

# Distortion for multifactor bimodules and representations of multifusion categories

David Penneys, OSU

joint with Bischoff, Charlesworth, Evington, and Giorgetti  
(‘Unitary group’ from 2018 AMS MRC Quantum symmetries: subfactors and fusion categories)

AMS JMM - Special Session on Advances in Operator Algebras

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## IPAM: Actions of tensor categories on $C^*$ -algebras

Today,  $C^*$ -algebras stand at an analogous stage to vNAs in the '80s when Jones pioneered subfactor theory. This virtual workshop will bring together researchers at the interface of structure and classification of  $C^*$ -algebras and subfactor theory/tensor categories to set foundations for actions of tensor categories on  $C^*$ -algebras.

- ▶ Thurs 21 (8am - 11:30 am), Fri 22 Jan (8am - 10:30am): Expository overview talks by Courtney Carrion, Szabo, Vaes, Yamashita on classification of simple nuclear  $C^*$ -algebras; group actions on the hyperfinite  $II_1$  factor and on classifiable  $C^*$ -algebras; tensor categories associated to subfactors.
- ▶ Mon 25 Jan - Thurs 28 Jan (8am - 11am): Research talks, discussion of overview talks, ask expert sessions.

Participation is open to all. Current speakers and registration information can be found here:

<http://www.ipam.ucla.edu/programs/workshops/actions-of-tensor-categories-on-c-algebras/?tab=overview>

# Subfactors

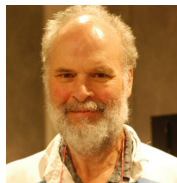
- ▶ A  $\text{II}_1$  *factor* is an infinite dimensional von Neumann algebra with trivial center and a trace.
- ▶ A  $\text{II}_1$  *subfactor* is a unital inclusion of type  $\text{II}_1$  factors.

## Jones' Index Rigidity Theorem [Jon83]

The index  $[B : A] := \dim({}_A L^2 B)$  of a  $\text{II}_1$  subfactor  $A \subset B$  takes values in:

$$[B : A] \in \{4 \cos^2(\pi/n) \mid n \geq 3\} \cup [4, \infty].$$

We'll always assume  $A \subset B$  has finite index.



# The standard invariant

## Definition

The *standard invariant* of  $A \subset B$  is the collection of all  $A - A$ ,  $A - B$ ,  $B - B$ , and  $B - A$  bimodules generated by  $L^2 B$  under

- ▶  $\oplus$  direct sum
- ▶  $\boxtimes$  Connes' fusion relative tensor product (over  $A$  or  $B$ )
- ▶  $\subseteq$  sub-bimodules
- ▶  $\bar{\cdot}$  conjugates.

We can think of this as a *tensor category* of bimodules of  $A \oplus B$ .

$$\mathcal{C} = \mathcal{C}(A \subset B) := \begin{pmatrix} {}_A\mathcal{C}_A & {}_A\mathcal{C}_B \\ {}_B\mathcal{C}_A & {}_B\mathcal{C}_B \end{pmatrix} \subset \text{Bim}(A \oplus B)$$

We call  $A \subset B$  *finite depth* if this tensor category has finitely many isomorphism classes of simple bimodules. (A bimodule  ${}_P H_Q$  is *simple* if  $\text{End}_{P-Q}(H) = \mathbb{C}$ .)

# Popa's Classification Theorem

## Popa's Classification Theorem [Pop90]

A finite depth, finite index *hyperfinite*  $\text{II}_1$  subfactor is completely determined by its standard invariant.

## Corollary [Pop90, Izu17, Tom18, HP20]

Every unitary fusion category admits an essentially unique embedding  $\mathcal{C} \hookrightarrow \text{Bim}(R)$  where  $R$  is a non type I hyperfinite factor.

- ▶ This allows us to define a unitary fusion category as any collection of  $R - R$  bimodules closed under  $\oplus, \boxtimes, \subseteq, \bar{\cdot}$  with only finitely many isomorphism classes of simple bimodules.

## Multifactors and multifusion categories

In the study of unitary fusion categories, we must naturally consider unitary *multifusion* categories, which may no longer have simple unit.

- ▶ We would like to define a unitary fusion category as any collection of  $R^{\oplus n} - R^{\oplus n}$  bimodules closed under  $\oplus, \boxtimes, \subseteq, \bar{\cdot}$  with only finitely many isomorphism classes of simple bimodules. But this requires an existence theorem for representations, which is one of our results!

### Example

For  $A \subset B$  finite depth,  $\mathcal{C}(A \subset B)$  is a unitary multifusion.

$$\mathcal{C}(A \subset B) = \begin{pmatrix} {}_A\mathcal{C}_A & {}_A\mathcal{C}_B \\ {}_B\mathcal{C}_A & {}_B\mathcal{C}_B \end{pmatrix} \subset \text{Bim}(A \oplus B)$$

Representing multifusion categories requires *multifactors*.

- ▶ A  $\text{II}_1$  *multifactor* is a finite direct sum of  $\text{II}_1$  factors.

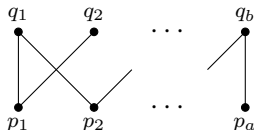
## Multifactor inclusions

Notation for a  $\text{II}_1$  multifactor inclusion  $A \subset B$ :

- ▶  $p_1, \dots, p_a$  are the minimal central projections of  $A$ .
- ▶  $q_1, \dots, q_b$  are the minimal central projections of  $B$ .
- ▶  $A_i := p_i A$  and  $B_j := q_j B$ .

A  $\text{II}_1$  multifactor inclusion  $A \subset B$

- ▶ has *finite index* if  $p_i q_j A \subset p_i q_j B p_i$  has finite index for all  $i, j$ .
- ▶ is *connected* if  $Z(A) \cap Z(B) = \mathbb{C}$ . Inclusion graph has node for each  $p_i, q_j$  and edges when  $p_i q_j \neq 0$ :



$A \subset B$  is connected iff this graph is connected.

We'll always assume  $A \subset B$  is finite index and connected.

## Standard invariants of multifactor inclusions

The *standard invariant* of  $A \subset B$  is the collection of all bimodules generated by  $L^2B$ . This time, we can fuse over any  $A_i, B_j$ :

$$\mathcal{C}(A \subset B) = \begin{pmatrix} A_1 \mathcal{C}_{A_1} & \cdots & A_1 \mathcal{C}_{A_a} & A_1 \mathcal{C}_{B_1} & \cdots & A_1 \mathcal{C}_{B_b} \\ \vdots & & \vdots & \vdots & & \vdots \\ A_a \mathcal{C}_{A_1} & \cdots & A_a \mathcal{C}_{A_a} & A_a \mathcal{C}_{B_1} & \cdots & A_a \mathcal{C}_{B_b} \\ B_1 \mathcal{C}_{A_1} & \cdots & B_1 \mathcal{C}_{A_a} & B_1 \mathcal{C}_{B_1} & \cdots & B_1 \mathcal{C}_{B_b} \\ \vdots & & \vdots & \vdots & & \vdots \\ B_b \mathcal{C}_{A_1} & \cdots & B_b \mathcal{C}_{A_a} & B_b \mathcal{C}_{B_1} & \cdots & B_b \mathcal{C}_{B_b} \end{pmatrix}$$

- ▶  $A \subset B$  has *finite depth* if  $\mathcal{C}(A \subset B)$  has only finitely many isomorphism classes of simple bimodules.



## Jones' basic construction

The basic construction is the main tool Jones used to prove his Index Rigidity Theorem.

### Definition

The *basic construction* of  $A \subset B$  is the  $\text{II}_1$  multifactor  $\langle B, A \rangle := JA'J$  acting on  $L^2(B, \text{tr}_B)$ .

$$\begin{array}{ccccc} ? & & & & A' \\ \cup & \searrow & & \swarrow & \cup \\ B & \longrightarrow & L^2(B, \text{tr}_B) & \longleftarrow & JBJ = B' \\ \cup & \nearrow & & \nwarrow & \cup \\ A & & & & JAJ \end{array}$$

Here  $\text{tr}_B$  is the unique *Markov trace* [GdlHJ89].

- ▶ A *downward basic construction* (if one exists) is a  $\text{II}_1$  multifactor  $M \subset A$  and an isomorphism  $B \cong \langle A, M \rangle$ .

# Popa's theorem fails for multifactor inclusions

## Example

Consider the finite dimensional (and hence finite depth) inclusion

$$\begin{array}{ccc} Q & M_2(\mathbb{C}) & \mathbb{C} \\ \cup & | \quad \backslash & | \\ P & \mathbb{C} & \mathbb{C} \end{array} \rightsquigarrow \begin{array}{c} B = Q \otimes R \\ \cup \\ A = P \otimes R \end{array}$$

- ▶  $A \subset B$  does not admit a *downward basic construction* [Pop95]
- ▶ Taking the Jones tower  $A_0 \subset A_1 \subset A_2 \subset A_3$ , we get a Morita equivalent inclusion  $A_2 \subset A_3$  with the same standard invariant which manifestly admits two downward basic constructions.

One quickly observes these inclusions have different **distortions**.

## Modular distortion

If  $M, N$  are  $\text{II}_1$  factors and  ${}_M H_N$  is a bimodule, the *modular distortion* [notion due to André Henriques] of  $H$  is

$$\delta = \delta(H) := \left( \frac{\dim({}_M H)}{\dim(H_N)} \right)^{1/2}$$

If  $A, B$  are  $\text{II}_1$  multifactors and  ${}_A X_B$  is a bimodule, the modular distortion of  $X$  is the partially defined matrix in  $M_{a \times b}(\mathbb{R}_{>0})$

$$\delta_{ij} := \delta(p_i X q_j) = \left( \frac{\dim({}_{A_i}(p_i X q_j))}{\dim((p_i X q_j)_{B_j})} \right)^{1/2} \quad (p_i X q_j \neq 0)$$

### Theorem [BCEGP20]

When a connected bimodule  ${}_A X_B$  has finite depth (generates a multifusion category) or more generally is *extremal*,  $\delta$  extends *uniquely* to a fully defined matrix in  $M_{a \times b}(\mathbb{R}_{>0})$  satisfying

$$\delta_{ij} \delta_{i'j'} = \delta_{ij'} \delta_{i'j} \quad \rightsquigarrow \quad \delta : \text{smu}(M_{a+b}(\mathbb{C})) \rightarrow \mathbb{R}_{>0}$$

## Back to our finite dimensional inclusion

$$\begin{array}{ccc} Q & M_2(\mathbb{C}) & \mathbb{C} \\ \cup & | \quad \backslash & | \\ P & \mathbb{C} & \mathbb{C} \end{array} \rightsquigarrow \begin{array}{c} B = Q \otimes R \\ \cup \\ A = P \otimes R \end{array}$$

## Back to our finite dimensional inclusion

$$\begin{array}{c} Q \\ \cup \\ P \end{array} \quad \begin{array}{c} \begin{array}{|c|c|} \hline \color{blue}{\square} & \square \\ \hline \square & \color{red}{\square} \\ \hline \end{array} & \color{red}{\square} \\ \begin{array}{c} | \quad \diagdown \\ | \quad | \end{array} & \\ \color{blue}{\square} & \color{red}{\square} \end{array} \quad \rightsquigarrow \quad \begin{array}{c} B = Q \otimes R \\ \cup \\ A = P \otimes R \end{array}$$

## Back to our finite dimensional inclusion

$$\begin{array}{ccc}
 Q & \begin{array}{cc} \begin{array}{|c|c|} \hline \color{blue}{\square} & \square \\ \hline \square & \color{red}{\square} \\ \hline \end{array} & \color{red}{\square} \\
 \cup & \begin{array}{c} | \quad \diagdown \quad | \\ \color{blue}{\square} & \color{red}{\square} \end{array} & \rightsquigarrow \\
 P & & \begin{array}{c} B = Q \otimes R \\ \cup \\ A = P \otimes R \end{array}
 \end{array}$$

$$\delta_{(A_0 L^2 A_1 A_1)} = \left( \begin{array}{c} \left( \frac{\dim_{\color{blue}{\square}}(\color{blue}{\square} \square)}{\dim(\square \square)} \right)^{1/2} \\ \left( \frac{\dim_{\color{red}{\square}}(\square \color{red}{\square})}{\dim(\square \square)} \right)^{1/2} \end{array} \quad \begin{array}{c} ? \\ \left( \frac{\dim_{\color{red}{\square}}(\color{red}{\square})}{\dim(\square \square)} \right)^{1/2} \end{array} \right)$$

## Back to our finite dimensional inclusion

$$\begin{array}{ccc}
 Q & \begin{array}{c} \begin{array}{|c|c|} \hline \color{blue}{\square} & \square \\ \hline \square & \color{red}{\square} \\ \hline \end{array} & \color{red}{\square} \\
 \cup & \begin{array}{c} | \quad \diagdown \quad | \\ \color{blue}{\square} & \color{red}{\square} \end{array} \\
 P & \end{array} \rightsquigarrow \begin{array}{c} B = Q \otimes R \\ \cup \\ A = P \otimes R \end{array}
 \end{array}$$

$$\delta_{(A_0 L^2 A_1 A_1)} = \begin{pmatrix} \left(\frac{2}{1/2}\right)^{1/2} & ? \\ \left(\frac{2}{1/2}\right)^{1/2} & \left(\frac{1}{1}\right)^{1/2} \end{pmatrix} = \begin{pmatrix} 2 & ? \\ 2 & 1 \end{pmatrix} = \begin{pmatrix} 2 & 1 \\ 2 & 1 \end{pmatrix}$$

# Distortion changes under the basic construction

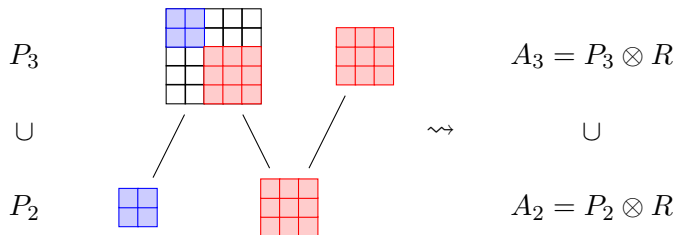
The basic construction up two levels is given by reflecting twice:

$$\begin{array}{ccccc}
 P_3 & & M_5(\mathbb{C}) & & M_3(\mathbb{C}) & & A_3 = P_3 \otimes R \\
 \cup & & / & & \backslash & / & \cup \\
 P_2 & & M_2(\mathbb{C}) & & M_3(\mathbb{C}) & & A_2 = P_2 \otimes R \\
 \cup & & \backslash & & / & \backslash & \cup \\
 P_1 & & & & M_2(\mathbb{C}) & & A_1 = P_1 \otimes R \\
 \cup & & & & \backslash & / & \cup \\
 P_0 & & \mathbb{C} & & \mathbb{C} & & A_0 = P_0 \otimes R
 \end{array} \rightsquigarrow$$



# Distortion changes under the basic construction

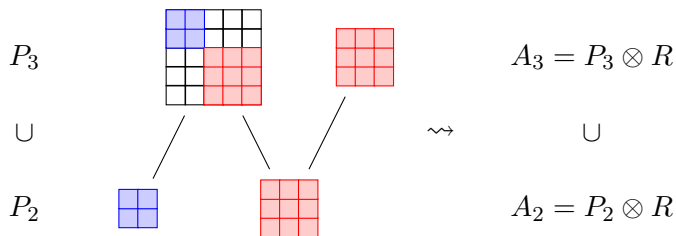
The basic construction up two levels is given by reflecting twice:





## Distortion changes under the basic construction

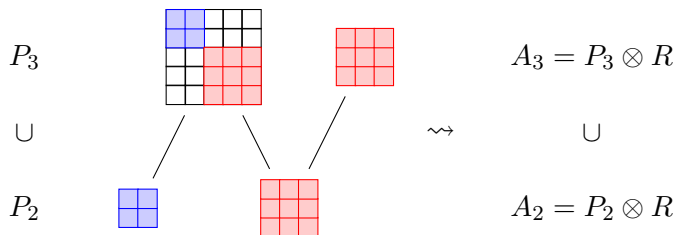
The basic construction up two levels is given by reflecting twice:



$$\delta_{2,1} = \left( \frac{\dim \begin{matrix} \begin{matrix} \square & \square & \square & \square \\ \square & \square & \square & \square \\ \square & \square & \square & \square \end{matrix} \\ \begin{matrix} \square & \square & \square & \square \\ \square & \square & \square & \square \\ \square & \square & \square & \square \end{matrix} \end{matrix} \right)^{1/2} = \left( \frac{5/3}{3/5} \right)^{1/2} = \frac{5}{3}$$

## Distortion changes under the basic construction

The basic construction up two levels is given by reflecting twice:



$$\delta_{(A_2 L^2 A_3 A_3)} = \begin{pmatrix} 5/2 & ? \\ 5/3 & 1 \end{pmatrix} = \begin{pmatrix} 5/2 & 3/2 \\ 5/3 & 1 \end{pmatrix}$$

## Distortion changes under the basic construction

Even though  $A_0 \subset A_1$  and  $A_2 \subset A_3$  are Morita equivalent inclusions and share a standard invariant, they have visibly different distortions:

$$\delta_{(A_0 L^2 A_1 A_1)} = \begin{pmatrix} 2 & 1 \\ 2 & 1 \end{pmatrix} \quad \delta_{(A_2 L^2 A_3 A_3)} = \begin{pmatrix} 5/2 & 3/2 \\ 5/3 & 1 \end{pmatrix}.$$

One calculates that for the Jones tower  $(A_n)_{n \geq 0}$ ,

$$\delta_{(A_{2n} L^2 A_{2n+1} A_{2n+1})} = \begin{pmatrix} F_{2n+2}/F_{2n} & F_{2n+1}/F_{2n} \\ F_{2n+2}/F_{2n+1} & 1 \end{pmatrix} \xrightarrow{n \rightarrow \infty} \begin{pmatrix} \phi^2 & \phi \\ \phi & 1 \end{pmatrix},$$

where  $F_n$  is the  $n$ -th Fibonacci number ( $F_0 = F_1 = 1$ ) and  $\phi$  is the golden ratio.

## Some results on distortion

In our article [BCEGP20], we give the following results on distortion:

- ▶ Quantitative measurement via distortion of when an inclusion admits a downward basic construction
- ▶ Formula for dynamical system of the distortion under taking basic construction
- ▶ Uniqueness of fixed point for this dynamical system and its relation to Popa's *homogeneity*

We then use distortion to prove 4 classification theorems for finite depth hyperfinite  $II_1$  multifactor inclusions and representations of unitary multifusion categories.

# One of our classification theorems

## Theorem [BCEGP20]

The map which takes  $A \subset B$  to the pair  $(\mathcal{P}_{\bullet}^{A \subset B}, \text{tr}_B^{\text{Markov}}|_{Z(A)})$  gives a bijection

$$\frac{\left\{ \begin{array}{l} \text{Finite depth, finite in-} \\ \text{dex connected hyper-} \\ \text{finite II}_1 \text{ multifactor} \\ \text{inclusions } A \subset B \end{array} \right\}}{\varphi : B_1 \xrightarrow{\sim} B_2 \text{ taking} \\ A_1 \text{ onto } A_2} \cong \frac{\left\{ \begin{array}{l} \text{Pairs } (\mathcal{P}_{\bullet}, \tau) \text{ with } \mathcal{P}_{\bullet} \text{ a finite} \\ \text{depth indecomposable uni-} \\ \text{tary 2-shaded planar algebra} \\ \text{and } \tau \text{ a faithful state on } \mathcal{P}_{0,+} \end{array} \right\}}{\varphi_{\bullet} : \mathcal{P}_{\bullet}^1 \xrightarrow{\sim} \mathcal{P}_{\bullet}^2 \text{ such that} \\ \tau^2 \circ \varphi_{0,+} = \tau^1}.$$

- ▶  $\text{tr}_B^{\text{Markov}}$  is the unique *Markov trace* on  $A \subset B$  [GdlHJ89].
- ▶ The Markov trace restricted to  $Z(A)$  completely determines the distortion of  ${}_A L^2 B_B$ .

# Thank you for listening!

Slides available at:

`https:`

`//people.math.osu.edu/penneys.2/PenneysJMM2021.pdf`

Article available at `arXiv:2010.01067`.





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