\chi = \mathcal{O}_x[\Gamma]$$

$$X_\chi := X_{K_\infty} \otimes_{\Lambda_{N_0}, \varphi_\chi} \Lambda_\chi$$

Thm:  $\text{char}(X_\chi) = (G_{x^{-1}\omega}(\tau))$  as ideal of  $\Lambda_\chi \simeq \mathcal{O}_x[\Gamma]$   
 (for  $\chi \neq \omega$ .  $X_\omega$  in fact is 0)

$$\begin{aligned}
 \text{Herbrand-Ribet: } p \mid CQ(\mathbb{Q}(\mu_p))_{\omega}^{[p]} &\Leftrightarrow p \mid S(1-z) \Leftrightarrow p \mid B_z \\
 &\quad \boxed{z \text{ even} \geq 0} \\
 G_{11} \quad S(1-1_2) & \\
 B_{1_2} & \\
 &\Leftrightarrow p \mid B_{1, \omega^{z-1}} \\
 &\quad (z+1 \neq 0 \pmod{p-1}) \\
 &\quad \text{so } z = 2, 4, \dots, p-3
 \end{aligned}$$

Explicitly,  $\bar{x}$  odd,  $1 < \bar{x} < p-1$

$$\begin{aligned}
 \# A_{\mathbb{Q}(\mu_p)}^{\omega^{-\bar{x}} \text{ (or } \omega^{1-\bar{x}}\text{)}} &= \# \mathbb{Z}_p / L(\omega^{-\bar{x}}, 0) = \# \mathbb{Z}/L_p(\omega^{1-\bar{x}}, 1-1) \\
 &\quad \sim L_p(\omega^r, 0) \\
 L_p(\omega^{-\bar{x}+1}, 1-1) &= (-1) \cdot L(\omega^{-\bar{x}+1} \cdot \omega^r, 1-1) \quad r \geq 0, \\
 &\quad \boxed{1-r \equiv \bar{x} \pmod{p-1}}
 \end{aligned}$$