# Q-system completion for $C^*$ 2-categories

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#### Overview

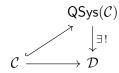
► Unitary tensor categories (UTCs) encode quantum symmetry and act on operator algebras via unitary tensor functors

$$\mathbf{H}: \mathcal{C} \to \mathsf{Bim}(A) = \mathrm{End}(\mathsf{Mod}(A))$$

▶ A (...) subfactor  $N \subset M$  can be viewed a triple  $(\mathcal{C}, \mathbf{H}, \mathbf{A})$  where  $\mathcal{C}$  is a UTC,  $\mathbf{H} : \mathcal{C} \to \mathsf{Bim}(N)$  is an action, and  $A \in \mathcal{C}$  is an (...) algebra object.

$$N \subset N \rtimes_{\mathbf{H}} A = M$$

Q-systems in UTCs are particularly nice algebra objects where the above construction is easy. They are higher idempotents, and we can take a higher idempotent completion.



# Unitary (multi)tensor categories

A monoidal category  ${\cal C}$  is called a *unitary multitensor category* if:

- lacktriangle (linear) hom spaces  $\mathcal{C}(a o b)$  finite dimensional vector spaces
- (Karoubi complete) admits finite direct sums, and all idempotents split
- ▶ (C\*) For all  $a,b \in \mathcal{C}$ ,  $\dagger : \mathcal{C}(a \to b) \to \mathcal{C}(b \to a)$  such that  $(g \circ f)^\dagger = f^\dagger \circ g^\dagger$  and  $f^{\dagger\dagger} = f$ , and all endomorphism algebras are C\* algebras under  $\dagger$ .
- ▶ (tensor) †-functor  $\otimes$  :  $\mathcal{C} \times \mathcal{C} \to \mathcal{C}$  ( $(f \otimes g)^{\dagger} = f^{\dagger} \otimes g^{\dagger}$ ) with unitary ( $u^{-1} = u^{\dagger}$ ) coherence isomorphisms  $\alpha, \lambda, \rho$
- (rigid) every object admits left and right duals

We call  $\ensuremath{\mathcal{C}}$  a unitary tensor category if the unit is simple.

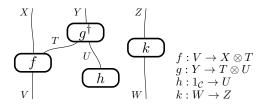
We call C a *unitary* (*multi*) fusion category if there are only finitely many isomorphism classes of simple objects.

#### Fact

Every UMC is semisimple, i.e., every object is a finite direct sum of simples ( $\mathrm{End}_{\mathcal{C}}(c)=\mathbb{C}$ )

### 2D graphical calculus for UMCs

- 0. Objects denoted by labelled strands, oriented bottom to top.
- 1. 1-morphisms denoted by coupons

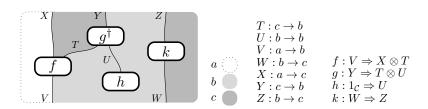


- vertical stacking is composition
- ► horizontal juxtaposition is ⊗
- vertical reflection is †
- **>** suppress unit  $1_{\mathcal{C}}$  and all coheretors  $\alpha, \lambda, \rho$

# 2D graphical calculus for $C^*/W^*$ 2-categories

A tensor category is a 2-category with one object. For 2-categories, we have a dimension shift.

- 0. shadings for regions to denote objects
- 1. 1-morphisms denoted by strands
- 2. 2-morphisms denoted by coupons



#### Where do UTCs come from?

- 1. Subfactor standard invariants  $A \subset B \leadsto \mathcal{C}(A \subset B)$
- 2. Compact groups  $G \rightsquigarrow \mathsf{Rep}(G)$
- 3. Discrete/compact quantum groups (Tannaka-Krein duality)

$$\mathbb{G} \qquad \rightsquigarrow \qquad (\mathsf{Rep}(\mathbb{G}),\mathbf{F}:\mathsf{Rep}(\mathbb{G}) \to \mathsf{Hilb})$$

- 4. Generators and relations [VV19]
- 5. Constructions of new UTCs from existing UTCs

#### Many people care about UTCs because of physics

- ightharpoonup conformal field theory (Rep(A) of a conformal net)
- unitary fusion categories give Turaev-Viro TQFTs
- unitary modular categories give Reshetikhin-Turaev TQFTs
- topological phases of matter (UMTCs)



#### Subfactors

- ▶ A II<sub>1</sub> factor is an infinite dimensional von Neumann algebra with trivial center and a trace. (Eg:  $L\Gamma := \mathbb{C}[\Gamma]'' \subset B(\ell^2\Gamma)$ )
- ▶ A  $II_1$  subfactor is a unital inclusion of type  $II_1$  factors.

### Jones' Index Rigidity Theorem [Jon83]

The index  $[B:A] := \dim({}_AL^2B)$  of a  $II_1$  subfactor  $A \subset B$  takes values in:

$$[B:A] \in \{4\cos^2(\pi/n) | n \ge 3\} \cup [4,\infty].$$



#### Example

Given a finite index II<sub>1</sub> subfactor  $A \subset B$ , the UTC  ${}_{A}\mathcal{C}_{A}$  is the category of A-A bimodules generated by  $L^{2}B$  under

- ▶ ⊕ direct sum
- ightharpoonup Connes' fusion relative tensor product over A
- ► ⊂ sub-bimodules
- ► conjugates



#### The standard invariant

#### Definition

The standard invariant of  $A\subset B$  is the collection of all A-A, A-B, B-B, and B-A bimodules generated by  $L^2B$  under

- ▶ ⊕ direct sum
- ightharpoonup Connes' fusion relative tensor product (over A or B)
- ► ⊂ sub-bimodules
- → conjugates.

We can think of this as a  $2 \times 2$  UMC of bimodules of  $A \oplus B$ 

$$\mathcal{C} = \mathcal{C}(A \subset B) := \begin{pmatrix} {}_{A}\mathcal{C}_{A} & {}_{A}\mathcal{C}_{B} \\ {}_{B}\mathcal{C}_{A} & {}_{B}\mathcal{C}_{B} \end{pmatrix} \subset \mathsf{Bim}(A \oplus B)$$

with the generator  $_AL^2B_B$ .

▶ If there are only finitely many isomorphism classes of simple bimodules, we call  $A \subset B$  and C finite depth.



# Alternate definition via Q-systems

Alternatively, we can define the standard invariant as the UTC  ${}_A\mathcal{C}_A$  of A-A bimodules generated by  $L^2B$  with the Q-system  ${}_AL^2B_A$ .

$$: L^2B\boxtimes_A L^2B \xrightarrow{\text{multiplication}} L^2B$$

$$: L^2A \xrightarrow{\mathsf{unit}} L^2B$$

$$lacktriangle$$
 (minimal/standard)  $\cite{1}=\dim_{\min}(Q)$ 

# Classification of subfactors/UTCs

#### Example

The subfactor  $R \subset R \rtimes G$  for a finite group G 'remembers' G. So classifying hyperfinite subfactors is hopeless. We must restrict to some notion of 'smallness.'

#### Strategy for small index classification:

- 1. Classify possible standard invariants with  $\dim({}_AL^2B_B)$  small
- 2. Determine how many subfactors give each standard invariant.

### Popa's Subfactor Reconstruction Theorem [Pop90, Pop95]

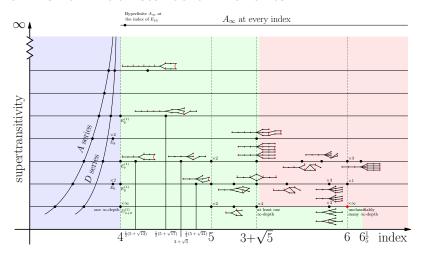
Every standard invariant comes from a subfactor. If the standard invariant is *strongly amenable* (eg: finite depth), the subfactor can be taken to be hyperfinite.

## Theorem [BHP12], cf. [PS03]

Every UTC admits a fully faithful embedding into  $\operatorname{Bim}_{\operatorname{ext}}(L_{\mathbb{F}_{\infty}})$ .



#### Known small index standard invariants



### Theorem [AMP15, Liu15]

We know all standard invariants up to index  $5\frac{1}{4} > 3 + \sqrt{5}$ , the first interesting composite index.



# Amenability

Amenability arises in two places when subfactors can be classified:

- 1. We restrict to subfactors of the amenable  ${\rm II}_1$  factor R
- 2. We embed amenable unitary tensor categories into Bim(R).

#### Question

How many ways can  $Ad(A_3 * A_4)$  embed into Bim(R)?

#### Question

How many ways can TLJ(d) embed into Bim(R) for d>2?

- ► Infinite index
- Horizontal categorification
- Vertical categorification
- Ask higher categorical questions in this context
- ► Actions of unitary tensor categories on C\*-algebras

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  - ▶ Discrete subfactors, generalize crossed products  $N \subset N \rtimes \Gamma$ .
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- Ask higher categorical questions in this context
  - Q-system completion is a higher idempotent completion
- ► Actions of unitary tensor categories on C\*-algebras
  - Use Q-system completion to induce new actions from existing actions

#### Discrete subfactors

With Corey Jones [JP19], we characterize the class of extremal irreducible discrete subfactors  $(N\subset M,E)$  with N type  $\mathrm{II}_1$  with trace  $\tau$  and  $E:M\to N$  a f.n. conditional expectation.



- (discrete) Setting  $\phi:=\tau\circ E$ ,  $_NL^2(M,\phi)_N$  decomposes as a direct sum of dualizable N-N bimodules (generates a UTC!)
- (irreducible)  $N' \cap M = \operatorname{End}_{N-M}(L^2(M,\phi)) = \mathbb{C}$
- (extremal) For every N-N sub-bimodule  ${}_NK_N\subset {}_NL^2(M,\phi)_N, \dim({}_NK)=\dim(K_N).$

#### Examples

- ▶ Any finite depth, finite index irreducible II₁ subfactor is automatically extremal and discrete.
- ▶ If  $\alpha : \Gamma \curvearrowright N$  is an outer action of a discrete countable group, then  $N \subset N \rtimes_{\alpha} \Gamma$  is an extremal irreducible discrete subfactor.



#### Characterization of discrete subfactors

Such a subfactor  $(N \subset M, E)$  can be viewed as a triple  $(\mathcal{C}, \mathbf{A}, \mathbf{H})$ :

- 1. Unitary tensor category C,
- 2. Connected W\* algebra object  $\mathbf{A} \in \mathsf{Vec}(\mathcal{C}) := \mathsf{Fun}(\mathcal{C}^{\mathsf{op}} \to \mathsf{Vec})$  (Vec( $\mathcal{C}$ ) is a model for  $\mathrm{ind}(\mathcal{C}^{\natural})$ , where  $\natural$  means forget  $\dagger$ ),
- 3. Fully faithful unitary tensor functor  $\mathbf{H}:\mathcal{C}\to \mathsf{Bim}_{\mathsf{ext}}(N)$  which lands in extremal N-N bimodules.

The standard invariant of  $(N \subset M, E)$  is the pair  $(C, \mathbf{A})$ .

# W\* algebra objects

#### Definition

A connected  $W^*$  algebra object  $\mathbf{A} = \underline{\operatorname{End}}_{\mathcal{C}}(m)$  for some simple object m in some  $\mathcal{C}$ -module  $C^*/W^*$ -category  ${}_{\mathcal{C}}\mathcal{M}$ .

$$\mathbf{A}(c) := \mathcal{M}(c \rhd m \to m) \in \mathsf{Vec}$$

#### Example

For an irreducible extremal discrete subfactor  $(N\subset M,E)$  and  $K\in\mathcal{C}=\langle {}_NL^2(M,\phi){}_N\rangle$ ,

$$\mathbf{A}(K) := \operatorname{Hom}_{N-N}(K \to L^2(M, \phi))$$
  

$$\cong \operatorname{Hom}_{N-M}(K \boxtimes_N L^2(M, \phi) \to L^2(M, \phi)).$$

Fix a unitary tensor category  $\mathcal C$  and a fully faithful unitary tensor functor  $\mathbf H:\mathcal C\to \mathsf{Bim}_{\mathsf{ext}}(N)$  where N is a  $\mathrm{II}_1$  factor. There is an equivalence of categories

```
 \left\{ \begin{array}{l} \text{Connected W* algebra} \\ \text{objects } \mathbf{A} \in \mathsf{Vec}(\mathcal{C}) \\ \text{with ucp morphisms} \end{array} \right\} \cong \left\{ \begin{array}{l} \text{Extremal irreducible discrete inclusions} \\ \text{sions } (N \subseteq M, E) \text{ supported on} \\ \mathbf{H}(\mathcal{C}) \text{ with normal } N-N \text{ bilinear} \\ \text{ucp maps preserving } \tau \circ E \end{array} \right\}
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- This effectively splits subfactor classification into 2 parts:
  - 1. Classify embeddings of unitary tensor categories  $\mathbf{H}:\mathcal{C}\to \mathsf{Bim}(N)$
  - 2. Classify connected  $W^*$  algebra objects in Vec(C).

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- Generalizes all known Galois correspondences for intermediate subfactors. (finite groups: [NT60], discrete groups: [ILP98], compact quantum groups: [Tom09])

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  - 2. Classify connected  $W^{\ast}$  algebra objects in  $\text{Vec}(\mathcal{C}).$
- Generalizes all known Galois correspondences for intermediate subfactors. (finite groups: [NT60], discrete groups: [ILP98], compact quantum groups: [Tom09])
- Gives well-behaved notion of standard invariant for a large class of infinite index subfactors.



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▶ Gives new examples of subfactors from an embedding of C, a C-module  $C^*/W^*$ -category M, and a simple object  $m \in M$ .

#### Example

 $\mathbf{F}:\mathcal{C} \to \mathsf{Hilb}$  a fiber functor (discrete quantum group) and  $m=\mathbb{C}$ . M is type  $\mathrm{II}_1$  iff  $(\mathcal{C},\mathbf{F})$  is Kac-type; otherwise M is type  $\mathrm{III}!$ 

# Realization [JP19, CPJP]

The main tool we provide is *realization*. Given (C, A, H), we reconstruct a subfactor

$$N = \underbrace{A(1_{\mathcal{C}})}_{\mathbb{C}} \otimes \underbrace{\mathbf{H}^{\circ}(1_{\mathcal{C}})}_{N} \subset \underbrace{\bigoplus_{c \in \mathrm{Irr}(\mathcal{C})}^{} \mathbf{A}(c) \otimes \underbrace{\mathbf{H}^{\circ}(c)}_{\mathsf{bdd. vects.}}^{\mathrm{W}^{*}} =: \begin{cases} N \rtimes_{\mathbf{H}}^{} \mathbf{A} \\ |\mathbf{A}|_{\mathbf{H}} \end{cases}$$

This is much easier when  ${\bf A}$  is a Q-system in  ${\cal C}$  rather than a  $W^*$ -algebra object in  ${\sf Vec}({\cal C}).$  In this case,

$$|\mathbf{A}|_{\mathbf{H}} = \mathbf{H}(\mathbf{A})^{\circ} := \operatorname{Hom}_{-N}(L^{2}N \to \mathbf{H}(\mathbf{A}))$$

is easily equipped with the structure of a unital  $\mathrm{C}^*$ -algebra which has a predual and is thus a von Neumann algebra:

$$f_1 \cdot f_2 := \overbrace{f_1}, \qquad 1_{|\mathbf{A}|_{\mathbf{H}}} := \boxed{\ \ }, \qquad \text{and} \qquad f^* := \boxed{\ \ \ }.$$

#### Realization is a †-2-functor

With Quan Chen, Roberto Hernandez Palomares, and Corey Jones,







we extend realization to a  $\dagger$ -2-functor in the  $C^*$  setting (proof also works in  $W^*$  setting).

- ▶ Given a  $C^*/W^*$  2-category  $\mathcal{C}$ , Q-systems, separable bimodules, and intertwiners in  $\mathcal{C}$  form a  $C^*/W^*$  2-category QSys( $\mathcal{C}$ ).
- ▶ Have canonical inclusion  $\iota_{\mathcal{C}}: \mathcal{C} \hookrightarrow \mathsf{QSys}(\mathcal{C})$ .  $\mathcal{C}$  is *Q-system complete* if  $\iota_{\mathcal{C}}$  is a †-2-equivalence.
- Realization inverse †-2-functor | · | : QSys(C\*Corr) → C\*Corr. C\*Corr is Q-system complete (as is W\*Corr ≃ vNA).

### Idempotent completion example: K-theory

Recall the definition of  $K_0(A)$  for a untial C\*-algebra.

- 1. Look at the  $\mathrm{C}^*$ -category  $\mathsf{Mod}_{\mathsf{fgp}}(A)$  of finitely generated projective A-modules.
- 2.  $\mathsf{Mod}_{\mathsf{fgp}}(A)$  admits all finite direct sums.
- 3.  $K_0(A) := K_0(\mathsf{Mod}_{\mathsf{fgp}}(A))$ , the Grothendieck group of  $\mathsf{Mod}_{\mathsf{fgp}}(A)$ .
- ▶  $\mathsf{Mod}_{\mathsf{fgp}}(A)$  is *Karoubi complete*: it has finite direct sums and all projections *split*: given a projection  $p \in \mathrm{End}(M_A)$ ,  $pM_A \in \mathsf{Mod}_{\mathsf{fgp}}(A)$ .

$$M_A \xrightarrow{p} pM_A \qquad p \circ i = \mathrm{id}_{pM} \qquad i \circ p = p$$

Here, p is a retract and i is a section.

- ▶ 1-morphisms in a category  $\mathcal C$  live on a line.  $a \xrightarrow{f} b$
- ightharpoonup idempotents can replicate freely.  $\frac{e}{a} = \frac{e}{a} = \frac{e}{a} = \frac{e}{a}$

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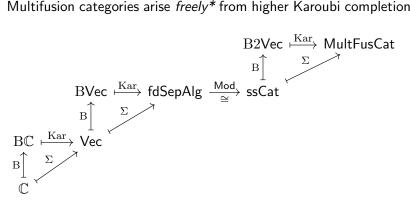
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- ► The next step yields 3Vec, the 3-category of multifusion categories! [GJF19, JF20]



## Higher Karoubi completion

Multifusion categories arise *freely\** from higher Karoubi completion.



- ▶ B means take the *delooping* [BS10, §5.6], i.e., consider the monoidal k-category as a (k+1)-category with one object.
- Kar means take higher Karoubi completion [GJF19].
- $\triangleright$   $\Sigma$  is the composite  $\operatorname{Kar} \circ B$ , called the *suspension*.



# Q-systems are higher categorical idempotents

A Q-system is a unitary (co)unital higher categorical idempotent.

Now strands and tri/univalent vertices can replicate freely.

Warning: Unitary condensation (in progress with Reutter and Steinebrunner) is extremely nuanced, and one may not want to use Q-systems!

Definition based on [Yam04, EGNO15, BKLR15, CR16, NY16, DR18, GY20]

The Q-system completion  $\mathsf{QSys}(\mathcal{C})$  of a  $\mathrm{C}^*/\mathrm{W}^*$  2-category  $\mathcal{C}$  has

- objects are Q-systems,
- ▶ 1-morphisms are unitarily separable bimodules, and
- ▶ 2-morphisms are intertwiners.



### Q-systems

Recall that a Q-system in a C\*/W\* 2-category  $\mathcal C$  is a 1-morphism  $Q\in\mathcal C(b o b)$  together with

$$Q \otimes_b Q \xrightarrow{\mathsf{multiplication}} Q$$

$$\bullet: 1_b \xrightarrow{\mathsf{unit}} Q$$

such that the following relations hold:

$$lacksquare$$
 (non-degenerate)  $lacksquare$   $\in \operatorname{End}_{\mathcal{C}}(1_b)^{ imes}$ 

Frobenius actually follows from associative, unital, and unitarily separable by [LR97]; see [BKLR15, Lem. 3.7].

## Unitarily separable bimodules

Suppose  $P\in\mathcal{C}(a\to a)$ ,  $Q\in\mathcal{C}(b\to b)$  are Q-systems and  $X\in\mathcal{C}(a\to b)$ .

$$P \otimes_a X \xrightarrow{\mathsf{left action}} X$$

$$X \otimes_b Q \xrightarrow{\mathsf{right action}} X$$

#### [BKLR15, Lem. 3.23]

A unitarily separable P-Q bimodule  ${}_PX_Q$  over Q-systems P,Q is automatically unital and Frobenius:

#### Intertwiners

#### Definition

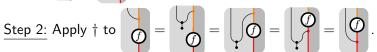
If  $P \in \mathcal{C}(a \to a)$  and  $Q \in \mathcal{C}(b \to b)$  are Q-systems and  ${}_{a}X_{b}, {}_{a}Y_{b} \in \mathcal{C}(a \to b)$  are P - Q bimodules, we define  $\mathsf{QSys}(\mathcal{C})(_PX_Q\Rightarrow_PY_Q)$  as the set of  $f\in\mathcal{C}(_aX_b\Rightarrow_aY_b)$  such that

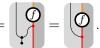
$$\bigcirc = \bigcirc$$
 and  $\bigcirc = \bigcirc$ .

#### Lemma

 $f^{\dagger} \in \mathcal{C}({}_{a}Y_{b} \Rightarrow {}_{a}X_{b})$  is also a P - Q bimodule map.

#### Proof.







## Composition of 1-morphisms

To compose the P-Q bimodule  ${}_aX_b$  and the Q-R bimodule  ${}_bY_c$ , we unitarily split the separability projector

$$p_{X,Y} := \boxed{\phantom{a}} = \boxed{\phantom{a}} = u_{X,Y}^{\dagger} u_{X,Y}$$

for a coisometry  $u_{X,Y}$ , unique up to unique unitary.

$$= X \otimes_Q Y \qquad \qquad u = u_{X,Y}.$$

As in [NY16, Rem. 2.6], associator  $\alpha^{\operatorname{QSys}(\mathcal{C})}$  uniquely determined by

### Theorem [CPJP] cf. [GY20]

C\*Corr, W\*Corr, vNA are Q-system complete (Q-systems split).

### Corollary [CPJP] cf. [GY20]

Can induce action  $\mathcal{C} \to \mathsf{Bim}(A) \subset \mathsf{R} \in \{\mathsf{C}^*\mathsf{Corr}, \mathsf{W}^*\mathsf{Corr}, \mathsf{vNA}\}$ 

$$\operatorname{\mathsf{QSys}}(\mathcal{C}) \to \operatorname{\mathsf{QSys}}(\operatorname{\mathsf{Bim}}(A)) \to \operatorname{\mathsf{QSys}}(\mathsf{R}) \xrightarrow{\cong} \mathsf{R}$$

Followup results with Quan Chen:

Theorem [CP] cf. [DR18]

QSys is a 3-functor on  $C^*/W^*$  2-categories.

Universal property for Q-system completion [CP] cf. [DR18]

$$\begin{array}{c} \operatorname{\mathsf{QSys}}(\mathcal{C}) \\ \downarrow^{\iota_{\mathcal{C}}} & \downarrow^{\exists\,!} \\ \mathcal{C} & \longrightarrow \mathcal{D} \end{array}$$

for every †-2-functor from  $\mathcal C$  to a Q-system complete  $\mathcal D$ .

## Main idea for C\*Corr Q-system complete

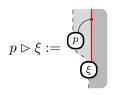
Realization  $|\cdot|: QSys(C^*Corr) \rightarrow C^*Corr$  is inverse †-2-functor to natural inclusion  $\iota : C^*Corr \to QSys(C^*Corr)$ .

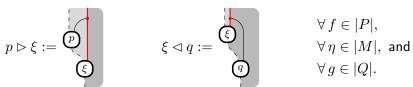
#### Definition

Q-system  $Q \in C^*Corr(B \to B)$  maps to  $|Q| := Hom_{\mathbb{C}-B}(B \to Q)$ 

$$q_1\cdot q_2:=$$
 ,  $1_{|Q|}:=$  , and  $q^*:=$  .

For P-Q bimod  ${}_{A}X_{B}$ , define  $|X|:=\operatorname{Hom}_{\mathbb{C}-B}(B\to A\boxtimes_{A}X)$ .





 $\forall f \in |P|,$  $\forall q \in |Q|$ .

## Induced actions on C\*-algebras

### Theorem [Jon20]

Every pointed unitary fusion category  $\mathrm{Hilb_{fd}}(G,\omega)$  admits an action on C(X) where X is some 'nice' compact Hausdorff space (e.g. closed connected n-manifold for  $n\geq 2$ ).

- ► Can use our results to induce actions of group-theoretical unitary fusion categories on unital C\*-algebras with connected spectrum.
- ▶ Unlike actions on  $II_1$  factors, there are K-theoretic obstructions to unitary fusion category actions on  $C^*$ -algebras.

# Thank you for listening!

Slides available at:

https:

//people.math.osu.edu/penneys.2/PenneysBrazos2021.pdf

Articles in preparation, expected Summer 2021:

- ▶ Q-system completion for C\* 2-categories (with Quan Chen, Roberto Hernandez Palomares, and Corey Jones)
- Q-system completion is a 3-functor (with Quan Chen)

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