

# Generalized gauge actions, KMS states, and Hausdorff dimension for higher-rank graphs

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$C^*(E)$  is universal for representations of  $\{t_e, t_v\}_{v \in E^0, e \in E^1}$ ; any collection of partial isometries and projections  $\{s_e, s_v\}_{v, e} \subseteq B(\mathcal{H})$  satisfying the above conditions generates a quotient of  $C^*(E)$ .

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- [ERRS16]  $C^*(E) \otimes \mathcal{K} \cong C^*(F) \otimes \mathcal{K}$  iff a finite number of moves will convert  $E$  into  $F$ .

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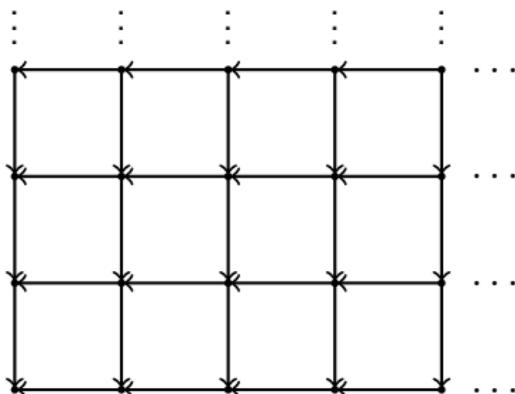
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$C^*(\Lambda)$  has more flexible structure than  $C^*(E)$ ; more options than AF/purely infinite for simple algebras, more varied  $K$ -theory [Eva08], etc.

# Higher-rank graphs

## Definition

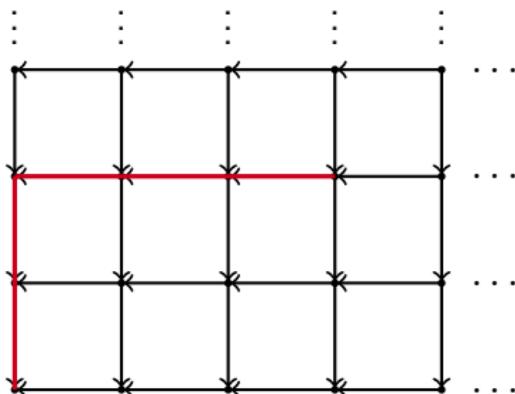
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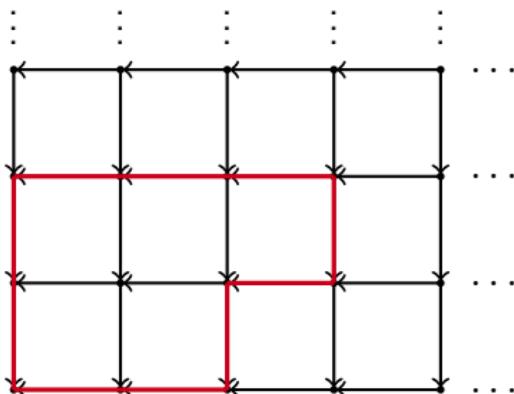
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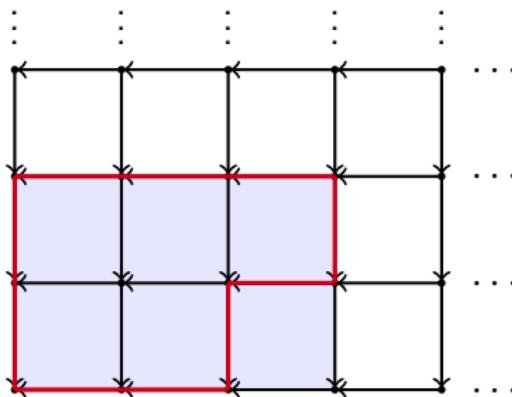
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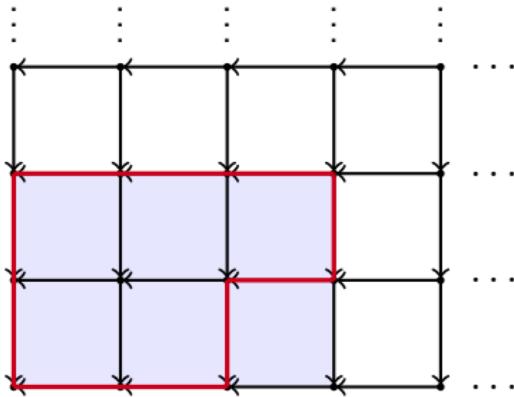
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In our example,

$$d(\lambda) = (3, 2) = (0, 2) + (3, 0) = (2, 0) + (0, 1) + (1, 0) + (0, 1),$$

so each of these possible factorizations must give us the same element  $\lambda$ .

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- $\mathbb{N}^k$  is a  $k$ -graph:  $d = id$ . Think of  $\mathbb{N}^k$  as a category with one object.
- One can also think of a  $k$ -graph as a (quotient of a) directed graph, with  $k$  different colors of edges.

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## Theorem ([HLRS15])

If  $\Lambda$  is finite and strongly connected, then the adjacency matrices  $\{A_i : 1 \leq i \leq k\} \subseteq M_{\Lambda^0}(\mathbb{N})$ ,

$$A_i(v, w) = |v \Lambda^{e_i} w| = \#\{\text{edges of color } i \text{ from } w \text{ to } v\}$$

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Note that  $A_i A_j = A_j A_i \ \forall i, j$  by the factorization rule.

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The collection of sets

$$Z(\lambda) = \{x \in \Lambda^\infty : x = \lambda y\},$$

where  $\lambda \in \Lambda$  is a finite path (morphism) in  $\Lambda$ , is a compact open basis for the topology on  $\Lambda^\infty$  making  $\Lambda^\infty$  into a Cantor set.

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Moreover,  $\exists! s \in \mathbb{R} : t < s \Rightarrow H^t(X) = \infty$  and  $t > s \Rightarrow H^t(X) = 0$ .

We call  $s$  the Hausdorff dimension of  $X$ .

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## Proposition (Farsi-G-Kang-Larsen-Packer)

For any weight functor  $y$  on a strongly connected finite  $k$ -graph  $\Lambda$ , and any  $\beta \geq 0$ , the matrices  $\{B_i(y, \beta)\}_{1 \leq i \leq k} \in M_{\Lambda^0}$  given by

$$B_i(y, \beta)_{v,w} = \sum_{\lambda \in v\Lambda^{e_i} w} e^{-\beta y(\lambda)}$$

have a unique positive common eigenvector  $\xi^{y, \beta}$  of  $\ell^1$ -norm 1.

## Examples and Notation for $\mathbb{R}_+$ -functors

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Then, for  $n = (n_1, \dots, n_k) \in \mathbb{N}^k$ , define

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# Hausdorff structure and $\mathbb{R}_+$ -functors

## Theorem (Farsi-G-Kang-Larsen-Packer)

Let  $\Lambda$  be a strongly connected finite  $k$ -graph, with an  $\mathbb{R}_+$ -functor  $y$  and  $\beta \in \mathbb{R}_{>0}$ . For any  $\lambda \in \Lambda$ , define

$$w_{y,\beta}(\lambda) = e^{-y(\lambda)} \left( \rho(B(y, \beta))^{-d(\lambda)} \xi_{s(\lambda)}^{y, \beta} \right)^{1/\beta}.$$

Suppose moreover that  $\rho(B_i(y, \beta)) > \max_{v,w} \{B_i(y, \beta)_{v,w}\}$  for at least one  $i$ .

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$$\mu_{y,\beta}(Z(\lambda)) = H^\beta(Z(\lambda)) = w_{y,\beta}(\lambda)^\beta = e^{-\beta y(\lambda)} \rho(B(y, \beta))^{-d(\lambda)} \xi_{s(\lambda)}^{y, \beta}.$$

## Corollary

For strongly connected finite  $k$ -graphs, the authors of [HLRS15] described a measure  $M$  on  $\Lambda^\infty$ :

$$M(Z(\lambda)) = \rho(\Lambda)^{-d(\lambda)} x_{s(\lambda)}^\Lambda,$$

where  $\rho(\Lambda) = (\rho(A_1), \rho(A_2), \dots, \rho(A_k))$ , and  $x^\Lambda$  is the common Perron–Frobenius eigenvector of  $A_1, \dots, A_k$ .

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# KMS states for $C^*$ -algebras

To an action  $\alpha$  of  $\mathbb{R}$  on a  $C^*$ -algebra  $A$ , which extends to an analytic action of  $\mathbb{C}$  on  $\mathcal{A} \subseteq A$ , we associate KMS states.

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Given an  $\mathbb{R}_+$ -functor on  $\Lambda$ , we obtain an associated action on  $C^*(\Lambda)$ , and compute the associated KMS states.

$C^*(\Lambda)$  is the universal  $C^*$ -algebra generated by partial isometries  $\{s_\lambda\}_{\lambda \in \Lambda}$  satisfying the Cuntz-Krieger relations.

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## Definition

A positive linear map  $\phi : C^*(\Lambda) \rightarrow \mathbb{C}$  is a KMS state at (inverse) temperature  $t$  for  $\alpha^{y,\beta}$  if, for all  $\lambda, \eta, \nu, \rho \in \Lambda$ ,

$$\phi(s_\lambda s_\eta^* s_\nu s_\rho^*) = \phi(\alpha_{it}^{y,\beta}(s_\nu s_\rho^*) s_\lambda s_\eta^*).$$

# KMS states for $(C^*(\Lambda), \alpha^{y, \beta})$

Write  $\Phi : C^*(\Lambda) \rightarrow C_0(\Lambda^\infty)$  for the usual conditional expectation,

$$\Phi(s_\lambda s_\mu^*) = \begin{cases} \chi_{Z(\lambda)}, & \mu = \lambda \\ 0, & \text{else.} \end{cases}$$

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**Theorem (Farsi-G-Kang-Larsen-Packer, [Tho14])**

Let  $\Lambda$  be a strongly connected finite  $k$ -graph, with an  $\mathbb{R}_+$ -functor  $y$  and  $\beta \in \mathbb{R}_{>0}$ . Suppose  $\rho(B_i(y, \beta)) > 1$  for some  $1 \leq i \leq k$ . Then

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When  $\Lambda$  is strongly connected, the KMS states of  $C^*(\Lambda)$  are closely linked to the periodicity group of  $\Lambda$ :

$$\text{Per } \Lambda = \{m-n \in \mathbb{Z}^k : \exists \mu, \nu \in \Lambda \text{ s.t. } d(\mu) = m, d(\nu) = n, Z(\mu) = Z(\nu)\}.$$

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## Theorem (Farsi-G-Kang-Larsen-Packer)

$(C^*(\Lambda), \alpha^{y,\beta})$  admits KMS states at inverse temperature  $t$  iff  $\alpha^{y,\beta} = \alpha^{y,t}$ . Moreover, the simplex of  $KMS_\beta$  states for  $\alpha^{y,\beta}$  is affinely isomorphic to the state space of  $\widehat{C^*(\text{Per}\Lambda)} \subseteq \mathbb{T}^k$ : the KMS state associated to  $z \in \widehat{C^*(\text{Per}\Lambda)} \subseteq \mathbb{T}^k$  is

$$\phi_z(s_\lambda s_\nu^*) = \begin{cases} 0, & d(\lambda) - d(\nu) \notin \text{Per}\Lambda \\ z^{d(\lambda) - d(\nu)} \mu_{y,\beta}(Z(\lambda)), & \text{else.} \end{cases}$$

- For the classical gauge action on strongly connected  $k$ -graphs, [HLRS15] we have the same simplex of KMS states.
- McNamara [McN15] studied coordinate-wise irreducible  $k$ -graphs; in this case,  $\phi$  is the unique  $KMS_\beta$  state.

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