

Local topological order and topological holography

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KITP - Generalized Symmetries: High-Energy, Condensed Matter and
Mathematics

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Motivation

Given a $(2+1)D$ lattice model with topological order, give a *model independent way* to extract/characterize its topological order.

Outline of strategy

- ▶ Start with a *net of projections* in a $(2 + 1)D$ abstract quantum spin system.
- ▶ Give *local topological order axioms* [JNPW23] generalizing TQO axioms [BHM10].
- ▶ Get a *holographically dual* $(1+1)D$ abstract quantum spin system called the *boundary algebra*.
- ▶ The '*local*' representation theory of the boundary algebra remembers the bulk topological order.

Nets of algebras

Study *abstract quantum spin systems* via nets of algebras.

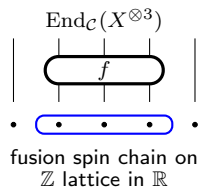
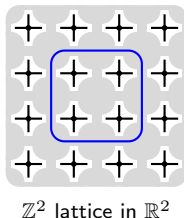
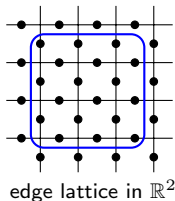
Definition: net of algebras

Local operator algebra $A(\Lambda)$ for each rectangle Λ on a lattice.

- ▶ $A(\emptyset) = \mathbb{C}1_A$
- ▶ $\Lambda \subset \Delta \implies A(\Lambda) \subset A(\Delta)$
- ▶ $\Lambda \cap \Delta = \emptyset \implies [A(\Lambda), A(\Delta)] = 0$
- ▶ $\bigcup_{\Lambda} A(\Lambda)$ generates A .

Usually assume *translation invariance*.

Examples



Nets of projections

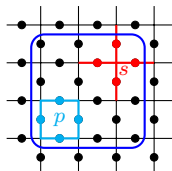
Instead of a local Hamiltonian, each rectangle Λ gets a projection.

$$p_\Lambda \in A(\Lambda), \quad \Lambda \subset \Delta \implies p_\Delta \leq p_\Lambda$$

- ▶ $p_\Delta \leq p_\Lambda$ means $p_\Delta \mathcal{H} \subset p_\Lambda \mathcal{H}$ when acting on Hilbert space \mathcal{H}

Example

If $H = \sum H_i$ is a frustration free commuting projector local Hamiltonian, p_Λ can be projection to the local ground state space.

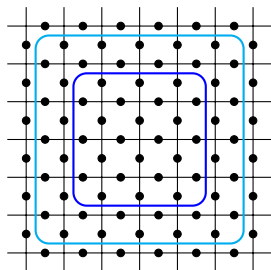
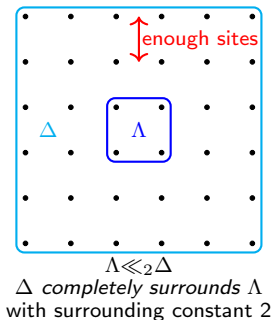


Toric Code

$$p_\Lambda = \prod_{s \subset \Lambda} \frac{I + A_s}{2} \cdot \prod_{p \subset \Lambda} \frac{I + B_p}{2}$$

Local topological order 1

(LTO1) Whenever $\Lambda \ll \Delta$ sufficiently, $p_\Delta A(\Lambda)p_\Delta = \mathbb{C} \cdot p_\Delta$.



- ▶ Inspired by TQO axioms of [BHM10]
- ▶ (LTO1) implies both TQO axioms.
- ▶ Holds for Toric Code [AFH07], Kitaev's Quantum Double [Naa12, CDH⁺20], Levin-Wen [QW20, JNPW23], Walker-Wang [JNP], ...

Proof sketch for Toric Code

4 steps for proof that $p_\Delta x p_\Delta \in \mathbb{C} \cdot p_\Delta$ for $x \in A(\Lambda) = \bigotimes_{\ell \in \Lambda} M_2(\mathbb{C})$:

1. Pauli matrices I, X, Y, Z span $M_2(\mathbb{C})$, so we may assume x is a *Pauli monomial*. (Notice A_s, B_p are also Pauli monomials.)
2. All Pauli monomials commute or anti-commute.
3. If x anticommutes with some A_s (or B_p) in Δ ,

$$p_\Delta x p_\Delta = p_\Delta A_s x p_\Delta = -p_\Delta x A_s p_\Delta = -p_\Delta x p_\Delta$$

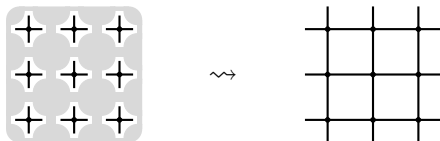
so $p_\Delta x p_\Delta = 0$.

4. If x commutes with all A_s, B_p in Δ , then x is a product of A_s, B_p in Λ [AFH07]. (This part is an algorithm.)

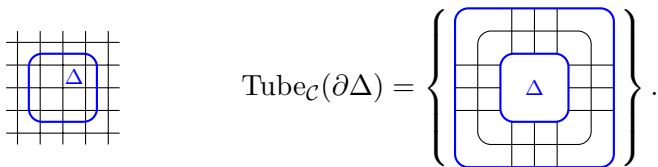
Proof sketch for Levin-Wen

3 steps for proof that $p_{\Delta}xp_{\Delta} \in \mathbb{C} \cdot p_{\Delta}$ for $x \in A(\Lambda)$

1. Compressing by p_{Δ} projects to the *skein module* [Kon14, GHK⁺24].



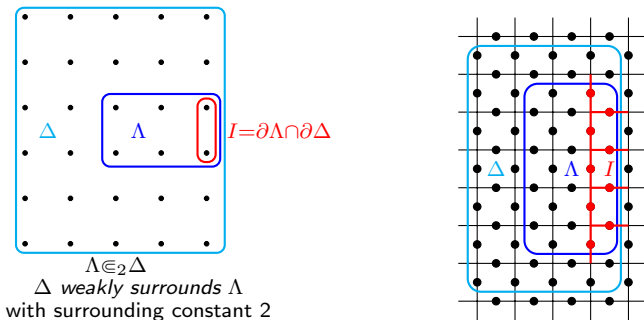
2. $p_{\Delta}xp_{\Delta}$ commutes with tube algebra action on $\partial\Delta$:



3. Skein module is an irreducible $\text{Tube}_{\mathcal{C}}(\partial\Delta)$ -module.
(Irreducible iff trivial commutant.)

Local topological order 2

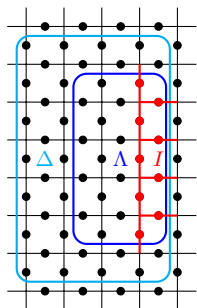
(LTO2) Whenever $\Lambda \Subset \Delta$ sufficiently, $p_\Delta A(\Lambda) p_\Delta = B(I) \cdot p_\Delta$.



- ▶ $B(I)$ only depends on I and not on $\Lambda \Subset \Delta$.
- ▶ (Really $B(I)$ is supported on *sites near I ...*)
- ▶ $B(I)$ has been calculated for Toric Code [JNPW23], Kitaev Quantum Double [CHK⁺24], Levin-Wen [JNPW23], in terms of *fusion categorical nets*

Examples of boundary algebras I

Examples - Toric Code/Kitaev Quantum Double

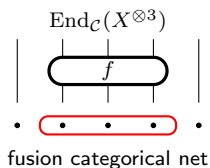
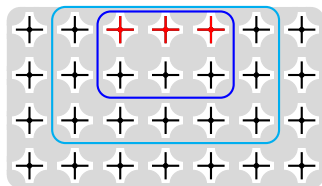


$$B(I) = \begin{array}{c} \bullet \\ \text{--- } P_g \text{ --- } P_\ell^g \\ \bullet \\ \text{--- } R_{g^{-1}} \text{ ---} \\ \bullet \\ \text{--- } R_{g^{-1}} \text{ --- } p \text{ --- } Q_p^{(g)} \\ \bullet \\ \text{--- } L_g \text{ ---} \\ \bullet \\ \bullet \end{array}$$

- ▶ $B(I)$ generated by *patch operators* [IW23, CW23]: projections onto $\mathbb{C} \cdot |g\rangle$ and summands of partial plaquette operators.
- ▶ $B(I)$ forms the fusion categorical net for $\mathcal{C} = \text{Hilb}(G)$ with generator $X = \mathbb{C}[G]$
- ▶ Smooth boundary is UFC net for $\mathcal{C} = \text{Rep}(G)$ with $X = \mathbb{C}^G$

Examples of boundary algebras II

Example - Levin-Wen



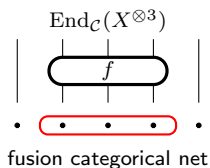
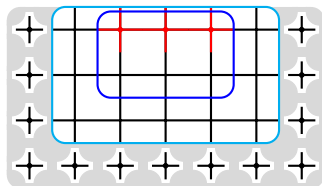
- ▶ Boundary algebra is fusion categorical net for \mathcal{C} with $X = \bigoplus_{c \in \text{Irr}(\mathcal{C})} c$
- ▶ *Proof sketch:* Commutant of right $\text{End}_{\mathcal{C}}(X^{\otimes I})$ action is left $\text{End}_{\mathcal{C}}(X^{\otimes J})$ action on skein module [Kon14, GHK⁺24]

$$\text{End}_{\mathcal{C}}(X^{\otimes J}) \curvearrowright \mathcal{C}(X^{\otimes I} \rightarrow X^{\otimes J}) \curvearrowleft \text{End}_{\mathcal{C}}(X^{\otimes I})$$

- ▶ Gives examples of nets on S^1 which can fail strong additivity or Haag duality [SSS25], [PS, in progress, started last Friday]

Examples of boundary algebras II

Example - Levin-Wen



- ▶ Boundary algebra is fusion categorical net for \mathcal{C} with $X = \bigoplus_{c \in \text{Irr}(\mathcal{C})} c$
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Topological holography

Boundary algebras give a precise mathematical formulation of *topological holography* [IW23, CW23]:

- ▶ Boundary algebra is discrete (1+1)D AQFT holographically dual to the bulk theory.
- ▶ Bulk topological order should be characterized as '*local representations/DHR bimodules*' [Jon24] of the boundary algebra.

Definition - DHR bimodule

(Intense math slide 1/4)

A bimodule M for a net of algebras B which can be *localized* in any large enough region I : there are $m_1, \dots, m_n \in M$ such that

- ▶ every $m \in M$ can be written as $\sum m_i b_i$ for some $b_1, \dots, b_n \in B$, and
- ▶ $m_i b = b m_i$ for all $b \in B(J)$ for $J \subset I^c$.

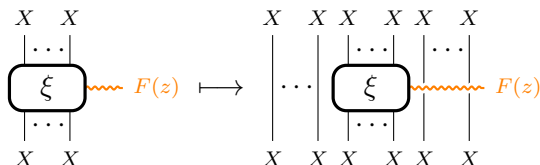
DHR bimodules for UFC nets

(Intense math slide 2/4)

For $z \in Z(\mathcal{C})$, get a DHR bimodule M^z by taking inductive limits

$$M^z = \varinjlim M_n^z \qquad M_n^z = \text{Hom}(X^{\otimes n} \rightarrow X^{\otimes n} \otimes F(z))$$

Get inclusions $M_n^z \hookrightarrow M_{j+n+k}^z$ by



Theorem [Jon24]

- ▶ DHR bimodules for a 1D net of algebras forms a braided W^* -tensor category. (W^* = von Neumann)
- ▶ DHR bimodules for the fusion categorical net for \mathcal{C} is equivalent to $Z(\mathcal{C})$.

Cone von Neumann algebras

(Intense math slide 3/4)

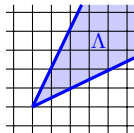
Given $\Lambda \ll \Delta$,

$$p_{\Delta} x p_{\Delta} = \psi(x) p_{\Delta}$$

for $\psi(x) \in \mathbb{C}$ independent of Δ beyond $\Lambda \ll \Delta$.

- ▶ $\psi : A \rightarrow \mathbb{C}$ is the unique state such that $\psi(p_{\Lambda}) = 1$ for all Λ .
- ▶ ψ is a pure state.
- ▶ For an LTO coming from a translation invariant frustration free local Hamiltonian, ψ is the unique translation invariant ground state [BR97].
- ▶ For a cone Λ ,

$$A(\Lambda)'' \subset B(L^2(A, \psi))$$



is a properly infinite *von Neumann factor*.

- ▶ For Toric Code and Quantum Double, we get a type II factor [Oga24]
- ▶ For Levin-Wen, we get a type II factor iff $d_c = 1$ for all $c \in \text{Irr}(\mathcal{C})$ (all objects invertible). Otherwise it is type III.

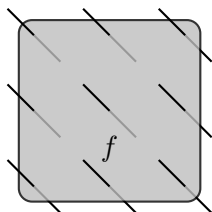
This follows by calculating ψ on the boundary algebra of Levin-Wen. For $\text{End}_{\mathcal{C}}(X^{\otimes 3})$ where $X = \bigoplus_{c \in \text{Irr}(\mathcal{C})} c$,

$$\psi \left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right) = \frac{1}{D_{\mathcal{C}}^3} \sum_{\substack{a,b,c \\ \in \text{Irr}(\mathcal{C})}} d_a d_b d_c \cdot \text{tr}_{\mathcal{C}} \left(\begin{array}{c} \text{---} \\ | \\ \text{---} \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \\ | \\ \text{---} \end{array} \right)$$

Get ψ tracial iff $d_c = 1$ for all $c \in \text{Irr}(\mathcal{C})$.

Future directions

- ▶ Analyze boundary algebras of (3+1)D Walker-Wang using *braided fusion categorical nets* [JNP]



$$\in \text{End}_{\mathcal{B}}(X^{\otimes \Lambda}), \quad X = \bigoplus_{b \in \text{Irr}(\mathcal{B})} b$$

- ▶ Connect to entanglement bootstrap techniques which use strict area law for entanglement entropy [SKK20, KLRS24, KR24]
- ▶ Connect DHR bimodules to AQFT superselection sectors [Naa12, Oga22]
- ▶ Adapt LTO to study *chiral* (2+1)D topological order
- ▶ Give model-independent operator algebraic way to get higher modular fusion categories of excitations

Thank you for listening!

Slides available at:

[https://people.math.osu.edu/penneys.2/talks/
PenneysKITP2025.pdf](https://people.math.osu.edu/penneys.2/talks/PenneysKITP2025.pdf)

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- ▶ [GHK⁺24] *Enriched string-net models and their excitations*.
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- ▶ [CHK⁺24] *Boundary algebras of the Kitaev quantum double model*. **J. Math. Phys.** arXiv:2309.13440
- ▶ [JNP] *2D Braided fusion spin systems and topological holography*. Coming soon to an arXiv near you!

Shameless plug

Book with Giovanni Ferrer and Kyle Kawagoe in progress:

- ▶ *Unitary Quantum Symmetries Lite*

(low dimensional) higher linear algebra in unitary setting,
applications to topological phases



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